



**STATE OF VERMONT**  
JOINT FISCAL OFFICE

**MEMORANDUM**

To: Joint Fiscal Committee Members  
From: Nathan Lavery, Fiscal Analyst  
Date: September 7, 2012  
Subject: Grant Request

Enclosed please find one (1) item that the Joint Fiscal Office has received from the administration.

**JFO #2577** – \$4,947,260 grant from U.S. Department of Education to the Vermont Department of Education. This grant will be used to develop and implement a Statewide Longitudinal Data System (SLDS) that will allow the state to accurately manage, analyze, and use education data, including individual student records. The SLDS is intended enhance the ability of stakeholders, including the state and school districts, to make data-informed decisions designed to improve student learning and outcomes.

*[JFO received 9/4/12]*

Please review the enclosed materials and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; [nlavery@leg.state.vt.us](mailto:nlavery@leg.state.vt.us)) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by September 21 we will assume that you agree to consider as final the Governor's acceptance of these requests.

State of Vermont  
 Department of Finance & Management  
 109 State Street, Pavilion Building  
 Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376  
 [fax] 802-828-2428

JFO 2577

**STATE OF VERMONT  
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

<b>Grant Summary:</b>		Provides Federal Funding for the Statewide Logitudinal Data System required by the America COMPETES Act (20 U.S.C. 9871)			
<b>Date:</b>		8/15/2011			
<b>Department:</b>		Education			
<b>Legal Title of Grant:</b>		2012 Statewide, Logitudinal Data Systems Grant			
<b>Federal Catalog #:</b>		84.372A			
<b>Grant/Donor Name and Address:</b>		USDOE			
<b>Grant Period:</b>	<b>From:</b>	7/1/2012	<b>To:</b>	6/30/2015	
<b>Grant/Donation</b>					
	<b>SFY 1</b>	<b>SFY 2</b>	<b>SFY 3</b>	<b>Total</b>	<b>Comments</b>
<b>Grant Amount:</b>	\$2,186,180	\$1,455,540	\$1,305,540	\$4,947,260	
<b>Position Information:</b>		<b># Positions</b>	<b>Explanation/Comments</b>		
		0			
<b>Additional Comments:</b>		No positions to be created. Does not commit the state to any future expenditures.			
<b>Department of Finance &amp; Management</b>		K 8/20/12	(Initial)		
<b>Secretary of Administration</b>		AC 8/20/12	(Initial)		
<b>Sent To Joint Fiscal Office</b>		8/30/12	Date		

**RECEIVED**  
 SEP 04 2012  
 JOINT FISCAL OFFICE



**STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)**

<b>BASIC GRANT INFORMATION</b>					
<b>1. Agency:</b>					
<b>2. Department:</b>		Education			
<b>3. Program:</b>		Information Technology			
<b>4. Legal Title of Grant:</b>		2012 Statewide, Longitudinal Data Systems Grant			
<b>5. Federal Catalog #:</b>		84.372A			
<b>6. Grant/Donor Name and Address:</b> Institute of Education Sciences U.S. Department of Education 555 New Jersey Ave. NW, Room 508c Washington, DC 20208-5501					
<b>7. Grant Period:</b>		<b>From:</b> 7/1/2012	<b>To:</b> 6/30/2015		
<b>8. Purpose of Grant:</b> The US Department of Education's research arm, the Institute of Education Sciences (IES), awards Statewide Longitudinal Data Systems (SLDS) competitive, cooperative agreement grants to states to help propel the successful design, development, implementation, and expansion of K12 and P-20W (early learning through the workforce) longitudinal data systems. These systems are intended to enhance the ability of States to efficiently and accurately manage, analyze, and use education data, including individual student records. The SLDSs should help states, districts, schools, educators, and other stakeholders to make data-informed decisions to improve student learning and outcomes; as well as to facilitate research to increase student achievement and close achievement gaps. This is the first time that Vermont has been awarded an SLDS grant. This grant will help the Vermont Department of Education complete the goals of the Vermont Automated Data Reporting (VADR) project that is described in Vermont's grant proposal. Vermont's grant proposal, grant award notification and cooperative agreement are included as supporting information in this request.					
<b>9. Impact on existing program if grant is not Accepted:</b> The Vermont Department of Education can not implement a robust, coherent K-12 longitudinal data system without this grant award. If the grant is not accepted Vermont would not be able to comply with section 6401(e)(2)(D) of the America COMPETES Act (20 U.S.C. 9871) which details the required elements of state education data systems.					
<b>10. BUDGET INFORMATION</b>					
	<b>SFY 1</b>	<b>SFY 2</b>	<b>SFY 3</b>	<b>Comments</b>	
<b>Expenditures:</b>	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>		
Personal Services	\$1,927,900	\$1,205,900	\$1,055,900		
Operating Expenses	\$58,280	\$49,640	\$49,640		
Grants	\$200,000	\$200,000	\$200,000		
<b>Total</b>	<b>\$2,186,180</b>	<b>\$1,455,540</b>	<b>\$1,305,540</b>		
<b>Revenues:</b>					
State Funds:	\$0	\$0	\$0	n/a	
Cash	\$0	\$0	\$0	n/a	
In-Kind	\$0	\$0	\$0	n/a	
Federal Funds:	\$2,186,180	\$1,455,540	\$1,305,540		
(Direct Costs)	\$2,150,900	\$1,428,900	\$1,278,900		
(Statewide Indirect)	\$0	\$0	\$0	n/a	

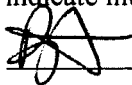
**AUG 14 2012**

**STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)**

(Departmental Indirect)	\$35,280	\$26,640	\$26,640	
Other Funds:	\$0	\$0	\$0	n/a
Grant (source n/a)	\$0	\$0	\$0	n/a
<b>Total</b>	<b>\$2,186,180</b>	<b>\$1,455,540</b>	<b>\$1,305,540</b>	

<b>Appropriation No:</b>	5100010000	<b>Amount:</b>	\$4,947,260
			\$
			\$
			\$
			\$
			\$
			\$
		<b>Total</b>	<b>\$4,947,260</b>

**PERSONAL SERVICE INFORMATION**


**11. Will monies from this grant be used to fund one or more Personal Service Contracts?**  Yes  No  
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.  
 Appointing Authority Name: \_\_\_\_\_ Agreed by:  (initial)

12. Limited Service Position Information:	# Positions	Title
<b>Total Positions</b>	0	

**12a. Equipment and space for these positions:**  Is presently available.  Can be obtained with available funds.

**13. AUTHORIZATION AGENCY/DEPARTMENT**



I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

Signature: 	Date: 8-14-12
Title: <u>Dep Commissioner / CFO</u>	
Signature: _____	Date: _____
Title: _____	

**14. SECRETARY OF ADMINISTRATION**

Approved:  (Secretary or designee signature) Date: 08/20/12

**15. ACTION BY GOVERNOR**

Check One Box: Accepted  Date: 8/30/12  
 (Governor's signature)  
 Rejected  Date: \_\_\_\_\_

**16. DOCUMENTATION REQUIRED**

**STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)**

<b>Required GRANT Documentation</b>	
<input checked="" type="checkbox"/> Request Memo	<input type="checkbox"/> Notice of Donation (if any)
<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input checked="" type="checkbox"/> Notice of Award	<input type="checkbox"/> Request for Extension (if applicable)
<input checked="" type="checkbox"/> Grant Agreement	<input type="checkbox"/> Form AA-1PN attached (if applicable)
<input checked="" type="checkbox"/> Grant Budget	

**End Form AA-1**

(\*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).



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**State of Vermont**  
**Vermont Department of Education**  
120 State Street  
Montpelier, VT 05620-2501

MEMORANDUM

To: Members of the Vermont Joint Fiscal Office

From: Bill Talbott, CFO/Deputy Commissioner

Date: August 13, 2012

Re: Request for Statewide, Longitudinal Data Systems Grant Acceptance

Please find enclosed a copy of Form AA-1 requesting the acceptance of a 2012 Statewide, Longitudinal Data Systems Grant from the US Department of Education's Institute of Education Sciences.

The US Department of Education's research arm, the Institute of Education Sciences (IES), awards Statewide Longitudinal Data Systems (SLDS) competitive, cooperative agreement grants to states to help propel the successful design, development, implementation, and expansion of K12 and P-20W (early learning through the workforce) longitudinal data systems. These systems are intended to enhance the ability of States to efficiently and accurately manage, analyze, and use education data, including individual student records. The SLDSs should help states, districts, schools, educators, and other stakeholders to make data-informed decisions to improve student learning and outcomes; as well as to facilitate research to increase student achievement and close achievement gaps. This is the first time that Vermont has been awarded an SLDS grant. This grant will help the Vermont Department of Education complete the goals of the Vermont Automated Data Reporting (VADR) project that is described in Vermont's grant proposal. Vermont's grant proposal, grant award notification and cooperative agreement are included as supporting information in this request.

The Vermont Department of Education can not implement a robust, coherent K-12 longitudinal data system without this grant award. If the grant is not accepted Vermont would not be able to comply with section 6401(e)(2)(D) of the America COMPETES Act (20 U.S.C. 9871) which details the required elements of state education data systems.

**US Department of Education  
Washington, D.C. 20202  
GRANT AWARD NOTIFICATION**

<b>1</b> RECIPIENT NAME  Vermont Department of Education IT Division 120 State Street, 4th Floor Montpelier, VT 05620 - 2501	<b>2</b> AWARD INFORMATION  PR/AWARD NUMBER R372A120031 ACTION NUMBER 1 ACTION TYPE New AWARD TYPE Discretionary									
<b>3</b> PROJECT STAFF  RECIPIENT PROJECT DIRECTOR Brian L Townsend (802) 828-6575 <a href="mailto:brian.townsend@state.vt.us">brian.townsend@state.vt.us</a> EDUCATION PROGRAM CONTACT Emily Anthony (202) 502-7495 <a href="mailto:EMILY.ANTHONY@ED.GOV">EMILY.ANTHONY@ED.GOV</a> EDUCATION PAYMENT HOTLINE G5 PAYEE 888-336-8930 HELPDESK <a href="mailto:edcaps.user@ed.gov">edcaps.user@ed.gov</a>	<b>4</b> PROJECT TITLE  84.372A Vermont Automated Data Reporting (VADR) Project									
<b>5</b> KEY PERSONNEL  <table border="0"> <thead> <tr> <th><u>NAME</u></th> <th><u>TITLE</u></th> <th><u>LEVEL OF EFFORT</u></th> </tr> </thead> <tbody> <tr> <td>Brian L Townsend</td> <td>Project Director</td> <td>30 %</td> </tr> </tbody> </table>		<u>NAME</u>	<u>TITLE</u>	<u>LEVEL OF EFFORT</u>	Brian L Townsend	Project Director	30 %			
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Brian L Townsend	Project Director	30 %								
<b>6</b> AWARD PERIODS  BUDGET PERIOD 07/01/2012 - 06/30/2013 PERFORMANCE PERIOD 07/01/2012 - 06/30/2015  FUTURE BUDGET PERIODS  <table border="0"> <thead> <tr> <th><u>BUDGET PERIOD</u></th> <th><u>DATE</u></th> <th><u>AMOUNT</u></th> </tr> </thead> <tbody> <tr> <td>2</td> <td>07/01/2013 - 06/30/2014</td> <td>\$1,455,540.00</td> </tr> <tr> <td>3</td> <td>07/01/2014 - 06/30/2015</td> <td>\$1,305,540.00</td> </tr> </tbody> </table>		<u>BUDGET PERIOD</u>	<u>DATE</u>	<u>AMOUNT</u>	2	07/01/2013 - 06/30/2014	\$1,455,540.00	3	07/01/2014 - 06/30/2015	\$1,305,540.00
<u>BUDGET PERIOD</u>	<u>DATE</u>	<u>AMOUNT</u>								
2	07/01/2013 - 06/30/2014	\$1,455,540.00								
3	07/01/2014 - 06/30/2015	\$1,305,540.00								
<b>7</b> AUTHORIZED FUNDING  <table border="0"> <tbody> <tr> <td>THIS ACTION</td> <td>\$2,186,180.00</td> </tr> <tr> <td>BUDGET PERIOD</td> <td>\$2,186,180.00</td> </tr> <tr> <td>PERFORMANCE PERIOD</td> <td>\$2,186,180.00</td> </tr> </tbody> </table>		THIS ACTION	\$2,186,180.00	BUDGET PERIOD	\$2,186,180.00	PERFORMANCE PERIOD	\$2,186,180.00			
THIS ACTION	\$2,186,180.00									
BUDGET PERIOD	\$2,186,180.00									
PERFORMANCE PERIOD	\$2,186,180.00									
<b>8</b> ADMINISTRATIVE INFORMATION  DUNS/SSN 884902701 REGULATIONS CFR PART 77 EDGAR AS APPLICABLE ATTACHMENTS A, B IES, C, E1, E2, E4, E5, E6, N, O, S, U, V, W										
<b>9</b> LEGISLATIVE AND FISCAL DATA  AUTHORITY: PL 107-279 II EDUCATIONAL TECHNICAL ASSISTANCE ACT OF 2002 (ACT) PROGRAM TITLE: STATEWIDE LONGITUDINAL DATA SYSTEMS CFDA/SUBPROGRAM NO: 84.372A										

**US Department of Education  
Washington, D.C. 20202  
GRANT AWARD NOTIFICATION**

FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT
1100M	2012	2012	ER000000	B	RM1	000	372	4101J	\$2,186,180.00

**10**

PR/AWARD NUMBER: R372A120031  
 RECIPIENT NAME: Vermont Department of Education  
 IT Division

**TERMS AND CONDITIONS**

- (1) By accepting this award, the recipient agrees to notify the relevant program office in the Department and provide a reasonable period of time for the Department to respond, before it can agree to provide any portion of this award to the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries. This condition takes into account Division B, Title I, Section 1104, of the Department of Defense and Full-Year Continuing Appropriations Act, 2011 (P. Law No. 112-10), which incorporates the conditions specified in Division E, Section 511 of the Consolidated Appropriations Act, 2010 (P. Law. No. 111-117), and pending litigation on related matters. If you have any questions about this condition or the status of these matters, please contact the program office.
- (2) THE FOLLOWING ITEMS ARE INCORPORATED IN THE GRANT AGREEMENT: (1) THE RECIPIENT'S APPLICATION (BLOCK 4), (2) THE APPLICABLE EDUCATION DEPARTMENT REGULATIONS (BLOCK 8), AND (3) THE SPECIAL TERMS AND CONDITIONS SHOWN AS ATTACHMENTS (BLOCK 8).

THIS AWARD SUPPORTS ONLY THE BUDGET PERIOD SHOWN IN BLOCK 6. IN ACCORDANCE WITH 34 CFR 75.253, THE DEPARTMENT OF EDUCATION WILL CONSIDER CONTINUED FUNDING IF: (1) CONGRESS HAS APPROPRIATED SUFFICIENT FUNDS UNDER THE PROGRAM, (2) THE DEPARTMENT DETERMINES THAT CONTINUING THE PROJECT WOULD BE IN THE BEST INTEREST OF THE GOVERNMENT, (3) THE RECIPIENT HAS MADE SUBSTANTIAL PROGRESS TOWARD MEETING THE OBJECTIVES IN ITS APPROVED APPLICATION, AND (4) THE RECIPIENT HAS SUBMITTED REPORTS OF PROJECT PERFORMANCE AND BUDGET EXPENDITURES THAT MEET THE REPORTING REQUIREMENTS FOUND AT 34 CFR 75.118 AND ANY OTHER REPORTING REQUIREMENTS ESTABLISHED BY THE SECRETARY.

IN ACCORDANCE WITH 34 CFR 74.25(c)(2), OR 34 CFR 80.30(d)(3) CHANGES TO KEY PERSONNEL IDENTIFIED IN BLOCK 5 MUST RECEIVE PRIOR APPROVAL FROM THE DEPARTMENT.

THE SECRETARY ANTICIPATES FUTURE FUNDING FOR THIS AWARD ACCORDING TO THE SCHEDULE IDENTIFIED IN BLOCK 6. THESE FIGURES ARE ESTIMATES ONLY AND DO NOT BIND THE SECRETARY TO FUNDING THE AWARD FOR THESE PERIODS OR FOR THE SPECIFIC AMOUNTS SHOWN. THE RECIPIENT WILL BE NOTIFIED OF SPECIFIC FUTURE FUNDING ACTIONS THAT THE SECRETARY TAKES FOR THIS AWARD.

  
 \_\_\_\_\_  
 AUTHORIZING OFFICIAL

6/14/12  
 \_\_\_\_\_  
 DATE



## Abstract: The Vermont Automated Data Reporting (VADR) Project

The Vermont Department of Education (VT DOE) has long recognized the importance of providing, to its program staff, policymakers, external researchers, district administrators, and school-level educators, access to comprehensive, reliable, timely and actionable data to inform decision-making and to evaluate education policies and programs. Nearly a decade ago, the Department, through its district partnership with the Vermont Data Consortium (VDC), took the first step in providing this access by establishing the Education Data Warehouse (EDW). Since then, we have continued progress towards this goal by adding additional data analysis tools including a parameter-driven reporting tool and an EDW dashboard module. Despite having taken those useful steps, our current statewide longitudinal data system has many shortcomings.

The Institute of Education Sciences established the Statewide, Longitudinal Data Systems (SLDS) grant program to assist states in establishing data systems that foster the generation and use of accurate and timely data, support analysis and informed decision-making at all levels of the education system, increase the efficiency with which data may be analyzed to support continuous improvement of education services and outcomes, facilitate research to improve student academic achievement and close achievement gaps, support education accountability systems, and simplify the processes used by states to make education data transparent through Federal and public reporting. To date, a total of \$515 million have been awarded to 41 States and the District of Columbia for developing these systems.

Vermont has yet to receive funding under this program, however, 2012 SLDS grant funding will allow Vermont to implement the *Vermont Automated Data Reporting (VADR) project*. The VADR project, addressing grant *Priority 1: K-12 Data System*, will allow the complete implementation of Vermont's K-12 Data System.

Vermont feels that it has put excellent tools in place to help stakeholders analyze data that can help answer key education policy questions about how Vermont is serving its students. The overall vision and goal of the VADR project is to supplement and improve upon these analysis tools by automating the data collection, loading and reporting processes and adding a new tool to measure and monitor student, school and LEA growth.

Deliverables expected to be developed through this project are:

- Deliverable 1:** All K-12 Schools Participating in Automated Vertical Data Collection Process by May, 2015.
- Deliverable 2:** Develop State-level Operational Data Store.
- Deliverable 3:** All VT DOE Data Analysis Tool Data Loads Automated by May, 2015.
- Deliverable 4:** Establish Enhanced Training Delivery System.
- Deliverable 5:** All EdFacts Submission Files Capable of Being Automatically Generated by May, 2015.
- Deliverable 6:** Develop Growth Model Reporting Tool.