



Mailing Address:
1 Baldwin Street
Drawer 33
Montpelier, Vermont 05633-5701

Tel.: (802) 828-2295
Fax: (802) 828-2483

**STATE OF VERMONT
JOINT FISCAL COMMITTEE**
1 Baldwin Street
Montpelier, Vermont 05633-5701

MEMORANDUM

To: James Reardon, Commissioner of Finance & Management

From: Rebecca Buck, Staff Associate *RB*

Date: June 15, 2007

Subject: Status of Grant Request

No Joint Fiscal Committee member has requested that the following item be held for review:

JFO #2290 – \$1,604,574.63 grant from the Federal Emergency Management Agency (FEMA) to the Agency of Transportation. This grant provides disaster assistance to state, local and non-profit agencies for damage caused by the storms of April 15 through April 21, 2007. Funding from local sources (\$231,963.63) and the State Emergency Relief and Assistance Fund (\$272,305.13) will provide the non-federal share of damage repairs.

[JFO received 05/16/07]

In accordance with 32 V.S.A. §5, the requisite 30 days having elapsed since this item was submitted to the Joint Fiscal Committee, the Governor's approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Linda Morse
Neale Lunderville

GRANT ACCEPTANCE FORM

JFO #
2290

DATE: May 14, 2007

DEPARTMENT: AOT - Operations

GRANT/DONATION (brief description and purpose):

FEMA Disaster Assistance Grant FEMA--1698-DR-VT
Bennington, Caledonia, Essex, Orange, Rutland, Windham and Windsor

GRANTOR/DONOR: FEMA

GRANT PERIOD: N/A

AMOUNT/VALUE: \$1,604,574.63

POSITIONS REQUESTED (LIMITED SERVICE): 0

ANY ON-GOING, LONG-TERM COSTS TO THE STATE: None

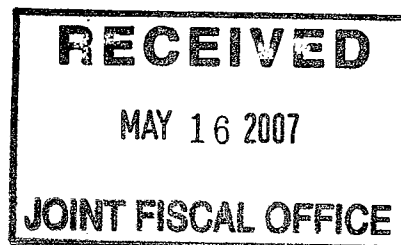
COMMENTS:

State match of \$272,305.00 is available (ERAF).

Total Federal funds is \$1,512,806.25 plus \$91,768.38.

DEPT. FINANCE AND MANAGEMENT:
SECRETARY OF ADMINISTRATION:
SENT TO JOINT FISCAL OFFICE:

(INITIAL) W 5/14/07
(INITIAL) WS 5.14.07
(DATE) 5/14/07



STATE OF VERMONT
REQUEST FOR GRANT ACCEPTANCE

FORM AA-1

1. Date: **May 08, 2007**
2. Agency: **Transportation**
3. Department: **Operations**
4. Program: **Public Assistance Program**
5. Type of Grant:
 New Supplemental
 Renewal Modification
6. Legal Title of Grant: **Disaster Assistance Grant
FEMA-1698-DR-VT**
7. Federal Catalog No.: **83-544**
8. Grantor and Office/Address:
**Federal Emergency Management Agency
99 High Street
Boston, MA 02109-4595**
9. State Grant Administrator:
**Agency of Transportation
Maintenance & Aviation Division**
10. Grant Period: From: **April 15, 2007** To: **Completion**
11. Purpose of Grant: (attach additional sheets if needed)
To provide federal disaster assistance to repair damage to public facilities; state, local and non-profit, caused by the storms of April 15 – April 21, 2007. See attached pages.
12. Impact on Existing Programs if Grant is not Accepted:
State and local governments and eligible non-profit agencies will have to bear the full cost of repair to public facilities, road, and bridges; the cost of debris removal; and for emergency response.
13. Budget Information: (Expenditures expected to occur in FY07 - FY09)

EXPENDITURES:

Statutory Administrative Costs	91,768
Other (recovery costs - estimated)	2,017,075
TOTAL	\$2,108,843

REVENUES

State Funds (Estimates - see attached schedule)	
Emergency Relief and Assistance Fund	272,305
Federal Funds: (Federal share is 75% of the total damage estimate)	
FEMA Fund	1,512,806
Administrative Costs	91,768
Other Funds: (Estimates - see attached schedule)	
Local government	231,964
TOTAL	\$2,108,843

Grant will be allocated to these appropriation expenditure accounts: (excludes local share)

<u>DEPT ID</u>	<u>Program</u>	<u>Fund</u>	<u>Amount</u>
8100005500	59700	20150	\$1,604,574
8100005500	59700	21555	\$ 272,305

14. Will grant monies be spent on one or more personal service contracts?
 YES NO

If YES, signature of appointing authority here indicates intent to follow current guidelines for bidding. _____

- 15a. Please list any requested Limited Service positions:

<u>Titles</u>	<u>Number of Positions</u>
NONE	
TOTAL	

- 15b. Equipment and space for these positions:
 Is presently available.
 Can be obtained with available funds.

16. Signature of Appointing Authority

OK LAL

I certify that no funds have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant.

David A. Dill
(Signature)

5/8/07
(Date)

17. Action by Governor:

- Approved
 Rejected

[Signature]
(Signature)

5/11/07
(Date)

18. Secretary of Administration:

- Request to JFO
 Information to JFO

[Signature]
(Signature)

5/9/07
(Date)

19. Action by Joint Fiscal Committee:

- Request to be placed on JFC agenda
 Approved (not placed on agenda in 30 days)
 Approved by JFC
 Rejected by JFC
 Approved by Legislature

(Dates)

(Signature)

(Date)

FEMA-1698-DR-VT April 15 - 21, 2007

	Damage Total	FEMA	Admin-Local
Bennington	\$ 137,866.00	\$ 103,399.50	\$ 4,135.98
Caledonia	\$ 119,570.00	\$ 89,677.50	\$ 3,587.10
Essex	\$ 49,207.00	\$ 36,905.25	\$ 1,476.21
Orange	\$ 101,575.00	\$ 76,181.25	\$ 3,047.25
Rutland	\$ 194,100.00	\$ 145,575.00	\$ 5,823.00
Windham	\$ 1,110,949.00	\$ 833,211.75	\$ 33,328.47
Windsor	\$ 303,808.00	\$ 227,856.00	\$ 9,114.24
	<u>\$ 2,039,075.00</u>	<u>\$ 1,512,806.25</u>	<u>\$ 60,512.25</u>

\$ 1,512,806.25 = Total FEMA support to Town

\$ 91,768.38 = Total Admin

\$ 1,604,574.63 = Total anticipated FEMA Support for PA

State Admin

\$ 100,000.00	\$ 3,000.00
\$ 1,412,806.25	\$ 28,256.13
<u>\$ 1,512,806.25</u>	<u>\$ 31,256.13</u>

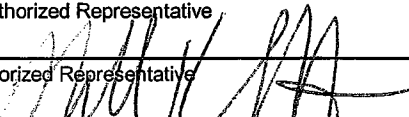
State \$ Est. Average (13.5%) - Each town is at 12.5% or 15%

ERAF \$ 272,305.13

Local: \$ 231,963.63

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No.

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED May 08, 2007	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION			
Legal Name: State of Vermont - Agency of Administration		Organizational Unit: Vermont Agency of Transportation	
Address (give city, county, State, and zip code): Pavilion Office Building Montpelier, Vermont 05609-0201		Name and telephone number of person to be contacted on matters involving this application (give area code) William E. McManis (802) 828-2602	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 03 - 6000274		7. TYPE OF APPLICANT: (enter appropriate letter in box) A	
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other (specify): _____		A. State H. Independent School Dist. B. County I. State Controlled Institution of Higher Learning C. Municipal J. Private University D. Township K. Indian Tribe E. Interstate L. Individual F. Intermunicipal M. Profit Organization G. Special District N. Other (Specify _____)	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE Disaster Recovery - Public Assistance		9. NAME OF FEDERAL AGENCY: Federal Emergency Management Agency	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Bennington, Caledonia, Essex, Orange, Rutland, Windham, and Windsor counties.		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Public Assistance for flood/storm damage that occurred during the events of April 15 - April 21, 2007. Damage is to State, Local, and non-profit infrastructure.	
13. PROPOSED PROJECT: Disaster Recovery		14. CONGRESSIONAL DISTRICTS OF: Vermont	
Start Date April 15, 2007	Ending Date Completion	a. Applicant Vermont Agency of Transportation	b. Project: FEMA-1698-DR-VT Disaster Recovery - Public Assistance
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$ 1,512,806 .00	a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____	
b. Applicant	\$.00	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
c. State	\$ 272,305 .00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No	
d. Local	\$ 231,963 .00		
e. Other	\$.00		
f. Program Income	\$.00		
g. TOTAL	\$ 2,017,075 .00		
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.			
a. Type Name of Authorized Representative Michael K. Smith		b. Title Secretary of Administration (& GAR)	c. Telephone Number (802) 828-3322
d. Signature of Authorized Representative 		e. Date Signed 3/9/07	



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STATE OF VERMONT
JOINT FISCAL COMMITTEE
1 Baldwin Street
Montpelier, Vermont 05633-5701

MEMORANDUM

To: Joint Fiscal Committee Members

From: Rebecca Buck, Staff Associate *RB*

Date: May 17, 2007

Subject: Grant Request

Enclosed please find one (1) request which the Joint Fiscal Office recently received from the Administration:

JFO #2290 – \$1,604,574.63 grant from the Federal Emergency Management Agency (FEMA) to the Agency of Transportation. This grant provides disaster assistance to state, local and non-profit agencies for damage caused by the storms of April 15 through April 21, 2007. Funding from local sources (\$231,963.63) and the State Emergency Relief and Assistance Fund (\$272,305.13) will provide the non-federal share of damage repairs.

[JFO received 05/16/07]

The Joint Fiscal Office has reviewed this submission and determined that all appropriate forms bearing the necessary approvals are in order.

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Rebecca Buck at 802/828-5969; rbuck@leg.state.vt.us or Stephen Klein at 802/828-5769; sklein@leg.state.vt.us) if you would like this item held for committee review. Unless we hear from you to the contrary by May 31 we will assume that you agree to consider as final the Governor's acceptance of this request.

cc: James Reardon, Commissioner
Linda Morse, Administrative Assistant
Neale Lunderville, Secretary

STATE OF VERMONT
GRANT ACCEPTANCE FORM

JFO #
2290

DATE: May 14, 2007

DEPARTMENT: AOT - Operations

GRANT/DONATION (brief description and purpose):

FEMA Disaster Assistance Grant FEMA=-1698-DR-VT
Bennington, Caledonia, Essex, Orange, Rutland, Windham and Windsor

GRANTOR/DONOR: FEMA

GRANT PERIOD: N/A

AMOUNT/VALUE: \$1,604,574.63

POSITIONS REQUESTED (LIMITED SERVICE): 0

ANY ON-GOING, LONG-TERM COSTS TO THE STATE: None

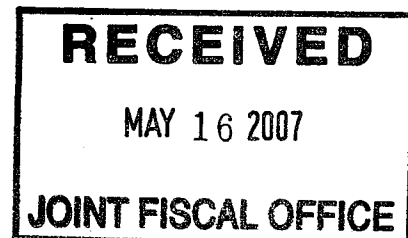
COMMENTS:

State match of \$272,305.00 is available (ERAF).

Total Federal funds is \$1,512,806.25 plus \$91,768.38.

DEPT. FINANCE AND MANAGEMENT:
SECRETARY OF ADMINISTRATION:
SENT TO JOINT FISCAL OFFICE:

(INITIAL) JS 5/14/07
(INITIAL) MS 5-14-07
(DATE) 5/14/07



STATE OF VERMONT
REQUEST FOR GRANT ACCEPTANCE FORM AA-1

1. Date: **May 08, 2007**
2. Agency: **Transportation**
3. Department: **Operations**
4. Program: **Public Assistance Program**
6. Legal Title of Grant: **Disaster Assistance Grant
FEMA-1698-DR-VT**
8. Grantor and Office/Address:
**Federal Emergency Management Agency
99 High Street
Boston, MA 02109-4595**
5. Type of Grant:
 New Supplemental
 Renewal Modification
7. Federal Catalog No.: **83-544**
9. State Grant Administrator:
**Agency of Transportation
Maintenance & Aviation Division**
10. Grant Period: From: **April 15, 2007** To: **Completion**
11. Purpose of Grant: (attach additional sheets if needed)
To provide federal disaster assistance to repair damage to public facilities; state, local and non-profit, caused by the storms of April 15 – April 21, 2007. See attached pages.
12. Impact on Existing Programs if Grant is not Accepted:
State and local governments and eligible non-profit agencies will have to bear the full cost of repair to public facilities, road, and bridges; the cost of debris removal; and for emergency response.
13. Budget Information: (Expenditures expected to occur in FY07 - FY09)

EXPENDITURES:

Statutory Administrative Costs	91,768
Other (recovery costs - estimated)	2,017,075
TOTAL	\$2,108,843

REVENUES

State Funds (Estimates - see attached schedule)	
Emergency Relief and Assistance Fund	272,305
Federal Funds: (Federal share is 75% of the total damage estimate)	
FEMA Fund	1,512,806
Administrative Costs	91,768
Other Funds: (Estimates - see attached schedule)	
Local government	231,964
TOTAL	\$2,108,843

Grant will be allocated to these appropriation expenditure accounts: (excludes local share)

<u>DEPT ID</u>	<u>Program</u>	<u>Fund</u>	<u>Amount</u>
8100005500	59700	20150	\$1,604,574
8100005500	59700	21555	\$ 272,305

STATE OF VERMONT
REQUEST FOR GRANT ACCEPTANCE

FORM AA-1

14. Will grant monies be spent on one or more personal service contracts?
 YES NO

If YES, signature of appointing authority here indicates intent to follow current guidelines for bidding. _____

- 15a. Please list any requested Limited Service positions:

<u>Titles</u>	<u>Number of Positions</u>
NONE	
TOTAL	

- 15b. Equipment and space for these positions:
 Is presently available.
 Can be obtained with available funds.

16. Signature of Appointing Authority

OK LAL

I certify that no funds have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant.

David Cadie
(Signature)

5/8/07
(Date)

17. Action by Governor:
 Approved
 Rejected

[Signature]
(Signature)

5/11/07
(Date)

18. Secretary of Administration:
 Request to JFO
 Information to JFO

[Signature]
(Signature)

5/9/07
(Date)

19. Action by Joint Fiscal Committee: (Dates)
- Request to be placed on JFC agenda _____
 - Approved (not placed on agenda in 30 days) _____
 - Approved by JFC _____
 - Rejected by JFC _____
 - Approved by Legislature _____

(Signature)

(Date)

FEMA-1698-DR-VT April 15 - 21, 2007

	Damage Total	FEMA	Admin-Local
Bennington	\$ 137,866.00	\$ 103,399.50	\$ 4,135.98
Caledonia	\$ 119,570.00	\$ 89,677.50	\$ 3,587.10
Essex	\$ 49,207.00	\$ 36,905.25	\$ 1,476.21
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Rutland	\$ 194,100.00	\$ 145,575.00	\$ 5,823.00
Windham	\$ 1,110,949.00	\$ 833,211.75	\$ 33,328.47
Windsor	\$ 303,808.00	\$ 227,856.00	\$ 9,114.24
	<u>\$ 2,017,075.00</u>	<u>\$ 1,512,806.25</u>	<u>\$ 60,512.25</u>

\$ 1,512,806.25 = Total FEMA support to Town

\$ 91,768.38 = Total Admin

\$ 1,604,574.63 = Total anticipated FEMA Support for PA

State Admin	
\$ 100,000.00	\$ 3,000.00
\$ 1,412,806.25	\$ 28,256.13
<u>\$ 1,512,806.25</u>	<u>\$ 31,256.13</u>

State \$ Est. Average (13.5%) - Each town is at 12.5% or 15%

ERAF \$ 272,305.13

Local: \$ 231,963.63

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-1

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED May 08, 2007	Applicant Identifier
	3. DATE RECEIVED BY STATE	State Application Identifier
	4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name: State of Vermont - Agency of Administration	Organizational Unit: Vermont Agency of Transportation
Address (give city, county, State, and zip code): Pavilion Office Building Montpelier, Vermont 05609-0201	Name and telephone number of person to be contacted on matters involving this application (give area code) William E. McManis (802) 828-2602

6. EMPLOYER IDENTIFICATION NUMBER (EIN):
 -

7. TYPE OF APPLICANT: (enter appropriate letter in box) **A**

A. State	H. Independent School Dist.
B. County	I. State Controlled Institution of Higher Learning
C. Municipal	J. Private University
D. Township	K. Indian Tribe
E. Interstate	L. Individual
F. Intermunicipal	M. Profit Organization
G. Special District	N. Other (Specify _____)

8. TYPE OF APPLICATION:
 New Continuation Revision

If Revision, enter appropriate letter(s) in box(es)

A. Increase Award B. Decrease Award C. Increase Duration
 D. Decrease Duration Other (specify): _____

9. NAME OF FEDERAL AGENCY:
Federal Emergency Management Agency

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
 TITLE **Disaster Recovery - Public Assistance** -

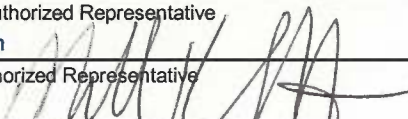
11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
Public Assistance for flood/storm damage that occurred during the events of April 15 - April 21, 2007. Damage is to State, Local, and non-profit infrastructure.

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
Bennington, Caledonia, Essex, Orange, Rutland, Windham, and Windsor counties.

13. PROPOSED PROJECT: Disaster Recovery	14. CONGRESSIONAL DISTRICTS OF: Vermont
Start Date Ending Date April 15, 2007 Completion	a. Applicant Vermont Agency of Transportation
b. Project: FEMA-1698-DR-VT Disaster Recovery - Public Assistance	

15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____ b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
a. Federal	\$ 1,512,806 .00	
b. Applicant	\$.00	
c. State	\$ 272,305 .00	
d. Local	\$ 231,963 .00	
e. Other	\$.00	
f. Program Income	\$.00	
g. TOTAL	\$ 2,017,075 .00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Type Name of Authorized Representative Michael K. Smith	b. Title Secretary of Administration (& GAR)	c. Telephone Number (802) 828-3322
d. Signature of Authorized Representative 		e. Date Signed 5/9/07