



**STATE OF VERMONT**  
JOINT FISCAL OFFICE

**MEMORANDUM**

To: Joint Fiscal Committee members  
From: Daniel Dickerson, Fiscal Analyst  
Date: July 11, 2020  
Subject: Grant Requests – JFO #3008-3009

Enclosed please find two (2) items, which the Joint Fiscal Office has received from the Administration.

**JFO #3008** – \$910,888 from the U.S. Dept. of Health and Human Services to the Vermont Veterans Home. This is provider relief funding that was appropriated in the CARES Act. Funds will be used to offset eligible expenses stemming from the COVID-19 pandemic in FY20-21 and may be used to offset lost revenue in FY21, as permitted by federal guidance.  
*[JFO received 07/08/20]*

**JFO #3009** – \$3,167,132 from the Federal Emergency Management Agency to the VT Dept. of Public Safety. The funds will be used to provide public assistance to the eight counties impacted by a flooding event on October 31 to November 1, 2019. The total cost of the disaster was estimated to be \$3,862,356. Within the total funding package is \$2,896,767, which represents the 75% federal share of the total disaster cost. The state will provide \$395,891 from the Emergency Relief and Assistance Fund (ERAF) and the remaining costs will be borne from local or other sources. The remaining \$270,365 grant total (7% of the total disaster cost) may be used for management costs. Grant funds will be expended in FY21-22, per the grant documents.  
*[JFO received 07/08/20]*

Please review the enclosed materials and notify the Joint Fiscal Office (Daniel Dickerson at (802) 828-2472; [ddickerson@leg.state.vt.us](mailto:ddickerson@leg.state.vt.us)) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by July 25, 2020 we will assume that you agree to consider as final the Governor's acceptance of this request.



State of Vermont  
 Department of Finance & Management  
 109 State Street, Pavilion Building  
 Montpelier, VT 05620-0401

[phone] 802-828-2376  
 [fax] 802-828-2428

Agency of Administration

**STATE OF VERMONT  
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

<b>Grant Summary:</b>		Federal funding for disaster DR-4474, which is in response to a storm and associated flooding on 10/31/19 that impacted eight counties.			
<b>Date:</b>		4/23/2020			
<b>Department:</b>		Department of Public Safety			
<b>Legal Title of Grant:</b>		Disaster Grants - Public Assistance (Presidentially Declared Disasters)			
<b>Federal Catalog #:</b>		97.036			
<b>Grant/Donor Name and Address:</b>		Federal Emergency Management Agency			
<b>Grant Period:</b>	<b>From:</b>	10/31/2019	<b>To:</b>	1/13/2022	
<b>Grant/Donation</b>		\$4,132,721			
	<b>SFY 1</b>	<b>SFY 2</b>	<b>SFY 3</b>	<b>Total</b>	<b>Comments</b>
<b>Grant Amount:</b>	\$1,293,888	\$2,425,562	\$413,273	\$4,132,721	
<b>Position Information:</b>	<b># Positions</b>	<b>Explanation/Comments</b>			
	0				
<b>Additional Comments:</b>		The total budget of \$4,132,721 is ~7% higher than the amount in the application (\$3,862,356) as DPS is eligible for ~7% of the award for management costs (due to it being a Presidentially declared disaster). The grant application doesn't count those costs (7%), whereas the AA-1 does.			
<b>Department of Finance &amp; Management</b>		Adam Greshin	Digitally signed by Adam Greshin Date: 2020.04.24 14:09:18 -04'00'		(Initial)
<b>Secretary of Administration</b>		Bradley L Ferland	Digitally signed by Bradley L Ferland Date: 2020.04.24 15:33:15 -04'00'		(Initial)
<b>Sent To Joint Fiscal Office</b>					<b>Date</b>





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**State of Vermont**  
**Department of Public Safety**  
45 State Drive  
Waterbury, Vermont 05671-1300  
(802) 241-5000  
TTY/TDD (888) 545-7598  
[www.dps.vermont.gov](http://www.dps.vermont.gov)

April 20, 2020

To: Rich Donahey

From: Melissa Austin, Financial Administrator

Re: Request for Grant Acceptance

Attached you will find a request for Grant Acceptance (Form AA-1) for the FEMA Public Assistance grant program for FEMA-DR-4474-VT.

If you have any questions please contact me at [Melissa.Austin@vermont.gov](mailto:Melissa.Austin@vermont.gov).  
Respectfully,

Melissa

Cc: Richard Deschamps, Financial Manager  
Cc: Rick Hallenbeck, Director of Administration

**STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)**

<b>BASIC GRANT INFORMATION</b>				
<b>1. Agency:</b>				
<b>2. Department:</b>		Public Safety		
<b>3. Program:</b>		Vermont Emergency Management		
<b>4. Legal Title of Grant:</b>		Disaster Grants- Public Assistance (Presidentially Declared Disasters)		
<b>5. Federal Catalog #:</b>		97.036		
<b>6. Grant/Donor Name and Address:</b> Federal Emergency Management Agency				
<b>7. Grant Period:</b>		<b>From:</b> 10/31/2019	<b>To:</b> 1/13/2022	
<b>8. Purpose of Grant:</b> To provide Federal disaster assistance for emergency work and the repair/replacement of disaster damaged facilities during the October 31-November 1, 2019 flooding event in Addison, Chittenden, Essex, Franklin, Lamoille, Orange, Orleans, and Washington counties.				
<b>9. Impact on existing program if grant is not Accepted:</b> Eligible State, Local, and Non-Profit agencies may have to bear the full cost of the disaster related expenses. Budget information below is based on the Preliminary Damage Assessment, SF-424 submission, and allowable State Management Cost.				
<b>10. BUDGET INFORMATION</b>				
	<b>SFY 1</b>	<b>SFY 2</b>	<b>SFY 3</b>	<b>Comments</b>
<b>Expenditures:</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	
Personal Services	\$135,182	\$108,146	\$27,037	Cat Z MC
Operating Expenses	\$	\$	\$	
Grants	\$1,158,706	\$2,317,414	\$386,236	Subgrants
<b>Total</b>	<b>\$1,293,888</b>	<b>\$2,425,560</b>	<b>\$413,273</b>	
<b>Revenues:</b>				
State Funds:	\$	\$	\$	
Cash	\$118,767	\$237,535	\$39,589	ERAF
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$1,004,212	\$1,846,206	\$316,714	MC & Subgrants
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source Local Match)	\$170,909	\$341,819	\$56,970	Subgrant Match
<b>Total</b>	<b>\$1,293,888</b>	<b>\$2,425,560</b>	<b>\$413,273</b>	
<b>Appropriation No:</b>	2140030000 Fund 22005	<b>Amount:</b>	\$1,004,212	
			\$	
			\$	
			\$	
			\$	
			\$	

**STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)**

		\$
	<b>Total</b>	\$1,004,212

**PERSONAL SERVICE INFORMATION**

**11. Will monies from this grant be used to fund one or more Personal Service Contracts?**  Yes  No  
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.  
 Appointing Authority Name: \_\_\_\_\_ Agreed by: \_\_\_\_\_ (initial)

12. Limited Service Position Information:	# Positions	Title
<b>Total Positions</b>		

**12a. Equipment and space for these positions:**  Is presently available.  Can be obtained with available funds.

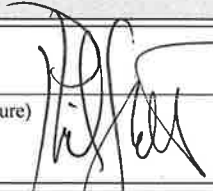
**13. AUTHORIZATION AGENCY/DEPARTMENT**

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <b>E-SIGNED by Christopher Herrick on 2020-04-17 08:56:48 EDT</b>	Date: <b>April 17, 2020</b>
	Title: <b>DPS Commissioner/DPS Deputy Commissioner</b>	<b>Deputy Commissioner</b>
	Signature:	Date:
	Title:	

**14. SECRETARY OF ADMINISTRATION**

<input type="checkbox"/> Approved:	(Secretary or designee signature) <b>Bradley L Ferland</b> <small>Digitally signed by Bradley L Ferland Date: 2020.04.24 15:34:03 -0400'</small>	Date:
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**15. ACTION BY GOVERNOR**

<input checked="" type="checkbox"/> Check One Box: Accepted	(Governor's signature) 	Date: <b>7/8/20</b>
<input type="checkbox"/> Rejected		

**16. DOCUMENTATION REQUIRED**

Required GRANT Documentation	
<input type="checkbox"/> Request Memo	<input type="checkbox"/> Notice of Donation (if any)
<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input type="checkbox"/> Notice of Award	<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Grant Agreement	<input type="checkbox"/> Form AA-1PN attached (if applicable)
<input type="checkbox"/> Grant Budget	

**End Form AA-1**

(\*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

# DECLARED JANUARY 17, 2020

## SUMMARY

STATE: Vermont  
NUMBER: FEMA-4474-DR  
INCIDENT: Severe Storm and Flooding  
INCIDENT PERIOD: October 31 to November 1, 2019  
DATE REQUESTED BY GOVERNOR: December 20, 2019  
FEDERAL COORDINATING OFFICER: James R. McPherson  
National FCO Program

### DESIGNATIONS AND TYPES OF ASSISTANCE:

#### INDIVIDUAL ASSISTANCE (Assistance to individuals and households):

Under Review.

#### PUBLIC ASSISTANCE (Assistance for emergency work and the repair or replacement of disaster-damaged facilities):

Addison, Chittenden, Essex, Franklin, Lamoille, Orange, Orleans, and Washington Counties.

#### HAZARD MITIGATION GRANT PROGRAM (Assistance for actions taken to prevent or reduce long term risk to life and property from natural hazards):

All areas in the State of Vermont are eligible for assistance under the Hazard Mitigation Grant Program.

OTHER: Additional designations may be made at a later date if requested by the state and warranted by the results of further damage assessments.

PHILIP B. SCOTT  
Governor



State of Vermont  
OFFICE OF THE GOVERNOR

**REQUEST FOR PRESIDENTIAL DISASTER  
DECLARATION  
GOVERNOR'S REQUEST COVER LETTER  
MAJOR DISASTER**

December 20, 2019

The Honorable Donald J. Trump  
President of the United States  
The White House  
Washington, DC

Through: Mr. Russell Webster  
Regional Administrator  
FEMA Region I  
99 High Street  
Boston, Massachusetts 02110

Dear Mr. President:

Under the provisions of Section 401 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. §§ 5121-5207 (Stafford Act), and implemented by 44 C.F.R. § 206.36, I request that you declare a Major Disaster for the State of Vermont as a result of the severe storm and flooding on October 31, 2019 through November 1, 2019. I am specifically requesting Public Assistance in Addison, Chittenden, Essex, Franklin, Lamoille, Orleans, Orange, and Washington Counties, and Individual Assistance in Addison, Chittenden, Franklin, Lamoille, and Orleans Counties and Hazard Mitigation statewide.

Beginning on October 31, 2019 and continuing to November 1, 2019, a potent storm system deposited up to four (4) inches of rain statewide. The highest amounts of rainfall occurred in the northern half of the state. The precipitation coupled with significant sustained wind resulted in substantial infrastructure damages and widespread, prolonged power outages. Four (4) towns declared local states of emergency, nineteen (19) towns reported major damage, and ninety-seven (97) towns reported minor damage. To date, three hundred seventy-three (373) reports of damage to individual properties and homes throughout the state have been received, which means the event impacted more than 1% of all Vermont households. Reports of damage

The Honorable Donald J. Trump  
December 20, 2019  
Page 2

originated from each of the state's fourteen (14) counties. The majority of this damage was due to flooding which caused damage either in the primary living spaces or reduced access to the property. Power outages were widespread across the state in this event, peaking at 58,000 statewide early in the morning hours of November 1, 2019. Power was not fully restored for several days until November 5, 2019. According to the National Weather Service, this was the "storm of record," with the *highest river gauge levels ever recorded*, on the Missisquoi River at North Troy and the Lamoille River at Jeffersonville.

The State Emergency Operations Center (SEOC) was partially activated starting at 0600 hours on Friday, November 1, 2019 through 1900 hours on Saturday, November 2, 2019. The SEOC returned to Monitoring Status on Sunday, November 3, 2019 and then returned to Partial Activation on Monday, November 4, 2019 from 0830 hours to 1630 hours to implement the SEMP Recovery Mission Area Plan. In addition to Vermont Emergency Management (VEM) the following State partners and agencies were activated: Division of Fire Safety (DFS), American Red Cross, Vermont 211, Urban Search and Rescue and Swiftwater Rescue Teams, Vermont Hazardous Materials Response Team, Agency of Transportation, Agency of Human Services, Agency of Agriculture Food and Markets, Agency of Commerce and Community Development, Vermont State Police, Department of Environmental Conservation, Public Service Department, Agency of Digital Services, and the Vermont National Guard.

On Saturday, November 2, 2019 I toured heavily-damaged areas with Department of Public Safety Deputy Commissioner Christopher Herrick and Agency of Transportation Secretary Joe Flynn in the towns of Bakersfield, Berkshire, Cambridge, Eden, Enosburgh, Montgomery, Richford, and Stowe. Flash flooding tore away large portions of roadways, washed out several bridges, and impacted many flood-vulnerable homeowners.

The Vermont State Emergency Management Plan (SEMP) was implemented during this storm event. While a gubernatorial State of Emergency was not declared, the towns of Fairfax and Montgomery in Franklin County and Johnson and Hyde Park in Lamoille County each declared a local State of Emergency. All the impacted communities followed their Local Emergency Management Plans (LEMPs) and Local Emergency Operations Centers were opened in Stowe on November 1, 2019, Thetford on November 1, 2019, and Belvidere on November 2, 2019.

Several state agencies and departments responded in support of this disaster. The following details the response and recovery efforts as lead and supported by those agencies.

The storm resulted in the largest mobilization of swift water assets since Vermont was impacted by Tropical Storm Irene in 2011. The Vermont Urban Search and Rescue Team and swift water assets with State of Vermont Memoranda of Understanding conducted rescue operations on November 1, 2019 to rescue eleven (11) people and two dogs in the towns of Berkshire, Cambridge, Fairfield, Johnson, Richmond, Sheldon, and Stowe. Additional teams were staged in Colchester, Stowe, Hartford, and Lyndonville. Although there were several precarious swift-water rescues and isolated residences, fortunately no Vermonters perished or reported severe injuries due to this storm.



The American Red Cross opened overnight shelters in Newport and St. Albans and the Town of Belvidere opened a local overnight shelter on November 1, 2019 and November 2, 2019. Two clients stayed in the Newport shelter. The American Red Cross provided vouchers for temporary lodging to fifteen (15) families statewide throughout this event, and thirty-four (34) Red Cross volunteers engaged for a total of three hundred eighty (380) hours. The Town of Londonderry opened a Warming Center on November 2 and 3, 2019, with approximately a dozen visitors.

The Agency of Transportation (AOT) made repairs to damage resulting in closures at thirty (30) different state road sites statewide. Most of these closures were in the northern half of Vermont. Several state roads remained closed for days. AOT also assisted local jurisdictions with conducting damage assessments on public infrastructure in the days and weeks following the event. AOT partially activated the Transportation Incident Command Center to direct infrastructure restoration.

Vermont DFS conducted nearly one hundred (100) site safety inspections of damaged homes and businesses.

Agency of Agriculture coordinated support for a partial barn collapse in Maidstone and a poultry farm in Richmond that lost 200 turkeys and 1,500 chickens to flooding.

VT 211 activated its damage reporting lines and compiled three hundred seventy-three (373) reports of damage from homeowners and renters. They provided that information to the Individuals and Family Needs Task Force and identified reporters with unmet needs.

Extended power outages caused interruptions in critical infrastructure across the state including Northern State Correctional Facility, Brattleboro State Office Building, and the Brattleboro District Courthouse. Power outages and flooding caused interruptions to wastewater treatment facilities in Stowe, Johnson, St. Albans City, Brighton, Plainfield, Barton, Pownal, and Troy.

### **Preliminary Damage Assessment Results**

On October 31, 2019, Vermont Emergency Management Director Erica Bornemann requested a Joint Preliminary Damage Assessment (PDA) for Public Assistance for Chittenden, Franklin, Lamoille, Orange, Orleans, and Washington Counties. Requests were submitted on November 4, 2019 to add Addison County and on November 6, 2019 to add Essex County. Local, state, and federal representatives convened at the SEOC on November 5, 2019 to commence PDA site visits. Based on the number of households which reported damages, Director Bornemann requested an Individual Assistance PDA for Addison, Chittenden, Franklin, and Lamoille on November 15, 2019, and on November 19, 2019 she requested Orleans County be added on.

#### **A. Individual Assistance**

The joint IA PDA demonstrated one (1) destroyed, thirteen (13) major, forty-two (42) minor, and eighty (80) impacted homes as a result of this disaster. The tabulated projected eligible program

costs totaled \$679,192. It is important to note that while the joint PDA assessed the areas with the highest concentration of damage, the state has received three hundred seventy-three (373) damage reports. The worst concentrations of home damages were in the towns of Fairfax and Fletcher in Franklin County, Huntington and South Burlington in Chittenden County, and Starksboro in Addison County.

#### B. Public Assistance

The Public Assistance PDA validated \$3,862,356 in Public Assistance damages in the eight requested counties. It should also be noted that there were substantial damages reported in Caledonia, Grand Isle, Rutland, and Windsor Counties, but the damages in these counties did not reach county PA indicators. For example, The Vermont Electric Cooperative (VEC) incurred damages in Grand Isle County which constitute approximately 80% of the county threshold, which will all need to be absorbed by VEC ratepayers.

In the eight counties requested for Public Assistance, 64.1% of Public Assistance damage was for Category C (Roads and Bridges). Category F (Utilities) accounted for 30.4% of damages, with public electric utilities incurring more than \$1.1 million of power restoration costs as well as more than \$100,000 of Category A (Debris). Category D (Water Control Facilities) accounted for .48% of damages. Category G (Parks, Recreation, and Other) constitutes 2.25% of the PDA total, mostly attributable to heavy damages to several trails owned and maintained by the Vermont Department of Forests, Parks, and Recreation. Although Category B (Emergency Protective Measures) costs were not captured in the PDA, it is anticipated that there will be eligible damages in every category.

Among the hardest-hit communities for road and bridge damages were Bridport, Fairfax, Hyde Park, Johnson, Montgomery, Richmond, Stowe, Morrystown, Westford, Wolcott, and Worcester. It should be noted that some counties, such as Lamoille and Franklin, were so significantly impacted that some communities with substantial damages (such as Waterville in Lamoille County and Berkshire in Franklin County) were not even visited during PA PDAs because county indicators had been exceeded by a few other towns.

#### **State/ Local Resources for Assistance**

The following information is furnished on the nature and amount of State, and local government resources that have been or will be used to alleviate the conditions of this disaster. In accordance with the Recovery Mission Area Plan of the Vermont State Emergency Management Plan, the Individual and Family Needs Task Force (IFNTF) was activated on November 1, 2019 and remains activated at this time. The IFNTF is focusing on unmet needs identified in the initial DFS inspections and Joint PDAs. IFNTF Members continue to assess a list of several dozen families to resolve current unmet needs associated with access, heating system repairs, ongoing erosion, compromised drinking water supplies, and muck-outs of finished basements where mold growth could quickly degrade air quality and compromise habitability. According to the IFNTF assessment of current unmet needs three (3) homes are still uninhabitable, six (6) mobile homes

have damage, six (6) homes need mold mitigation, four (4) homes have unresolved foundation damage, and replacements are needed for eight (8) furnaces, three (3) water heaters, three (3) wells, and one (1) septic system. Of the current, existing homes with unmet needs thirteen (13) residents have chronic health issues as well as thirteen (13) driveways and eight (8) private bridges in need of repair necessary for fuel delivery and emergency access.

Voluntary Agency response has been significant to assist with the recovery to this disaster. Following the storm, the Vermont Agency of Human Services worked with the American Red Cross to open two Red Cross shelters as referenced above. The American Red Cross also deployed two Disaster Action Teams – one to Huntington and a second to Johnson to assist two families. In total the American Red Cross opened fourteen (14) cases to provide support to flood survivors.

Following the storm three hundred seventy-three (373) damage reports were collected by Vermont 211. The American Red Cross, Team Rubicon and the Church of Latter Day Saints made follow-up inquiries to assess short-term acute needs. This prompted the President of VT Voluntary Agencies Active in Disasters (VT VOAD) to initiate a “Day of Service” on November 16, 2019. The “Day of Service” allowed the volunteer organizations mentioned above, as well as representatives from Tzu Chi Foundation to provide support to the residents of thirty-one (31) homes. Additional assistance is planned for impacted homes in December and January. Case management for assessment of unmet needs continues while the INFTF works to identify possible recovery resources.

Budget development for the Emergency Relief and Assistance Fund (ERAF) for State Fiscal Year 2020 (SFY 2020) has demonstrated a gap between anticipated General Fund revenues and planned appropriations. ERAF provides the non-federal share of declared disasters and is funded through General Fund appropriations. It covers a percentage of non-federal share of municipal infrastructure repairs. We currently have \$1,838,073 of ERAF funds available, and an additional \$640,000 currently included in the SFY’20 budget proposal, but it is already accounted for to meet obligations for the state share of municipal damages incurred in DR4330 (June-July 2017 flooding), DR4356 (October 29-30, 2018 severe storm and flooding), DR4380 (May 4-5, 2018 severe storm), and DR4445 (April 15, 2019 severe storm and flooding). This new disaster is expected to have total eligible municipal damages of at least \$3.0 million, with an average ERAF state share of approximately 13%. Accordingly, the State Legislature will likely face a mid-year budget adjustment request in January 2020 of at least \$390,000 to cover the State’s share of assisting municipalities to recover from this flooding.

We anticipate utilizing the Natural Resource Conservation Service’s Emergency Watershed Protection Program as well as referrals to the Vermont Disaster Relief Fund, a private philanthropy. However, the timeline and availability for these programs to assist survivors of this storm are not yet known.

### **Vermont Disaster History**

The impacts of the October 31-November 1, 2019 event exceed the currently available capabilities of the State of Vermont. Since 2013, Vermont has experienced ten (10) federally-declared and numerous non-declared disasters: DR4120 (May 2013 flooding), DR4140 (June-July 2013 flooding), DR4163 (December 2013 ice storm), DR4178 (April 2014 flooding), DR4207 (December 2014 severe winter storm), DR4232 (June 9, 2015 flooding), DR4330 (June-July 2017 flooding), DR4356 (October 29-30, 2018 severe storm and flooding), DR4380 (May 4-5, 2018 severe storm), and DR4445 (April 15, 2019 severe storm and flooding). The most recent four disasters, DR4330, DR4356, DR4380, and DR4445, impacted many of the same areas as this disaster where communities are still in recovery.

Several significant weather events have also impacted the state recently which have not been declared. In October 2018, a wind storm caused approximately 10,000 power outages. Then, on November 26-28, a winter storm caused approximately \$1.6 million in validated Category F (Utilities) damages to non-profit electric utilities as well as \$14 million in reported damages to private electric utilities. Vermont's Major Disaster Declaration request for this event was denied and the recovery costs eventually were borne by utility ratepayers.

### **Factors for Consideration for Individual and Household Program**

The scale of the flooding in this disaster has not been experienced since Tropical Storm Irene (DR4022) impacted the state. As such, the State of Vermont has not requested Individual Assistance since that time. Vermont is a rural state with the lowest population in New England and is the second least-populated state in the nation. Vermont has the fourth slowest population growth rate between 2008-2018 and has the lowest Gross Domestic Product (GDP) in the country which indicates very low overall economic growth. Vermont's weather is also characterized with extremely cold and snowy winters. The average temperature in January in Burlington is between ten (10) and twenty-seven (27) degrees Fahrenheit. The average annual snowfall in Northern Vermont is 81.2 inches in Burlington, 96.4 inches in Newport, and 243.6 inches on Mount Mansfield (tallest mountain in Vermont). This disaster occurred at the beginning of the coldest months of the year when homeowners must prepare for long winters by receiving fuel delivery and ensuring their homes are ready to withstand the conditions.

The latest Individual Assistance Declaration Factors Guidance released June 2019 points to State Fiscal Capacity as the top factor to determine the need for federal assistance from the Individuals and Household Program (IHP). As referenced above in the "Preliminary Damage Assessment Results" section, projected eligible program costs totaled \$679,192. When compared to the Vermont's Total Taxable Resources (TTR) of \$36.1 billion, the state's Individual and Households Program Cost-to-Capacity (ICC) ratio is 18.8. Based on additional IHP guidance documentation provided by FEMA Region I, historically 45% of IA requests by states are granted when ICC ratios fall between 10-25. In fact, Vermont only needs to demonstrate \$902,501 in projected eligible program costs to attain an ICC of 25 which indicates an IA request is likely to be approved based on the IA Declaration Factors Guidance. This is illustrative of the

state's low capability to provide the assistance needed to stabilize the continued impacts this disaster has had on the residents of Vermont.

Considering the extremely rural nature of the towns in Vermont coupled with slow economic growth and the extreme climate the homeowners and renters impacted by this disaster are particularly vulnerable. It is exceedingly significant that so many homes were impacted by this event due to the low density and sparse location of those structures. Eighty-five percent (85%) of all reported damage in the state originated from the five (5) requested counties. Furthermore, a substantial percentage of the property damage caused by this storm was not covered by insurance. Approximately ninety percent (90%) of damage reports received within the requested counties indicated the damage was not covered by flood insurance. Hundreds of residences incurred damage to private roads and driveways which required immediate repair at homeowner expense to provide for vehicular access and particularly for fuel deliveries with winter bearing down. This points to cascading impacts for many families living in the hills and steep valleys of northern New England, where inability to maintain fuel access, power supply, and hot water systems can quickly lead to frozen pipes and exacerbate damage that has already occurred. As detailed in the "State/Local Resources for Assistance" section above, there are at least fifty-three (53) cases throughout the state where needs are currently unmet and a high number of those are residents with health issues. There is no permanent case management capability to assess disaster needs and match with resources for assistance other than training voluntary agencies to do so. As such, case management for the noted unmet needs continues to be a challenge without federal assistance.

Based on the three hundred seventeen (317) damage reports received from the five (5) requested counties through Vermont 2-1-1 and the homes the joint Individual Assistance PDA Teams assessed during their site visits, it is probable the total ramifications of this storm on Vermont families is not completely captured in the IA PDA total. The Small Business Administration (SBA) identified \$386,000 of major damages to homes, \$1,325,000 of minor damage to homes, and \$215,000 of damages to businesses and non-profits (refer to the SBA Survey Recap in Enclosure A). It is understood not all of these damages are eligible under Individual and Household Program but are included as they all negatively impact Vermonters. The Joint IA PDA was hampered due to significant snowfall that occurred after the flooding which impeded the teams' ability to conduct outdoor visual assessments. It is likely the impact and potential eligible program costs are higher than demonstrated in the PDA results.

As referenced in Table 1 Requested County Demographic Profile below, there is a significant percentage of the affected population that are in poverty as well as disabled. This points to the rural nature of Vermont and the vulnerability of residents in the requested counties. It is important to note the towns with the greatest impact are very rural towns that must rely upon support services outside of their jurisdictions. These towns include Huntington in Chittenden county, Fairfax in Franklin county, and Starksboro in Addison county.

**Table 1 Requested County Demographic Profile**

<i>Percentage of the population:</i>	<i>for whom poverty status is determined</i>	<i>Per Capita Income</i>	<i>Median Household Income</i>	<i>Receiving Supplemental Security Income</i>	<i>Receiving cash public assistance</i>	<i>Receiving Food Stamp/SNAP benefits</i>	<i>Pre-disaster unemployment rate</i>	<i>65 years and older</i>	<i>Under 18 years</i>	<i>with a disability</i>	<i>who speak a language other than English</i>	<i>speak English less than "very well"</i>	<i>American Indian and Alaskan Native Tribal populations</i>
<b>National Average</b>	14.58%	\$31,177	\$57,652	5.40%	2.60%	12.60%	3.6%	14.90%	22.90%	7.70%	21.30%	8.50%	1.70%
<b>State Average</b>	11.35%	\$31,917	\$57,808	5.80%	3.80%	12.80%	2.20%	17.50%	19.20%	7.10%	5.60%	1.40%	1.20%
<b>Addison County</b>	7.77%	\$31,051	\$61,875	5.10%	2.60%	10.90%	2.20%	17.70%	17.90%	8.10%	5.40%	1.10%	0.90%
<b>Chittenden County</b>	11.27%	\$36,384	\$66,906	5.00%	3.60%	9.70%	1.90%	13.60%	18.40%	7.00%	9.80%	3.00%	0.90%
<b>Franklin County</b>	8.03%	\$30,624	\$62,214	5.60%	2.90%	15.30%	2.10%	14.50%	22.90%	8.20%	3.60%	0.80%	2.50%
<b>Lamoille County</b>	13.99%	\$31,390	\$54,899	3.60%	3.50%	12.50%	2.60%	15.60%	21.30%	8.70%	4.00%	0.40%	1.70%

**Factors for Consideration for the Public Assistance Program**

There were dozens of state and local road closures that occurred as a result of the storm. In addition to the validated Public Assistance costs there were an estimated \$3,068,338 in damages to roads eligible for Federal Highway Administration emergency aid. Among the most noteworthy closures due to damage were main thoroughfares such as U.S. Route 7 in Milton; Vermont Route 15 in Cambridge, Essex and Johnson; Vermont Route 109 in Cambridge; Route 105 in Enosburgh, Richford and Sheldon; Vermont Route 128 in Essex; Vermont Route 14 in Coventry; Vermont 116 and Vermont 125 in Middlebury; and Vermont 36 in Bakersfield and Fairfield.

Although most of public infrastructure impacts and community disruptions associated with this event were due to road damages, there were also impacts to municipal wastewater treatment facilities in Barton, Brighton, Johnson, Plainfield, Pownal, St. Albans City, Stowe, and Troy.

Local emergency management directors reported damages to businesses in the towns of Jericho, Johnson, Plainfield, Richmond, and Royalton. Additionally, the Small Business Administration validated major damages to three businesses and minor damages to ten businesses, totaling \$215,000 (as included in Enclosure A) during IA PDA visits.

Towns in Vermont obtain insurance through the Vermont League of Cities and Towns' Property and Casualty Intermunicipal Fund (PACIF), but roads, water crossings, and drainage structures are not covered, nor is insurance coverage commercially available. Accordingly, repair costs for local roads must be shouldered by local property taxpayers with state assistance.

The financial impact of this storm on some Vermont communities could be catastrophic for local tax rates and economic health. For example, the Town of Bridport in Addison County has a population of 1,218 and a total 2019 annual town budget of \$1,532,076 of which \$1,250,076 is the road budget. This Town incurred severe damages to local roads, which were captured in the PDA at \$248,125 based on replacement value, but which will likely require nearly \$1 Million to repair in accordance with state codes and standards to avoid repetitive damage in future. Even at the low estimate of \$248,125, Bridport is facing a financial impact of \$204 per capita. According to U.S. Census data, 6.9% of all Bridport residents were at or below the poverty level in the past twelve months. Bridport's per capita income is \$19,720, far below Vermont's overall per capita income of \$31,917.

The Town of Derby in Orleans County has a population of 4,604 and the PDA estimated damages were \$214,777, or \$47 per capita. Derby's annual road budget is \$1,157,000. 10.2% of Derby's population live at or below the poverty level, and per capita income is \$17,192. Likewise, the Town of Fairfax in Franklin County has a population of 4,285. Fairfax suffered road damages estimated at \$677,549, or \$158 per capita. Fairfax's per capita income is \$18,632. The Town of Hyde Park in Lamoille County, with a population of 2,954, incurred validated infrastructure damage of \$144,306, or \$49 per capita. Hyde Park's per capita income is \$20,293.

The Honorable Donald J. Trump  
December 20, 2019  
Page 10

Finally, the small community of Westford in Chittenden County with a population of 2,029 had PDA damages of \$188,390, or \$93 per capita. The per capita income in Westford is \$34,502.

### **Hazard Mitigation Damage Avoidance**

The impacts of the October 31-November 1, 2019 disaster would have been significantly more severe if not for mitigation work previously undertaken. Vermont and FEMA have been successful in recent years in making use of available funding via Section 404 (42 U.S. § 5170c) and Section 406 (42 U.S. § 5172). Note that this was the Storm of Record for upper reaches of the Missisquoi and Lamoille Rivers, and damage would have been much more significant if not for the home buy-outs and drainage structure improvements made in 2011 and subsequently. The most compelling example was in the Town of Cambridge, where, for the third time in a year, the Lamoille River was able to access approximately 20 acres of its floodplain and the Village of Jeffersonville was spared, thanks to a hazard mitigation project which replaced an undersized bridge on the Lamoille Valley Rail Trail with a larger span. As another example, there were over 130 homes which were bought out following Tropical Storm Irene Section 404 Hazard Mitigation acquisitions. Many of these homes, if left in their flood-vulnerable locations, might very well have been flooded again during this event. Additionally, several infrastructure projects completed under the Hazard Mitigation Assistance (HMA) programs in recent years have increased the resilience of local roadways. Note that the primary area of impact of this storm was in counties further north than those impacted by Tropical Storm Irene, but it is also true that damage to transportation infrastructure was minimal in Orange, Windsor, and Caledonia Counties in part because of mitigation upgrades accomplished after Irene. We are committed to continuing our ongoing effort build resiliency and reduce flood vulnerability using Section 406 and Section 404 hazard mitigation.

### **Conclusion**

For Vermont local jurisdictions the greatest remaining unmet recovery need associated with this flood is for financial assistance to help avoid economic shock to businesses and families. Without federal assistance the ability of the communities of the state of Vermont to recover in a timely manner as to avoid long-term economic damage is severely hindered. The total statewide estimated costs of this disaster, which are nearly quadruple the \$1 million statewide indicator for Vermont, are of a severity and magnitude which exceed the capabilities of the State and affected local governments without federal assistance. Accordingly, I request a Major Disaster Declaration under the Stafford Act for; (1) Public Assistance in Addison, Chittenden, Essex, Franklin, Lamoille, Orange, Orleans, and Washington Counties, (2) Individual Assistance for Addison, Chittenden, Franklin, Lamoille, and Orleans Counties, and (3) Hazard Mitigation statewide.



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December 20, 2019  
Page 11.

Thank you for your continued support of the State of Vermont and its people.

Sincerely,

A handwritten signature in black ink, appearing to read "Philip B. Scott", with a long horizontal line extending to the right.

Philip B. Scott  
Governor

**Enclosures:**

OMB No. 1660-0009/FEMA Form 010-0-13

A: Individual Assistance

B: Public Assistance

C: Requirements for Other Federal Agency Programs

D: "For the Record" NWS Memorandum Summary dated November 3, 2019

**ENCLOSURE A**

**TO MAJOR DISASTER REQUEST - INDIVIDUAL ASSISTANCE**

Estimated Requirements for Individual Assistance under the Stafford Act

**SBA SURVEY RECAP: Final Summary Report State of Vermont**

Event: Flash Flooding and High Winds  
 DCMS: VE-00038 Date of PDA Request: 11/18/2019  
 Incident Date: 10/31/2019 through 11/01/2019 Dates of PDAs: 11/21/2019 through 11/22/2019

**SBA Survey Team:**  
 Donald Clark  
 Shawn Forehan  
 Joseph DeCecchis

FEMA PDA: Yes  
 SBA Only Survey: No

Gary Michlin

**Overall Properties Affected**

I.V. Assigned	COUNTY	MEETS SBA Criteria	TOTAL Majors	SURVEY Status	HOMES				BUSINESSES /NON-PROFITS			
					MAJ	\$\$	MIN	\$\$	MAJ	\$\$	MIN	\$\$
Shawn Forehan	Addison	No	4	FINAL	3	107,000	31	248,000	1	20,000	3	26,000
Joseph DeCecchis	Chittenden	No	7	FINAL	6	189,000	40	314,000	2	36,000	1	25,000
Gary Michlin	Franklin	No	2	FINAL	2	110,000	23	133,000	0	0	4	26,000
Don Clark	Lamoille	No	0	FINAL	0	0	15	250,000	0	0	0	0
Don Clark	Orleans	No	0	FINAL	0	0	17	360,000	0	0	2	80,000
	<b>State Totals</b>	No	13	FINAL	<b>10</b>	<b>386,000</b>	<b>126</b>	<b>1,325,000</b>	<b>3</b>	<b>68,000</b>	<b>10</b>	<b>157,000</b>



**ENCLOSURE C**  
**TO MAJOR DISASTER REQUEST**

Estimated Assistance from Other Federal Agency Programs

<b>County</b>	<b>SBA Home Loans</b>	<b>SBA Business Loans</b>	<b>FSA Loans</b>	<b>NRCS</b>	<b>FHWA</b>	<b>USACE</b>	<b>OTHER</b>
All	TBD	TBD	TBD	TBD	\$3,068,338	TBD	TBD
<b>Totals</b>					\$3,068,338		

**ENCLOSURE D**

**TO MAJOR DISASTER REQUEST**



National Oceanic and Atmospheric Administration  
National Weather Service  
Weather Forecast Office Burlington, VT  
1200 Airport Dr  
South Burlington, VT 05403  
www.weather.gov/btv  
November 3, 2019

MEMORANDUM:                   FOR THE RECORD

FROM:                            Scott Whittier, Warning Coordination Meteorologist  
                                      NOAA/National Weather Service Burlington, VT

SUBJECT:                        Weather Summary for the Halloween 2019 Storm

A powerful autumn storm delivered significant rainfall to northwest and north central Vermont, during the evening and overnight hours of October 31<sup>st</sup> into November 1<sup>st</sup>.

Rainfall amounts of 2 to 4 inches with localized higher amounts (Figure 1 and Table 2) fell across previously saturated soils due to prior above normal October precipitation. This led to major flooding of nearly every type of waterway (creeks, streams, small rivers and large rivers) as well as urban flooding of streets due to poor drainage and leaf clogged storm drains in much of northern Vermont.

In addition, strong southwest to west winds gusting between 40 to 50 mph (Figure 2 and Table 3) began in the early morning hours of November 1st and continued through mid-afternoon before dissipating. These strong winds combined with oversaturated soils, led to numerous downed trees that blocked roads, caused structural damage and widespread power outages affecting all counties in Vermont.

**Meteorological Set-up and Timeline**

This storm had origins back to the Four Corners region of the United States when it brought accumulating snowfall and mid-winter cold to the Colorado Foothills on October 29-30<sup>th</sup>, then redeveloped in the Gulf of Mexico on during the night of the 30<sup>th</sup> and moved north into the eastern Great Lakes as it intensified during the evening of October 31<sup>st</sup> (Figure 4).

As the surface low moved across Ontario during the night of October 31<sup>st</sup>, its associated cold front slowly edged across New York and eventually into Vermont during the early morning hours of November 1<sup>st</sup>. The upper level pattern was very strong and dynamic with a direct moisture feed from the Gulf of Mexico, thus delivering copious amounts of moisture into the northeast and Vermont (Figure 5).

**Heavy Rain and Flooding**

Scattered showers and periods of rain moved across portions of Vermont during the late morning and afternoon hours of October 31<sup>st</sup> delivering ½ to 1 inch of rainfall by mid-evening in portions of northern Vermont.

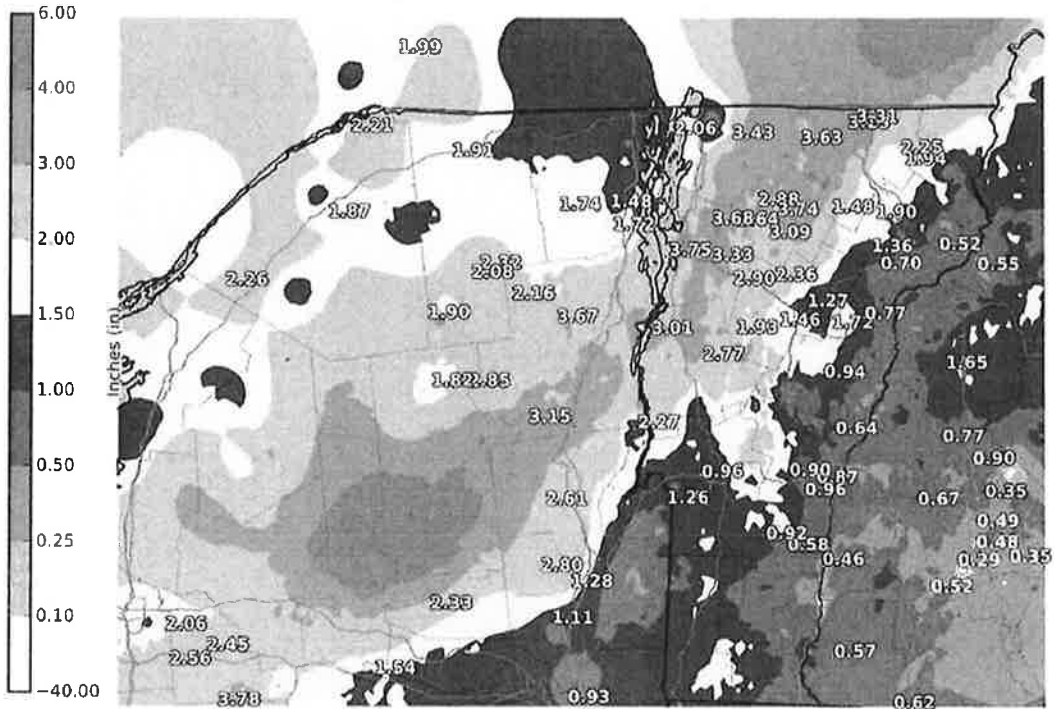
Rain became steady in northwest Vermont during the mid to late evening hours and quickly became heavy at times overnight, as it spread east through the early morning hours of November 1<sup>st</sup>. There were three distinct “convective bands” of heavy rainfall that deposited a quick ½ to 1+ inch of rain as they moved across northern Vermont. They occurred around 930-11 pm, 1130-1am and 130-3 am (Figures 6,7 and Table 1).

**Flooding** first occurred in the Champlain Valley between 10 and 11 pm associated with the first heavier rain band with urban street flooding of low-lying poor drainage locations as well as leaf and debris clogged storm drains. Additional flooding of streams, creeks and other waterways developed shortly after midnight, enhanced by the second heavy rain band, in the Champlain Valley and spreading east-northeast into the northern Green Mountains and interior valleys. During the early morning hours of November 1<sup>st</sup> widespread major flooding was occurring, including larger river flooding (Figures 8-15). The larger river watersheds that witnessed major to record flooding included the Lamoille and Missisquoi River watersheds with other flooding on the lower reaches of the Winooski and Mad rivers.

#### **Strong Winds**

During the early morning hours of November 1<sup>st</sup>, a strong cold front moved across the region, accompanied by an additional round of heavy rainfall and strong winds gusts in excess of 40 mph, leading to the initial start of widespread power outages (>10,000) across Vermont. More widespread strong southwest to west winds, gusting to 40 to 50 mph and locally higher, developed around sunrise and continued through mid-afternoon before quickly diminishing by evening (Figure 2 and Table 3). These persistent strong winds combined with oversaturated soils, led to numerous downed trees, structural damage and escalated power outages to their peak of more than 55,000 outages (Figure 3).

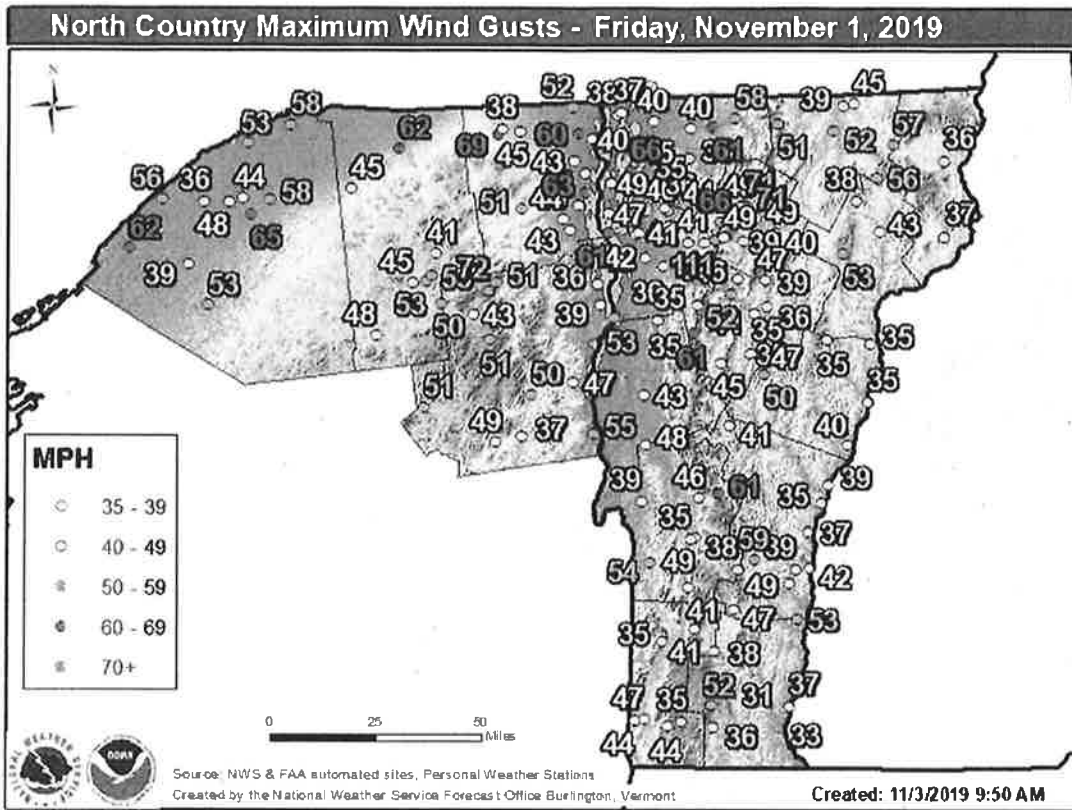
**24-hr Precipitation Totals**  
**Ending at: 11/01/2019 07:00 AM EDT**



**National Weather Service**  
**Burlington, VT**  
**11/01/2019 11:26 AM EDT**

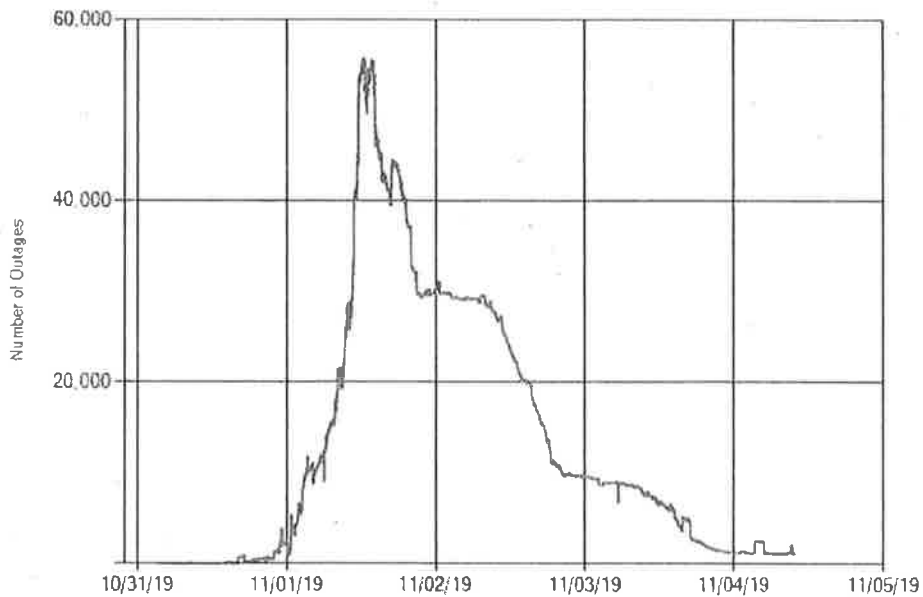
**Follow Us:**     
[weather.gov/Burlington](http://weather.gov/Burlington)

**Figure 1 – Storm Total Rainfall**



**Figure 2 – Peak Measured Wind Gusts**

**State-wide Outages from 10/31/2019 to 11/4/2019**



**Figure 3 – VT Statewide Power Outage from <http://www.vtoutages.org/>**



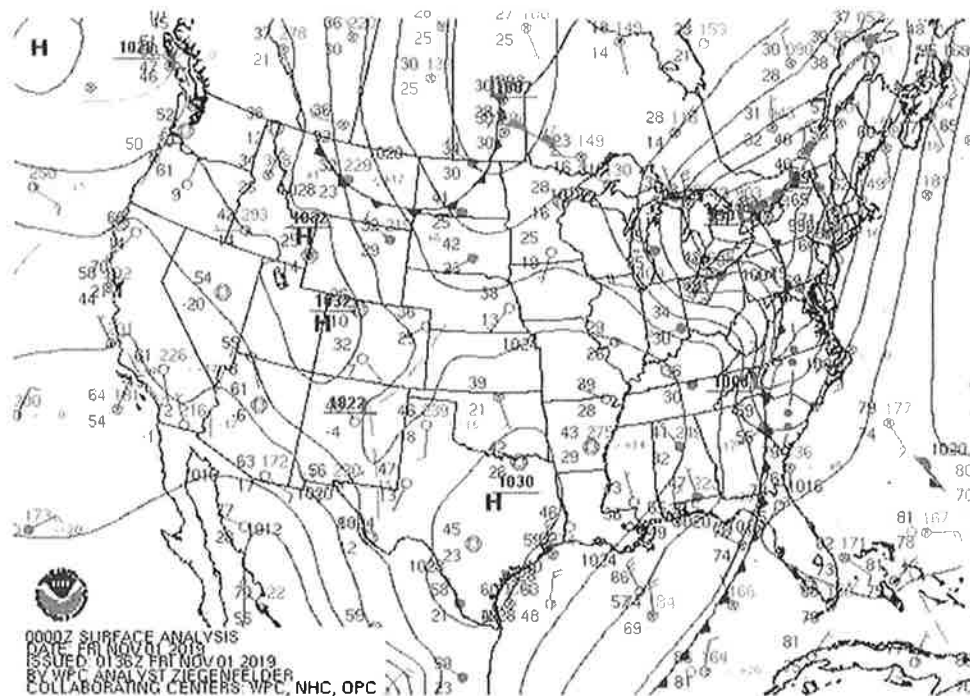


Figure 4 – Surface Map at 8 pm October 31, 2019

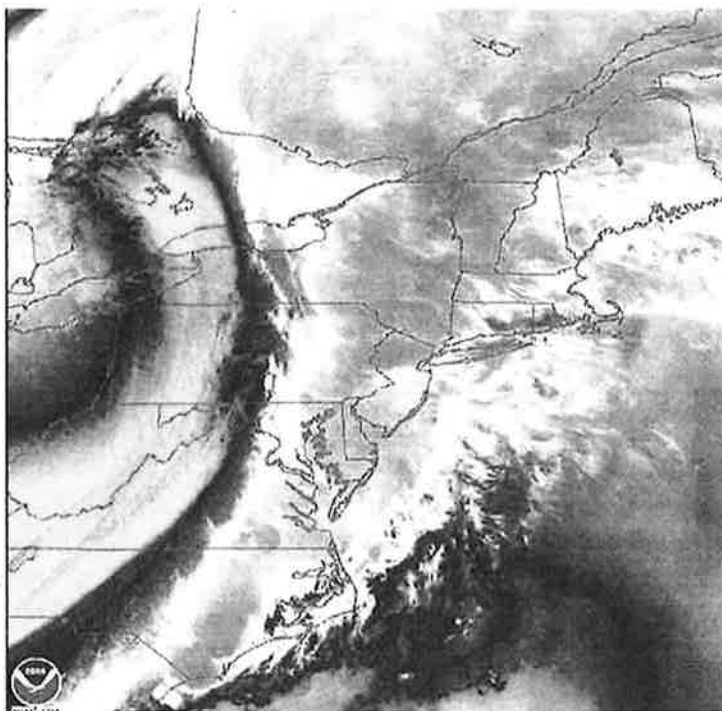
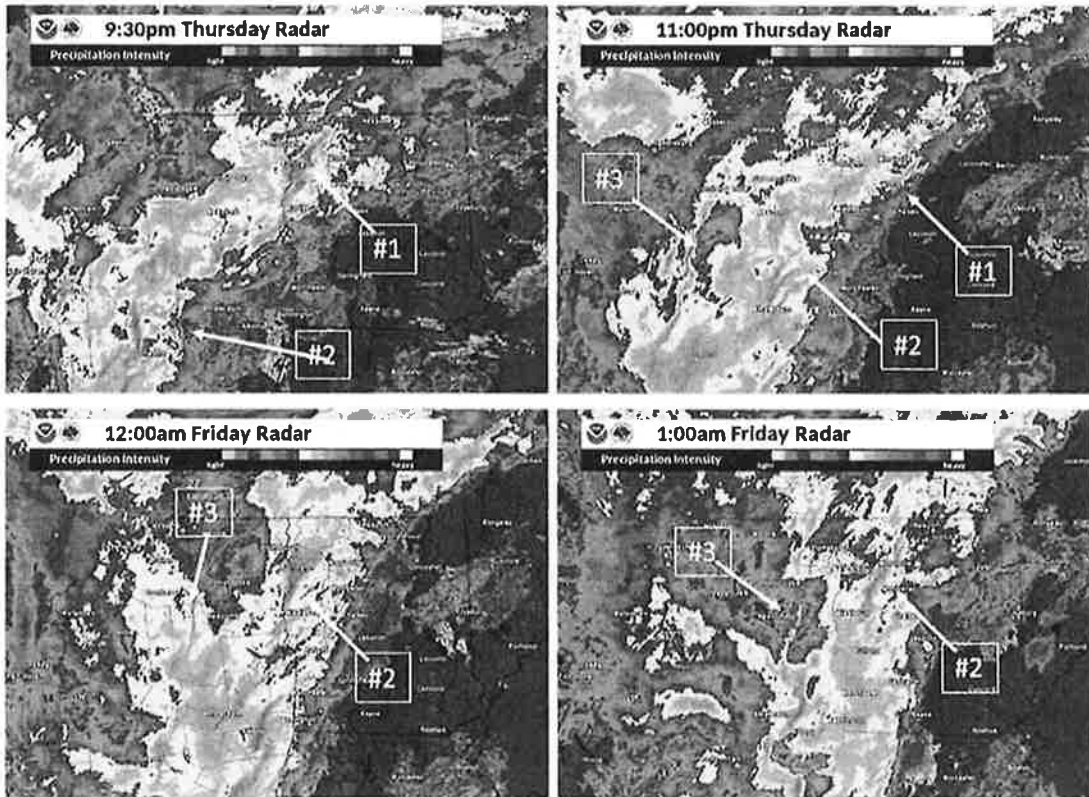


Figure 5 – Water Vapor image showing copious amounts of moisture streaming north along boundary during the night of October 31<sup>st</sup>.

**Table 1 - Hourly Rainfall distribution between 8 pm October 31<sup>st</sup> and 4 am November 1<sup>st</sup>**

Time	Burlington	Westford	Enosburg Falls	Johnson
Before 8 pm	.61	1.02	1.07	1.36
8-9 pm	.05	.03	.06	.01
9-10 pm	.76	.70	.49	.65
10-11 pm	.18	.16	.28	1.15
11 pm – Mid	.75	.76	.33	.38
Mid-1am	.76	.78	.76	.35
1-2 am	.26	.40	.19	.43
2-3 am	.21	.16	.80	.48
3-4 am	.11	.10	.21	.09
Storm Total	3.75 inches	4.17 inches	4.85 inches	4.92 inches

Highlighted are hourly rainfall in excess of ½ inch



**Figure 6 – Radar Images from 930 pm 10/31 to 1 am 11/1/19**

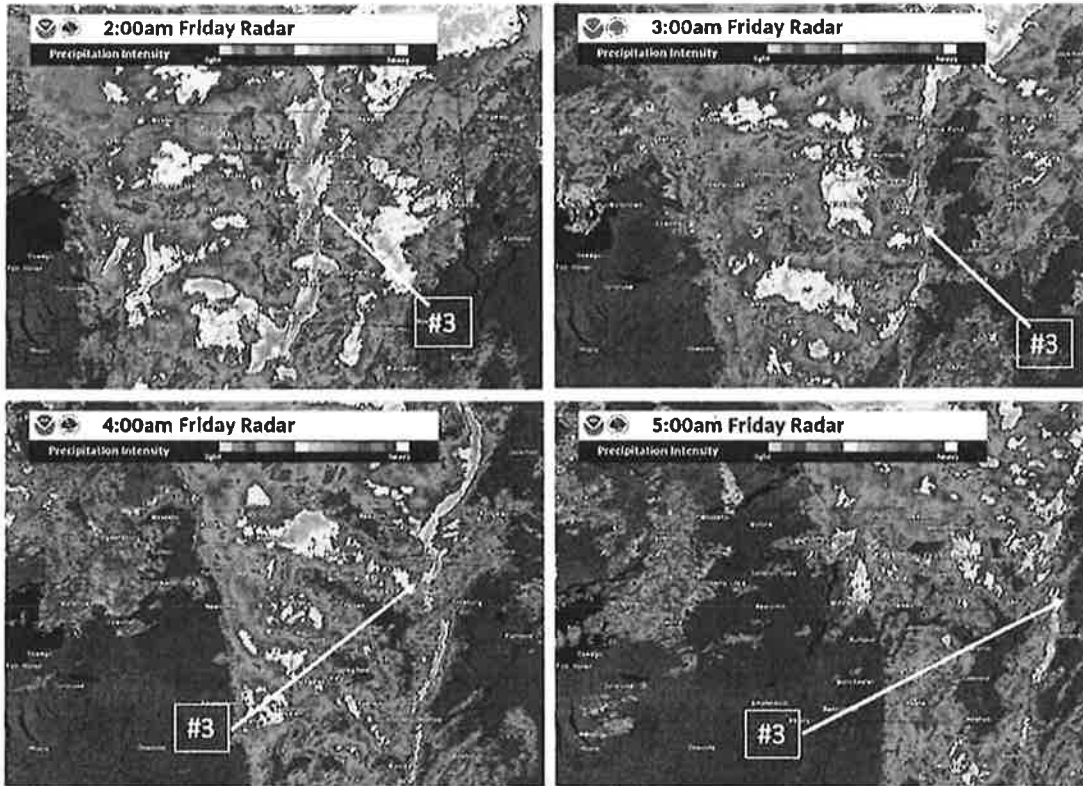
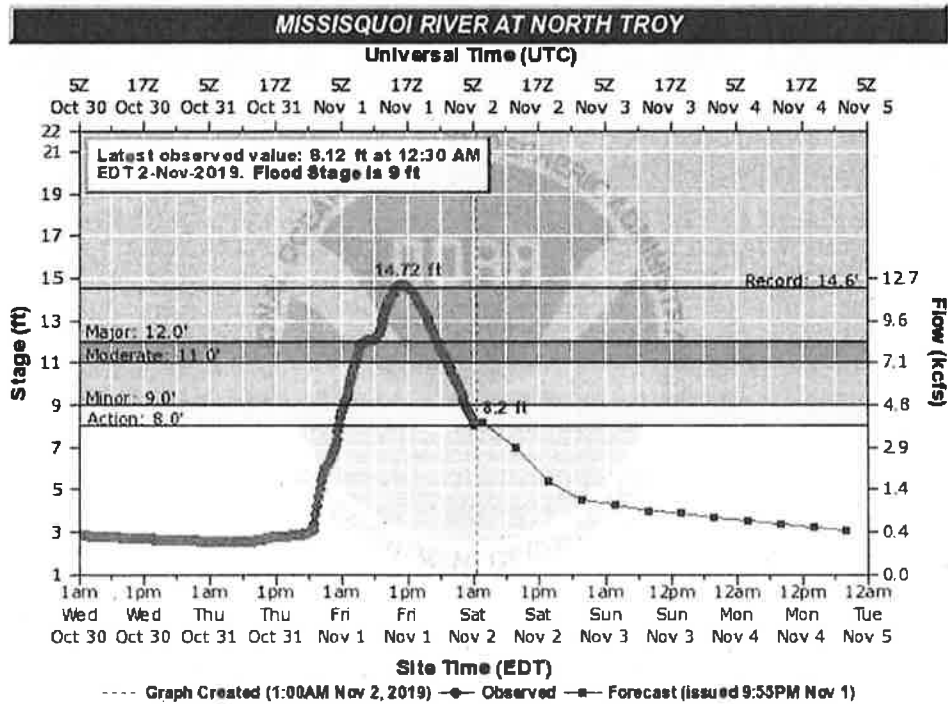


Figure 7 – Radar Images from 2 am to 5 am 11/1/19

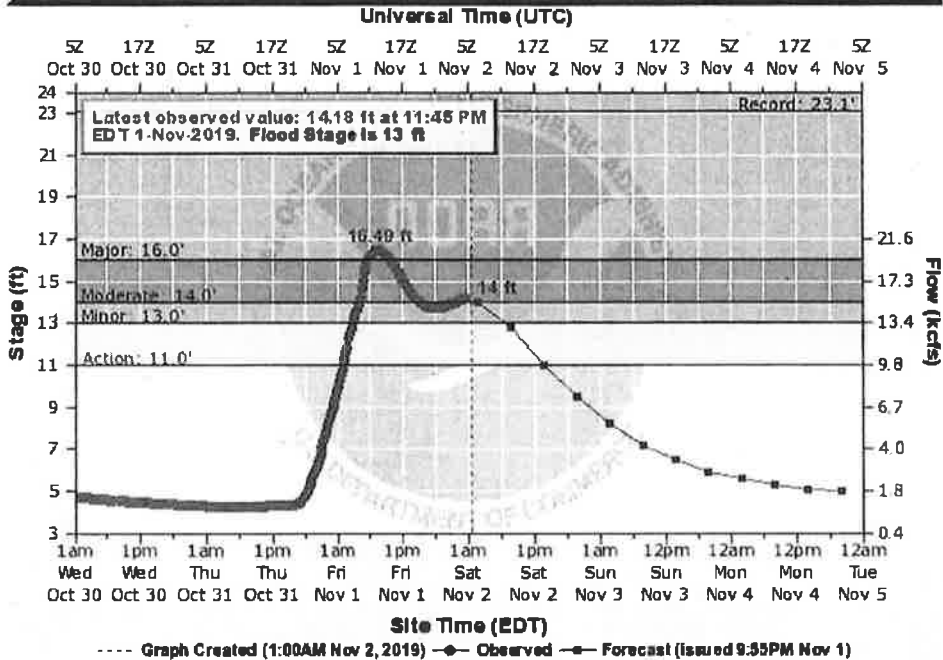


NTYV1(plotting HGIRG) "Gage 0" Datum: 580'

Observations courtesy of US Geological Survey

Figure 8 – Hydrograph for Missisquoi @ North Troy (NEW RECORD – Unofficially)

### MISSISQUOI RIVER AT EAST BERKSHIRE

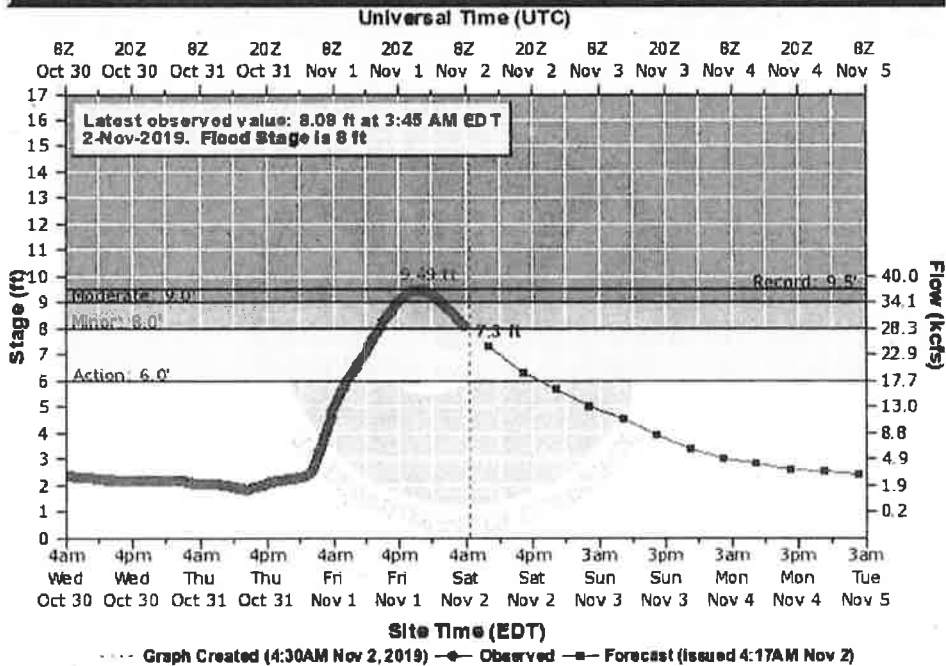


EBKV1(plotting HGIRG) "Gage 0" Datum: 402.51'

Observations courtesy of US Geological Survey

Figure 9 – Hydrograph for Missisquoi @ East Berkshire – MAJOR FLOOD

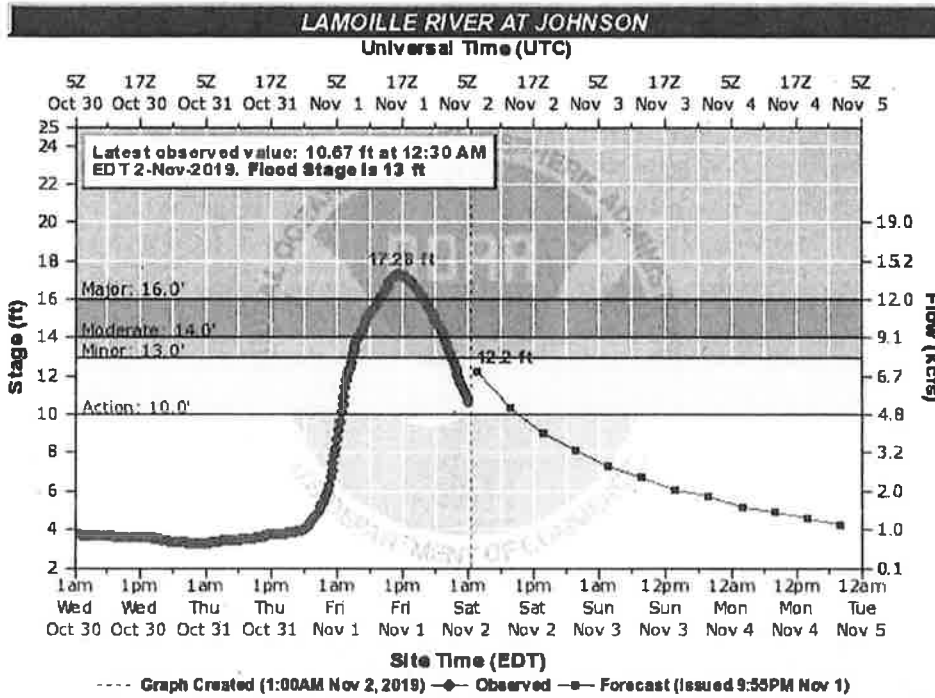
### MISSISQUOI RIVER AT SWANTON



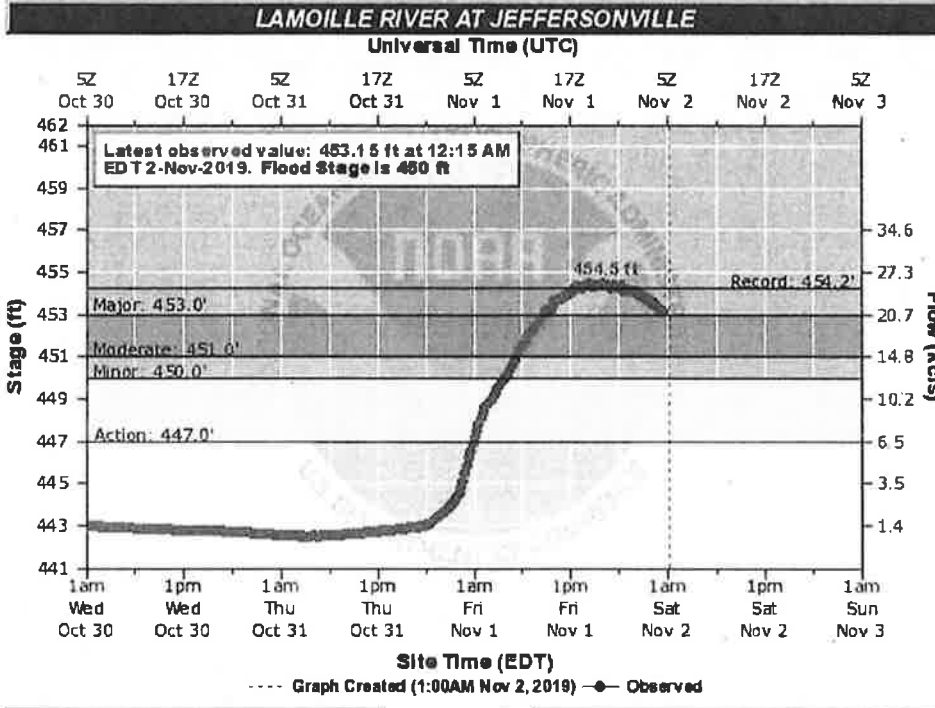
SWAV1(plotting HGIRG) "Gage 0" Datum: 106.9'

Observations courtesy of US Geological Survey

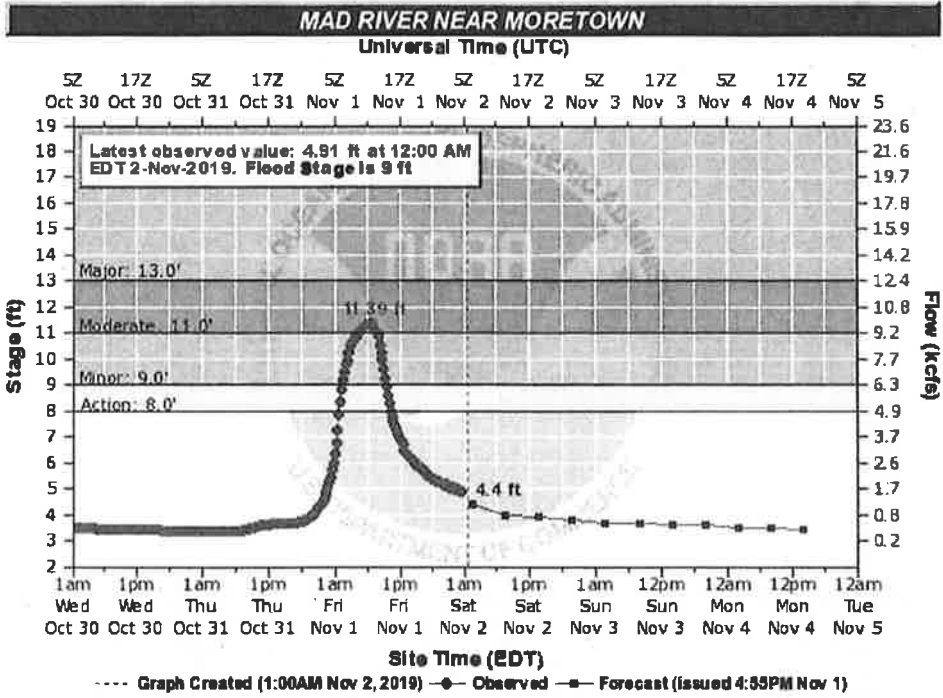
Figure 10 – Hydrograph for Missisquoi @ Swanton



JONV1(plotting HGIRG) "Gage 0" Datum: 506.7' | Observations courtesy of US Geological Survey  
**Figure 11 – Hydrograph for Lamoille River @ Johnson – MAJOR FLOOD**

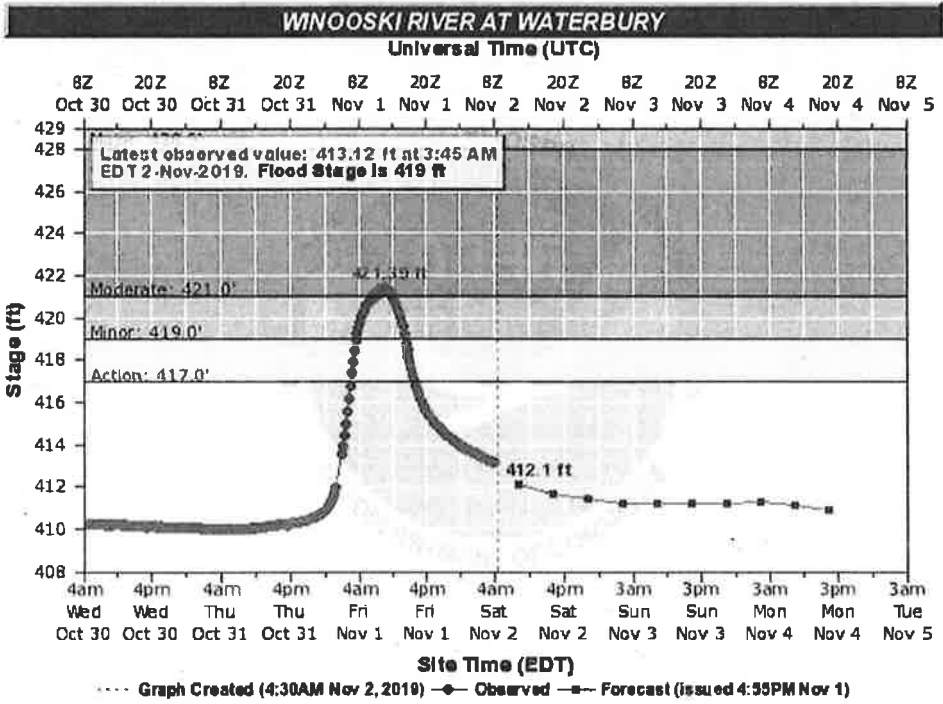


JVLV1(plotting HGIRG) "Gage 0" Datum: 0' | Observations courtesy of US Geological Survey  
**Figure 12 – Hydrograph for Lamoille River @ Jeffersonville – (NEW RECORD – Unofficially)**



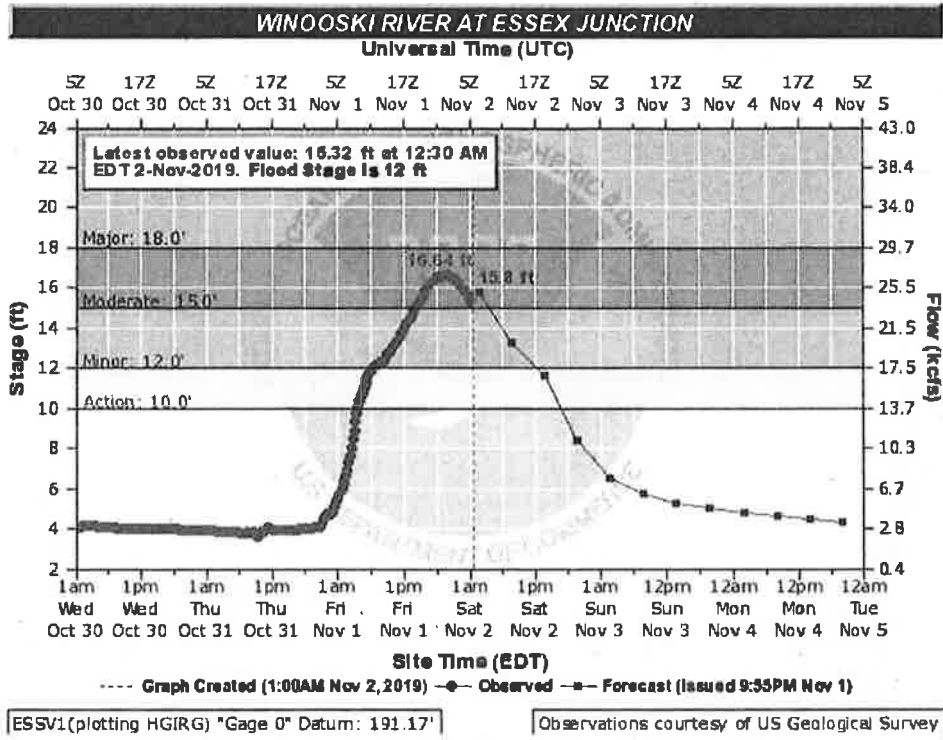
MOOV1(plotting HGIRG) "Gage 0" Datum: 543.93' Observations courtesy of US Geological Survey

Figure 13 – Hydrograph for Mad River @ Moretown



WATV1(plotting HGIRG) "Gage 0" Datum: 0' Observations courtesy of US Geological Survey

Figure 14 – Hydrograph for Winooski River @ Waterbury



**Figure 15 – Hydrograph for Winooski River @ Essex Junction**

**Table 2 (Below) – Observed Rainfall**

Public Information Statement  
 Spotter Reports  
 National Weather Service Burlington VT  
 139 PM EDT Fri Nov 01 2019

VERMONT

...Addison County...				
2 SE New Haven	3.71	800 AM	11/01	CoCoRaHS
Hanksville	3.61	816 AM	11/01	CWOP
Brooksville	3.47	500 AM	11/01	HADS
Addison	3.41	820 AM	11/01	CWOP
N Middlebury	3.18	700 AM	11/01	CoCoRaHS
NE Middlebury	3.12	700 AM	11/01	CoCoRaHS
1.0 SE Cornwall	2.78	700 AM	11/01	Co-Op Observer
0.5 NE South Lincoln	2.77	700 AM	11/01	Co-Op Observer
Middlebury	2.58	820 AM	11/01	CWOP
Monkton	2.55	823 AM	11/01	CWOP
1 WNW Orwell	2.27	700 AM	11/01	CoCoRaHS
S Hancock	1.69	700 AM	11/01	CoCoRaHS
Middlebury Airport	1.63	816 AM	11/01	AWOS
...Caledonia County...				
East Burke	2.34	820 AM	11/01	CWOP
2 S Wheelock	1.93	700 AM	11/01	CoCoRaHS
4 NNW Lyndonville	1.90	634 AM	11/01	CoCoRaHS
Sutton	1.90	750 AM	11/01	Co-Op Observer
4 WSW Groton	1.72	600 AM	11/01	CoCoRaHS
2 SE West Burke	1.65	700 AM	11/01	CoCoRaHS

1 W Lyndonville	1.53	800 AM 11/01	CoCoRaHS
2 N Lyndonville	1.52	705 AM 11/01	CoCoRaHS
Danville	1.50	417 AM 11/01	CWOP
5 NNW St. Johnsbury	1.36	700 AM 11/01	CoCoRaHS
Lyndonville	1.20	752 AM 11/01	CWOP
2 E Danville	1.15	747 AM 11/01	CoCoRaHS
...Chittenden County...			
Westford	4.32	823 AM 11/01	CWOP
5 NNE Underhill	4.06	830 AM 11/01	CoCoRaHS
Milton	4.00	815 AM 11/01	CWOP
Burlington Intl Airp	3.75	754 AM 11/01	ASOS
Essex Junction	3.74	802 AM 11/01	RAWS
3 NE Charlotte	3.71	830 AM 11/01	CoCoRaHS
4 NNE Underhill	3.68	700 AM 11/01	CoCoRaHS
North Underhill	3.68	700 AM 11/01	Co-Op Observer
3 N Jericho	3.65	800 AM 11/01	CoCoRaHS
1 E Nashville	3.33	645 AM 11/01	Co-Op Observer
WSW Williston	3.29	700 AM 11/01	CoCoRaHS
Shelburne	3.28	816 AM 11/01	CWOP
Colchester	3.03	823 AM 11/01	CWOP
1 NNE Huntington	3.01	800 AM 11/01	CoCoRaHS
Underhill	2.83	820 AM 11/01	CWOP
Charlotte	2.83	818 AM 11/01	CWOP
Williston	2.63	824 AM 11/01	CWOP
...Essex County...			
Nulhegan	2.81	825 AM 11/01	RAWS
Island Pond	1.94	953 AM 11/01	Co-Op Observer
0.9 N Brunswick Spri	1.47	745 AM 11/01	HADS
Canaan (VTWAC)	1.25	819 AM 11/01	MESOWEST
...Franklin County...			
1.8 NE East Berkshir	5.26	600 AM 11/01	HADS
0.5 W Enosburg Falls	4.85	829 AM 11/01	MESOWEST
Fletcher	4.80	600 AM 11/01	Public
Fairfax	3.50	817 AM 11/01	CWOP
East Stroudsburg	3.35	817 AM 11/01	CWOP
ESE Fairfax	3.02	900 AM 11/01	CoCoRaHS
East Berkshire (VTWA)	2.65	830 AM 11/01	MESOWEST
Saint Albans	2.35	816 AM 11/01	CWOP
1 NNE Swanton	2.06	700 AM 11/01	CoCoRaHS
...Grand Isle County...			
Alburgh Center	2.10	1256 PM 11/01	Public
Grand Isle	2.09	822 AM 11/01	CWOP
5 SSE Alburgh	1.91	930 AM 11/01	CoCoRaHS
...Lamoille County...			
3 NE Hyde Park	3.74	800 AM 11/01	CoCoRaHS
2.5 N Smugglers Notc	3.64	730 AM 11/01	Co-Op Observer
SW Stowe	3.48	730 AM 11/01	CoCoRaHS
Hyde Park	3.33	818 AM 11/01	CWOP
Elmore	3.12	748 AM 11/01	RAWS
Stowe	3.05	816 AM 11/01	CWOP
Morristown	2.93	815 AM 11/01	CWOP
Johnson	2.85	825 AM 11/01	CWOP
Morrisville-Stowe St	2.84	754 AM 11/01	AWOS
Cambridge	2.75	1225 PM 11/01	Public
...Orange County...			
3 SW Braintree	2.27	700 AM 11/01	CoCoRaHS



1.2 NE Randolph	2.01	600 AM	11/01	HADS
Braintree	1.88	820 AM	11/01	CWOP
1 WNW Randolph	1.81	900 AM	11/01	CoCoRaHS
West Topsham	1.53	816 AM	11/01	CWOP
3.1 E Randolph (VTWA)	1.19	817 AM	11/01	MESOWEST
2 ESE Vershire	1.00	800 AM	11/01	CoCoRaHS
...Orleans County...				
1 WNW Westfield	3.63	715 AM	11/01	CoCoRaHS
2 NW Derby Center	3.31	700 AM	11/01	CoCoRaHS
1.2 NE Newport	3.29	700 AM	11/01	Co-Op Observer
1 NE East Craftsbury	2.91	856 AM	11/01	CoCoRaHS
4 NNE Greensboro	2.46	645 AM	11/01	CoCoRaHS
East Charleston	2.34	816 AM	11/01	CWOP
3 ENE Barton	2.27	700 AM	11/01	CoCoRaHS
7 SE Morgan	2.25	700 AM	11/01	CoCoRaHS
2 NNW Greensboro	2.17	700 AM	11/01	CoCoRaHS
Barton	2.03	816 AM	11/01	CWOP
Greensboro	1.95	824 AM	11/01	CWOP
...Rutland County...				
1 N Rutland	2.24	900 AM	11/01	Public
1 WNW Middletown Spr	1.60	700 AM	11/01	CoCoRaHS
Danby	1.55	820 AM	11/01	CWOP
1 SSE West Rutland	1.55	800 AM	11/01	CoCoRaHS
1.6 NW West Bridgewa	1.53	715 AM	11/01	HADS
1 N West Rutland	1.53	615 AM	11/01	CoCoRaHS
West Pawlet	1.53	823 AM	11/01	CWOP
Brandon	1.27	817 AM	11/01	CWOP
4 E Poultney	1.26	700 AM	11/01	CoCoRaHS
Shrewsbury	1.22	820 AM	11/01	CWOP
Rutland Airport	1.17	756 AM	11/01	AWOS
2 SSE Pittsford	1.14	755 AM	11/01	CoCoRaHS
2.7 W Ludlow (VTWAC)	1.08	816 AM	11/01	MESOWEST
...Washington County...				
3 NW Waterbury	2.90	600 AM	11/01	CoCoRaHS
3 SW Duxbury	2.82	700 AM	11/01	CoCoRaHS
4 WNW Waitsfield	2.77	800 AM	11/01	CoCoRaHS
3 NNW Woodbury	2.73	700 AM	11/01	CoCoRaHS
7 NE Middlesex	2.59	700 AM	11/01	CoCoRaHS
3 SSE Warren	2.55	900 AM	11/01	CoCoRaHS
Waterbury Center	2.52	820 AM	11/01	CWOP
4 WNW Berlin	2.41	700 AM	11/01	CoCoRaHS
5 NE Middlesex	2.40	940 AM	11/01	Public
2 ENE Middlesex	2.36	745 AM	11/01	CoCoRaHS
1.6 W Worcester	2.36	700 AM	11/01	Co-Op Observer
5 E Moretown	2.32	700 AM	11/01	CoCoRaHS
Worcester	2.28	819 AM	11/01	CWOP
2 NNE Waterbury Cent	2.25	1234 PM	11/01	Trained Spotter
2 ENE Moretown	2.07	700 AM	11/01	CoCoRaHS
2 SE Waitsfield	1.93	700 AM	11/01	CoCoRaHS
1.7 SE Waitsfield	1.93	700 AM	11/01	Co-Op Observer
2 N Northfield	1.85	700 AM	11/01	CoCoRaHS
Montpelier	1.79	817 AM	11/01	CWOP
1 NNE Northfield	1.67	600 AM	11/01	CoCoRaHS
1 SW East Barre	1.61	1215 PM	11/01	NWS Employee
2 SW East Calais	1.58	700 AM	11/01	CoCoRaHS
Waitsfield	1.57	817 AM	11/01	CWOP
4.5 S Waterbury	1.56	800 AM	11/01	HADS
Montpelier Airport	1.46	751 AM	11/01	ASOS
4 ENE Cabot	1.42	900 AM	11/01	CoCoRaHS
Northfield	1.28	817 AM	11/01	CWOP

2 E Cabot	1.26	720 AM 11/01	CoCoRaHS
...Windsor County...			
Rochester	2.11	816 AM 11/01	CWOP
2 NE Rochester	2.04	700 AM 11/01	CoCoRaHS
3 N Pomfret	1.55	700 AM 11/01	CoCoRaHS
2 S West Windsor	1.26	700 AM 11/01	CoCoRaHS
...Bennington County...			
Landgrove	1.72	624 AM 11/01	WeatherNet6
Stamford	1.59	820 AM 11/01	CWOP
3 ENE Manchester	1.55	700 AM 11/01	CoCoRaHS
2.2 W Manchester (VT)	1.52	818 AM 11/01	MESOWEST
Woodford State Park	1.50	802 AM 11/01	RAWS
Woodford	1.50	735 AM 11/01	WeatherNet6
1 NNE Landgrove	1.29	615 AM 11/01	CoCoRaHS
West Arlington	1.26	552 AM 11/01	WeatherNet6
Stratton Mountain	0.64	816 AM 11/01	CWOP
...Windham County...			
Wilmington	1.79	544 AM 11/01	WeatherNet6
West Dover	1.71	816 AM 11/01	CWOP
Wilmington	1.35	824 AM 11/01	CWOP
SE West Halifax	1.35	736 AM 11/01	CoCoRaHS
1 WNW Wilmington	1.30	542 AM 11/01	CoCoRaHS
5.6 W West Brattleboro	1.17	800 AM 11/01	Co-Op Observer
6 NW Westminster	1.06	700 AM 11/01	CoCoRaHS
2 WNW Putney	1.05	700 AM 11/01	CoCoRaHS
E.Dummerston	1.04	820 AM 11/01	CWOP
1 S Brattleboro	0.78	700 AM 11/01	CoCoRaHS
1 NNE Rockingham	0.58	630 AM 11/01	CoCoRaHS

### Table 3 (Below) – Peak Measured Wind Gusts

Public Information Statement  
National Weather Service Burlington VT  
1152 PM EDT Fri Nov 1 2019

...HIGHEST WIND REPORTS...  
VERMONT

...Addison County...			
Middlebury	43	150 AM 11/01	CWOP
Monkton	35	528 AM 11/01	CWOP
...Caledonia County...			
Walden	53	105 PM 11/01	Mesonet
1.3 W Lyndonville (V)	43	1245 PM 11/01	MESOWEST
2.7 N Sheffield (VTR)	38	140 PM 11/01	MESOWEST
...Chittenden County...			
1.2 S Camels Hump St	61	540 AM 11/01	MESOWEST
Colchester Reef	61	1015 AM 11/01	MESOWEST
0.9 W Colchester Poi	57	545 AM 11/01	MESOWEST
Charlotte	53	1103 AM 11/01	CWOP
Burlington Intl Airp	46	802 AM 11/01	ASOS
Colchester	42	203 AM 11/01	CWOP
1.4 W Milton (VTRANS)	42	1021 AM 11/01	MESOWEST
1.7 E Malletts Bay (	41	1101 AM 11/01	MESOWEST
Westford	41	1033 AM 11/01	CWOP
1.2 E West Milton (V	40	150 AM 11/01	MESOWEST

Jericho	39	203 AM	11/01	Mesonet
Milton	36	1101 AM	11/01	CWOP
0.5 N Williston (VTR)	36	1020 AM	11/01	MESOWEST
Huntington	35	152 PM	11/01	Mesonet
Underhill	35	916 AM	11/01	CWOP
...Essex County...				
Lunenburg	37	356 AM	11/01	Mesonet
Nulhegan	36	225 PM	11/01	RAWS
...Franklin County...				
0.6 SW Burton Island	66	745 AM	11/01	MESOWEST
Burton Island State	61	1015 AM	11/01	MESOWEST
0.5 W Enosburg Falls	61	1021 AM	11/01	MESOWEST
East Berkshire (VTWA)	58	922 AM	11/01	MESOWEST
0.9 W North Fairfax	45	1210 PM	11/01	MESOWEST
Fairfax	44	1045 AM	11/01	CWOP
Sheldon Junction	40	1255 PM	11/01	Mesonet
Highgate Airport	40	755 AM	11/01	AWOS
Saint Albans	35	746 AM	11/01	CWOP
Fairfield	35	852 AM	11/01	Mesonet
...Grand Isle County...				
Grand Isle	49	925 AM	11/01	CWOP
White's Beach	47	737 AM	11/01	WXFLOW
North Hero	39	806 AM	11/01	Mesonet
Alburgh Center	38	1256 PM	11/01	Public
Alburg	37	231 PM	11/01	CWOP
...Lamoille County...				
Mount Mansfield	111	1210 PM	11/01	Co-Op Observer
Johnson	71	1220 PM	11/01	CWOP
Johnson (VTWAC)	71	1220 PM	11/01	MESOWEST
Smugglers Notch	66	100 AM	11/01	Mesonet
2.5 N Smugglers Notch	54	908 AM	11/01	MESOWEST
0.8 W Johnson (VTWAC)	49	1233 PM	11/01	MESOWEST
Hyde Park	49	1001 AM	11/01	Mesonet
Stowe	49	250 AM	11/01	Mesonet
Morrisville-Stowe St	47	810 AM	11/01	AWOS
Elmore	41	1151 PM	10/31	Mesonet
Morristown	39	931 AM	11/01	CWOP
...Orange County...				
1.1 NW Brookfield (V)	50	1011 AM	11/01	MESOWEST
0.9 SE Thetford Cent	40	130 PM	11/01	MESOWEST
5.5 SW Ricker State	35	1010 AM	11/01	MESOWEST
1.0 SE Boltonville (	35	310 AM	11/01	MESOWEST
Fairlee	35	255 PM	11/01	Mesonet
...Orleans County...				
East Charleston	57	1016 AM	11/01	CWOP
3.8 S Westmore (VTRA)	56	1150 AM	11/01	MESOWEST
Northeast Kingdom In	52	156 PM	11/01	AWOS
4.3 SW Jay (VTRANS)	51	1110 AM	11/01	MESOWEST
1.4 N Derby Center (	45	1020 AM	11/01	MESOWEST
Derby	39	1250 PM	11/01	Mesonet
...Rutland County...				
1.1 SW Gifford Woods	61	541 AM	11/01	MESOWEST
2.0 NE Wells (VTWAC)	54	248 AM	11/01	MESOWEST
Rutland Airport	49	205 AM	11/01	AWOS
Sudbury	48	151 AM	11/01	Mesonet
Mendon (VTRANS)	46	550 AM	11/01	MESOWEST

Danby	41	714 AM	11/01	RAWS
Bomoseen	39	850 AM	11/01	Mesonet
2.7 W Ludlow (VTWAC)	38	1156 AM	11/01	MESOWEST
Clarendon (VTRANS)	35	140 PM	11/01	MESOWEST
...Washington County...				
Waterbury (VTRANS)	52	1141 AM	11/01	MESOWEST
Montpelier Airport	47	856 AM	11/01	ASOS
Waterbury Center	46	553 AM	11/01	Mesonet
Warren	45	1101 AM	11/01	CWOP
3.4 W Montpelier (VT	41	1241 PM	11/01	MESOWEST
Worcester	39	939 AM	11/01	CWOP
Montpelier	36	216 PM	11/01	CWOP
1.1 S Northfield (VT	35	146 PM	11/01	MESOWEST
Northfield	35	257 PM	11/01	Mesonet
...Windsor County...				
Ludlow	59	950 AM	11/01	Mesonet
Springfield Airport	49	230 AM	11/01	ASOS
Weston	47	901 AM	11/01	CWOP
0.5 SW Ascutney (VTR	42	1150 AM	11/01	MESOWEST
Rochester	41	1245 PM	11/01	CWOP
0.8 N Wilder (VTRANS	39	1041 AM	11/01	MESOWEST
Weathersfield	39	330 PM	11/01	CWOP
1 SW Hartland	37	942 AM	11/01	CoCoRaHS
2.0 N North Hartland	35	1050 AM	11/01	MESOWEST
...Bennington County...				
1.6 NE Old Bennington (VTRAN	47 MPH	0150 AM	11/01	MESOWEST
Bennington State Airport	44 MPH	1111 AM	11/01	ASOS
3.1 W Woodford State Park (V	44 MPH	1040 AM	11/01	MESOWEST
3.5 W Peru (VTRANS)	41 MPH	0700 AM	11/01	MESOWEST
Stratton Mountain	38 MPH	0947 AM	11/01	CWOP
2.2 W Manchester (VTWAC)	35 MPH	1240 AM	11/01	MESOWEST
Woodford State Park	35 MPH	1002 AM	11/01	RAWS
...Windham County...				
Rockingham	53 MPH	0411 AM	11/01	CWOP
West Dover	52 MPH	0916 AM	11/01	CWOP
E.Dummerston	37 MPH	0205 AM	11/01	CWOP
Wilmington	36 MPH	1059 AM	11/01	CWOP
3.4 S Brattleboro (VTRANS)	33 MPH	1240 PM	11/01	MESOWEST
1.8 E Townshend (VTWAC)	31 MPH	1140 AM	11/01	MESOWEST

DEPARTMENT OF HOMELAND SECURITY  
Federal Emergency Management Agency  
**REQUEST FOR PRESIDENTIAL DISASTER DECLARATION**  
**MAJOR DISASTER OR EMERGENCY**

OMB Control Number 1660-0009  
Expires 09/30/2019

1. Request Date Dec 16, 2019

**Burden Disclosure Notice**

Public reporting burden for this form is estimated to average 9 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. This collection of information is required to obtain a benefit. You are not required to respond to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street SW, Washington, DC 20472, Paperwork Reduction Project (1660-0009). **NOTE: Do not send your completed form to this address.**

Completion of this form including applicable attachments satisfies legal requirements for emergency and major disaster declaration requests under 42 U.S.C. §§ 5170 and 5191, respectively, as implemented at 44 C.F.R., §§ 206.35 and 206.36. Failure to use this form may result in a failure to meet these requirements and/or a delay in processing the request.

2a. Name of State (as defined in Stafford Act 102, 42 U.S.C. § 5122) or Indian tribal government requesting declaration.  Vermont	2b. Population (as reported by 2010 Census) or estimated population of Indian tribal government's damaged area(s). <u>625,741</u>
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3. Governor's or Tribal Chief Executive's Name Philip B. Scott	4. Designation of State or Tribal Coordinating Officer upon declaration (if available) and phone number Erica Bornemann (802) 241 5487
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5. Designation of Governor's Authorized Representative or Tribal Chief Executive Representative upon declaration (if available) and phone number  
 Susanne R. Young, (802) 828 3322

6. Declaration Request For:  Major Disaster (Stafford Act Sec. 401)  Emergency (Stafford Act Sec. 501 (a))

7. Incident Period: Beginning Date Oct 31, 2019 End Date Nov 1, 2019 or  Continuing *If requesting a "continuing" incident period, enclose an official statement from a qualified Federal Government agency acknowledged as a national authority in a specific incident field (e.g., United States Geological Survey for seismic incidents, the National Weather Service for flooding).*

7b. Type of Incident (Check all that apply)

- Drought     Earthquake     Explosion     Fire     Flood     Hurricane     Landslide     Mudslide  
 Severe Storm     Snowstorm  
 (rain, high water, wind-driven, rain, hail, lightning)     (Must include Enclosure D: Historic and Current Snowfall Data)     Straight-Line Winds  
 Tidal Wave     Tornado     Tropical Depression     Tropical Storm     Tsunami     Volcanic Eruption     Winter Storm  
 Other (please specify) \_\_\_\_\_

8. Description of damages (Short description of impacts of disaster on affected area and population). Include additional details in enclosed Governor's or Tribal Chief Executive's cover letter.

On 10/31/19-11/01/19, a potent storm system deposited up to four (4) inches of rain statewide. The precipitation coupled with significant sustained wind resulted in substantial road damages and widespread, prolonged power outages. Dozens of roads, water treatment facilities, and power infrastructure was damaged. The state has received 373 reports of damage to individual properties, which means that the event caused impacts on the homes of more than 1% of all Vermont households in some way. Reports of damages have been from each of the state's fourteen (14) counties, and reports continue to be received daily. It took 4-5 days for power to be restored and longer for roadways to be re-opened. This event also resulted in the largest mobilization of swiftwater assets since the state was impacted by Tropical Storm Irene in 2011.

9. Description of the nature and amount of State and local or Indian tribal government resources which have been or will be committed. Include additional details in enclosed Governor's or Tribal Chief Executive's cover letter.

The State Emergency Operations Center (SEOC) was partially activated on on 11/01/19, 11/02, and 11/04. In addition to Vermont Emergency Management (VEM) the following State partners and agencies were activated: Div. of Fire Safety, American Red Cross, Vermont 211, Urban Search and Rescue and Swift Water Rescue Teams, HazMat Response Team, Transportation, Human Services, Agriculture Food and Markets, Commerce and Community Development, Digital Services, State Police, Dept. of Environmental Conservation, Public Service Dept., and Vermont National Guard. The Emergency Relief and Assistance Fund provides a portion of non-federal share of declared disasters and is funded through General Fund appropriations, with communities responsible to raise the balance from local property taxes. The State Legislature will likely face a mid-year budget adjustment request of approximately \$390,000 to cover additional anticipated state ERAF share.

10. Joint Preliminary Damage Assessment\*

Individual Assistance    Dates Performed **Requested** Nov 15, 2019    **Start** Nov 20, 2019    **End** Nov 23, 2019

Individual Assistance Accessibility Problems (Areas that could not be accessed, and why)

Public Assistance    Dates Performed **Requested** Oct 31, 2019    **Start** Nov 4, 2019    **End** Dec 2, 2019

Public Assistance Accessibility Problems (Areas that could not be accessed, and why)

11. Programs and Areas Requested

Individual Assistance  N/A     Individuals and Households Program     Crisis Counseling Program     Disaster Unemployment Assistance

All     Disaster Case Management     Disaster Legal Services     Small Business Administration (SBA) Disaster Assistance

For the following jurisdictions, specify programs and areas (counties, parishes, independent cities; for Indian tribal government, list tribe(s) and/or tribal area(s)) If additional space is needed, please enclose additional documentation).

We are requesting Individual Assistance for Addison, Chittenden, Franklin, Lamoille, and Orleans Counties.

For States, identify Federally-recognized Tribes in the requested counties (if applicable).

Please see **Enclosure A: Supplemental Information for Individual Assistance** for additional information in support of this request\*.

\*Not Required for Emergency Declaration Request

11. Programs and Areas Requested (Continued)

Public Assistance  N/A  Debris Removal (Category A)  Emergency Protective Measures (Category B)  Permanent Work (Categories C-G)\* (not available for Emergency Declaration Requests)

For the following jurisdictions, specify programs and areas (counties, parishes, independent cities, for Indian tribal government, list tribe(s) and/or tribal area(s)). If additional space is needed or your request includes different categories of work for different jurisdictions, please enclose additional documentation.

Public Assistance is requested for the following eight (8) counties: Addison (\$325,901 in damages, \$141,393 threshold); Chittenden (\$757,302 in damages, \$601,133 threshold); Essex (\$102,945 in damages, \$24,215 threshold); Franklin (\$1,075,327 in damages, threshold \$183,345); Lamoille (\$414,273 in damages; \$93,984 threshold); Orange (\$147,466 in damages, \$111,114 threshold); Orleans (\$752,727 in damages; \$104,567 threshold); and Washington (\$286,414 in damages, \$228,611 threshold).

For States, identify Federally-recognized Tribes included in the requested counties (if applicable).

Please see **Enclosure B: Supplemental Information for Public Assistance** for additional information in support of this request\*.

**Indemnification for Debris Removal Activity**

I do not anticipate the need for debris removal.

I anticipate the need for debris removal, which poses an immediate threat to lives, public health and safety. Pursuant to Sections 403 and 407 of the Stafford Act, 42 U.S.C. §§ 5170b & 5173, the State or Indian tribal government agrees to indemnify and hold  harmless the United States of America for any claims arising from the removal of debris or wreckage for this disaster. The State or Indian tribal government agrees that debris removal from public and private property will not occur until the landowner signs an unconditional authorization for the removal of debris.

**Request for Direct Federal Assistance**

I do not request direct Federal assistance at this time.

I request direct Federal assistance for work and services to save lives and protect property, and:

a. I request the following type(s) of assistance:

b. List of reasons why State and local or Indian tribal government cannot perform, or contract for, required work and services.

c. In accordance with 44 C.F.R. § 206.208, the State or Indian tribal government agrees that it will, with respect to direct Federal assistance: (1) Provide without cost to the United States all lands, easements, and rights-of-ways necessary to accomplish the approved work; (2) Hold and save the United States free from damages due to the requested work, and shall indemnify the Federal Government against any claims arising from such work; (3) Provide reimbursement to FEMA for the non-Federal share of the cost of such work in accordance with the provisions of the FEMA-State or FEMA-Tribe Agreement; and (4) Assist the performing Federal agency in all support and local jurisdictional matters.

**Request for Snow Assistance**

N/A  I request snow assistance.

Snow assistance for the following jurisdictions (Specify counties, independent cities or tribes and/or tribal areas).

Please see **Enclosure D: Historic and Current Snowfall Data** for additional information in support of this request\*.

\*Not Required for Emergency Declaration Request

11. Programs and Areas Requested (Continued)

Hazard Mitigation\*  Statewide **OR**

For the following specific counties, parishes, independent cities or tribes and/or tribal areas.

12. Mitigation Plan Information\*

a. Mitigation Plan Expiration Date November 16, 2023 b. Type of Plan  Enhanced  Standard

13. Other Federal Agency Programs

I do not anticipate requirements from Other Federal Agencies  I do anticipate requirements from Other Federal Agencies

Please see **Enclosure C**: Requirements for Other Federal Agency Programs for additional information in support of this request\*

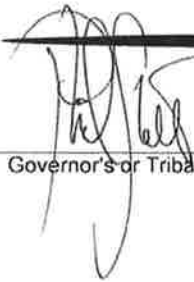
14. Findings and Certifications

I certify the following:

- a. I have determined that this incident is of such severity and magnitude that effective response is beyond the capabilities of the State and the affected local government or Indian tribal government and that supplementary federal assistance is necessary.
- b. In response to this incident, I have taken appropriate action under State or tribal law and have directed the execution of the State or Tribal Emergency Plan on October 31, 2019 in accordance with the Stafford Act.
- c. The State and local governments, or Indian tribal government will assume all applicable non-Federal share of costs required by the Stafford Act.

15. List of Enclosures and Supporting Documentation

- Cover Letter  Enclosure A (Individual Assistance)\*  Enclosure B (Public Assistance)\*
- Enclosure C (Requirements for Other Federal Agency Programs)  Enclosure D (Historic and Current Snowfall Data)
- Additional Supporting Documentation National Weather Service "For the Record" Memorandum of November 3, 2019



\_\_\_\_\_  
Governor's or Tribal Chief Executive's Signature

12/20/19  
\_\_\_\_\_  
Date

If anyone except the Governor or Tribal Chief Executive signs this document, please provide the documentation that establishes that this individual has the legal authority to act on behalf of the Governor or Tribal Chief Executive.

\*Not Required for Emergency Declaration Request




**Event: Severe Storm and Flooding October 31 2019**

<b>Start Date</b>	<b>End Date</b>	<b>Description</b>	<b>FHWA Declaration</b>	<b>Status</b>
10/31/2019	11/1/2019	Flooding		Active

County	Debris Removal (Cat. A)	Protective Measures (Cat. B)	Roads and Bridges (Cat. C)	Water Control Facilities (Cat. D)	Buildings and Equipment (Cat. E)	Utilities (Cat. F)	Parks, Recreation (Cat. G)	Total	POP	\$/CAP	\$3.84/ CAP	Threshold Met
Addison	-	-	325,901.00	-	-	-	-	325,901.00	36,821	8.85	141,392.64	Yes
Bennington	-	-	-	-	-	-	-	-	37,125	-	142,560.00	No
Caledonia	-	-	-	-	-	-	-	-	31,227	-	119,911.68	No
Chittenden	4,743.00	-	677,176.00	18,460.00	-	56,923.00	-	757,302.00	156,545	4.84	601,132.80	Yes
Essex	7,919.00	-	-	-	-	95,027.00	-	102,945.00	6,306	16.32	24,215.04	Yes
Franklin	17,918.00	-	842,388.00	-	-	215,021.00	-	1,075,327.00	47,746	22.52	183,344.64	Yes
Grand Isle	-	-	-	-	-	-	-	-	6,970	-	26,764.80	No
Lamoille	10,116.00	-	282,765.00	-	-	121,392.00	-	414,273.00	24,475	16.93	93,984.00	Yes
Orange	15,943.00	-	-	-	-	131,524.00	-	147,467.00	28,936	5.10	111,114.24	Yes
Orleans	35,238.00	-	294,620.00	-	-	422,869.00	-	752,727.00	27,231	27.64	104,567.04	Yes
Rutland	-	-	-	-	-	-	-	-	61,642	-	236,705.28	No
Washington	15,943.00	-	51,881.00	-	-	131,524.00	87,066.00	286,414.00	59,534	4.81	228,610.56	Yes
Windham	-	-	-	-	-	-	-	-	44,513	-	170,929.92	No
Windsor	-	-	-	-	-	-	-	-	56,670	-	217,612.80	No
State Agencies	-	-	-	-	-	-	-	-	0	-	-	-
<b>Totals:</b>	<b>107,819.00</b>	<b>-</b>	<b>2,474,731.00</b>	<b>18,460.00</b>	<b>-</b>	<b>1,174,280.00</b>	<b>87,066.00</b>	<b>3,862,356.00</b>	<b>625,741</b>	<b>6.17</b>	<b>2,402,845.00</b>	

**SF-424**

<b>APPLICATION FOR FEDERAL ASSISTANCE</b>		2. DATE SUBMITTED	Applicant Identifier <b>000-U6HEW-00</b>
1. TYPE OF SUBMISSION  <b>Application</b>	<b>Pre-Application</b>	3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
<b>5. APPLICANT INFORMATION</b>		<b>Organizational Unit:</b>	
Legal Name	<b>DEPARTMENT OF PUBLIC</b>	Department:	<b>Public Safety</b>
Organizational DUNS:	<b>809376692</b>	Division:	<b>Emergency Management</b>
Address	Name and telephone number of person to be contacted on matters involving this application (give area code)		
Street:	<b>45 State Drive</b>	Prefix:	First Name: <b>Kim</b>
City:	<b>Waterbury</b>	Middle Name:	
County:	<b>Washington</b>	Last Name:	<b>Canarecci</b>
State: <b>VT</b>	Zip Code: <b>05671 1300</b>	Suffix:	
Country:	<b>United States of America</b>	Email:	<b>kim.canarecci@vermont.gov</b>
		Phone Number: <b>802-585-4209</b>	Fax Number:
6. FEDERAL EMPLOYER IDENTIFICATION NUMBER (EIN) <b>03-6000264</b>		7. TYPE OF APPLICANT <b>State Government</b>	
8. TYPE OF APPLICATION <b>Grant Application (SF-424)</b>		9. NAME OF FEDERAL AGENCY <b>Federal Emergency Management Agency</b>	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBERS <b>97.036</b>		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT <b>DR-4474-VT Severe Storm and Flooding October 31 to November 1, 2019</b>	
12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.) <b>Addison, Chittenden, Essex, Franklin, Lamoille, Orange, Orleans, and Washington Counties.</b>			
13. PROPOSED PROJECT:  Start Date: <b>10/31/2019</b> End Date : <b>1/13/2022</b>		14. CONGRESSIONAL DISTRICTS OF:  a. Applicant <b>00</b> b. Project	
15. ESTIMATED FUNDING		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? <b>N/A</b>	
a. Federal	<b>\$ 2896767</b>	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?  <b>No</b>	
b. Applicant	<b>\$ 0</b>		
c. State	<b>\$ 395891</b>		
d. Local	<b>\$ 569698</b>		
e. Other	<b>\$ 0</b>		
f. Program Income	<b>\$ 0</b>		
g. TOTAL	<b>\$ 3862356</b>		
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS REQUIRED.			
a. Name of Authorized Representative <b>Susanne R. Young</b>	b. Title Governor's Authorized Representative <b>State of Vermont Secretary of Administration</b>	c. Telephone Number <b>802-828-3322</b>	
d. Signature of Authorized Representative 		e. Date Signed <b>2/11/20</b>	

Applicant Name:	Application Title:
DEPARTMENT OF PUBLIC SAFETY /	SF-424 for Disaster Number 4474
Period of Performance Start:	Period of Performance End:

## Grant Application - Entire Application

**Application Title:** SF-424 for Disaster Number 4474

**Application Number:**

**Application Type:** Grant Application (SF-424)

Funds Allocated: \$ 0.00

FedShare Estimated: \$ 0.00

Fedshare Requested: \$ 0.00

Fedshare Obligated: \$ 0.00

Number of Pre-Applications (RPAs/RFMAs) Submitted to FEMA: 25

Number of Subgrant Applications (PWs) Submitted to FEMA: 0

### Preparer Information

Prefix  
First Name Melissa  
Middle Initial  
Last Name Austin  
Title Financial Administrator  
Agency/Organization Name Vermont Department of Public Safety  
Address 1 45 State Drive  
Address 2  
City Waterbury  
State VT  
Zip 05671 - 1300  
Phone 802-241-5396  
Email melissa.austin@vermont.gov

Is the application preparer the Point of Contact? No

### Contact Information

Prefix  
First Name Kim  
Middle Initial  
Last Name Canarecci  
Suffix  
Phone 802-585-4209  
Fax  
Email kim.canarecci@vermont.gov

**Applicant Information**

Disaster Number: 4474  
 Applicant Legal Name DEPARTMENT OF PUBLIC SAFETY /  
 Applicant ID 000-U6HEW-00  
 Congressional District 00  
 Type of Applicant: State Government  
 Federal Employer Identification Number(EIN) 03-6000264  
 If Indian Tribe, this is your Tribal Identification Number:  
 What is your DUNS Number 809376692

Comments
Attachments

**Organization Information**

Division Emergency Management  
 Department Public Safety  
 Address Line 1 45 State Drive  
 Address Line 2  
 County Washington  
 City Waterbury  
 State VT  
 Zip 05671 - 1300  
 Country United States of America  
 Phone 802-244-8721

**Project Information**

List the Congressional District(s) for your Project:

Areas affected by the Project: Addison, Chittenden, Essex, Franklin, Lamoille, Orange, Orleans, and Washington Counties.

Comments
Attachments

	Budget Estimate \$	Percentage of Total Budget Estimate
Federal	\$ 2896767	75.00%
Applicant	\$ 0	0.00%
State	\$ 395891	10.25%
Local	\$ 569698	14.75%
Other	\$ 0	0.00%

Program Income	\$ 0	0.00%
Total	\$ 3862356	100%

Comments
Attachments

Name of Section	Comments and Attachments Comment	Attachment
<b>Assurances and Certifications</b>		
<b>Forms</b>		
		<b>Status</b>
Part I: FEMA Form 20-16A, Assurances <b>Non-Construction</b> Programs.		<u>Incomplete</u> Applicable
Part II: FEMA Form 20-16B, Assurances <b>Construction</b> Programs.		<u>Incomplete</u> Applicable
Part III: FEMA Form 20-16C, Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibilities Matters; and Drug-Free Workplace Requirements.		<u>Incomplete</u>
Part IV: SF-LLL, Disclosure of Lobbying Activities (Complete only if applying for a grant of more than \$100,000 and have lobbying activities using Non-Federal funds. See Form 20-16C for lobbying activities definition.)		Complete Not Applicable

## **FEMA Form 20-16A**

### Assurances Non-Construction Programs

**Note:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitute or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 USC 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 CFR 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (PL 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 USC 11681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (20 USC ~ 794), which

prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 USC " 6101~106), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 19 (PL 92-255), as amended, relating to n' discrimination on the basis of drug abuse; (f) Comprehensive Alcohol Abuse and Alcohol Prevention, Treatment and Rehabilitation Act of 1970 (PL 91-616), as amended, relating nondiscrimination on the basis of alcohol abuse alcoholism; (g) sections 523 and 527 of the Public Health Service Act of 1912 (42 USC 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 USC 3601 et seq.) as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute under which application for Federal assistance being made; and O the requirements of any off nondiscrimination statute(s) which may apply to application.

7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (PL 91~ which provide fair and equitable treatment of persons displaced whose property is acquired as a result of Federal federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 USC 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of Davis-Bacon Act (40 USC 276a to 276a-7), Copeland Act (40 USC 276c and 18 USC 874), and the Contract Work Hours and Safe Standards Act (40 USC 327-333); regard labor standards for federally assisted construct subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (PL 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with-EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. l' 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. l 7401 et seq.); (g) protection of underground source of drinking water under the Safe Drinking Water Act of 1974, as amended, (PL 93-523); and (h) protection of endangered species under the Endangered Special Act of 1973, as amended, (PL 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification protection of historic properties), and Archaeological and Historic Preservation AI 1974 (16 U.S.C. 469a-1 et seq.)
14. Will comply with PL 93-348 regarding protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal We Act of 1966 (PL 89-544, as amended, 7 U. 2131 et seq.) pertaining to the care, handling, treatment of warm blooded animals held research, teaching, or other activities supports this award of assistance.
16. Will comply with the Lead-Based Paint Poise Prevention Act (42 U.S.C. 4801 et seq.) which prohibits the use of lead based paint in construe or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements a other Federal laws, executive orders, regular and policies governing this program.
19. It will comply with the minimum wage and maximum hours provisions of the Federal fair Labor Standards Act (29 U.S.C 201), as they apply to employees of institutions of higher education, hospitals, and other non-profit organizations.

## FEMA Form 20-16B

### Assurances Construction Programs

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain. nondiscrimination statute(s) which may apply to the application.
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681- 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to

nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91- 190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

## **FEMA Form 20-16C**

Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibilities Matters; and Drug-Free Workplace Requirements.

Applicants should refer to the regulations cited below to determine the certification to which they are required to



attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 44 CFR Part 18, "New Restrictions on Lobbying; and 44 CFR Part 17, "Government-wide Debarment and suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Federal Emergency Management Agency (FEMA) determines to award the covered transaction, grant, or cooperative agreement.

### 1. LOBBYING

As required by the section 1352, Title 31 of the US Code, and implemented at 44 CFR Part 1a for persons into a grant or cooperative agreement over \$100,000, as defined at 44CFR Part 16, the applicant certifies that:

(1) No federal appropriated funds have been paid or will be paid by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of congress, an officer or employee of congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement and extension, continuation, renewal amendment or modification of any Federal Grant or cooperative agreement.

(2) If any other funds than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of congress, an officer or employee of congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement: the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities", in accordance with its instructions.

Standard Form LLL Disclosure of Lobbying Activities Attached? No

(3) The undersigned shall require that the language of this certification be included in the award documents for all the subawards at all tiers (including subgrants, contracts under grants and cooperative agreements and subcontract(s)) and that all subrecipients shall certify and disclose accordingly.

### 2. Debarment, Suspension and Other Responsibilities Matters (Direct Recipient)

As required by Executive Order 12549, Debarment and Suspension, and implemented at: 44CFR Part 67, for participants in primary covered transactions, as defined at 44 CFR Part 17, Section 17-510A, the applicant certifies that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency.

(2) Have not within a three-year period preceding this application been convicted of or had a civilian judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or perform a public (Federal, State, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.

(3) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or locally) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification: and

(4) have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

### 3. Drug-Free Workplace (Grantees other than individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 44CFR Part 17, Subpart F, for grantees, as defined at 44 CFR part 17, Sections 17.615 and 17.623:

(A) The applicant certifies that it will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug free awareness program to inform employees about:

- (1) The dangers of drug abuse in the workplace;
- (2) The grantee's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant to be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:

- (1) Abide by the terms of the statement and
- (2) Notify the employee in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.

(e) Notifying the agency, in writing within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to the applicable FEMA awarding office, i.e. regional office or FEMA office.

(f) Taking one of the following actions against such an employee, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Require such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement or other appropriate agency.

(g) Making a good effort to continue to maintain a drug free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant.

Place of Performance

Street	City	State	Zip	Action
45 State Drive	Waterbury	VT	05671-1300	

**Include all places of performance, which are workplaces for this application.**

Section 17.630 of the regulations provide that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for FEMA funding. States and State agencies may elect to use a Statewide certification.

## Grant Application - SF424

APPLICATION FOR  
FEDERAL ASSISTANCE

2. DATE SUBMITTED

Applicant Identifier  
000-U6HEW-00

**1. TYPE OF SUBMISSION**

Application

Pre-Application

N/A

**3. DATE RECEIVED BY STATE**

State Application Identifier

**4. DATE RECEIVED BY FEDERAL AGENCY**

Federal Identifier

**5. APPLICANT INFORMATION**

Legal Name:

DEPARTMENT OF PUBLIC SAFETY /

Organizational DUNS: 809376692

Address

Street: 45 State Drive

City: Waterbury

County: Washington

State: VT Zip Code: 05671 - 1300

Country: United States of America

Organizational Unit:

Department:

Public Safety

Division: Emergency Management

**Name and telephone number of person to be contacted on matters involving this application (give area code)**

Prefix: First Name: Kim

Middle Initial:

Last Name: Canarecci

Suffix:

Email: kim.canarecci@vermont.gov

Phone Number: Fax Number:

802-585-4209

**6. FEDERAL EMPLOYER IDENTIFICATION NUMBER (EIN)**

03-6000264

**8. TYPE OF APPLICATION**

Grant Application (SF-424)

**10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBERS**

97.036

CFDA TITLES: PUBLIC ASSISTANCE

**12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.)**

Addison, Chittenden, Essex, Franklin, Lamoille, Orange, Orleans, and Washington Counties.

**13. PROPOSED PROJECT:**

Start Date: 10/31/2019

End Date: 1/13/2022

**7. TYPE OF APPLICANT**

State Government

**9. NAME OF FEDERAL AGENCY**

Federal Emergency Management Agency

**11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT**

DR-4474-VT Severe Storm and Flooding October 31 to November 1, 2019

**14. CONGRESSIONAL DISTRICTS OF:**

a. Applicant: 00

b. Project:

**15. ESTIMATED FUNDING**

a. Federal	\$ 2896767
b. Applicant	\$ 0
c. State	\$ 395891
d. Local	\$ 569698
e. Other	\$ 0
f. Program Income	\$ 0
g. TOTAL	\$ 3862356

**16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?**

N/A

**17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?**

No

**18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS REQUIRED.**

a. Name of Authorized Representative b. Title

Susanne R. Young, Secretary of Administration/Governor's Authorized Representative

c. Telephone Number

802-828-3322

d. Signature of Authorized Representative



e. Date Signed

2/11/2020

Go Back