



**STATE OF VERMONT**  
JOINT FISCAL OFFICE

**MEMORANDUM**

To: Joint Fiscal Committee Members  
From: Nathan Lavery, Fiscal Analyst  
Date: November 16, 2012  
Subject: Grant Requests

Enclosed please find four (4) items that the Joint Fiscal Office has received from the administration, including the establishment of 1 limited service position.

**JFO #2589** – \$280,028 grant from the Center for Substance Abuse Treatment to the Vermont Judiciary. These funds will be used with funds from JFO #2590 to enhance drug court services through evidence-based substance abuse treatment and recovery services. One limited service position request is associated with this item.  
[JFO received 10/29/12]

**JFO #2590** – \$300,000 grant from the U.S. Department of Justice to the Vermont Judiciary. These funds will be used with funds from JFO #2589 to enhance drug court services through evidence-based substance abuse treatment and recovery services.  
[JFO received 10/29/12]

**JFO #2591** – \$7,912,054 grant from the U.S. Department of Transportation to the Vermont Agency of Transportation. These funds will be used to create a heavy-haul freight rail corridor linking Vermont to Canada and establish an intercity passenger rail link along the Boston-to-Montreal High Speed Corridor.  
[JFO received 10/29/12]

**JFO #2592** – \$58,000 grant from the U.S. Department of Health and Human Services to the Vermont Agency of Human Services. These funds will be used to support the efforts of the Refugee Elder Collaborative's efforts to help older refugees overcome barriers to achieving naturalization.  
[JFO received 10/29/12]

Please review the enclosed materials and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; [nlavery@leg.state.vt.us](mailto:nlavery@leg.state.vt.us)) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by November 28 we will assume that you agree to consider as final the Governor's acceptance of these requests.

**State of Vermont**  
 Department of Finance & Management  
 109 State Street, Pavilion Building  
 Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376  
 [fax] 802-828-2428

SFO 2590

## STATE OF VERMONT FINANCE & MANAGEMENT GRANT REVIEW FORM

<b>Grant Summary:</b>	This grant is one of two grants funding part of same three year initiative to enhance the existing Chittenden Treatment Docket. The federal grant program was set up so that Judiciary applied for part of the project from Justice Department and the other part from the Center for Substance Abuse Treatment/ Substance Abuse and Mental Health Services Administration(SAMSA). This grant request is for the Justice Department part of the project. The SAMSA part is being submitted to the Governor and JFC at the same time.				
<b>Date:</b>	10/12/2012				
<b>Department:</b>	Judiciary				
<b>Legal Title of Grant:</b>	Joint Adult Drug Court Solicitation to Enhance Services Coordination and Treatment				
<b>Federal Catalog #:</b>	CFDA 16.585				
<b>Grant/Donor Name and Address:</b>	Department of Justice, Bureau of Justice Assistance, 810 7 <sup>th</sup> St. N.W., Washington DC 20531				
<b>Grant Period:</b>	<b>From:</b>	10/1/2012	<b>To:</b>	9/30/2015	
<b>Grant/Donation</b>	\$300,000				
	<b>SFY 1</b>	<b>SFY 2</b>	<b>SFY 3</b>	<b>Total</b>	<b>Comments</b>
<b>Grant Amount:</b>	\$66,000	\$100,000	\$134,000	\$300,000	SFY 3 includes the spending in SFY14 as well.

<b>Position Information:</b>	<b># Positions</b>	<b>Explanation/Comments</b>
		There is a position funded in the SAMSA portion of this project.

**Additional Comments:**

Department of Finance & Management  
 Secretary of Administration

(Initial)  
 10/12/12

**RECEIVED**

OCT 29 2012

(Initial)



**STATE OF VERMONT**  
**FINANCE & MANAGEMENT GRANT REVIEW FORM**

Sent To Joint Fiscal Office

Date 10/24/12



**STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)**

<b>BASIC GRANT INFORMATION</b>				
<b>1. Agency:</b>	The Vermont Judiciary			
<b>2. Department:</b>	Court Administrator's Office			
<b>3. Program:</b>	Chittenden County Treatment Court Enhancement			
<b>4. Legal Title of Grant:</b>	Joint Adult Drug Court Solicitation to Enhance Services, Coordination, & Treatment			
<b>5. Federal Catalog #:</b>	CFDA 16.585			
<b>6. Grant/Donor Name and Address:</b> Department of Justice, Bureau of Justice Assistance, 810 7th St. NW, Washington, DC 20531				
<b>7. Grant Period:</b>	<b>From:</b>	10/1/2012	<b>To:</b>	9/30/2015
<b>8. Purpose of Grant:</b> The purpose of the total project which is funded by both grants is to enhance the existing Chittenden Treatment Docket by adding a case manager to increase capacity; a part-time recovery coach coordinator, recovery coach training, recovery coaches, and employment support to increase the graduation rate and functioning and decrease recidivism. These supports will be available beyond the completion of the treatment docket. To improve program operations through an improved data collection system and the implementation of a process and outcome evaluation. To increase the health of participants by providing HIV education and testing.				
<b>9. Impact on existing program if grant is not Accepted:</b> Impact if both grants are not accepted: the Chittenden Treatment Docket has been limited in its capacity to grow because of the number of case managers. The additional case managers will bring the program closer to capacity. The recovery supports that the participants receive have been very limited and much of the support ends at graduation. If this program is not accepted, these limitations will continue to exist. The participants will be minimally supported in their recovery during their participation in the treatment docket and post graduation. Improved outcomes will be achieved when participants receive ongoing supports after graduation.				
<b>10. BUDGET INFORMATION</b>				
	SFY 1	SFY 2	SFY 3	Comments
<b>Expenditures:</b>	FY 2013	FY 2014	FY 2015	
Personal Services	\$64,692	\$98,018	\$128,326	Because of the difference in the FFY and SFY, funding in SFY 3
Operating Expenses	\$21,120	\$32,000	\$42,880	
Grants	\$	\$	\$	
<b>Total</b>	<b>\$85,812</b>	<b>\$130,018</b>	<b>\$171,206</b>	
<b>Revenues:</b>				
State Funds:	\$0	\$0	\$0	includes funding that will be spent in SFY2016
Cash	\$0	\$0	\$0	
In-Kind	\$19,812	\$30,018	\$37,206	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$66,000	\$100,000	\$134,000	
(Statewide Indirect)	\$0	\$0	\$0	
(Departmental Indirect)	\$0	\$0	\$0	
Other Funds:	\$0	\$0	\$0	
Grant (source DOJ/BJA)	\$0	\$0	\$0	
<b>Total</b>	<b>\$85,812</b>	<b>\$130,018</b>	<b>\$171,206</b>	

**OCT 11 2012**

**STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)**

<b>Appropriation No:</b>	2120000000	<b>Amount:</b>	\$300,000
			\$
			\$
			\$
			\$
			\$
			\$
		<b>Total</b>	\$300,000

**PERSONAL SERVICE INFORMATION**

**11. Will monies from this grant be used to fund one or more Personal Service Contracts?**  Yes  No  
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Robert Greemore Agreed by: *RG* (initial)

<b>12. Limited Service Position Information:</b>	<b># Positions</b>	<b>Title</b>
	1	There's one limited service position for this project. The funding for the position is <u>in the other AA-1</u> (Substance Abuse and Mental Health Services Administration Budget).
<b>Total Positions</b>	1	

**12a. Equipment and space for these positions:**  Is presently available.  Can be obtained with available funds.

**13. AUTHORIZATION AGENCY/DEPARTMENT**

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

Signature: <u><i>Robert Greemore</i></u>	Date: 10/11/2012
Title: Court Administrator	
Signature:	Date:
Title:	

**14. ACTION BY GOVERNOR**

<input checked="" type="checkbox"/> Check One Box: Accepted	<u><i>[Signature]</i></u> (Governor's signature)	10/23/12 Date:
<input type="checkbox"/> Rejected		

**15. SECRETARY OF ADMINISTRATION**

<input checked="" type="checkbox"/> Check One Box: Request to JFO	<u><i>[Signature]</i></u> (Secretary's signature or designee)	12/15/12 Date:
<input type="checkbox"/> Information to JFO		

**16. DOCUMENTATION REQUIRED**

**Required GRANT Documentation**

<input type="checkbox"/> Request Memo	<input type="checkbox"/> Notice of Donation (if any)
<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)

**STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)**

<input type="checkbox"/> Notice of Award	<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Grant Agreement	<input type="checkbox"/> Form AA-1PN attached (if applicable)
<input type="checkbox"/> Grant Budget	

**End Form AA-1**

SUPREME COURT OF VERMONT  
OFFICE OF THE COURT ADMINISTRATOR

ROBERT GREEMORE  
Court Administrator  
[bob.greemore@state.vt.us](mailto:bob.greemore@state.vt.us)

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October 1, 2012

To: The Joint Fiscal Committee

From: Karen Gennette, Programs Manager, Court Administrator's Office

(802)-828-4913

[karen.gennette@state.vt.us](mailto:karen.gennette@state.vt.us)

Re: A Joint Program to Enhance Adult Drug Court Services, Coordination and Treatment

Grantors: Department of Justice / Bureau of Justice Assistance (BJA): Federal Catalog # 16.585

Substance Abuse and Mental Health Services Administration / Center for

Substance Abuse Treatment (SAMHSA): Federal Catalogue #CFDA 93.243

Enclosed herein you'll find two grant award notices (one from BJA and one from SAMHSA), two AA-1 forms, one grant application, one timeline, two budgets, and the program coordinator job description. A joint request for proposals was issued by BJA and SAMHSA which required one application and two budgets. One budget needed to meet the requirements for BJA and one for SAMHSA.

**Overview from the FY'2012 Competitive Grant Announcement**

BJA and SAMHSA are accepting applications for FY 2012 grants to enhance the court services, coordination, and evidence-based substance abuse treatment and recovery support services of adult drug courts. The purpose of this joint initiative is to allow applicants to submit a comprehensive strategy for enhancing drug court services and capacity, permitting applicants to compete for both criminal justice and substance abuse treatment funds with one application.

Because the grant application required two budgets, two AA-1 forms were prepared, one for each budget reflecting the separate grant award amounts. The budgets will be monitored separately. The BJA grant award is for 3 years as reflected in the AA-1 form showing the entire \$300,000 award. SAMHSA makes their awards one year at a time, the AA-1 form reflects the one year SAMHSA grant award in the amount of \$280,028. You will notice in the SAMHSA grant award notice, the Project Period is listed as 3 years, from 9/30/2012 to 9/29/2015, the Court Administrator's Office expects to receive an additional 2 years of funding although this is not guaranteed.

Please let me know if you have any questions.

**OCT 11 2012**



**Department of Justice**

**Office of Justice Programs**

*Office of Communications*

*Washington, D.C. 20531*

**GRANT NOTIFICATION**

Grant Number: 2012-DC-BX-0049

Name Address of Recipient: Office of the Court Administrator  
109 State Street

City, State Zip: Montpelier, Vermont 05609-0701

Recipient Project Director/Contact:  
Robert Greemore  
Court Administrator

Phone: (802) 828-4913

Title of Program: FY 12 Joint Adult Drug Court Solicitation to Enhance Services, Coordination, and Treatment

Title of Project: FY 12 Joint Adult Drug Court Solicitation to Enhance Services, Coordination, and Treatment

Amount of Award: \$300,000

Date of Award: 08/22/2012

Awarding Agency: Bureau of Justice Assistance  
Tracy Lee-Williams

Supplement: No

Statutory Authority for Program FY12(BJA - Drug Courts) 42 USC 3797u

Impact/Focus: Discretionary

CFDA Number 16.585

**Project Description:**

The Joint Adult Drug Court Services, Coordination, and Treatment Grant Program is designed to assist states, state courts, local courts, counties, other units of local government, and Indian tribal governments to implement comprehensive strategies for enhancing drug court capacity by bridging access to both criminal justice and substance abuse treatment funds. The program supports the enhancement of court services and capacity, coordination, and evidence-based substance abuse treatment and recovery support services of adult drug courts. Drug court programs funded by the Joint Adult Drug Court Services, Coordination, and Treatment Grant Program are required by law to target nonviolent criminal offenders.

The grant recipient will use the grant funds to: establish new services for populations not currently being served in the drug court; enhance court operations by providing training programs for drug court practitioners, evaluating drug court programs, or implementing performance management or automated management information systems; expand court services in areas such as offender management, including drug testing, case management, and community supervision; and improve the quality or intensity of services such as healthcare and mental health care, education, vocational training, job training and placement, housing placement assistance, and childcare or other family support services for each participant who requires such services.

CA/NCF



## ABSTRACT

The State of Vermont Court Administrator's Office, in collaboration with the Chittenden County District Court, the HowardCenter (substance abuse/mental health agency), and the Turning Point Recovery Center is requesting Joint Adult Drug Court Grant Program funds in the amount of (\$280,028 per year from SAMHSA, and \$99,875 per year from BJA) for the Chittenden County Treatment Court Enhancement Project. The Chittenden County Treatment Court Enhancement Project (CCTCEP) will enhance the quality of the treatment court model through the systematic use of evidence based recovery coaches and recovery groups in addition to mental health peer support workers who will help participants address their long-term recovery and community integration; will address parenting needs, analyze the referrals of ethnically diverse populations, will improve data collection; and improve linkages between and among area organizations, treatment providers, and the recovery community.

The proposed project will serve Chittenden County, in northwestern Vermont, and will serve Burlington (population 39,824), Vermont's largest and most diverse community, as well as surrounding small towns and villages. The population to be served are adults who have behavioral health issues, including substance abuse, mental health and co-occurring disorders (not excluding those persons with solely a substance use condition or mental health condition)—particularly those at risk of continuing the multigenerational cycle of poverty, substance use, and involvement within the criminal justice system.

The existing treatment courts currently serve 47 very high risk and high need participants. The project will expand the number of participants receiving services from an average of 43 per year to 70 per year by the end of year three. The total number of unduplicated participants over the 3 years will be 165 (30 new participants in year 1, 40 in year 2, and 52 in year 3.) Enhanced services will include the use of evidence based recovery groups and recovery coaches in addition to community support workers (in cases where there is significant mental illness) who will help participants address their long-term recovery and community integration needs. The project will provide enhanced services to all 165 participants. Based on historical trends, 96% of participants will have both mental health issues and substance abuse issues, although services will be available for persons with solely a substance abuse issue or a mental health issue.

In operation since 2003, the Chittenden County Treatment Court closely follows the 10 Key Components of Drug Courts through a nonadversarial approach, prompt identification of participants, access to a continuum of services, abstinence monitoring, compliance strategy, judicial interaction, interdisciplinary education, community partnerships and evaluation. This proposal includes details about the adherence to the following Drug Court Key Components: Key Component 1 (pages 1 and 5), Key Component 2 (page 1), Key Component 3 (page 2), Key Component 4 (page 3), Key Component 5 (pages 2, 3, and 4), Key Component 6 (pages 3 and 5), Key Component 7 (pages 3 and 5) and Key Component 10 (page 5).

The Chittenden County Treatment Court Enhancement Project is also derived from several Evidence-based Program Design Features including: Design Feature 1 (pages 2 and 13), Design Feature 2 (page 7), Design Feature 3 (pages 5 and 17), Design Feature 4 (page 1), Design Feature 5 (pages 2, 3, and 4), Design Feature 6 (page 3) and Design Feature 7 (page 3).