



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: James Reardon, Commissioner of Finance & Management
From: Nathan Lavery, Fiscal Analyst
Date: January 11, 2010
Subject: JFO #2419, #2420, #2421, #2422

No Joint Fiscal Committee member has requested that the following items be held for review:

JFO #2419 — \$1,198,956 grant from the U.S. Department Health and Human Services to the Vermont Department of Mental Health. These funds will be used to implement the Attachment, Self-Regulation and Competency (ARC) framework for complex trauma treatment aimed at improving outcomes for children ages 3 – 18 years old that have experienced complex trauma. **One (1) limited service position is associated with this request.**

[JFO received 12/8/09]

JFO #2420 — \$237,500 grant from the U.S. Department of Housing and Urban Development (HUD) to the Vermont Department of Children and Families, Office of Economic Opportunity. These grant funds will be used to cover building rehabilitation and/or new construction costs for seven homeless shelters across Vermont.

[JFO received 12/8/09]

JFO #2421 — \$250,000 grant from the U.S. Department Justice to the Vermont Judiciary. These grant funds will be used to support modeling (in Chittenden County) a statewide approach for creating integrated "criminal justice-capable" systems of care that divert persons with mental illness from the criminal justice system. **This request includes establishment of one (1) limited service position.**

[JFO received 12/8/09]

JFO #2422 — \$830,600 grant from the U.S. Centers for Disease Control and Prevention to the Vermont Department of Health, awarded under the American Recovery and Reinvestment Act. These grant funds will be used to establish an internal surveillance and prevention strategy designed to reduce the incidence of health care-associated infections. **This request includes establishment of one (1) limited service position.**

[JFO received 12/10/09]

The Governor's approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Michael Hartman, Commissioner
Wendy Davis, Commissioner
Stephen Dale, Commissioner
Robert Greemore, Court Administrator



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: December 9, 2009
Subject: Grant Requests

Enclosed please find five (5) requests that the Joint Fiscal Office has received from the administration. If approved, these requests would result in the establishment of 4 new limited service positions.

JFO #2418 — Request to **establish one (1) limited service position** in the Department of Public Safety. Funding for this position is provided by the \$3,061,782 Byrne Justice Assistance Grant awarded under the American Recovery and Reinvestment Act, and **expedited review of this item has been requested**. Joint Fiscal Committee members will be contacted within two weeks with a request to waive the statutory review period and accept this item. Note: The underlying grant was approved as part of the FY2010 budget (Sec. B.209).

[JFO received 12/3/09]

JFO #2419 — \$1,198,956 grant from the U.S. Department Health and Human Services to the Vermont Department of Mental Health. These funds will be used to implement the Attachment, Self-Regulation and Competency (ARC) framework for complex trauma treatment aimed at improving outcomes for children ages 3 – 18 years old that have experienced complex trauma. **One (1) limited service position is associated with this request**. Note: Request is comprised of selected pages from complete submission; additional information available upon request.

[JFO received 12/8/09]

JFO #2420 — \$237,500 grant from the U.S. Department of Housing and Urban Development (HUD) to the Vermont Department of Children and Families, Office of Economic Opportunity. These grant funds will be used to cover building rehabilitation and/or new construction costs for seven homeless shelters across Vermont in order to increase capacity or improve safety.

[JFO received 12/8/09]

JFO #2421 — \$250,000 grant from the U.S. Department Justice to the Vermont Judiciary. These grant funds will be used to support modeling (in Chittenden County) a statewide approach for creating integrated "criminal justice-capable" systems of care that divert persons with mental illness from the criminal justice system. **This request includes establishment of one (1) limited service position**.

[JFO received 12/8/09]

JFO #2422 — \$830,600 grant from the U.S. Centers for Disease Control and Prevention to the Vermont Department of Health, awarded under the American Recovery and Reinvestment Act. These grant funds will be used to establish an internal surveillance and prevention strategy designed to reduce the incidence of health care-associated infections. **This request includes establishment of one (1) limited service position.**

[JFO received 12/10/09]

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by December 23 we will assume that you agree to consider as final the Governor's acceptance of these requests.

cc: James Reardon, Commissioner
Thomas Tremblay, Commissioner
Wendy Davis, Commissioner
Stephen Dale, Commissioner
Robert Greemore, Court Administrator

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
 [fax] 802-828-2428

STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		This grant will cover building rehab and/or new construction costs for seven homeless shelters across Vermont. The projects will in some cases increase capacity at the shelters and in others improve safety and access to the shelters!			
Date:		11/20/2009			
Department:		Vermont Department for Childrean and Families- Office of Economic Opportunity			
Legal Title of Grant:		Economic Development Initiative- Special Project, Neighborhood Initiative.			
Federal Catalog #:		14.251			
Grant/Donor Name and Address:		Department of Housing and Urban Development, Washington, D.C. 20410-7000			
Grant Period:		From:	To:		
		11/1/2009	10/31/2012		
Grant/Donation		\$237,500			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$157,500	\$80,000	\$	\$237,500	
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:					
Department of Finance & Management				11/21/09	(Initial)
Secretary of Administration		Tan Kelly		11/30/09	(Initial)
Sent To Joint Fiscal Office				12/7/09	Date 12/7/09

RECEIVED

DEC 08 2009

JOINT FISCAL OFFICE

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

11/13/10

BASIC GRANT INFORMATION

1. Agency:	Agency of Human Services Department for Children & Families		
2. Department:	Office of Economic Opportunity		
3. Program:	VT Support for Homeless Mental Illness and Substance Abuse Program		
4. Legal Title of Grant:	Economic Development Initiative-Special Project, Neighborhood Initiative		
5. Federal Catalog #:	14.251		
6. Grant/Donor Name and Address:	Dept of Housing & Urban Development, Washington D.C. 20410-7000		
7. Grant Period:	From:	11/1/2009	To: 10/31/2010
8. Purpose of Grant:	Rehabilitation and/or new construction for seven homeless shelters to either increase capacity or to address health and safety issues.		
9. Impact on existing program if grant is not Accepted:	Continue with housing shortage for homeless individuals.		

BUDGET INFORMATION

	SFY 1 FY 2010	SFY 2 FY 2011	SFY 3 FY	Comments
Expenditures:				
Personal Services	\$	\$	\$	
Operating Expenses	\$	\$	\$	
Grants	\$157,500	\$80,000	\$	
Total	\$157,500	\$80,000	\$	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$157,500	\$80,000	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$	\$	\$	

Appropriation No:	3440100000	Amount:	\$237,500
			\$
			\$
			\$
			\$
			\$
			\$

RECEIVED NOV 17 2009

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

Total \$237,500

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: _____ (initial)

12. Limited Service Position Information:

Positions

Title

0

Total Positions

12a. Equipment and space for these positions:

Is presently available. Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

Signature:

[Signature]

Date: 10/20/09

Title:

COMMISSIONER, DCF

Signature:

[Signature]
Deputy Secretary

Date: 11/13/09

Title:

14. SECRETARY OF ADMINISTRATION

Approved:

(Secretary or designee signature)

[Signature]

Date: 12/2/09

15. ACTION BY GOVERNOR

Check One Box:
Accepted

(Governor's signature)

[Signature]

Date: 12/5/09

Rejected

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

- Request Memo
- Dept. project approval (if applicable)
- Notice of Award
- Grant Agreement
- Grant Budget

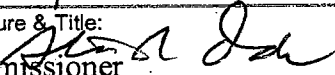
- Notice of Donation (if any)
- Grant (Project) Timeline (if applicable)
- Request for Extension (if applicable)
- Form AA-1PN attached (if applicable)

End Form AA-1

[Signature]
10/22/09

Assistance Award/Amendment

U.S. Department of Housing
and Urban Development
Office of Administration

1. Assistance Instrument <input type="checkbox"/> Cooperative Agreement <input checked="" type="checkbox"/> Grant		2. Type of Action <input checked="" type="checkbox"/> Award <input type="checkbox"/> Amendment																			
3. Instrument Number B-09-SP-VT-0351	4. Amendment Number	5. Effective Date of this Action	6. Control Number																		
7. Name and Address of Recipient Department for Children and Families/Office of Economic Opportunity 103 South Main Street Waterbury, VT 05671-1801 EIN: 03-6000264		8. HUD Administering Office CPD, EDI Special Project Division 451 7th Street, SW, Rm 7146 Washington, DC 20410-7000																			
		8a. Name of Administrator	8b. Telephone Number																		
10. Recipient Project Manager Steven R. Dale		9. HUD Government Technical Representative Katressa L. Garner 202-402-4701																			
11. Assistance Arrangement <input type="checkbox"/> Cost Reimbursement <input type="checkbox"/> Cost Sharing <input checked="" type="checkbox"/> Fixed Price	12. Payment Method <input type="checkbox"/> Treasury Check Reimbursement <input type="checkbox"/> Advance Check <input checked="" type="checkbox"/> Automated Clearinghouse	13. HUD Payment Office Chief Financial Officer																			
14. Assistance Amount		15. HUD Accounting and Appropriation Data																			
<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>Previous HUD Amount</td><td></td></tr> <tr><td>HUD Amount this Action</td><td style="text-align: right;">\$237,500.00</td></tr> <tr><td>Total HUD Amount</td><td style="text-align: right;">\$237,500.00</td></tr> <tr><td>Recipient Amount</td><td></td></tr> <tr><td>Total Instrument Amount</td><td style="text-align: right;">\$237,500.00</td></tr> </table>		Previous HUD Amount		HUD Amount this Action	\$237,500.00	Total HUD Amount	\$237,500.00	Recipient Amount		Total Instrument Amount	\$237,500.00	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>15a. Appropriation Number</td> <td>15b. Reservation Number EID 09</td> </tr> <tr> <td>Amount Previously Obligated</td> <td></td> </tr> <tr> <td>Obligation by this Action</td> <td style="text-align: right;">\$237,500.00</td> </tr> <tr> <td>Total Obligation</td> <td style="text-align: right;">\$237,500.00</td> </tr> </table>		15a. Appropriation Number	15b. Reservation Number EID 09	Amount Previously Obligated		Obligation by this Action	\$237,500.00	Total Obligation	\$237,500.00
Previous HUD Amount																					
HUD Amount this Action	\$237,500.00																				
Total HUD Amount	\$237,500.00																				
Recipient Amount																					
Total Instrument Amount	\$237,500.00																				
15a. Appropriation Number	15b. Reservation Number EID 09																				
Amount Previously Obligated																					
Obligation by this Action	\$237,500.00																				
Total Obligation	\$237,500.00																				
16. Description for Support Homeless Mental Illness and Substance Abuse Programs Through-Vermont Office of Economic Opportunity This Award consists of the following items which are appended to and hereby made part of this Award: (A) Cover Page - HUD 1044 (B) Grant Agreement Special Conditions: Please contact Theresa Fretwell - HUD Area Environmental Officer at 212-264-2885, Therese_J_Fretwell@hud.gov concerning environmental review. NO FUNDS may be committed to the project or drawn down prior to environmental release of funds approval.																					
17. <input checked="" type="checkbox"/> Recipient is required to sign and return three (3) copies of this document to the HUD Administering Office.		18. <input type="checkbox"/> Recipient is not required to sign this document.																			
19. Recipient (By Name): Mr. Steven R. Dale		20. HUD (By Name): Robert Duncan																			
Signature & Title:  Commissioner		Date: 10/19/07	Signature and Title: ADAS for Economic Development																		
		Date:																			

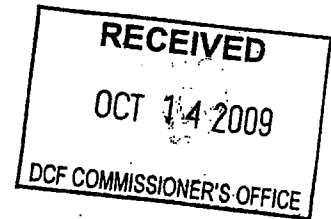
Previous Editions are Obsolete



OFFICE OF COMMUNITY PLANNING
AND DEVELOPMENT

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, D.C. 20410-1000

OCT - 7 2009



Mr. Steven R. Dale
Commissioner
Department for Children and Families/Office of Economic
Opportunity
103 South Main Street
Waterbury, VT 05671-1801

Dear Mr. Dale:

The Department is pleased to announce approval of the following Special Project grant, as funded by the Congress in the Department of Housing and Urban Development Appropriations Act, 2009 (PL 111-8) and as described in the Committee Print of the Committee on Appropriations U.S. House of Representatives, March 2009.

Project No.: B-09-SP-VT-0351
Project Funding: \$237,500
Recipient: Department for Children and Families/Office of Economic Opportunity

This letter transmits the following documents and guidance needed to activate your FY 2009 special project grant.

1. Grant Agreement. Enclosed are four copies. Please read the agreement carefully, noting any attachments which have modifications or special conditions on this grant. Please sign and date three copies with original signatures and return them as noted below. The fourth copy is for your records pending receipt of a countersigned copy.

2. Assistance Award - Form 1044. Four copies are enclosed. Please sign and date three copies with original signatures and return them as noted below. The fourth copy is for your records pending receipt of a countersigned copy.

3. Forms and Instructions for Drawing Down Funds. HUD uses the Line of Credit Control System (LOCCS) for financial management of grant funds. Under this system, you identify the bank account into which you want HUD to deposit funds electronically. Then you request scheduled payments, using a voice response system.

You will be able to draw down funds once, 1) HUD processes the forms, 2) sends you a LOCCS ID Number and a LOCCS Voice Response Number, and 3) after the required certifications and environmental review (if needed) have been accepted by HUD.

Please ensure that all the following forms are complete and return them together as noted below:

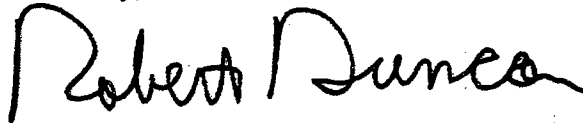
- a) the three signed originals of the Grant Agreement;
- b) the three signed originals of the Assistance Award (HUD Form 1044);
- c) the Direct Deposit Form (SF 1199) completed by you and your financial institution;
- d) evidence of the ABA number for your depository account, such as a VOIDED blank check, a deposit slip or similar documentation.

The notarized LOCCS Access Authorization Form (HUD form 27054) should be sent directly to the address on the form.

All of these items should be sent to the Government Technical Representative for this grant, Katressa L. Garner, Room 7146, U.S. Dept. of Housing and Urban Development, 451 Seventh Street, SW, Washington, DC 20410. If you or your staff have any questions about these documents and procedures, please contact Katressa L. Garner at 202-402-4701, fax (202)-708-7543, or Katressa.L.Garner@hud.gov.

We look forward to working with you toward a successful completion of this project.

Sincerely,



Robert Duncan
Associate Deputy Assistant Secretary
for Economic Development

Enclosures

Project Description

The State of Vermont Office of Economic Opportunity proposes to use the Economic Development Initiative Special Projects funding for rehabilitation and renovation of seven shelters for the homeless within the State of Vermont. The proposed rehabilitation and renovation will provide an increased capacity to house the homeless and address (in some cases) health and safety issues.

The Office of Economic Opportunity issued a Request for Proposals and received responses from seven shelter organizations. A grant review committee met in Mid August and conditionally awarded the organizations the following amounts for the specified activities:

Upper Valley Haven, 713 Hartford Avenue, White River Junction

Purchase and installation of flooring in newly constructed adult shelter increasing capacity by 20 beds. (\$39,000)

Committee on Temporary Shelter, Eagles Nest shelter 194 Saint Paul Street, Burlington

Installation of sprinkler system ensuring fire safety (\$38,500)

Rutland City Rescue Mission, 31 Park Street, Rutland

Construction of 24x24 addition increasing capacity by 14 beds (\$35,000)

Veterans Assistance Office, 95 Crescent Street, Rutland

Excavation and installation of new concrete footing and foundation and associated sill repair as needed. Replacement of handicap access ramps. (\$30,000)

Samaritan House, 20 Kingman Street, Saint Albans

Resolution of water and sewer issues including repair of iron pipes connecting to the town systems and installation of sprinkler system to ensure fire safety (\$30,000)

Morningside Shelter, 81 Morningside Drive, Brattleboro

Expansion of shelter to create capacity for two new family rooms. Costs include excavation, foundation work, walls, framing and roof installation (\$35,000)

John Graham Shelter, 3 East Street, Vergennes

Redevelopment costs associated with the renovation and expansion of the basement sleeping quarters to include replacement of bathroom and improvement of egress (\$30,000)

Project Budget

State of Vermont Office of Economic Opportunity award amount **\$237,500**

Proposed Use: rehabilitation and/or new construction for seven homeless shelters to either increase capacity or to address health and safety issues.

Proposed Distribution:

Upper Valley Haven	\$39,000
Committee on Temporary Shelter	\$38,500
Rutland City Rescue Mission	\$35,000
Veterans Assistance Office	\$30,000
Samaritan House	\$30,000
Morningside Shelter	\$35,000
John Graham Shelter	<u>\$30,000</u>

TOTAL BUDGET \$237,500

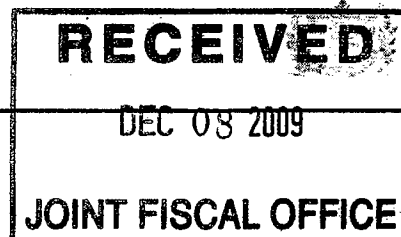
State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
 [fax] 802-828-2428

STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		This grant will cover building rehab and/or new construction costs for seven homeless shelters across Vermont. The projects will in some cases increase capacity at the shelters and in others improve safety and access to the shelters!			
Date:		11/20/2009			
Department:		Vermont Department for Childrean and Families- Office of Economic Opportunity			
Legal Title of Grant:		Economic Development Initiative- Special Project, Neighborhood Initiative.			
Federal Catalog #:		14.251			
Grant/Donor Name and Address:		Department of Housing and Urban Development, Washington, D.C. 20410-7000			
Grant Period:		From:	To:		
		11/1/2009	10/31/2012		
Grant/Donation		\$237,500			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$157,500	\$80,000	\$	\$237,500	
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:					
Department of Finance & Management		<i>JH</i>		<i>11/21/09</i>	(Initial)
Secretary of Administration		<i>Tan Rell</i>		<i>11/30/09</i>	(Initial)
Sent To Joint Fiscal Office				<i>12/7/09</i>	Date <i>12/7/09</i>



STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

Handwritten initials and date: 11/13/09

BASIC GRANT INFORMATION				
1. Agency:	Agency of Human Services Department for Children & Families			
2. Department:	Office of Economic Opportunity			
3. Program:	VT Support for Homeless Mental Illness and Substance Abuse Program			
4. Legal Title of Grant:	Economic Development Initiative-Special Project, Neighborhood Initiative			
5. Federal Catalog #:	14.251			
6. Grant/Donor Name and Address:	Dept of Housing & Urban Development, Washington D.C. 20410-7000			
7. Grant Period:	From:	11/1/2009	To:	10/31/2010
8. Purpose of Grant:	Rehabilitation and/or new construction for seven homeless shelters to either increase capacity or to address health and safety issues.			
9. Impact on existing program if grant is not Accepted:	Continue with housing shortage for homeless individuals.			
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2010	FY 2011	FY	
Personal Services	\$	\$	\$	
Operating Expenses	\$	\$	\$	
Grants	\$157,500	\$80,000	\$	
Total	\$157,500	\$80,000	\$	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$157,500	\$80,000	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$	\$	\$	
Appropriation No:	34401000000		Amount:	\$237,500
				\$
				\$
				\$
				\$
				\$
				\$

RECEIVED NOV 17 2009

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

	Total \$237,500
--	------------------------

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.


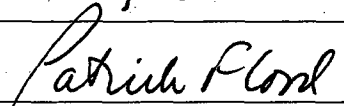
Appointing Authority Name: _____ Agreed by: _____ (initial)

12. Limited Service Position Information:	# Positions	Title
	0	
Total Positions		

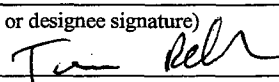
12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

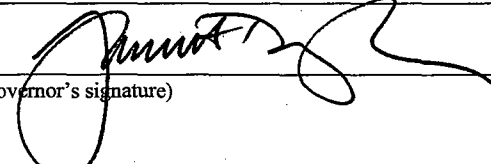
I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

Signature: 	Date: 10/20/09
Title: COMMISSIONER, DCF	
Signature: 	Date: 11/13/09
Title: Deputy Secretary	

14. SECRETARY OF ADMINISTRATION

Approved: _____ (Secretary or designee signature)  Date: 12/2/09

15. ACTION BY GOVERNOR

Check One Box: Accepted  Date: 12/5/09
 Rejected _____ (Governor's signature)

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

- | | |
|---|---|
| <input type="checkbox"/> Request Memo | <input type="checkbox"/> Notice of Donation (if any) |
| <input type="checkbox"/> Dept. project approval (if applicable) | <input type="checkbox"/> Grant (Project) Timeline (if applicable) |
| <input type="checkbox"/> Notice of Award | <input type="checkbox"/> Request for Extension (if applicable) |
| <input type="checkbox"/> Grant Agreement | <input type="checkbox"/> Form AA-1PN attached (if applicable) |
| <input type="checkbox"/> Grant Budget | |

End Form AA-1


10/22/09

Assistance Award/Amendment

**U.S. Department of Housing
and Urban Development
Office of Administration**

1. Assistance Instrument <input type="checkbox"/> Cooperative Agreement <input checked="" type="checkbox"/> Grant		2. Type of Action <input checked="" type="checkbox"/> Award <input type="checkbox"/> Amendment	
3. Instrument Number B-09-SP-VT-0351	4. Amendment Number	5. Effective Date of this Action	6. Control Number
7. Name and Address of Recipient Department for Children and Families/Office of Economic Opportunity 103 South Main Street Waterbury, VT 05671-1801 EIN: 03-6000264		8. HUD Administering Office CPD, EDI Special Project Division 451 7th Street, SW, Rm 7146 Washington, DC 20410-7000	
		8a. Name of Administrator	8b. Telephone Number

10. Recipient Project Manager Steven R. Dale	9. HUD Government Technical Representative Katressa L. Garner 202-402-4701
---	--

11. Assistance Arrangement <input type="checkbox"/> Cost Reimbursement <input type="checkbox"/> Cost Sharing <input checked="" type="checkbox"/> Fixed Price	12. Payment Method <input type="checkbox"/> Treasury Check Reimbursement <input type="checkbox"/> Advance Check <input checked="" type="checkbox"/> Automated Clearinghouse	13. HUD Payment Office Chief Financial Officer
---	--	---

14. Assistance Amount		15. HUD Accounting and Appropriation Data											
<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>Previous HUD Amount</td><td></td></tr> <tr><td>HUD Amount this Action</td><td align="right">\$237,500.00</td></tr> <tr><td>Total HUD Amount</td><td align="right">\$237,500.00</td></tr> <tr><td>Recipient Amount</td><td></td></tr> <tr><td>Total Instrument Amount</td><td align="right">\$237,500.00</td></tr> </table>		Previous HUD Amount		HUD Amount this Action	\$237,500.00	Total HUD Amount	\$237,500.00	Recipient Amount		Total Instrument Amount	\$237,500.00	15a. Appropriation Number	15b. Reservation Number EID 09
Previous HUD Amount													
HUD Amount this Action	\$237,500.00												
Total HUD Amount	\$237,500.00												
Recipient Amount													
Total Instrument Amount	\$237,500.00												
		Amount Previously Obligated											
		Obligation by this Action	\$237,500.00										
		Total Obligation	\$237,500.00										

16. Description
for Support Homeless Mental Illness and Substance Abuse Programs Through-Vermont Office of Economic Opportunity

This Award consists of the following items which are appended to and hereby made part of this Award:

(A) Cover Page - HUD 1044
(B) Grant Agreement

Special Conditions:

Please contact Theresa Fretwell - HUD Area Environmental Officer at 212-264-2885, Therese J. Fretwell@hud.gov concerning environmental review. NO FUNDS may be committed to the project or drawn down prior to environmental release of funds approval.

17. <input checked="" type="checkbox"/> Recipient is required to sign and return three (3) copies of this document to the HUD Administering Office.	18. <input type="checkbox"/> Recipient is not required to sign this document.
---	---

19. Recipient (By Name): Mr. Steven R. Dale	20. HUD (By Name): Robert Duncan
--	-------------------------------------

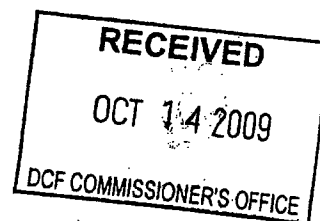
Signature & Title: <i>Steven R. Dale</i> Commissioner	Date: 10/19/09	Signature and Title: ADAS for Economic Development	Date:
---	-------------------	---	-------



OFFICE OF COMMUNITY PLANNING
AND DEVELOPMENT

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, D.C. 20410-1000

OCT - 7 2009



Mr. Steven R. Dale
Commissioner
Department for Children and Families/Office of Economic
Opportunity
103 South Main Street
Waterbury, VT 05671-1801

Dear Mr. Dale:

The Department is pleased to announce approval of the following Special Project grant, as funded by the Congress in the Department of Housing and Urban Development Appropriations Act, 2009 (PL 111-8) and as described in the Committee Print of the Committee on Appropriations U.S. House of Representatives, March 2009.

Project No.: B-09-SP-VT-0351
Project Funding: \$237,500
Recipient: Department for Children and Families/Office of Economic Opportunity

This letter transmits the following documents and guidance needed to activate your FY 2009 special project grant.

1. Grant Agreement. Enclosed are four copies. Please read the agreement carefully, noting any attachments which have modifications or special conditions on this grant. Please sign and date three copies with original signatures and return them as noted below. The fourth copy is for your records pending receipt of a countersigned copy.
2. Assistance Award - Form 1044. Four copies are enclosed. Please sign and date three copies with original signatures and return them as noted below. The fourth copy is for your records pending receipt of a countersigned copy.
3. Forms and Instructions for Drawing Down Funds. HUD uses the Line of Credit Control System (LOCCS) for financial management of grant funds. Under this system, you identify the bank account into which you want HUD to deposit funds electronically. Then you request scheduled payments, using a voice response system.

You will be able to draw down funds once, 1) HUD processes the forms, 2) sends you a LOCCS ID Number and a LOCCS Voice Response Number, and 3) after the required certifications and environmental review (if needed) have been accepted by HUD.

Please ensure that all the following forms are complete and return them together as noted below:

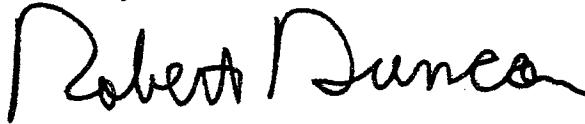
- a) the three signed originals of the Grant Agreement;
- b) the three signed originals of the Assistance Award (HUD Form 1044);
- c) the Direct Deposit Form (SF 1199) completed by you and your financial institution;
- d) evidence of the ABA number for your depository account, such as a VOIDED blank check, a deposit slip or similar documentation.

The notarized LOCCS Access Authorization Form (HUD form 27054) should be sent directly to the address on the form.

All of these items should be sent to the Government Technical Representative for this grant, Katressa L. Garner, Room 7146, U.S. Dept. of Housing and Urban Development, 451 Seventh Street, SW, Washington, DC 20410. If you or your staff have any questions about these documents and procedures, please contact Katressa L. Garner at 202-402-4701, fax (202)-708-7543, or Katressa.L.Garner@hud.gov.

We look forward to working with you toward a successful completion of this project.

Sincerely,



Robert Duncan
Associate Deputy Assistant Secretary
for Economic Development

Enclosures

Project Description

The State of Vermont Office of Economic Opportunity proposes to use the Economic Development Initiative Special Projects funding for rehabilitation and renovation of seven shelters for the homeless within the State of Vermont. The proposed rehabilitation and renovation will provide an increased capacity to house the homeless and address (in some cases) health and safety issues.

The Office of Economic Opportunity issued a Request for Proposals and received responses from seven shelter organizations. A grant review committee met in Mid August and conditionally awarded the organizations the following amounts for the specified activities:

Upper Valley Haven, 713 Hartford Avenue, White River Junction

Purchase and installation of flooring in newly constructed adult shelter increasing capacity by 20 beds. (\$39,000)

Committee on Temporary Shelter, Eagles Nest shelter 194 Saint Paul Street, Burlington

Installation of sprinkler system ensuring fire safety (\$38,500)

Rutland City Rescue Mission, 31 Park Street, Rutland

Construction of 24x24 addition increasing capacity by 14 beds (\$35,000)

Veterans Assistance Office, 95 Crescent Street, Rutland

Excavation and installation of new concrete footing and foundation and associated sill repair as needed. Replacement of handicap access ramps. (\$30,000)

Samaritan House, 20 Kingman Street, Saint Albans

Resolution of water and sewer issues including repair of iron pipes connecting to the town systems and installation of sprinkler system to ensure fire safety (\$30,000)

Morningside Shelter, 81 Morningside Drive, Brattleboro

Expansion of shelter to create capacity for two new family rooms. Costs include excavation, foundation work, walls, framing and roof installation (\$35,000)

John Graham Shelter, 3 East Street, Vergennes

Redevelopment costs associated with the renovation and expansion of the basement sleeping quarters to include replacement of bathroom and improvement of egress (\$30,000)

Project Budget

State of Vermont Office of Economic Opportunity award amount \$237,500

Proposed Use: rehabilitation and/or new construction for seven homeless shelters to either increase capacity or to address health and safety issues.

Proposed Distribution:

Upper Valley Haven	\$39,000
Committee on Temporary Shelter	\$38,500
Rutland City Rescue Mission	\$35,000
Veterans Assistance Office	\$30,000
Samaritan House	\$30,000
Morningside Shelter	\$35,000
John Graham Shelter	<u>\$30,000</u>

TOTAL BUDGET \$237,500



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Representative Ann Pugh
Senator Douglas Racine

From: Nathan Lavery, Fiscal Analyst

Date: December 9, 2009

Subject: JFO #2419 & #2420

Representative Michael Obuchowski asked that I forward to you a copy of the enclosed grant materials and cover memo. He requests your observations regarding the enclosed items.

cc: Rep. Michael Obuchowski
Stephen Klein

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

Agency of Administration

STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		This grant will cover building rehab and/or new construction costs for seven homeless shelters across Vermont. The projects will in some cases increase capacity at the shelters and in others improve safety and access to the shelters!			
Date:		11/20/2009			
Department:		Vermont Department for Childrean and Families- Office of Economic Opportunity			
Legal Title of Grant:		Economic Development Initiative- Special Project, Neighborhood Initiative.			
Federal Catalog #:		14.251			
Grant/Donor Name and Address:		Department of Housing and Urban Development, Washington, D.C. 20410-7000			
Grant Period:		From:	To:		
		11/1/2009	10/31/2012		
Grant/Donation		\$237,500			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$157,500	\$80,000	\$	\$237,500	
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:					
Department of Finance & Management <i>JH</i>			<i>11/21/09</i>	(Initial)	
Secretary of Administration <i>Tom Kelly</i>			<i>11/30/09</i>	(Initial)	
Sent To Joint Fiscal Office			<i>12/7/09</i>	Date <i>12/7/09</i>	

RECEIVED
 DEC 08 2009
JOINT FISCAL OFFICE

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

Handwritten: 11/13/09

BASIC GRANT INFORMATION				
1. Agency:	Agency of Human Services Department for Children & Families			
2. Department:	Office of Economic Opportunity			
3. Program:	VT Support for Homeless Mental Illness and Substance Abuse Program			
4. Legal Title of Grant:	Economic Development Initiative-Special Project, Neighborhood Initiative			
5. Federal Catalog #:	14.251			
6. Grant/Donor Name and Address:	Dept of Housing & Urban Development, Washington D.C. 20410-7000			
7. Grant Period:	From:	11/1/2009	To:	10/31/2010
8. Purpose of Grant:	Rehabilitation and/or new construction for seven homeless shelters to either increase capacity or to address health and safety issues.			
9. Impact on existing program if grant is not Accepted:	Continue with housing shortage for homeless individuals.			
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2010	FY 2011	FY	
Personal Services	\$	\$	\$	
Operating Expenses	\$	\$	\$	
Grants	\$157,500	\$80,000	\$	
Total	\$157,500	\$80,000	\$	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$157,500	\$80,000	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$	\$	\$	
Appropriation No:	34401000000	Amount:	\$237,500	
			\$	
			\$	
			\$	
			\$	
			\$	
			\$	

RECEIVED NOV 17 2009

1234

1234

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

		Total	\$237,500
--	--	--------------	-----------

PERSONAL SERVICE INFORMATION


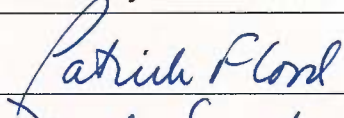

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: _____ (initial)

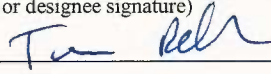
12. Limited Service Position Information:	# Positions	Title
	0	
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

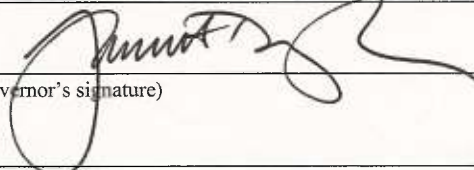
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: 	Date: 10/20/09
	Title: Commissioner, DCF	
	Signature: 	Date: 11/13/09 
	Title: Deputy Secretary	

14. SECRETARY OF ADMINISTRATION

<input type="checkbox"/> Approved:	(Secretary or designee signature) 	Date: 12/2/09
------------------------------------	---	---------------

15. ACTION BY GOVERNOR


<input checked="" type="checkbox"/>	Check One Box: Accepted	(Governor's signature) 	Date: 12/5/09
<input type="checkbox"/>	Rejected		

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

<input type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input type="checkbox"/> Notice of Award <input type="checkbox"/> Grant Agreement <input type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)
---	--

End Form AA-1


10/22/09

10/10/10

10/10/10

10/10/10

10/10/10

10/10/10

Assistance Award/Amendment

**U.S. Department of Housing
and Urban Development**
Office of Administration

1. Assistance Instrument <input type="checkbox"/> Cooperative Agreement <input checked="" type="checkbox"/> Grant		2. Type of Action <input checked="" type="checkbox"/> Award <input type="checkbox"/> Amendment	
3. Instrument Number B-09-SP-VT-0351	4. Amendment Number	5. Effective Date of this Action	6. Control Number
7. Name and Address of Recipient Department for Children and Families/Office of Economic Opportunity 103 South Main Street Waterbury, VT 05671-1801 EIN: 03-6000264		8. HUD Administering Office CPD, EDI Special Project Division 451 7th Street, SW, Rm 7146 Washington, DC 20410-7000	
		8a. Name of Administrator	8b. Telephone Number

10. Recipient Project Manager Steven R. Dale	9. HUD Government Technical Representative Katreasa L. Garner 202-402-4701
---	--

11. Assistance Arrangement <input type="checkbox"/> Cost Reimbursement <input type="checkbox"/> Cost Sharing <input checked="" type="checkbox"/> Fixed Price	12. Payment Method <input type="checkbox"/> Treasury Check Reimbursement <input type="checkbox"/> Advance Check <input checked="" type="checkbox"/> Automated Clearinghouse	13. HUD Payment Office Chief Financial Officer
---	--	---

14. Assistance Amount	15. HUD Accounting and Apropriation Data	15b. Reservation Number EID 09										
<table border="1"> <tr><td>Previous HUD Amount</td><td></td></tr> <tr><td>HUD Amount this Action</td><td>\$237,500.00</td></tr> <tr><td>Total HUD Amount</td><td>\$237,500.00</td></tr> <tr><td>Recipient Amount</td><td></td></tr> <tr><td>Total Instrument Amount</td><td>\$237,500.00</td></tr> </table>	Previous HUD Amount		HUD Amount this Action	\$237,500.00	Total HUD Amount	\$237,500.00	Recipient Amount		Total Instrument Amount	\$237,500.00	15a. Appropriation Number	
Previous HUD Amount												
HUD Amount this Action	\$237,500.00											
Total HUD Amount	\$237,500.00											
Recipient Amount												
Total Instrument Amount	\$237,500.00											
	<table border="1"> <tr><td>Amount Previously Obligated</td><td></td></tr> <tr><td>Obligation by this Action</td><td>\$237,500.00</td></tr> <tr><td>Total Obligation</td><td>\$237,500.00</td></tr> </table>	Amount Previously Obligated		Obligation by this Action	\$237,500.00	Total Obligation	\$237,500.00					
Amount Previously Obligated												
Obligation by this Action	\$237,500.00											
Total Obligation	\$237,500.00											

16. Description
for Support Homeless Mental Illness and Substance Abuse Programs Through-Vermont Office of Economic Opportunity

This Award consists of the following items which are appended to and hereby made part of this Award:

(A) Cover Page - HUD 1044
(B) Grant Agreement

Special Conditions:

Please contact Theresa Fretwell - HUD Area Environmental Officer at 212-264-2885, Therese_J_Fretwell@hud.gov concerning environmental review. NO FUNDS may be committed to the project or drawn down prior to environmental release of funds approval.

17. <input checked="" type="checkbox"/> Recipient is required to sign and return three (3) copies of this document to the HUD Administering Office.	18. <input type="checkbox"/> Recipient is not required to sign this document.
---	---

19. Recipient (By Name): Mr. Steven R. Dale	20. HUD (By Name): Robert Duncan
Signature & Title: <i>Steven R. Dale</i> Commissioner	Signature and Title: ADAS for Economic Development
Date: 10/19/09	Date:

Previous Editions are Obsolete

HUD NOTIFICATION
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, DC 20410

FIELD OFFICE: Headquarters

ACTION: Announce FY 2009 Special Project Grant B-09-SP-VT-0351.

DESCRIPTION: A funding approval letter has been approved for an EDI Special Project Grant to Department for Children and Families/Office of Economic Opportunity, Waterbury, VT as identified in the 111-8 (the Department of Housing and Urban Development Appropriations Act, 2009) and a listing of specific Economic Development Initiative Special Projects in the Committee Print of the Committee on Appropriations U.S. House of Representatives, March 2009.

RECIPIENT	CONGRESSIONAL DISTRICT	AMOUNT
Department for Children and Families/Office of Economic Opportunity	1	\$237,500

PROJECT HIGHLIGHTS:

This FY 2009 Special Project grant will be used for Support Homeless Mental Illness and Substance Abuse Programs Through-Vermont Office of Economic Opportunity.

RECIPIENT CONTACT: Steven R. Dale 802-241-2451

HUD - HQ CONTACT: Katressa L. Garner 202-402-4701

CONGRESSIONAL DELEGATION	PARTY
Senator Patrick J. Leahy	D
Senator Bernard Sanders	I
Congressman Peter Welch	I

FY 2009 EDI-SPECIAL PROJECT NO. B-09-SP-VT-0351

GRANT AGREEMENT

This Grant Agreement between the Department of Housing and Urban Development (HUD) and Department for Children and Families/Office of Economic Opportunity (the Grantee) is made pursuant to the authority of Public Law 111-8 (Department of Housing and Urban Development Appropriations Act, 2009) and a listing of certain specific Economic Development Initiative Special Projects specified in the Committee Print of the Committee on Appropriations U.S. House of Representatives, March 2009. The Grantee's application, as may be amended by the provisions of this Grant Agreement, is hereby incorporated into this Agreement.

In reliance upon and in consideration of the mutual representations and obligations hereunder, HUD and the Grantee agree as follows:

Subject to the provisions of the Grant Agreement, HUD will make grant funds in the amount of \$237,500 available to the Grantee.

The Grantee agrees to abide by the following:

ARTICLE I. HUD Requirements.

The Grantee agrees to comply with the following requirements for which HUD has enforcement responsibility.

A. The grant funds will only be used for activities described in the application, which is incorporated by reference and made part of this Agreement as may be modified by Article VII (A) of this Grant Agreement.

B. EQUAL OPPORTUNITY REQUIREMENTS

The grant funds must be made available in accordance with the following:

1. For projects involving housing, the requirements of the Fair Housing Act (42 U.S.C. 3601-20) and implementing regulations at 24 CFR Part 100; Executive Order 11063 (Equal Opportunity in Housing) and implementing regulations at 24 CFR Part 107.
2. The requirements of Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) (Nondiscrimination in Federally Assisted Programs) and implementing regulations issued at 24 CFR Part 1.
3. The prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. 6101-07) and implementing regulations at 24 CFR Part 146, and the prohibitions against discrimination against handicapped individuals under section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and implementing regulations at 24 CFR Part 8.

4. The requirements of 24 CFR 5.105(a) regarding equal opportunity as well as the requirements of Executive Order 11246 (Equal Employment Opportunity) and the implementing regulations issued at 41 CFR Chapter 60.
5. For those grants funding construction covered by 24 CFR 135, the requirements of section 3 of the Housing and Urban Development Act of 1968, (12 U.S.C. 1701u) which requires that economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, be given to low- and very low-income persons and to businesses that provide economic opportunities for these persons.
6. The requirements of Executive Orders 11625 and 12432 (concerning Minority Business Enterprise), and 12138 concerning Women's Business Enterprise). Consistent with HUD's responsibilities under these Orders, the Grantee must make efforts to encourage the use of minority and women's business enterprises in connection with grant funded activities. See 24 CFR Part 85.36(e), which describes actions to be taken by the Grantee to assure that minority business enterprises and women business enterprises are used when possible in the procurement of property and services.
7. Where applicable, Grantee shall maintain records of its efforts to comply with the requirements cited in Paragraphs 5 and 6 above.

C. ENVIRONMENTAL REVIEW REQUIREMENTS.

1. If the Grantee is a unit of general local government, a State, an Indian Tribe, or an Alaskan Native Village, the Grantee agrees to assume all of the responsibilities for environmental review and decision-making and actions, as specified and required in regulations issued by the Secretary pursuant to the Multifamily Housing Property Disposition Reform Act of 1994 and published in 24 CFR Part 58.
2. If the Grantee is a housing authority, redevelopment agency, academic institution, hospital, or other non-profit organization, the Grantee shall request the unit of general local government, Indian Tribe, or Alaskan Native Village, within which the project is located and which exercises land use responsibility, to assume all of the responsibilities for environmental review and decision-making as specified in paragraph C.1 above, and the Grantee shall carry out all of the responsibilities of a recipient under 24 CFR Part 58.

- D. Administrative requirements of OMB Circular A-133 "Audits of States, Local governments and Non-Profit Organizations."
- E. For State and Local Governments, the Administrative requirements of 24 CFR Part 85, including the procurement requirements of 24 CFR Part 85.36, and the requirements of OMB Circular A-87 regarding Cost Principles for State and Local Governments. For Non-Profits, the Administrative requirements of 24 CFR Part 84, including the procurement requirements of 24 CFR Part 84.40, and OMB Circular A-122 regarding Cost Principles for Non-Profit Institutions. For Institutions of Higher Education the applicable OMB Circular regarding Cost Principles is A-21.
- F. The regulations at 24 CFR Part 87, related to lobbying, including the requirement that the Grantee obtain certifications and disclosures from all covered persons.
- G. The regulations at 24 CFR Part 21, regarding requirements for Drug- Free Workplace.
- H. The Uniform Relocation Act as implemented by regulations at 49 CFR Part 24.
- I. The Grantee will comply with all accessibility requirements under section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and implementing regulations at 24 CFR Part 8, where applicable.
- J. The regulations at 24 CFR Part 35, where applicable, regarding Lead-Based Paint Poisoning Prevention in Certain Residential Structures.
- K. The regulations at 24 CFR Part 5.109, where applicable, regarding Equal Participation of Religious Organizations in HUD Programs and Activities.

ARTICLE II. Conditions Precedent to Draw Down.

The Grantee may not draw down grant funds until the following actions have taken place:

- A. The Grantee has received and approved any certifications and disclosures required by 24 CFR 87.100 concerning lobbying.
- B. Any other conditions listed in Article VII (C) of this Grant Agreement.

ARTICLE III. Draw Downs.

- A. A request by the Grantee to draw down grant funds under the Voice Response Access system or any other payment system constitutes a representation by the Grantee that it and all participating parties are complying with the terms of this Grant Agreement.

- B. The Grantee will be paid on an advance basis provided that the Grantee minimizes the time elapsing between transfer of the grant funds and disbursement for project purposes and otherwise follows the requirements of 24 CFR Part 85 or Part 84 and Treasury Circular 1075 (31 CFR Part 205).
- C. Before the Grant Agreement is signed, the Grantee may incur cost for activities which are exempt from environmental review under 24 CFR Part 58 and may charge the costs to the grant.

ARTICLE IV. Progress Reports.

- A. The Grantee shall submit to the Grant Officer a progress report every six months after the effective date of the Grant Agreement. Progress reports shall consist of (1) a narrative of work accomplished during the reporting period and (2) a completed Financial Status Report - Form 269 A.

HUD may require additional information or increased frequency of reporting as described in Article VII (C).

- B. The performance reports must contain the information required under 24 CFR Part 85.40(b) (2) or 24 CFR Part 84.51(a), as applicable including a comparison of actual accomplishment to the objectives indicated in the approved application, the reasons for slippage if established objectives were not met, and additional pertinent information including explanation of significant cost overruns.
- C. No grant drawdowns will be approved for projects with overdue progress reports.

ARTICLE V. Project Close-out.

- A. The grantee shall submit to the Grant Officer a written request to close-out the grant 30 days after the grantee has drawn down all funds and completed the activities described in the application, as may be amended. The final report shall consist of (1) a narrative of all work accomplished during the project period and (2) a completed Financial Status Report - Form 269 A covering the entire project period.

HUD will then send the Close-out Agreement and Close-out Certification to the Grantee. At HUD's option, the Grantee may delay initiation of project close-out until the resolution of any HUD monitoring findings. If HUD exercises this option the Grantee must promptly resolve the findings.

- B. The Grantee recognizes that the close-out process may entail a review by HUD to determine compliance with the Grant Agreement by the Grantee and all participating parties. The Grantee agrees to cooperate with any review in any way possible, including making available records requested by HUD and the project for on-site HUD inspection.

C. The Grantee shall provide to HUD the following documentation:

1. A Certification of Project Completion.
2. A Grant Close-out Agreement.
3. A final financial report giving the amount and types of project costs charged to the grant (that meet the allowability and allocability requirements of OMB Circular A-122, A-87 or A-21 as applicable, including the "necessary and reasonable" standard); a certification of the costs; and the amounts and sources of other project funds.
4. A final performance report providing a comparison of actual accomplishments with each of the project commitments and objectives in the approved application, the reasons for slippage if established objectives were not met and additional pertinent information including explanation of significant cost overruns.

D. The Grantee agrees that the grant funds are allowable only to the extent that the project costs, meeting the standard of OMB Circular A-122, A-87 or A-21 as applicable, equal the grant amount plus other sources of project funds provided.

E. When HUD has determined that the grant funds are allowable, the activities were completed as described by the Grant Agreement, and all Federal requirements were satisfied, HUD and the Grantee will sign the Close-out Agreement and Close-out Certificate.

F. The Close-out Agreement will include the Grantee's Agreement to abide by any continuing federal requirements.

ARTICLE VI. Default.

A default under this Grant Agreement shall consist of using grant funds for a purpose other than as authorized by this Agreement, any noncompliance with legislative, regulatory, or other requirements applicable to the Agreement, any other material breach of this Agreement, or any material misrepresentation in the application submissions.

ARTICLE VII. Additional Provisions.

A. Project Description. The project is as described in the application with the following changes:

B. Changes or Clarification to the Application Related to Participating Parties:
The Administrative Agent if any:

C. Special Conditions:

The 2009 HUD Appropriations Act provides that no funds made available under the Act may be used to support any Federal, State or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use. For purposes of this provision, public use shall not be construed to include economic development that primarily benefits private entities.

U.S. Department of Housing
and Urban Development

Department for Children and
Families/Office of Economic
Opportunity
Stephen
Mr. Steven R. Dale

Authorized Signature

Stephen Dale

Authorized Signature

Robert Duncan

Associate Deputy Assistant Secretary
for Economic Development

Commissioner

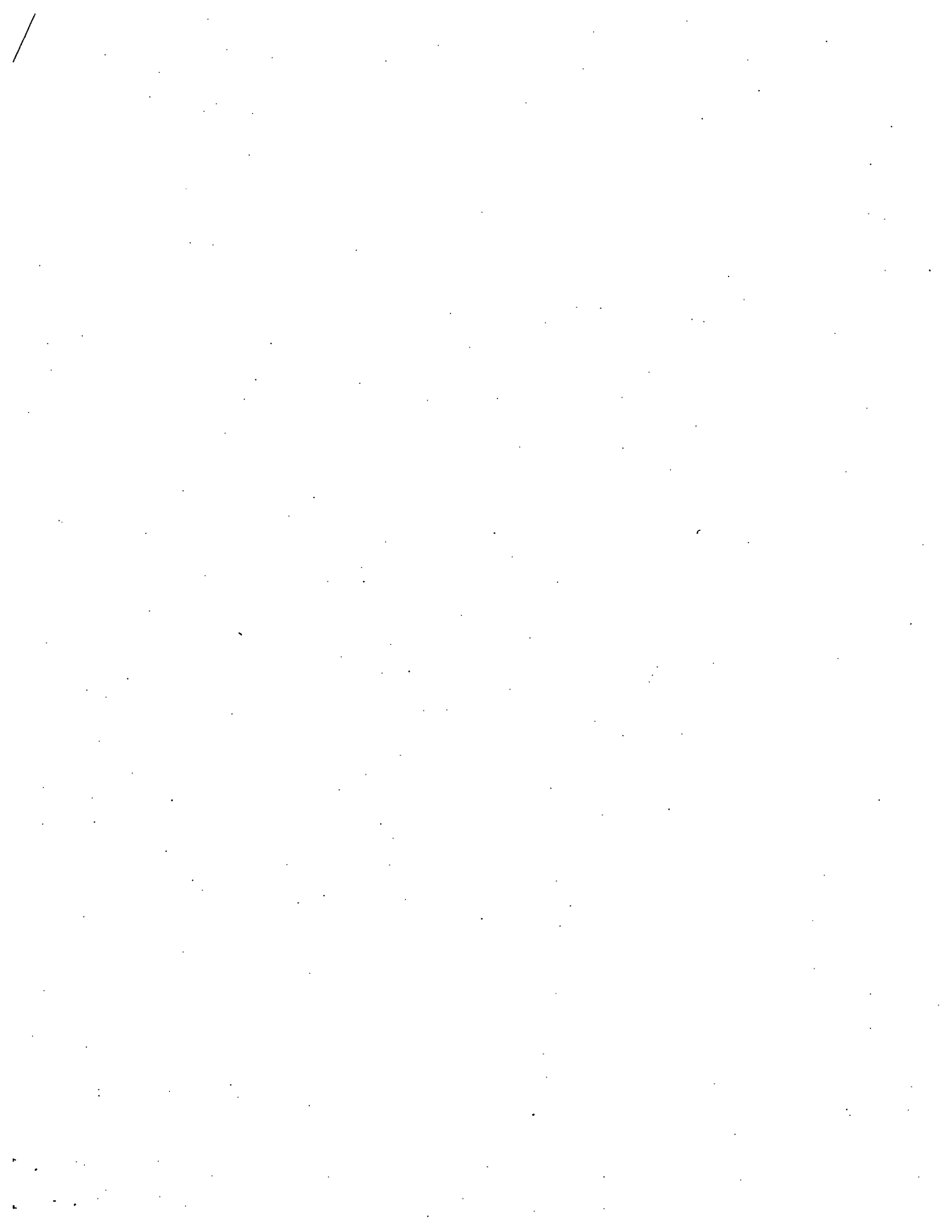
Title

Date

10/20/09

Date

Application for Federal Assistance SF-424		Version 02
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		*2. Type of Application * If Revision, select appropriate letter(s) <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision *Other (Specify) _____
3. Date Received:		4. Applicant Identifier:
5a. Federal Entity Identifier: B-09-SP-VT-0351		*5b. Federal Award Identifier:
State Use Only:		
6. Date Received by State:		7. State Application Identifier:
8. APPLICANT INFORMATION:		
*a. Legal Name: Department for Children and Families / Office of Economic Opportunity		
*b. Employer/Taxpayer Identification Number (EIN/TIN): 03-6000-264		*c. Organizational DUNS: 80-937-6155
d. Address:		
*Street 1: <u>103 South Main Street</u>		
Street 2: _____		
*City: <u>Waterbury</u>		
County: <u>Washington</u>		
*State: <u>Vermont</u>		
Province: _____		
*Country: <u>USA</u>		
*Zip / Postal Code <u>05671-1801</u>		
e. Organizational Unit:		
Department Name: Department for Children and Families		Division Name: Office of Economic Opportunity
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <u>Mr.</u>		*First Name: <u>Anthony</u>
Middle Name: <u>E.</u>		
*Last Name: <u>Morgan</u>		
Suffix: _____		
Title: <u>Director</u>		
Organizational Affiliation: Office of Economic Opportunity / Department for Children and Families		
*Telephone Number: 802-241-2454		Fax Number: 802-241-1225
*Email: tony.morgan@ahs.state.vt.us		



Application for Federal Assistance SF-424

Version 02

***9. Type of Applicant 1: Select Applicant Type:**

A.State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

US Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.251 _____

CFDA Title:

Economic Development Initiative - Special Project, Neighborhood Initiative and Miscellaneous Grants _____

***12 Funding Opportunity Number:**

FY2009 _____

*Title:

FY2009 _____

13. Competition Identification Number:

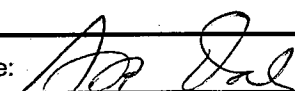
Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

State of Vermont, including the cities or towns of: Rutland, Burlington, Hartford, Vergennes, Brattleboro and St. Albans

***15. Descriptive Title of Applicant's Project:**

Rehabilitation of seven shelters for the homeless to increase bed capacity and address health and safety issues.

Application for Federal Assistance SF-424		Version 02
16. Congressional Districts Of:		
*a. Applicant: Vermont		*b. Program/Project: Vermont
17. Proposed Project:		
*a. Start Date: 11/1/09		*b. End Date: 10/31/10
18. Estimated Funding (\$):		
*a. Federal	_____	\$237,500
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	
*g. TOTAL	_____	\$237,500
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?		
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____		
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.		
<input checked="" type="checkbox"/> c. Program is not covered by E. O. 12372		
*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)		
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)		
<input checked="" type="checkbox"/> ** I AGREE		
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions		
Authorized Representative:		
Prefix: Mr.	_____	*First Name: Steven _____
Middle Name: R.	_____	
*Last Name: Dale	_____	
Suffix:	_____	
*Title: Commissioner, Department for Children and Families		
*Telephone Number: 802-241-2100		Fax Number: 802-241-2980
* Email: Steve.Dale@ahs.state.vt.us		
*Signature of Authorized Representative: 		*Date Signed: 8/31/09

Application for Federal Assistance SF-424

Version 02

***Applicant Federal Debt Delinquency Explanation**

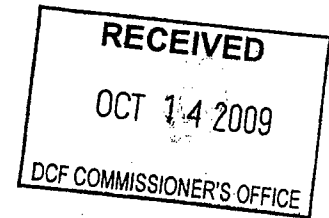
The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.



OFFICE OF COMMUNITY PLANNING
AND DEVELOPMENT

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, D.C. 20410-1000

OCT - 7 2009



Mr. Steven R. Dale
Commissioner
Department for Children and Families/Office of Economic
Opportunity
103 South Main Street
Waterbury, VT 05671-1801

Dear Mr. Dale:

The Department is pleased to announce approval of the following Special Project grant, as funded by the Congress in the Department of Housing and Urban Development Appropriations Act, 2009 (PL 111-8) and as described in the Committee Print of the Committee on Appropriations U.S. House of Representatives, March 2009.

Project No.: B-09-SP-VT-0351
Project Funding: \$237,500
Recipient: Department for Children and Families/Office of Economic
Opportunity

This letter transmits the following documents and guidance needed to activate your FY 2009 special project grant.

1. Grant Agreement. Enclosed are four copies. Please read the agreement carefully, noting any attachments which have modifications or special conditions on this grant. Please sign and date three copies with original signatures and return them as noted below. The fourth copy is for your records pending receipt of a countersigned copy.

2. Assistance Award - Form 1044. Four copies are enclosed. Please sign and date three copies with original signatures and return them as noted below. The fourth copy is for your records pending receipt of a countersigned copy.

3. Forms and Instructions for Drawing Down Funds. HUD uses the Line of Credit Control System (LOCCS) for financial management of grant funds. Under this system, you identify the bank account into which you want HUD to deposit funds electronically. Then you request scheduled payments, using a voice response system.

You will be able to draw down funds once, 1) HUD processes the forms, 2) sends you a LOCCS ID Number and a LOCCS Voice Response Number, and 3) after the required certifications and environmental review (if needed) have been accepted by HUD.

Please ensure that all the following forms are complete and return them together as noted below:

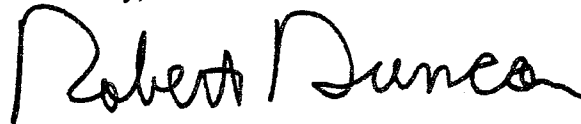
- a) the three signed originals of the Grant Agreement;
- b) the three signed originals of the Assistance Award (HUD Form 1044);
- c) the Direct Deposit Form (SF 1199) completed by you and your financial institution;
- d) evidence of the ABA number for your depository account, such as a VOIDED blank check, a deposit slip or similar documentation.

The notarized LOCCS Access Authorization Form (HUD form 27054) should be sent directly to the address on the form.

All of these items should be sent to the Government Technical Representative for this grant, Katressa L. Garner , Room 7146, U.S. Dept. of Housing and Urban Development, 451 Seventh Street, SW, Washington, DC 20410. If you or your staff have any questions about these documents and procedures, please contact Katressa L. Garner at 202-402-4701, fax (202)-708-7543, or Katressa.L.Garner@hud.gov.

We look forward to working with you toward a successful completion of this project.

Sincerely,

A handwritten signature in black ink that reads "Robert Duncan". The signature is written in a cursive, flowing style.

Robert Duncan
Associate Deputy Assistant Secretary
for Economic Development

Enclosures

Project Description

The State of Vermont Office of Economic Opportunity proposes to use the Economic Development Initiative Special Projects funding for rehabilitation and renovation of seven shelters for the homeless within the State of Vermont. The proposed rehabilitation and renovation will provide an increased capacity to house the homeless and address (in some cases) health and safety issues.

The Office of Economic Opportunity issued a Request for Proposals and received responses from seven shelter organizations. A grant review committee met in Mid August and conditionally awarded the organizations the following amounts for the specified activities:

Upper Valley Haven, 713 Hartford Avenue, White River Junction

Purchase and installation of flooring in newly constructed adult shelter increasing capacity by 20 beds. (\$39,000)

Committee on Temporary Shelter, Eagles Nest shelter 194 Saint Paul Street, Burlington

Installation of sprinkler system ensuring fire safety (\$38,500)

Rutland City Rescue Mission, 31 Park Street, Rutland

Construction of 24x24 addition increasing capacity by 14 beds (\$35,000)

Veterans Assistance Office, 95 Crescent Street, Rutland

Excavation and installation of new concrete footing and foundation and associated sill repair as needed. Replacement of handicap access ramps. (\$30,000)

Samaritan House, 20 Kingman Street, Saint Albans

Resolution of water and sewer issues including repair of iron pipes connecting to the town systems and installation of sprinkler system to ensure fire safety (\$30,000)

Morningside Shelter, 81 Morningside Drive, Brattleboro

Expansion of shelter to create capacity for two new family rooms. Costs include excavation, foundation work, walls, framing and roof installation (\$35,000)

John Graham Shelter, 3 East Street, Vergennes

Redevelopment costs associated with the renovation and expansion of the basement sleeping quarters to include replacement of bathroom and improvement of egress (\$30,000)

Project Budget

State of Vermont Office of Economic Opportunity award amount \$237,500

Proposed Use: rehabilitation and/or new construction for seven homeless shelters to either increase capacity or to address health and safety issues.

Proposed Distribution:

Upper Valley Haven	\$39,000
Committee on Temporary Shelter	\$38,500
Rutland City Rescue Mission	\$35,000
Veterans Assistance Office	\$30,000
Samaritan House	\$30,000
Morningside Shelter	\$35,000
John Graham Shelter	<u>\$30,000</u>

TOTAL BUDGET \$237,500

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: Vermont	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Tony Morgan Office of Economic Opportunity 103 South Main Street Waterbury, VT 05671-1801 Congressional District, if known: Vermont	
6. Federal Department/Agency: Dept. of Housing and Urban Development, Off. of CPD	7. Federal Program Name/Description: Economic Development Initiative CFDA Number, if applicable: 14.251	
8. Federal Action Number, if known: B-09-SP-VT-0351	9. Award Amount, if known: \$ 237,500	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> Dale, Stephen R. Department for Children and Families 103 South Main Street Waterbury, VT 05671-5920	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i> Morgan, Anthony E.	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Stephen R. Dale</u> Title: <u>Commissioner, Department for Children and Families</u> Telephone No.: <u>802-241-2100</u> Date: <u>8/31/09</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)