



**STATE OF VERMONT**  
JOINT FISCAL OFFICE

**MEMORANDUM**

To: Joint Fiscal Committee Members  
From: Nathan Lavery, Fiscal Analyst  
Date: July 22, 2014  
Subject: Grant Request #2690, #2691

Enclosed please find two (2) items that the Joint Fiscal Office has received from the administration.

**JFO #2690** – \$15,000 grant from the National Alcohol Beverage Control Association to the Vermont Department of Liquor Control (DLC). These funds cover costs associated with an educational event designed to improve understanding of alcohol regulations, current DLC operations, and privatization risks. The event will bring together policy makers, licensees, law enforcement professionals, and others.  
[JFO received 07/11/14]

**JFO #2691** – \$10,250 grant from the Alma Gibbs Donchian Foundation to the Vermont Department of Housing and Community Development. These funds will be used by the Historic Preservation division for various improvements at the Hubbardton Battlefield (\$4,500), to support two Grace Coolidge Musicale events in Plymouth (\$2,750) and to cover the cost of staffing at the Justin Morrill Homestead for three additional days per week during the 2014 season (\$3,000).  
[JFO received 07/17/14]

Please review the enclosed materials and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; [nlavery@leg.state.vt.us](mailto:nlavery@leg.state.vt.us)) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by August 4 we will assume that you agree to consider as final the Governor's acceptance of these requests.

State of Vermont  
 Department of Finance & Management  
 109 State Street, Pavilion Building  
 Montpelier, VT 05620-0401

[phone] 802-828-2376  
 [fax] 802-828-2428

Agency of Administration

JFO 2690

**STATE OF VERMONT  
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

<b>Grant Summary:</b>	This grant will help DLC to pay for the operating expenses of a symposium scheduled in December called, "The Vermont Experience with Alcohol-1933 to Present". This symposium will bring together policy makers, licensees, industry professionals, local authorities, law enforcement professionals, and prevention experts to discuss and gain a better understanding of alcohol regulation in the current marketplace.
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<b>Date:</b>	7/1/2014
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<b>Department:</b>	Liquor Control
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<b>Legal Title of Grant:</b>	The Vermont Experience with Alcohol-1933 to Present
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<b>Federal Catalog #:</b>	N/A
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<b>Grant/Donor Name and Address:</b>	NABCA, 4401 Ford Avenue, Suite 700, Alexandria, Virginia 23202
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<b>Grant Period:</b>	<b>From:</b> 7/1/2014   <b>To:</b> 12/31/2014
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<b>Grant/Donation</b>	\$15,000
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	SFY 1	SFY 2	SFY 3	Total	Comments
<b>Grant Amount:</b>	\$15,000	\$	\$	\$15,000	

<b>Position Information:</b>	# Positions	Explanation/Comments
	0	

**RECEIVED**

**Additional Comments:**

<b>Has Vantage budget detail been reviewed and reconciled?</b>	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes	HC (Analyst Initial)
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<b>Department of Finance &amp; Management</b>	<b>JOINT FISCAL OFFICE</b>	7/2/14	(Initial)
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<b>Secretary of Administration</b>		07/02/14	(Initial)
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<b>Sent To Joint Fiscal Office</b>		7/8/14	Date
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**STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)**

<b>BASIC GRANT INFORMATION</b>				
<b>1. Agency:</b>				
<b>2. Department:</b>	Liquor Control			
<b>3. Program:</b>				
<b>4. Legal Title of Grant:</b>	The Vermont Experience with Alcohol-1933 to Present			
<b>5. Federal Catalog #:</b>				
<b>6. Grant/Donor Name and Address:</b>				
NATIONAL ALCOHOL BEVERAGE CONTROL ASSOCIATION				
4401 FORD AVENUE, SUITE 700				
ALEXANDRIA, VIRGINIA 23202-1473				
<b>7. Grant Period:</b>	<b>From:</b>	07/01/14	<b>To:</b>	12/31/2014
<b>8. Purpose of Grant:</b>				
A day-long event bringing together, policy makers, licensees, industry professionals, local authorities, law enforcement professionals and prevention experts for a discussion of the relevance of alcohol regulation in today's marketplace. This event specifically supports education efforts by highlighting agency operations and responsibilities to the public and government officials.				
<b>9. Impact on existing program if grant is not Accepted:</b>				
Liquor Control will pay for the event from Enterprise Fund.				
<b>10. BUDGET INFORMATION</b>				
	<b>SFY 1</b>	<b>SFY 2</b>	<b>SFY 3</b>	<b>Comments</b>
<b>Expenditures:</b>	<b>FY 2015</b>	<b>FY</b>	<b>FY</b>	
Personal Services	\$9,700	\$	\$	
Operating Expenses	\$5,300	\$	\$	
Grants	\$	\$	\$	
<b>Total</b>	<b>\$15,000</b>	<b>\$</b>	<b>\$</b>	
<b>Revenues:</b>				
<b>State Funds:</b>				
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
<b>Federal Funds:</b>				
(Direct Costs)	\$	\$	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
<b>Other Funds:</b>				
Grant (source 21870)	\$15,000	\$	\$	
<b>Total</b>	<b>\$15,000</b>	<b>\$</b>	<b>\$</b>	
<b>Appropriation No:</b>	2300003000	<b>Amount:</b>	\$15,000	
			\$	
			\$	
			\$	
			\$	

JUN 05 2014

**STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)**

		\$
		\$
	<b>Total</b>	\$

**Has current fiscal year budget detail been entered into Vantage?**     Yes  No

**PERSONAL SERVICE INFORMATION**

**11. Will monies from this grant be used to fund one or more Personal Service Contracts?**     Yes  No  
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Michael J Hogan    Agreed by: \_\_\_\_\_ (initial)

12. Limited Service Position Information:	# Positions	Title
<b>Total Positions</b>		

**12a. Equipment and space for these positions:**     Is presently available.     Can be obtained with available funds.

**13. AUTHORIZATION AGENCY/DEPARTMENT**

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <i>Michael J Hogan</i>	Date: 6/2/14
	Title:	
	Signature:	Date:
	Title:	

**14. SECRETARY OF ADMINISTRATION**

<input checked="" type="checkbox"/> Approved:	(Secretary or designee signature) <i>[Signature]</i>	Date: 07/02/14
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**15. ACTION BY GOVERNOR**

<input checked="" type="checkbox"/> Accepted	(Governor's signature) <i>[Signature]</i>	Date: 7/8/14
<input type="checkbox"/> Rejected		

**16. DOCUMENTATION REQUIRED**

Required GRANT Documentation	
<input type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input type="checkbox"/> Notice of Award <input type="checkbox"/> Grant Agreement <input type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)

**End Form AA-1**

(\*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).



**State of Vermont**  
**Department of Liquor Control**  
13 Green Mountain Drive  
Montpelier, VT 05620-4501  
[liquorcontrol.vermont.gov](http://liquorcontrol.vermont.gov)

[phone] 802-828-2345  
[fax] 802-828-2803

*Michael J. Hogan, Commissioner*

June 2, 2014

Jeb Spaulding  
Secretary of Administration  
109 State Street  
Montpelier, VT 05609-0201

Dear Secretary Spaulding,

Enclosed is a request by the Department of Liquor Control (DLC) for approval of a one-time grant to be used towards payment of the December symposium, "The Vermont Experience with Alcohol-1933 to Present".

The NABCA grant in the amount of \$15,000 will be used to pay for the services of the event coordinator as well as partially fund other operating expenses related to the symposium. The outlay from DLC is expected to be minimal.

The Vermont Liquor Control Board, together with the DLC, proposes to host a day-long event bringing together policy makers, licensees, industry professionals, local authorities, law enforcement professionals and prevention experts for a discussion of the relevance of alcohol regulation in today's marketplace. The symposium proposes to start with a global overview of alcohol beverages, drilling down from the national to the local level through a series of guest speakers and panel discussions.

Evaluations of the symposium will be requested from the participants, compiled and the results will be reported to NABCA. The expected outcome of this symposium is that all stake holders will develop a greater understanding for, and respect for, our system of liquor regulation and significantly increase support for Vermont's control approach to alcohol sales while drastically reducing any movement toward privatization.

I appreciate your consideration of this request.

A handwritten signature in black ink that reads "Michael J. Hogan".

Michael J. Hogan, Commissioner  
Enclosures



## **Vermont Experience with Alcohol Symposium – 1933 to Present**

### **Project Summary**

The Vermont Liquor Control Board, together with the Vermont Department of Liquor Control, proposes to host a day-long event bringing together policy makers, licensees, industry professionals, local authorities, law enforcement professionals and prevention experts for a discussion of the relevance of alcohol regulation in today's marketplace. In the face of numerous states' moves to privatize, we feel it is essential that we be proactive in our discussion of the benefits of the three-tier and control state systems. These methods of controlling the sale and distribution of alcohol beverages have served the state of Vermont well since the repeal of Prohibition 81 years ago. We believe alcohol regulation is still relevant today and is necessary to balance the goals of businesses with the interests of our local communities. This symposium proposes to start with a global overview of alcohol beverages, drilling down to a national, then local level. In a series of guest speakers and panel discussions, key questions will be addressed including: why the three-tier and the control state systems were created and their role in today's market; how privatization (with particular attention to the recent events in Washington State) presents financial, social, and health risks to surrounding communities; and, why a combined effort to control the negative impact of alcohol abuse is essential to our state's well-being. We surmise that a majority of Vermonters, even many in the alcohol beverage industry and legislature, do not know how our system works or the numerous benefits it provides the state. To this point, many residents still think we have "state stores" when the last DLC-owned store closed in 1996.

### **Criteria**

This event specifically "supports education efforts by highlighting agency operations and responsibilities to the public and government officials" (NABCA Program Application criteria #2). This symposium will be a forum in which to share information about what we do, why and how we do it, and the benefits of our system to the state, both financially and socially.

### **Time Line**

Preparation for this meeting has already begun and will continue through the symposium (December 3, 2014) and ending when all feedback from the symposium has been gathered and assessed. We should be able to submit project evaluations by the end of 2014.

### **Evaluation**

Online and paper evaluation forms will be distributed to conference attendees via email and on the day of the conference. We will compile this information and report the results to NABCA. We are hoping that several members of the NABCA team will participate in the event, so you will have personal experiences to report. But, probably the most important outcome of this event will be the greater understanding of and respect for our system of liquor regulation gained by all attendees. We sincerely hope that this symposium will significantly increase support for Vermont's control approach to alcohol sales while drastically reducing any movement toward privatization.

## Estimated Costs For Vermont Symposium

Based on 250 Attendees

Venue, including meals	\$18,000
Meeting Planner	\$9,700
Printed materials	\$500
Mailings	\$500
Rooms and Meals for Speakers and Panelists	\$2,000
Misc	\$800
<b>Total</b>	<b>\$31,500</b>



4401 Ford Avenue, Suite 700  
Alexandria, VA 22302-1433

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[www.nabca.org](http://www.nabca.org)

July 1, 2014

Marcia Gardner  
Director of Sales and Marketing  
Vermont Department of Liquor Control  
13 Green Mountain Drive  
Montpelier, VT 05620-4501

Dear Marcia:

On behalf of the NABCA Board of Directors, it is my pleasure to inform you that your project, **"The Vermont Experience with Alcohol – 1933 to Present"** was deemed an appropriate effort to be supported by the NABCA Supplemental Educational Award in the amount of \$15,000.

Please feel free to contact me if you have any questions or you may contact Lisa Dixon at [lisa.dixon@nabca.org](mailto:lisa.dixon@nabca.org).

Sincerely,

Steven L. Schmidt  
Sr. Vice President, Public Policy/Communications