



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: James Reardon, Commissioner of Finance & Management
From: Nathan Lavery, Fiscal Analyst
Date: May 6, 2010
Subject: JFO #2441, #2442, #2444

No Joint Fiscal Committee member has requested that the following items be held for review:

JFO #2441 — \$700,000 grant from the U.S. Department of Justice to the Vermont Department of Children and Families. This grant will be used to fund 12 sub-awards to schools and non-profits targeting youth delinquency prevention.
[JFO received 4/06/10]

JFO #2442 — \$807,454 grant from the Centers for Disease Control & Prevention to the Vermont Department of Health. These funds will be used to support efforts to reduce tobacco use and expand tobacco cessation quit lines. This grant is awarded under the American Recovery and Reinvestment Act.
[JFO received 4/06/10]

JFO #2444 — **Request to establish one (1) limited service position** in the Agency of Agriculture, Food & Markets. This position is associated with a grant approved by the Joint Fiscal Committee for the Agriculture Innovation Demonstration Project (JFO #2425).
[JFO received 4/06/10]

The Governor's approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Stephen Dale, Commissioner
Wendy Davis, Commissioner
Roger Allbee, Secretary



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: April 14, 2010
Subject: Grant Requests

Enclosed please find seven (7) requests that the Joint Fiscal Office has received from the administration. These requests include the establishment of three (3) limited service positions and the retention of two existing positions.

JFO #2439 — \$410,215 grant from the USDA Food and Nutrition Service to the Vermont Department of Health. These funds will support Women, Infants Children program improvement projects in the areas of cash value benefit cards and replacement of the legacy computer system. **The establishment of one (1) limited service position is associated with this request.** This grant is awarded under the American Recovery and Reinvestment Act.
[JFO received 3/29/10]

JFO #2440 — \$6,647 grant from the University of Vermont to Agriculture, Food & Markets. These funds will be used to support the Farm First program in providing dairy producers and their families with counseling, resources, and referral information related to stress and other concerns.
[JFO received 3/29/10]

JFO #2441 — \$700,000 grant from the U.S. Department of Justice to the Vermont Department of Children and Families. This grant will be used to fund 12 sub-awards to schools and non-profits targeting youth delinquency prevention.
[JFO received 4/06/10]

JFO #2442 — \$807,454 grant from the Centers for Disease Control & Prevention to the Vermont Department of Health. These funds will be used to support efforts to reduce tobacco use and expand tobacco cessation quit lines. This grant is awarded under the American Recovery and Reinvestment Act.
[JFO received 4/06/10]

JFO #2443 — \$5,034,328 grant from the U.S. Department of Health & Human Services to the Office of Vermont Health Access. This grant will be used to establish a statewide health information exchange (HIE) network and interstate HIE interoperability. **The establishment of one (1) limited service position is associated with this request.** This grant is awarded under the American Recovery and Reinvestment Act and **expedited review of this item has been requested.** Joint Fiscal Committee

members will be contacted within two weeks with a request to waive the statutory review period and accept this item.

[JFO received 4/06/10]

JFO #2444 — Request to establish one (1) limited service position in the Agency of Agriculture, Food & Markets. This position is associated with a grant approved by the Joint Fiscal Committee for the Agriculture Innovation Demonstration Project (JFO #2425). This position request was not submitted as part of the request for approval of JFO #2425.

[JFO received 4/06/10]

JFO #2445 — \$10,000 grant from the Wildlife Management Institute to the Vermont Department of Forests, Parks and Recreation. These funds will be used to create a roost field for American woodcock through reclamation of a gravel pit.

[JFO received 4/12/10]

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by April 28 we will assume that you agree to consider as final the Governor's acceptance of these requests.

cc: James Reardon, Commissioner
Wendy Davis, Commissioner
Roger Allbee, Secretary
Stephen Dale, Commissioner
Susan Besio, Director
Jason Gibbs, Commissioner



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Representative Ann Pugh
From: Nathan Lavery, Fiscal Analyst
Date: April 14, 2010
Subject: JFO #2441

Representative Michael Obuchowski asked that I forward to you a copy of the enclosed grant materials and cover memo. He requests your observations regarding the enclosed item.

cc: Rep. Michael Obuchowski



State of Vermont
Department of Finance & Management
109 State Street, Pavilion Building
Montpelier, VT 05620-0401

[phone] 802-828-2376
[fax] 802-828-2428

Agency of Administration

**STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary: In this COPS grant there will be 12 subawards (grants) targeting youth delinquency prevention through building positive relationships and skills. Groups to get subgrants include six schools and and six non-profits that deal with youth from around the state.

Date: 3/16/2010

Department: Department for Children and Families

Legal Title of Grant: COPS Safe School Initiative

Federal Catalog #: 16.710

Grant/Donor Name and Address: U.S. Department of Justice, Office of Community Oriented Policing Services, 1100 Vermont Ave., NW, Washington DC 20530

Grant Period: **From:** 3/11/2009 **To:** 9/30/2012

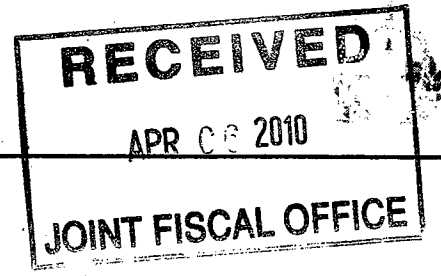
Grant/Donation \$700,000

	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$200,000	\$450,000	\$50,000	\$700,000	

Position Information:	# Positions	Explanation/Comments
	0	

Additional Comments:

Department of Finance & Management <i>JK</i>	<i>JK</i> 3/23/10	(Initial)
Secretary of Administration	<i>TP</i> 3/24/10	(Initial)
Sent To Joint Fiscal Office		Date 3/29/10



STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:	Agency of Human Service			
2. Department:	Department for Children & Families			
3. Program:	COPS Safe School Initiative			
4. Legal Title of Grant:	Safe School Initiative			
5. Federal Catalog #:	16.710			
6. Grant/Donor Name and Address: U.S. Department of Justice				
7. Grant Period:	From:	3/11/2009	To:	9/30/2012
8. Purpose of Grant: 12 pre-determined sub-awards that target youth delinquency prevention strategies through positive relationship and skill acquisitions.				
9. Impact on existing program if grant is not Accepted: Increase risk factors in youth delinquency.				
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2010	FY 2011	FY 2012	
Personal Services	\$	\$	\$	
Operating Expenses	\$	\$	\$	
Grants	\$200,000	\$450,000	\$50,000	
Total	\$200,000	\$450,000	\$50,000	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$200,000	\$450,000	\$50,000	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$200,000	\$450,000	\$50,000	
Appropriation No:	3440020000		Amount:	\$700,000
				\$
				\$
				\$
				\$
				\$
				\$

REC'D MAR 12 2010

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

	Total \$700,000
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PERSONAL SERVICE INFORMATION



11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: _____ (initial)

12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

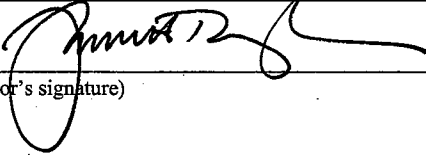
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: 	Date: 3/8/10
	Title: Commissioner	
	Signature: 	Date: 3/9/10
	Title: DEPUTY SECRETARY	

14. SECRETARY OF ADMINISTRATION

Approved: _____ (Secretary or designee signature) Date: 3/27/10

15. ACTION BY GOVERNOR

Check One Box: Accepted (Governor's signature)  Date: 3/26/10

Rejected

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

- | | |
|---|--|
| <input type="checkbox"/> Request Memo
<input type="checkbox"/> Dept. project approval (if applicable)
<input type="checkbox"/> Notice of Award
<input type="checkbox"/> Grant Agreement
<input type="checkbox"/> Grant Budget | <input type="checkbox"/> Notice of Donation (if any)
<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Form AA-1PN attached (if applicable) |
|---|--|

End Form AA-1



U.S. Department of Justice
Community Oriented Policing Services

Grants Administration Division
Safe Schools Initiative

Treasury Account Symbol (TAS) 15X0406

Grant #: 2009CKWX0773

ORI #: VT020ZZ

Applicant Organization's Legal Name: Department of Children and Families

OJP Vendor #: 036000280

DUNS#: 809376155

Law Enforcement Executive: AHS DCF Commissioner Stephen Dale

Address: 103 South Main Street
5 North

City, State, Zip Code: Waterbury, VT 05641

Telephone: (802) 241-4615

Fax: (802) 241-1219

Government Executive: DCF Financial Director David Cohen

Address: 103 South Main Street
5 North

City, State, Zip Code: Waterbury, VT 05641

Telephone: (802) 241-1270

Fax: (802) 241-1219

Award Start Date: 3/11/2009

Award End Date: 3/10/2012

Award Amount: \$ 700,000.00

SEP 11 2009

David Buchanan
Acting Director

Date

By signing this award, the signatory officials are agreeing to abide by the Conditions of Grant Award found on the reverse side of this document:

Stephen R. Dale, Commissioner

5/26/10

Signature of Law Enforcement Official with the Authority to Accept this Grant Award

Typed Name and Title of Law Enforcement Official

Date

David Cohen, DCF Financial Director

5/3/2010

Signature of Government Official with the Authority to Accept this Grant Award

Typed Name and Title of Government Official

Date

False statements or claims made in connection with COPS grants may result in fines, imprisonment, debarment from participating in federal grants or contracts, and/or any remedy available by law to the Federal Government.

Award ID:
96306



U.S. Department of Justice

Office of Community Oriented Policing Services (COPS)

Office of the Director
1100 Vermont Ave., NW
Washington, DC 20530

February 19, 2010

AHS DCF Commissioner Stephen Dale
Department of Children and Families
103 South Main Street
5 North
Waterbury, VT 05641

Re: Safe Schools Initiative Grant #2009CKWX0773
ORI#: VT020ZZ

Dear AHS DCF Commissioner Dale:

Congratulations! On behalf of Attorney General Eric Holder, I am pleased to inform you that the COPS Office has approved your agency's request for funding in the amount of \$700,000 under the COPS Safe Schools Initiative. Enclosed in this packet is your grant award. **The award document must be signed and returned to the COPS Office within 90 days from the date of this letter to officially accept your grant.** On the reverse side of the grant award is a list of conditions that apply to your grant. You should read and familiarize yourself with these conditions. In addition, your Grant Owner's Manual and other important information to assist you with the implementation of your award are available online at: <http://www.cops.usdoj.gov/Default.asp?Item=2200>.

The official start date of your grant is March 11, 2009. Therefore, you can be reimbursed for approved expenditures made on or after this date. Please carefully review the Financial Clearance Memorandum included in your award package to determine your approved budget, as some of your requested items may not have been approved by the COPS Office during the budget review process, and grant funds may only be used for approved items. Also, please be aware that any vendor or contractor who participated in drafting your grant application may not receive federal funding for any procurement under this award.

Within a few weeks, you will receive a financial documentation package from the Office of the Chief Financial Officer, Office of Justice Programs. This important package will contain the forms and instructions necessary to begin drawing down funds for your grant.

Once again, congratulations on your Safe Schools Initiative award. If you have any questions about your grant, please do not hesitate to call your Grant Program Specialist through the COPS Office Response Center at 1.800.421.6770.

Sincerely,

Bernard K. Melekian
Director

COPS Safe Schools Initiative
COPS - SSI - 2009-1
Estimated Budgets

Sub-Grantees	Time Period	Salaries & Benefits	Non-Expendable Equip/Tech	Supplies	Travel & Training	Contracts & Consultants	Total
Oxbow High School	2 School Years	47,784		2,216			50,000
Green Mt Union HS	2 School Years	47,784		2,216			50,000
Mt Anthony Union HS - Mentor-Tutor Program	Jan 2010 - June 2011					50,000	50,000
Addison Parent Child Center		69,500			5,500		75,000
Lamoille Family Center	Jan 2010 - June 2011	68,868			6,132		75,000
St. Joseph School	1 Year	20,200	3,000	6,490		5,310	35,000
Mt Anthony Union HS - Saturday Enrichment	Jun 2010 - Jun 2011	19,685		940		14,375	35,000
Rutland Boys & Girls Club	2 Years	123,600	2,000	2,000	8,400	4,000	140,000
Franklin Central School	Jul 2010 - Jun 2012	25,836		5,764	200	13,200	45,000
Lamoille Court Diversion Program	2 Years	27,552		5,948	500	11,000	45,000
Windham County Youth Services	15 months	51,092	1,500	4,818	840	1,750	60,000
Rosie's Girls	15-18 months	1,566		500	104	37,830	40,000
TOTALS		503,467	6,500	30,892	21,676	137,465	700,000

Section 8: EXECUTIVE SUMMARY

Vermont

Agency of Human Services, Department for Children and Families

Contact: Theresa Lay-Sleeper, 802.241.2953

\$700,000 as congressional earmark

Summary of agency use of federal funding: VT AHS DCF will award all funds to twelve sub-recipients that will implement programs that increase protective factors in youth and communities and decrease risk factors known to be associated with delinquent and criminal behaviors.

Community based awards include:

1. Three schools will implement or expand academic mentor – tutor programs based on the effective model piloted in Bennington. Services focus on student engagement, increased academic success, social skills acquisition that enable classroom participation and behavioral self-regulation
2. Two Parent Child Centers will expand service slots for pregnant and parenting teens where those youth can earn their high school diplomas, receive job training, emotional-behavioral regulation and learn life skills necessary to successful transition to adulthood and parenting
3. Two schools will implement Saturday enrichment programs for small groups of students that need personal attention and interaction to succeed and improve academic skills. Authentic, experiential learning strategies will engage students in learning.
4. One Boys and Girls Club will increase staffing and street outreach to youth, provide training to staff from a successful peer program, and provide safe activities for youth.
5. One school will expand its after school programming to 100 more students offering academic, health and fitness, evidence-based gang reduction strategies and more in a community with high crime and juvenile substance use rates.
6. a Court Diversion & Youth Development Program in a rural community will expand program slots to girls transitioning out of state foster care. The program offers case management, therapeutic gender-based skills groups, and positive life skills activities.
7. One Youth Services Program will expand ‘drop-in nights’ staffed by local service providers and targeted to 14 – 20 year olds. Participants engage in pro-social activities, skills acquisition and receive a hot meal.
8. One program will expand an award-winning career exploration, skills training, and education program targeted to middle-school girls that focuses on trades that are non-traditional for females, are demanded by current market, and enable higher work compensation than traditional jobs for girls.

Impact on public safety and/or crime prevention: Increasing supports, skills, positive relationships, opportunities and resources to high risk youth is a crime prevention strategy and increases public safety and quality of life for participants and their communities.

Section 9: PROJECT DESCRIPTION - NARRATIVE

A. Problem Identification and Justification

School safety-related problem(s) that will be addressed with this grant, and provide relevant facts, statistics, or other supporting information that documents the nature, prevalence, and severity of the problem.

- Students with low academic performance are typically disconnected from peers and positive adult role models in their schools.
- Students from low-income families are most likely to experience
 - food shortage and food insecurity that contribute to poor academic performance
 - parents that are not connected to the child's school or teachers and may be unable to attend meetings for lack of transportation, work conflicts or other reasons
- Pregnant and parenting adolescents are most likely low-income, and less likely to have positive peer or adult supports within or outside of their schools, and are more likely to drop out of high school
- Youth who are transitioning out of foster care to adulthood typically have fewer social supports than do their peers living with parents or other family members. Lack of appropriate and strong adult supporters and mentors place youth at higher risk of
 - disenfranchisement from community,
 - greater than average challenges in post-secondary education, vocational training or job acquisition
 - engagement in high risk behaviors including substance abuse, homelessness, delinquent and criminal behaviors, victimization, and mental health disorders

Targeting these youth to engage them in

- active planning of their futures
- connecting with positive adult role models and supports,
- greater school success and vocational exploration,
- targeted social skills acquisition

supports their successful transition to adulthood and reduces the risk of their engaging in behaviors dangerous to themselves, their schools, and communities. Targeting high risk youth who are still connected to school can increase protective factors and decrease risk factors known to be associated with youth crime and community safety.

Relevant state data to this target population and grant objectives:

- annual cost of youth in custody of the VT DCF youth probation is \$8,000 – \$80,000 / year
- annual cost for a Corrections facility placement is \$51,000
- effective community-based youth prevention programs are cost effective, and that contributes directly to community safety

- according to the VT Department of Education (2008), a high school dropout:
 - Earns \$260,000 less over a lifetime
 - Pays \$60,000 less in taxes
 - Has a life expectancy 9.2 years shorter
 - The U.S. loses \$192 billion in combined income and tax revenue losses with each cohort of 18 yr. olds who don't finish high school
- VT Department of Education (2008) reports a 73% high school completion rate for low income youth compared w/ a 93% rate of completion for peers not impacted by poverty
- The National Governor's Association (2008) reported (to the VT Commission to end Childhood Poverty) that

“Poverty has long-term social and economic costs for children and families, communities, and states. In 2006, more than 13 million children lived below the federal poverty level. Children who grow up poor are more likely to earn less as adults, complete fewer years of formal education, and face more health issues than children living in higher-income families. Poverty also contributes to poor social, emotional, and behavioral outcomes for children and hinders cognitive development. In short, poverty has large repercussions for states and the nation, with childhood poverty alone estimated to cost the U.S. economy approximately \$500 billion annually.”
- 25% of VT children are eligible for reduced price school lunches
- there is a high rate of high school drop out among the incarcerated population in VT and the nation

B. Project Goals and Objectives

Federal assistance will be used by the state agency grantee to sub-award twelve community programs targeted at school success and building protective factors in high risk youth. This is a crime prevention strategy targeted at youth before they get in trouble with the law.

C. Community Policing Strategies/Crime Prevention Activities

Proposed community policing activities that will be implemented or enhanced with grant funding:

The VT Agency of Human Services, Department for Children and Families will sub-award these funds to twelve community schools or youth service programs to be utilized crime prevention efforts.

(a) Community partnerships:

Funded school and youth service prevention programs have strong, established partnerships with municipal and state law enforcement. In some identified programs, law enforcement officers conduct periodic visits and prevention activities with youth ranging

from automobile safety to substance abuse and violence prevention. These partnerships between schools, youth service, and law enforcement enable youth to view police officers as community resources.

(b) related governmental and community initiatives that complement your agency's proposed use of SSI funding

- DOE Safe and Drug Free Schools violence and substance abuse prevention efforts
- Restorative justice efforts in law enforcement, schools, and Community Justice Centers, and Court Diversion programs
- School resource officers
- After school enrichment and mentoring programs in schools and community youth service agencies
- Youth service agency programs that assist homeless and runaway youth
- community agencies that provide mental health and substance abuse treatment
- community agencies that provide case management for youth with identified disabilities

(c) Organizational transformation – how your agency will use these funds, if awarded, to help reorient its mission to community policing or enhance its involvement in and commitment to community policing.

This proposal is outside of a law enforcement agency. Funds will support prevention services to youth with targeted risks. All sub-recipients of COPS SSI funds will maintain, and where necessary, strengthen partnerships with law enforcement, encouraging youth to consider community police as resources.

Provide information on how the community policing activities described will link to the overall organizational community policing strategy of the agency

It is the culture of VT communities, enhanced by COPS and other Justice funding, to respond to youth crime in a manner that utilizes the principles of restorative justice and community policing. VT communities emphasize prevention of crime.

D. Implementation Plan

- ensure effective implementation of the project
- brief timeline with a list of key activities and milestones

AHS DCF will administer this grant according to all requirements of the funding source and with its own internal monitoring standards

October – December 2009

- receipt of award notification
- request to state legislative Joint Fiscal Office to accept award
- implement a required training for sub-recipients that will:

- train sub-recipients in Logic Model development and identification of clear measurable outcomes associated with intended grant activities
- enable program accountability
- define state fiscal and program grant reporting requirements
- emphasize engagement and collaboration by sub-recipient projects with local law enforcement
- develop sub-recipient grant awards
- AHS DCF Business Office will complete and submit fiscal reports to COPS office quarterly or as required
- consolidated program report(s) will be completed as required

January 2010 – grant end date

- most, not all sub-recipients will begin implementation of projects
- grants will be monitored by AHS DCF Family Services grant manager
- AHS DCF Family Services grant manager will assist and support programs with various issues as needed to maintain quality and effective programs
- AHS DCF Family Services grant manager will consolidate individual sub-recipient outcomes in to one report and forward to COPS office at required intervals
- a portion of the school awards will commence projects September, 2010 and anticipate ending August 2011
- final report will be provided to Senator Sanders if requested

E. Evaluation Plan/Effectiveness of Program

Although a formal evaluation of the COPS Safe Schools Initiative is not a requirement for funding, the COPS Office strongly encourages applicants to consider how they will determine if grant funding was effective in addressing the problems outlined above. If your agency intends to have an evaluation plan in place, please describe it here.

Grantee will encourage sub-recipients to implement or contract for evaluation of program outcomes. The majority of funds are allocated to small grant awards for one – two year duration. State agency grantee will assure that all award recipients track and report performance measures and outcomes that tie to the grant award and fund objectives.

JFO 2441



State of Vermont
Department of Finance & Management
109 State Street, Pavilion Building
Montpelier, VT 05620-0401

[phone] 802-828-2376
[fax] 802-828-2428

Agency of Administration

STATE OF VERMONT FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		In this COPS grant there will be 12 subawards (grants) targeting youth delinquency prevention through building positive relationships and skills. Groups to get subgrants include six schools and and six non-profits that deal with youth from around the state.			
Date:		3/16/2010			
Department:		Department for Children and Families			
Legal Title of Grant:		COPS Safe School Initiative			
Federal Catalog #:		16.710			
Grant/Donor Name and Address:		U.S. Department of Justice, Office of Community Oriented Policing Services, 1100 Vermont Ave., NW, Washington DC 20530			
Grant Period:	From:	3/11/2009	To:	9/30/2012	
Grant/Donation		\$700,000			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$200,000	\$450,000	\$50,000	\$700,000	
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:					
Department of Finance & Management				<i>JK</i>	(Initial)
Secretary of Administration				<i>TP</i>	(Initial)
Sent To Joint Fiscal Office					Date 3/29/10



STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:	Agency of Human Service			
2. Department:	Department for Children & Families			
3. Program:	COPS Safe School Initiative			
4. Legal Title of Grant:	Safe School Initiative			
5. Federal Catalog #:	16.710			
6. Grant/Donor Name and Address: U.S. Department of Justice				
7. Grant Period:	From:	3/11/2009	To:	9/30/2012
8. Purpose of Grant: 12 pre-determined sub-awards that target youth delinquency prevention strategies through positive relationship and skill acquisitions.				
9. Impact on existing program if grant is not Accepted: Increase risk factors in youth delinquency.				
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2010	FY 2011	FY 2012	
Personal Services	\$	\$	\$	
Operating Expenses	\$	\$	\$	
Grants	\$200,000	\$450,000	\$50,000	
Total	\$200,000	\$450,000	\$50,000	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$200,000	\$450,000	\$50,000	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$200,000	\$450,000	\$50,000	
Appropriation No:	3440020000		Amount:	\$700,000
				\$
				\$
				\$
				\$
				\$
				\$

REC'D MAR 12 2010

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

	Total \$700,000
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PERSONAL SERVICE INFORMATION

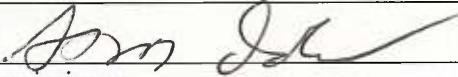
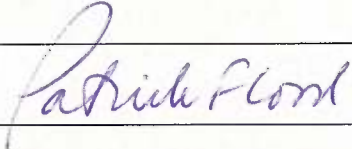
11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: _____ (initial)

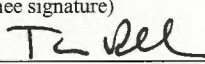
12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

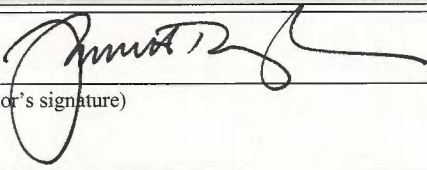
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: 	Date: 3/8/10
	Title: Commissioner	
	Signature: 	Date: 3/9/10
	Title: DEPUTY SECRETARY	

14. SECRETARY OF ADMINISTRATION

<input type="checkbox"/>	Approved:	(Secretary or designee signature) 	Date: 3/27/10
--------------------------	-----------	---	---------------

15. ACTION BY GOVERNOR

<input checked="" type="checkbox"/>	Check One Box: Accepted	(Governor's signature) 	Date: 3/26/10
<input type="checkbox"/>	Rejected		

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

<input type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input type="checkbox"/> Notice of Award <input type="checkbox"/> Grant Agreement <input type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)
---	--

End Form AA-1



U.S. Department of Justice
 Community Oriented Policing Services
 Grants Administration Division
 Safe Schools Initiative

Treasury Account Symbol (TAS) 15X0406

Grant #: 2009CKWX0773
 ORI #: VT020ZZ

Applicant Organization's Legal Name: Department of Children and Families
 OJP Vendor #: 036000280
 DUNS#: 809376155

Law Enforcement Executive: AHS DCF Commissioner Stephen Dale
 Address: 103 South Main Street
 5 North
 City, State, Zip Code: Waterbury, VT 05641
 Telephone: (802) 241-4615
 Fax: (802) 241-1219

Government Executive: DCF Financial Director David Cohen
 Address: 103 South Main Street
 5 North
 City, State, Zip Code: Waterbury, VT 05641
 Telephone: (802) 241-1270
 Fax: (802) 241-1219

Award Start Date: 3/11/2009 Award End Date: 3/10/2012
 Award Amount: \$ 700,000.00

SEP 11 2009

David Buchanan
 Acting Director

Date

By signing this award, the signatory officials are agreeing to abide by the Conditions of Grant Award found on the reverse side of this document:

Stephen R. Dale, Commissioner

3/26/10

Signature of Law Enforcement Official with the Authority to Accept this Grant Award

Typed Name and Title of Law Enforcement Official

Date

David Cohen, DCF Financial Director

3/3/2010

Signature of Government Official with the Authority to Accept this Grant Award

Typed Name and Title of Government Official

Date

False statements or claims made in connection with COPS grants may result in fines, imprisonment, debarment from participating in federal grants or contracts, and/or any remedy available by law to the Federal Government.

Award ID: 96306



U.S. Department of Justice

Office of Community Oriented Policing Services (COPS)

Office of the Director
1100 Vermont Ave., NW
Washington, DC 20530

February 19, 2010

AHS DCF Commissioner Stephen Dale
Department of Children and Families
103 South Main Street
5 North
Waterbury, VT 05641

Re: Safe Schools Initiative Grant #2009CKWX0773
ORI#: VT020ZZ

Dear AHS DCF Commissioner Dale:

Congratulations! On behalf of Attorney General Eric Holder, I am pleased to inform you that the COPS Office has approved your agency's request for funding in the amount of \$700,000 under the COPS Safe Schools Initiative. Enclosed in this packet is your grant award. **The award document must be signed and returned to the COPS Office within 90 days from the date of this letter to officially accept your grant.** On the reverse side of the grant award is a list of conditions that apply to your grant. You should read and familiarize yourself with these conditions. In addition, your Grant Owner's Manual and other important information to assist you with the implementation of your award are available online at: <http://www.cops.usdoj.gov/Default.asp?Item=2200>.

The official start date of your grant is March 11, 2009. Therefore, you can be reimbursed for approved expenditures made on or after this date. Please carefully review the Financial Clearance Memorandum included in your award package to determine your approved budget, as some of your requested items may not have been approved by the COPS Office during the budget review process, and grant funds may only be used for approved items. Also, please be aware that any vendor or contractor who participated in drafting your grant application may not receive federal funding for any procurement under this award.

Within a few weeks, you will receive a financial documentation package from the Office of the Chief Financial Officer, Office of Justice Programs. This important package will contain the forms and instructions necessary to begin drawing down funds for your grant.

Once again, congratulations on your Safe Schools Initiative award. If you have any questions about your grant, please do not hesitate to call your Grant Program Specialist through the COPS Office Response Center at 1.800.421.6770.

Sincerely,

Bernard K. Melekian
Director

COPS Safe Schools Initiative
COPS - SSI - 2009-1
Estimated Budgets

Sub-Grantees	Time Period	Salaries & Benefits	Non-Expendable Equip/Tech	Supplies	Travel & Training	Contracts & Consultants	Total
Oxbow High School	2 School Years	47,784		2,216			50,000
Green Mt Union HS	2 School Years	47,784		2,216			50,000
Mt Anthony Union HS - Mentor-Tutor Program	Jan 2010 - June 2011					50,000	50,000
Addison Parent Child Center		69,500			5,500		75,000
Lamoille Family Center	Jan 2010 - June 2011	68,868			6,132		75,000
St. Joseph School	1 Year	20,200	3,000	6,490		5,310	35,000
Mt Anthony Union HS - Saturday Enrichment	Jun 2010 - Jun 2011	19,685		940		14,375	35,000
Rutland Boys & Girls Club	2 Years	123,600	2,000	2,000	8,400	4,000	140,000
Franklin Central School	Jul 2010 - Jun 2012	25,836		5,764	200	13,200	45,000
Lamoille Court Diversion Program	2 Years	27,552		5,948	500	11,000	45,000
Windham County Youth Services	15 months	51,092	1,500	4,818	840	1,750	60,000
Rosie's Girls	15-18 months	1,566		500	104	37,830	40,000

TOTALS 503,467 6,500 30,892 21,676 137,465 700,000

Section 8: EXECUTIVE SUMMARY

Vermont

Agency of Human Services, Department for Children and Families

Contact: Theresa Lay-Sleeper, 802.241.2953

\$700,000 as congressional earmark

Summary of agency use of federal funding: VT AHS DCF will award all funds to twelve sub-recipients that will implement programs that increase protective factors in youth and communities and decrease risk factors known to be associated with delinquent and criminal behaviors.

Community based awards include:

1. Three schools will implement or expand academic mentor – tutor programs based on the effective model piloted in Bennington. Services focus on student engagement, increased academic success, social skills acquisition that enable classroom participation and behavioral self-regulation
2. Two Parent Child Centers will expand service slots for pregnant and parenting teens where those youth can earn their high school diplomas, receive job training, emotional-behavioral regulation and learn life skills necessary to successful transition to adulthood and parenting
3. Two schools will implement Saturday enrichment programs for small groups of students that need personal attention and interaction to succeed and improve academic skills. Authentic, experiential learning strategies will engage students in learning.
4. One Boys and Girls Club will increase staffing and street outreach to youth, provide training to staff from a successful peer program, and provide safe activities for youth.
5. One school will expand its after school programming to 100 more students offering academic, health and fitness, evidence-based gang reduction strategies and more in a community with high crime and juvenile substance use rates.
6. a Court Diversion & Youth Development Program in a rural community will expand program slots to girls transitioning out of state foster care. The program offers case management, therapeutic gender-based skills groups, and positive life skills activities.
7. One Youth Services Program will expand 'drop-in nights' staffed by local service providers and targeted to 14 – 20 year olds. Participants engage in pro-social activities, skills acquisition and receive a hot meal.
8. One program will expand an award-winning career exploration, skills training, and education program targeted to middle-school girls that focuses on trades that are non-traditional for females, are demanded by current market, and enable higher work compensation than traditional jobs for girls.

Impact on public safety and/or crime prevention: Increasing supports, skills, positive relationships, opportunities and resources to high risk youth is a crime prevention strategy and increases public safety and quality of life for participants and their communities.

Section 9: PROJECT DESCRIPTION - NARRATIVE

A. Problem Identification and Justification

School safety-related problem(s) that will be addressed with this grant, and provide relevant facts, statistics, or other supporting information that documents the nature, prevalence, and severity of the problem.

- Students with low academic performance are typically disconnected from peers and positive adult role models in their schools.
- Students from low-income families are most likely to experience
 - food shortage and food insecurity that contribute to poor academic performance
 - parents that are not connected to the child's school or teachers and may be unable to attend meetings for lack of transportation, work conflicts or other reasons
- Pregnant and parenting adolescents are most likely low-income, and less likely to have positive peer or adult supports within or outside of their schools, and are more likely to drop out of high school
- Youth who are transitioning out of foster care to adulthood typically have fewer social supports than do their peers living with parents or other family members. Lack of appropriate and strong adult supporters and mentors place youth at higher risk of
 - disenfranchisement from community,
 - greater than average challenges in post-secondary education, vocational training or job acquisition
 - engagement in high risk behaviors including substance abuse, homelessness, delinquent and criminal behaviors, victimization, and mental health disorders

Targeting these youth to engage them in

- active planning of their futures
- connecting with positive adult role models and supports,
- greater school success and vocational exploration,
- targeted social skills acquisition

supports their successful transition to adulthood and reduces the risk of their engaging in behaviors dangerous to themselves, their schools, and communities. Targeting high risk youth who are still connected to school can increase protective factors and decrease risk factors known to be associated with youth crime and community safety.

Relevant state data to this target population and grant objectives:

- annual cost of youth in custody of the VT DCF youth probation is \$8,000 – \$80,000 / year
- annual cost for a Corrections facility placement is \$51,000
- effective community-based youth prevention programs are cost effective, and that contributes directly to community safety

- according to the VT Department of Education (2008), a high school dropout:
 - Earns \$260,000 less over a lifetime
 - Pays \$60,000 less in taxes
 - Has a life expectancy 9.2 years shorter
 - The U.S. loses \$192 billion in combined income and tax revenue losses with each cohort of 18 yr. olds who don't finish high school
- VT Department of Education (2008) reports a 73% high school completion rate for low income youth compared w/ a 93% rate of completion for peers not impacted by poverty
- The National Governor's Association (2008) reported (to the VT Commission to end Childhood Poverty) that

“Poverty has long-term social and economic costs for children and families, communities, and states. In 2006, more than 13 million children lived below the federal poverty level. Children who grow up poor are more likely to earn less as adults, complete fewer years of formal education, and face more health issues than children living in higher-income families. Poverty also contributes to poor social, emotional, and behavioral outcomes for children and hinders cognitive development. In short, poverty has large repercussions for states and the nation, with childhood poverty alone estimated to cost the U.S. economy approximately \$500 billion annually.”
- 25% of VT children are eligible for reduced price school lunches
- there is a high rate of high school drop out among the incarcerated population in VT and the nation

B. Project Goals and Objectives

Federal assistance will be used by the state agency grantee to sub-award twelve community programs targeted at school success and building protective factors in high risk youth. This is a crime prevention strategy targeted at youth before they get in trouble with the law.

C. Community Policing Strategies/Crime Prevention Activities

Proposed community policing activities that will be implemented or enhanced with grant funding:

The VT Agency of Human Services, Department for Children and Families will sub-award these funds to twelve community schools or youth service programs to be utilized crime prevention efforts.

(a) Community partnerships:

Funded school and youth service prevention programs have strong, established partnerships with municipal and state law enforcement. In some identified programs, law enforcement officers conduct periodic visits and prevention activities with youth ranging

from automobile safety to substance abuse and violence prevention. These partnerships between schools, youth service, and law enforcement enable youth to view police officers as community resources.

(b) related governmental and community initiatives that complement your agency's proposed use of SSI funding

- DOE Safe and Drug Free Schools violence and substance abuse prevention efforts
- Restorative justice efforts in law enforcement, schools, and Community Justice Centers, and Court Diversion programs
- School resource officers
- After school enrichment and mentoring programs in schools and community youth service agencies
- Youth service agency programs that assist homeless and runaway youth
- community agencies that provide mental health and substance abuse treatment
- community agencies that provide case management for youth with identified disabilities

(c) Organizational transformation – how your agency will use these funds, if awarded, to help reorient its mission to community policing or enhance its involvement in and commitment to community policing.

This proposal is outside of a law enforcement agency. Funds will support prevention services to youth with targeted risks. All sub-recipients of COPS SSI funds will maintain, and where necessary, strengthen partnerships with law enforcement, encouraging youth to consider community police as resources.

Provide information on how the community policing activities described will link to the overall organizational community policing strategy of the agency

It is the culture of VT communities, enhanced by COPS and other Justice funding, to respond to youth crime in a manner that utilizes the principles of restorative justice and community policing. VT communities emphasize prevention of crime.

D. Implementation Plan

- ensure effective implementation of the project
- brief timeline with a list of key activities and milestones

AHS DCF will administer this grant according to all requirements of the funding source and with its own internal monitoring standards

October – December 2009

- receipt of award notification
- request to state legislative Joint Fiscal Office to accept award
- implement a required training for sub-recipients that will:

- train sub-recipients in Logic Model development and identification of clear measurable outcomes associated with intended grant activities
- enable program accountability
- define state fiscal and program grant reporting requirements
- emphasize engagement and collaboration by sub-recipient projects with local law enforcement
- develop sub-recipient grant awards
- AHS DCF Business Office will complete and submit fiscal reports to COPS office quarterly or as required
- consolidated program report(s) will be completed as required

January 2010 – grant end date

- most, not all sub-recipients will begin implementation of projects
- grants will be monitored by AHS DCF Family Services grant manager
- AHS DCF Family Services grant manager will assist and support programs with various issues as needed to maintain quality and effective programs
- AHS DCF Family Services grant manager will consolidate individual sub-recipient outcomes in to one report and forward to COPS office at required intervals
- a portion of the school awards will commence projects September, 2010 and anticipate ending August 2011
- final report will be provided to Senator Sanders if requested

E. Evaluation Plan/Effectiveness of Program

Although a formal evaluation of the COPS Safe Schools Initiative is not a requirement for funding, the COPS Office strongly encourages applicants to consider how they will determine if grant funding was effective in addressing the problems outlined above. If your agency intends to have an evaluation plan in place, please describe it here.

Grantee will encourage sub-recipients to implement or contract for evaluation of program outcomes. The majority of funds are allocated to small grant awards for one – two year duration. State agency grantee will assure that all award recipients track and report performance measures and outcomes that tie to the grant award and fund objectives.

budget narrative sec 10

**Section 10: BUDGET NARRATIVE
(EXCLUDING SWORN OFFICER POSITIONS)**

Introduction: The VT Agency of Human Services, Department for Children and Families – AHS / DCF submits this application and budget in response to a notice of congressional earmark. VT Senator Bernie Sanders' office has pre-determined allocations and it is the role of the DCF to apply, administer, monitor, and report collated outcomes and fiscal status to the COPS office.

This budget represents the collation of 12 sub-recipient budgets that meet the criteria established by this grant.

<u>Category</u>	<u>Total allocation</u>
<u>Civilian/Other Personnel</u> Narrative: This includes multiple positions and partial positions and associated fringe benefits and taxes	\$503,467.00
<u>Equipment/Technology</u> Narrative: equipment purchases are primarily computers necessary for program implementation	\$6,500.00
<u>Other Costs</u>	0
<u>Supplies</u> Narrative: youth program supplies and activities	\$30,892.00
<u>Travel/Training</u> Narrative: staff travel to provide direct program services and outreach	\$21,676.00
<u>Contracts/ Consultants</u> Narrative: program costs for contracted direct service youth workers or trainers and program staff trainers	\$124,265.00
<u>Indirect Costs</u>	0
Total costs	\$700,000



U. S. Department of Justice
Community Oriented Policing Services

Grants Administration Division
Safe Schools Initiative

1100 Vermont Avenue, NW
Washington, DC 20530

Memorandum

To: AHS DCF Commissioner Stephen Dale
Department of Children and Families

From: Andrew A. Dorr, Assistant Director for Grants Administration
Cristina Grossi, Grant Program Specialist
Budget Prepared By: Cristina Grossi, Grant Program Specialist

Re: Safe Schools Initiative Financial Clearance Memo

A financial analysis of budgeted costs has been completed. Costs under this award appear reasonable, allowable, and consistent with existing guidelines. Exceptions / Adjustments are noted below.

OJP Vendor #: 036000280

ORI #: VT020ZZ

DUNS #: 809376155

Grant #: 2009CKWX0773

<u>Budget Category</u>	<u>Proposed Budget</u>	<u>Approved Budget</u>	<u>Adjustments</u>	<u>Disallowed/Adjusted - Reasons/Comments</u>
Travel/Training	\$19,665.00	\$19,665.00	\$0.00	
Equipment	\$8,345.00	\$8,345.00	\$0.00	
Supplies	\$39,719.00	\$39,719.00	\$0.00	
Consultants/Contractors	\$66,243.00	\$66,243.00	\$0.00	
Other	\$45,864.00	\$45,864.00	\$0.00	
Personnel	\$528,248.00	\$520,164.00	\$8,084.00	Exceeds award amount
Direct Costs:	\$708,084.00	\$700,000.00	\$8,084.00	
Grand Total	\$708,084.00	\$700,000.00	\$8,084.00	
Grand Total:	Federal Share:	\$ 700,000.00		
	Applicant Share:	\$ 0.00		

Cleared Date: 2/18/2010

Overall Comments:

All costs listed in this budget were programmatically approved based on the final Budget Detail Worksheets submitted by your agency to the COPS Office. Maintenance agreements (if applicable) must be purchased and paid in full within the three-year grant period. Prior to the obligation, expenditure or drawdown of grant funds for non-competitive contracts in excess of \$100,000, grantee must submit a sole source justification to the COPS Office for review and approval. Prior to the obligation, expenditure, or drawdown of grant funds for consultant fees in excess of \$550 per day when the consultant is hired through a noncompetitive bidding process, approval must be obtained from the COPS Office. If the vendor number on this form differs from the EIN number included in your application, then for administrative purposes only, we are assigning a different vendor number to your agency. The reason for this administrative change is that your original EIN number has been assigned to another agency. If this applies to your agency, please use the new vendor number on all financial documents related to this grant award. The vendor number should not be used for IRS purposes and only applies to this grant.

Opportunity Title:	COPS Safe Schools Initiative
Offering Agency:	Community Oriented Policing Services
CFDA Number:	
CFDA Description:	
Opportunity Number:	COPS-SSI-2009-1
Competition ID:	
Opportunity Open Date:	07/31/2009
Opportunity Close Date:	08/17/2009
Agency Contact:	Agency Contact: COPS Office Response Center Phone: 1-800-421-6770 E-mail: askCopsRC@usdoj.gov

This electronic grants application is intended to be used to apply for the specific Federal funding opportunity referenced here.

If the Federal funding opportunity listed is not the opportunity for which you want to apply, close this application package by clicking on the "Cancel" button at the top of this screen. You will then need to locate the correct Federal funding opportunity, download its application and then apply.

This opportunity is only open to organizations, applicants who are submitting grant applications on behalf of a company, state, local or tribal government, academia, or other type of organization.

* Application Filing Name:

Mandatory Documents

Move Form to Complete

Move Form to Delete

Mandatory Documents for Submission

Application for Federal Assistance (SF-424)
COPS Application Attachment
COPS Budget

Optional Documents

Other Attachments Form

Move Form to Submission List

Move Form to Delete

Optional Documents for Submission

Instructions

- 1** Enter a name for the application in the Application Filing Name field.

 - This application can be completed in its entirety offline; however, you will need to login to the Grants.gov website during the submission process.
 - You can save your application at any time by clicking the "Save" button at the top of your screen.
 - The "Save & Submit" button will not be functional until all required data fields in the application are completed and you clicked on the "Check Package for Errors" button and confirmed all data required data fields are completed.

- 2** Open and complete all of the documents listed in the "Mandatory Documents" box. Complete the SF-424 form first.

 - It is recommended that the SF-424 form be the first form completed for the application package. Data entered on the SF-424 will populate data fields in other mandatory and optional forms and the user cannot enter data in these fields.
 - The forms listed in the "Mandatory Documents" box and "Optional Documents" may be predefined forms, such as SF-424, forms where a document needs to be attached, such as the Project Narrative or a combination of both. "Mandatory Documents" are required for this application. "Optional Documents" can be used to provide additional support for this application or may be required for specific types of grant activity. Reference the application package instructions for more information regarding "Optional Documents".
 - To open and complete a form, simply click on the form's name to select the item and then click on the => button. This will move the document to the appropriate "Documents for Submission" box and the form will be automatically added to your application package. To view the form, scroll down the screen or select the form name and click on the "Open Form" button to begin completing the required data fields. To remove a form/document from the "Documents for Submission" box, click the document name to select it, and then click the <= button. This will return the form/document to the "Mandatory Documents" or "Optional Documents" box.
 - All documents listed in the "Mandatory Documents" box must be moved to the "Mandatory Documents for Submission" box. When you open a required form, the fields which must be completed are highlighted in yellow with a red border. Optional fields and completed fields are displayed in white. If you enter invalid or incomplete information in a field, you will receive an error message.

- 3** Click the "Save & Submit" button to submit your application to Grants.gov.

 - Once you have properly completed all required documents and attached any required or optional documentation, save the completed application by clicking on the "Save" button.
 - Click on the "Check Package for Errors" button to ensure that you have completed all required data fields. Correct any errors or if none are found, save the application package.
 - The "Save & Submit" button will become active; click on the "Save & Submit" button to begin the application submission process.
 - You will be taken to the applicant login page to enter your Grants.gov username and password. Follow all onscreen instructions for submission.

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify) _____
---	---	---

* 3. Date Received: Completed by Grants.gov upon submission.	4. Applicant Identifier: _____
--	--

5a. Federal Entity Identifier: _____	* 5b. Federal Award Identifier: _____
--	---

State Use Only:

6. Date Received by State: _____	7. State Application Identifier: _____
---	---

8. APPLICANT INFORMATION:

* a. Legal Name: agency of human services, department for children & families
--

* b. Employer/Taxpayer Identification Number (EIN/TIN): 036000264	* c. Organizational DUNS: 809376155
---	---

d. Address:

* Street1: osgood 2,
Street2: 103 s. main st
* City: waterbury
County: washington
* State: VT: Vermont
Province: _____
* Country: USA: UNITED STATES
* Zip / Postal Code: 05641-2101

e. Organizational Unit:

Department Name: Children and Families	Division Name: Juvenile Justice
--	---

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: _____	* First Name: theresa
Middle Name: _____	
* Last Name: lay-sleeper	
Suffix: _____	
Title: _____	

Organizational Affiliation:

* Telephone Number: 802.241.2953	Fax Number: 802.241.1219
---	---------------------------------

* Email: theresa.lay-sleeper@ahs.state.vt.us

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type:

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

Community Oriented Policing Services

11. Catalog of Federal Domestic Assistance Number:

CFDA Title:

*** 12. Funding Opportunity Number:**

COPS-SSI-2009-1

* Title:

COPS Safe Schools Initiative

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

statewide; 11 communities

*** 15. Descriptive Title of Applicant's Project:**

crime prevention activities

Attach supporting documents as specified in agency instructions.

[Add Attachments](#) [Delete Attachments](#) [View Attachments](#)

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="700,000.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="700,000.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

Application for Federal Assistance SF-424

Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

BUDGET DETAIL WORKSHEETS

OMB Control Number: 1103-0097

Expiration Date: 5/31/2011

Applicant Legal Name:

ORI #:

agency of human services, department for children & families

COPS FUNDING REQUEST

Federal assistance is being requested under the following COPS Office funding category:

Please select the funding category that was selected on the COPS Application Attachment to SF-424.

- | | |
|--|---|
| <input type="checkbox"/> Tribal Resources Grant Program | <input checked="" type="checkbox"/> Targeted-Technology Program |
| <input type="checkbox"/> Targeted-Methamphetamine Initiative | <input type="checkbox"/> Universal Hiring Program |
| <input type="checkbox"/> Community Policing Development Programs | <input type="checkbox"/> Child Sexual Predator Program |
| <input type="checkbox"/> Secure Our Schools | |

A. Sworn Officer Positions

No Sworn Officer Positions Requested

Instructions: For COPS programs which fund sworn officer positions, you may apply for entry-level salaries and benefits of newly hired, additional law enforcement officers. Please refer to the Application Guide for information on the length of the grant term for the specific program which you are applying.

This worksheet will assist your agency in properly organizing your **maximum estimated** salary and benefit costs and providing the necessary financial details for review by the COPS Office. Please list the entry-level base salary and fringe benefits **rounded to the nearest whole dollar** for one sworn officer position within your agency. COPS hiring funds may also be used to pay for entry-level salaries and benefits of newly-hired, additional officers who will backfill the positions of locally-funded veteran officers that will be deployed into community policing specialty areas (i.e., School Resource Officers). **Do not include employee contributions.**

Complete part 1 if you are requesting funds for full-time officer positions.

Officer Positions Requested:

Full-time:

Enter the number of new, entry-level full-time and/or part-time officer positions that are being requested. Do not include any officers already funded (or for which funding has been requested) under any other COPS grants or any positions otherwise funded with state, local, tribal, or BIA funds. Your request should be consistent with your agency's law enforcement needs. Do not request more positions than your agency can support and retain.

Applicant Legal Name:

agency of human services, department for children & families

ORI #:

A. Sworn Officer Positions

Part 1: Full time sworn officer information

Total Entry-Level Base Salary for One Position \$ [] x [] Years = \$ [] (Base Salary Subtotal)

B. Fringe Benefit Cost % of Base Additional Information

Social Security [] [] If Exempt Check Here: [] Fixed Rate: [] Can't Exceed 6.2% of total base salary. If less than 6.2%, exempt or fixed rate, provide an explanation in the "Sworn Officer Position Budget Summary".

Medicare [] [] If Exempt Check Here: [] Fixed Rate: [] Can't Exceed 1.45% of total base salary. If less than 1.45%, exempt, or fixed rate, provide an explanation in the "Sworn Officer Position Budget Summary".

Health Insurance [] [] Family Coverage? [] Yes [] No Fixed Rate: [] Can't Exceed 30% of total base salary for individual plans, or 45% for family plans. If it exceeds these rates or is a fixed rate, provide an explanation in "Sworn Officer Position Budget Summary".

Life Insurance [] []

Vacation [] [] Number of Hours Annually: []

Sick Leave [] [] Number of Hours Annually: []

Retirement [] [] Fixed Rate: []

Can't Exceed 20% of the total base salary (unless a fixed rate). If a fixed rate, provide an explanation in the "Sworn Officer Position Budget Summary".

Worker's Comp [] [] If Exempt Check Here: [] Fixed Rate: [] Can't Exceed 10% of the total base salary. If exempt or if it exceeds this rate, provide an explanation in the "Sworn Officer Position Budget Summary".

Unemployment Ins. [] [] If Exempt Check Here: [] Fixed Rate: [] Can't Exceed 5% of the total base salary. If exempt or if it exceeds this rate, provide an explanation in the "Sworn Officer Position Budget Summary".

Other [] [] Describe: []

Other [] [] Describe: []

Other [] [] Describe: []

Total Salary (Part A) \$ [] + Total Fringe Benefits (Part B) [] x # of Positions [] = Sworn Officer Total [] Transfer to Budget Summary Line 1

Applicant Legal Name:

agency of human services, department for children & families

ORI #:

Part 2: Sworn Officer Position Budget Summary (all applicants requesting officer position(s) must complete this section.)

After completing Part 1 of this form, answer the following questions. If necessary, attach an explanation of how you computed salaries and benefits for this worksheet. Be sure to answer EVERY question. Missing or erroneous information could significantly delay the review of your agency's request.

1. If your agency's second and third-year costs for salaries and/or fringe benefits are greater than the first year, check the reason(s) why in the space below. You must check at least one.

- Cost of living adjustment (COLA) Step Raises Change in benefit costs
 Other - please explain briefly:

2. If an explanation is required for any of the following categories, please provide in the space below: 1) Social Security, 2) Medicare, 3) Health Insurance, 4) Retirement, 5) Workers Compensation, and 6) Unemployment Insurance.

1) Social Security:

2) Medicare:

3) Health Insurance:

4) Retirement:

5) Worker's Compensation:

6) Unemployment Insurance:

Applicant Legal Name:

ORI #:

agency of human services, department for children & families

B. Civilian/Other Personnel

No Civilian Personnel Positions Requested

Instructions: Each position must be listed and computed separately. On this page you can enter one civilian position and then by adding another Civilian/Other Personnel page, can enter 19 more unique positions for a total of 20. Complete each position in accordance with the instructions.

Part 1: Total Base Salary and Fringe Benefits for Civilian/Other Personnel

Civilian/Other Personnel Page 1 of 1

Position Title: []

Base Salary Computation: (([] x []) = X []) [] (Base Salary Subtotal)
((Annual Base Salary X Percent of Time Devoted to the Project) X Number of Months Devoted to the Project)

Fringe Benefit Cost % of Base Salary Subtotal Additional Information

Social Security [] [] If Exempt Check Here: [] Fixed Rate: []
Can't Exceed 6.2% of total base salary. If less than 6.2%, exempt or fixed rate, provide an explanation in the "civilian/non-sworn personnel budget summary".

Medicare [] [] If Exempt Check Here: [] Fixed Rate: []
Can't Exceed 1.45% of total base salary. If less than 1.45%, exempt, or fixed rate, provide an explanation in the "civilian/non-sworn personnel budget summary".

Health Insurance [] [] Family Coverage? [] Yes [] No Fixed Rate: []
Can't Exceed 30% of total base salary for individual plans, or 45% for family plans. If it exceeds these rates or is a fixed rate, provide an explanation in the "civilian/non-sworn personnel budget summary".

Life Insurance [] []

Vacation [] []

Number of Hours Annually: []

Sick Leave [] []

Number of Hours Annually: []

Retirement [] []

Fixed Rate: []

Can't Exceed 20% of the total base salary (unless a fixed rate). If a fixed rate, provide an explanation in the "civilian/non-sworn personnel budget summary".

Worker's Comp [] [] If Exempt Check Here: [] Fixed Rate: []

Can't Exceed 10% of the total base salary. If exempt or if it exceeds this rate, provide an explanation in the "civilian/non-sworn personnel budget summary".

Unemployment Ins. [] [] If Exempt Check Here: [] Fixed Rate: []

Can't Exceed 5% of the total base salary. If exempt or if it exceeds this rate, provide an explanation in the "civilian/non-sworn personnel budget summary".

Other [] [] Describe: []

Other [] [] Describe: []

Total Fringe Benefits: []

Subtotal Position Salary and Benefits: []

CIVILIAN/OTHER PERSONNEL TOTAL: [] Total Civilian/Other Personnel Cost (Add together all Subtotals per position) (Transfer to Budget Summary Line 2)

Please include a detailed position description for all positions listed in the Budget Narrative

Applicant Legal Name:

agency of human services, department for children & families

ORI #:

Part 2: Civilian/Non-Sworn Personnel Budget Summary (all applicants requesting civilian/non-sworn position(s) must complete this section.)

After completing Part 1 of this form, answer the following questions. If necessary, attach an explanation of how you computed salaries and benefits for this worksheet. Be sure to answer EVERY question. Missing or erroneous information could significantly delay the review of your agency's request.

1. If your agency's second and third-year costs for salaries and/or fringe benefits are greater than the first year, check the reason(s) why in the space below. You must check at least one.

- Cost of living adjustment (COLA) Step Raises Change in benefit costs
 Other - please explain briefly:

2. If an explanation is required for any of the following categories, please provide in the space below: 1) Social Security, 2) Medicare, 3) Health Insurance, 4) Retirement, 5) Workers Compensation, and 6) Unemployment Insurance.

1) Social Security:

2) Medicare:

3) Health Insurance:

4) Retirement:

5) Worker's Compensation:

6) Unemployment Insurance:

Applicant Legal Name:

agency of human services, department for children & families

ORI #:

[Empty box for ORI #]

C. EQUIPMENT/TECHNOLOGY

No Equipment/Technology Requested

Instructions: List non-expendable items that are to be purchased. Non-expendable equipment is tangible property (e.g., technology) having a useful life of more than two years. Expendable items should be included either in the "SUPPLIES" or "OTHER" categories. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially for high-price items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "CONTRACTS/ CONSULTANTS" category. If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the "Other Attachments" form found in the Grants.gov forms package.

Pursuant to the Continuing Appropriations Resolution, 2008, (P.L.110-161), be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made.

For agencies purchasing items related to enhanced communications systems, the COPS Office expects and encourages that, wherever feasible, such voice or data communications equipment should be incorporated into an intra- or interjurisdictional strategy for communications interoperability among federal, state, and local law enforcement agencies.

See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying.

Unit/Item Description	Computation		Unit Cost	Per Item Subtotal
	(# of Items/Units	X		

EQUIPMENT TOTAL:

[Empty box for Equipment Total]

Transfer to Budget
Summary Line 3

Please include a detailed description for all items listed in the Budget Narrative

Applicant Legal Name:

agency of human services, department for children & families

ORI #:

[Empty box for ORI #]

D. OTHER COSTS

No Other Costs Requested

Instructions: List other requested items that will support the project goals and objectives as outlined in your application. Other costs may include items such as overtime and background investigations for law enforcement officer positions and/or civilian positions if allowable under the program for which you are applying. If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the "Other Attachments" form found in the Grants.gov forms package.

Pursuant to the Continuing Appropriations Resolution, 2008, (P.L.110-161), be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made.

See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying.

Unit/Item Description	Computation (# of Items/Units X	Unit Cost)	Per Item Subtotal)
OTHER COST TOTAL:			[Empty box for total]

Transfer to Budget
Summary Line 4

Please include a detailed description for all items listed in the Budget Narrative

Applicant Legal Name:

agency of human services, department for children & families

ORI #:

E. SUPPLIES

No Supplies Requested

Instructions: List items by type (office supplies; postage; training materials; copying paper; books; hand-held tape recorders; etc). Generally, supplies include any materials that are expendable or consumed during the course of the project. If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the "Other Attachments" form found in the Grants.gov forms package.

See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying.

Unit/Item Description	Computation		Unit Cost	Per Item Subtotal
	(# of Items/Units	X		
SUPPLIES TOTAL:				
				Transfer to Budget Summary Line 5

Please include a detailed description for all items listed in the Budget Narrative

Applicant Legal Name:

agency of human services, department for children & families

ORI #:

F. TRAVEL/TRAINING

No Travel/Training Costs Requested

Instructions: Itemize travel expenses of project personnel by purpose (e.g., mandatory training, staff to training, field interviews, advisory group meetings). Show the basis of computation (e.g., 6 staff members times the unit cost per person for lodging for 3 days). Training projects, training fees, travel, lodging and per diem rates for trainees should be listed as separate travel items. Show the number of staff attending any event and the unit costs per person involved. Identify the location of travel, when possible. Note: Any local training costs (within a 50-mile radius) should be listed under Section D ("Other Costs"). If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the "Other Attachments" form found in the Grants.gov forms package.

See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying.

Reason for Travel/ Training & Location of Travel/Training	Travel/ Training Item	Computation			Per Item Subtotal
		(# of Staff	X	Unit Cost	
TRAVEL/TRAINING TOTAL:					
					Transfer to Budget Summary Line 6

Please include a detailed description for all items listed in the Budget Narrative

Applicant Legal Name:

agency of human services, department for children & families

ORI #:

G. CONTRACTS/CONSULTANTS

No Contracts/Consultants Costs Requested

Instructions: See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying. If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the "Other Attachments" form found in the Grants.gov forms package.

Contracts: Provide a description of the product or service to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. If awarded, requests for sole source procurements of equipment, technology or services in excess of \$100,000 must be submitted to the COPS Office for prior approval.

Contract Description	Per Contract Subtotal
12 pre-determined sub-awards - details attached	700,000.00
Contracts Subtotal:	
	700,000.00 (G1)

Consultant Fees: For each consultant enter the name (if known), service to be provided, hourly or daily fee (based upon an 8-hour day), and estimated length of time on the project. Unless otherwise approved by the COPS Office, approved consultant rates will be based on the salary a consultant receives from his or her primary employer. Consultant fees in excess of \$550 per day require additional written justification in the Budget Narrative and must be pre-approved in writing by the COPS Office.

Consultant Name/Title	Service Provided	Computation		Per Consultant Fee Subtotal
		(Cost	X # Days or # Hours)	
Consultant Fees Subtotal:				
				(G2)

Consultant Expenses: List all expenses to be paid from the grant to the individual consultants separate from their consultant fees (e.g., travel, meals, lodging).

Consultant Name/Title	Service Provided	Computation		Per Consultant Fee Subtotal
		(Cost	X # of Days)	
Consultant Subtotal:				
				(G3)

CONTRACTS/CONSULTANTS TOTAL:

Contracts (G1) + Consultant Fees (G2) + Consultant Expenses (G3)

700,000.00

Please include a detailed description for all contracts listed in the Budget Narrative.

Transfer to Budget
Summary Line 7

Applicant Legal Name:

agency of human services, department for children & families

ORI #:

H. INDIRECT COSTS

No Indirect Costs Requested

Instructions: Indirect costs are allowed under a **very limited** number of specialized COPS Training and Technical Assistance programs. Please see the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying. If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the "Other Attachments" form found in the Grants.gov forms package.

If indirect costs are requested, a copy of the agency's fully-executed, negotiated Federal Rate Approval Agreement must be attached to this application.

Indirect Cost Description

Computation

Per Indirect Cost Subtotal

INDIRECT COSTS TOTAL:

Transfer to Budget
Summary Line 8

Applicant Legal Name:

agency of human services, department for children & families

ORI #:

BUDGET SUMMARY

Instructions: When you have completed the Budget Detail Worksheets, please transfer the category totals to the spaces below. Please compute the Total Project Amount, Total Federal Share Amount, and Total Local Share (if applicable). Please see the Application Guide for information on the maximum federal share and local matching requirements for the grant for which you are applying.

Budget Category	Category Total	Line #
A. Sworn Officer Positions		1
B. Civilian/Other Personnel		2
C. Equipment/Technology		3
D. Other Costs		4
E. Supplies		5
F. Travel/Training		6
G. Contracts/Consultants	700,000.00	7
H. Indirect Costs		8
Total Project Amount:	700,000.00	
Total Federal Share Amount: (Total Project Amount X Federal Share Percentage Allowable)	700,000.00	
Total Local Share Amount (If applicable): (Total Project Amount - Total Federal Share Amount)	0.00	

Contact Information for Budget Questions

Please provide contact information of the financial official that the COPS Office may contact with questions related to your budget submission.

Authorized Official's Typed Name:

Prefix:

First Name: Theresa

Middle Name:

Last Name: Lay-Sleeper

Suffix:

Title: JJ Specialist

Phone: 802.241.2953 Fax: 802.241.1219

E-mail Address: theresa.lay-sleeper@ahs.state.vt.us

PAPERWORK REDUCTION ACT NOTICE

The public reporting burden for this collection of information is estimated to be up to 2 hours per response, depending upon the COPS program being applied for, including the time for reviewing instructions, searching existing data sources, gathering the budget data needed, and completing the worksheets. Send comments regarding this burden estimate or any other aspects of the collection of this information, including suggestions for reducing this burden, to the Office of Community Oriented Policing Services, U.S. Department of Justice, 1100 Vermont Avenue, N.W., Washington, D.C. 20530; and to the Public Use Reports Project, Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

You are not required to respond to this collection of information unless it displays a valid OMB control number. The OMB control number for this application is 1103-0097 and the expiration date is 5/31/2011.

COPS Application Attachment to SF-424

General Instructions:

The COPS Application Attachment to SF-424 is used in conjunction with all COPS program applications. Please ensure that you have completed all of the required sections. If a section is not applicable, please check the not applicable checkbox.

Section 1: COPS PROGRAM REQUEST

Federal assistance is being requested under the following COPS program:

Select the COPS Office grant program for which you are requesting federal assistance. Please DO NOT use this form to apply for multiple grants at one time. A separate application must be completed for each COPS program for which you are applying. Please ensure that you read, understand, and agree to comply with the applicable grant terms and conditions as outlined in the COPS Application Guide before finalizing your selection.

CHECK ONE PROGRAM OPTION ONLY

- | | |
|--|---|
| <input type="checkbox"/> Targeted-Tribal Resources Grant Program | <input checked="" type="checkbox"/> Targeted-Technology Program |
| <input type="checkbox"/> Targeted-Methamphetamine Initiative | <input type="checkbox"/> Universal Hiring Program |
| <input type="checkbox"/> Community Policing Development | <input type="checkbox"/> Child Sexual Predator Program |
| <input type="checkbox"/> Secure our Schools | |

Section 2: EXECUTIVE INFORMATION

Note: Listing individuals without ultimate programmatic and financial authority for the grant could delay the review of your application, or remove your application from consideration.

A. Applicant ORI Number:

n/a

The ORI number is assigned by the FBI and is your agency's unique identifier. The first two letters are your state abbreviation, the next three numbers are your county's code, and the final two numbers identify your jurisdiction within your county. If you do not currently have an ORI number, the COPS Office will assign one to your agency for the purpose of tracking your grant.

- Check here if your agency has not been assigned an ORI #.

B. Law Enforcement Executive/Program Official Information:

For Law Enforcement Agencies: Enter the law enforcement executive's name and contact information. This is the highest-ranking official within your jurisdiction (e.g., Chief of Police, Sheriff, or equivalent). **For Non-Law Enforcement Agencies:** Enter the program official's name and contact information. If the grant is awarded, this position would be responsible for the programmatic implementation of the award. If your agency is a "start-up" this section can remain blank.

Title:	<input type="text" value="Commissioner"/>
Prefix:	<input type="text"/>
First Name:	<input type="text" value="Stephen"/>
Middle Name:	<input type="text"/>
Last Name:	<input type="text" value="Dale"/>
Suffix:	<input type="text"/>
Agency Name:	<input type="text" value="AHS DCF"/>
Street 1:	<input type="text" value="5 North"/>
Street 2:	<input type="text"/>
City:	<input type="text" value="Waterbury"/>
County:	<input type="text"/>
State:	<input type="text" value="VT: Vermont"/>
Province:	<input type="text"/>
Zip / Postal Code:	<input type="text" value="05641"/>
Country:	<input type="text" value="USA: UNITED STATES"/>
Telephone:	<input type="text" value="802.241.4615"/>
Fax:	<input type="text"/>
E-mail:	<input type="text" value="steve.dale@ahs.state.vt.us"/>
Type of Agency:	<input type="text" value="State"/>
New Startup* (please specify):	<input type="text"/>
Other* (please specify):	<input type="text"/>

Agency types that have an asterisk next to them and that are applying for COPS hiring grants must provide additional information. Please refer to the COPS Application Guide: Agency Supplemental Information section for the questions that you will need to address. Please attach this information below:

<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
----------------------	---	--	--

C. Government Executive/Financial Official Information:

For Government Agencies: Enter the government executive's name and contact information. This is the highest-ranking official within your jurisdiction (Mayor, City Administrator, Tribal Chairman, or equivalent). **For Non-Government Agencies:** Enter the financial official's name and contact information. If the grant is awarded, this position would be responsible for the financial management of the award. Please note that information for non-executive positions (e.g. clerk, trustees, etc., are not acceptable).

Title:

Prefix:

First Name:

Middle Name:

Last Name:

Suffix:

Name of Government Entity/Financial Entity:

Street 1:

Street 2:

City:

County:

State:

Province:

Zip / Postal Code:

Country:

Telephone:

Fax:

E-mail:

Type of Government Entity:

Section 3: GENERAL AGENCY INFORMATION

A. General Applicant Information

1. Cognizant Federal Agency:

Enter the legal applicant's Cognizant Federal Agency. A Cognizant Federal Agency, generally, is the federal agency from which your jurisdiction receives the most federal funding. Your Cognizant Federal Agency also may have been previously designated by the Office of Management and Budget.

2. Fiscal Year: to (mo/da/yr)

3. Population served as of the 2000 US Census:

4. If the population served is not represented by the U.S. census figures, please indicate the size of the population served:

B. Law Enforcement Agency Information

1. Is your agency contracting for law enforcement services? Contractual arrangements for law enforcement services are not fundable under the Universal Hiring Program.

Yes No

If "yes," the Legal Name and address information listed on the SF-424 under section 8 (Applicant Information) should be for the jurisdiction that will be contracting to receive law enforcement services, and NOT the law enforcement agency that will actually provide those services. Also, be sure to enter the name and agency information of the contract law enforcement department under section 2, part B (law enforcement executive information) of this document. In all contracting arrangements, the jurisdiction that is applying for assistance is ultimately responsible for ensuring compliance with all grant requirements. For additional clarification on contracting guidelines, please see the program-specific section of the COPS Application Guide.

If you are a tribal law enforcement agency, instead of providing your own law enforcement services, does your tribe exclusively contract with a non-BIA local law enforcement agency for services?

Yes No

If 'Yes,' please refer to the program-specific section of the COPS Application Guide for additional eligibility information.

2. Population Served By Law Enforcement Agency

Do officers have primary law enforcement authority for the population to be served?

Yes No

An agency with primary law enforcement authority is defined as the first responder to calls for service, and has ultimate and final responsibility for the prevention, detection, and/or investigation of crime within its jurisdiction.

If yes, what is the actual population for which your department has primary law enforcement authority? [In other words, the 2000 Census population minus the incorporated towns and cities that have their own police departments.]

If no, please explain. Include the date by which your agency anticipates having primary law enforcement authority for this population. [Please limit your response to a maximum of 250 words.]

3. Current Budgeted Sworn Force Strength as of the Date of this Application:

Full Time

Part Time

Enter the budgeted sworn force strength. The budgeted sworn force strength is the number of sworn officer positions your department has allocated within its budget, including state, Bureau of Indian Affairs, and locally-funded vacancies. Do not include unpaid/reserve officers or detention staff.

4. Current Actual Sworn Force Strength as of the Date of this Application:

Full Time

Part Time

Enter the actual sworn force strength. The actual sworn force strength is the actual number of sworn officer positions employed by your department as of the date of application. Do not include vacant positions or unpaid/reserve positions.

Section 4: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

COPS Office grants must be used to reorient the mission and activities of law enforcement agencies toward the community policing philosophy or enhance their involvement in community policing. The following is the COPS Office definition of community policing that emphasizes the primary components of community partnerships, organizational transformation, and problem solving.

Community policing is a philosophy that promotes organizational strategies, which support the systematic use of partnerships and problem-solving techniques, to proactively address the immediate conditions that give rise to public safety issues, such as crime, social disorder, and fear of crime.

The COPS Office has completed the development of a comprehensive community policing self- assessment tool for use by law enforcement agencies. Based on this work, we have developed the following list of primary sub-elements of community policing. Please refer to the COPS Office web site (www.cops.usdoj.gov) for further information regarding these sub-elements

Community Partnerships:

Collaborative partnerships between the law enforcement agency and the individuals and organizations they serve to both develop solutions to problems and increase trust in police.

- Other Government Agencies
- Community Members/Groups
- Non-Profits/Service Providers
- Private Businesses
- Media

Organizational Transformation:

The alignment of organizational management, structure, personnel and information systems to support community partnerships and proactive problem-solving efforts.

Agency Management

- Climate and culture
- Leadership
- Labor relations
- Decision-making
- Strategic planning
- Policies
- Organizational evaluations
- Transparency

Organizational Structure

- Geographic assignment of officers
- Despecialization
- Resources and finances

Personnel

- Recruitment, hiring and selection
- Personnel supervision/evaluations
- Training

Information Systems (Technology)

- Communication/access to data
- Quality and accuracy of data

Problem Solving:

The process of engaging in the proactive and systematic examination of identified problems to develop effective responses that are rigorously evaluated.

- Scanning: Identifying and prioritizing problems
- Analysis: Analyzing problems
- Response: Responding to problems
- Assessment: Assessing problem-solving initiatives
- Using the Crime Triangle to focus on immediate conditions (Victim/Offender/Location)

COMMUNITY POLICING PLAN

COPS grants must be used to initiate or enhance community policing. Please complete the following questions to describe the types of community policing activities that will be initiated or enhanced as a result of COPS funding. You may find more detailed information about community policing at the COPS Office web site (www.cops.usdoj.gov).

Community Partnerships

The COPS Office is interested in determining if your organization will use the grant to assist in increasing the capacity to develop collaborative partnerships with individual and organizational stakeholders in communities to increase trust and to develop shared solutions to community problems.

If awarded funding, my organization will implement or enhance:

P1-Sharing of relevant crime and disorder information with community members.

Yes No Not Sure

P2-Seeking input from the community to identify and prioritize neighborhood problems.

Yes No Not Sure

P3-Engagement with the community in the development of responses to community problems.

Yes No Not Sure

P4-Collaboration with other agencies that deliver public services (e.g., parks and recreation, social services, public health, mental health, code enforcement).

Yes No Not Sure

Please provide specific examples of the types of activities you plan to engage in to enhance community partnerships if awarded grant funding (150 word maximum):

This award is not targeted to a law enforcement agency. The award is targeted to a state agency of human services and will be 100% passed through to sub-recipient school or community non-profit services to prevent crime and delinquency by targeting youth and community risk factors. All 12 sub-recipients collaborate w/ local law enforcement partners in crime prevention and child welfare efforts.

Problem Solving

The COPS Office is interested in determining if your organization will use the grant to assist in increasing the capacity to use problem solving. Problem solving is an analytical process for systematically 1) identifying and prioritizing problems, 2) analyzing problems, 3) responding to problems, and 4) evaluating problem-solving initiatives. Problem solving involves an agency-wide commitment to go beyond traditional police responses to crime to proactively address a multitude of problems that adversely affect quality of life.

If awarded funding, my organization will implement or enhance:

PS1-Integration of problem solving into patrol work.

Yes No Not Sure

PS2-Identification and prioritization of crime and disorder problems by examining patterns and trends involving repeat victims, offenders, and locations.

Yes No Not Sure

PS3-Exploring the underlying factors and conditions that contribute to crime and disorder problems.

Yes No Not Sure

PS4-Developing tailored responses to crime and disorder problems that address the underlying conditions that contribute to them.

Yes No Not Sure

Please provide specific examples of the types of activities you plan to engage in to enhance problemsolving activities if awarded grant funding (150 word maximum):

this award targets youth delinquency prevention strategies through positive relationship and skills acquisition

Organizational Transformation

The COPS Office is interested in determining if your organization will use the grant to assist in increasing the capacity to transform organizational environment, organizational structure, personnel, practices, and policies to support the community policing philosophy and community policing activities.

If awarded funding, my organization will implement or enhance:

OC1-Institutionalization of organizational changes that support the implementation of community policing strategies.

Yes No Not Sure

OC2-Incorporation of community policing principles into the agency's mission/vision statement and strategic plan

Yes No Not Sure

OC3-Institutionalization of community policing principles into a corresponding set of policies, practices and procedures.

Yes No Not Sure

OC4-Institutionalization of community policing agency-wide.

Yes No Not Sure

Please provide specific examples of the types of activities you plan to engage in to enhance organizational alignment towards community policing if awarded grant funding (150 word maximum):

this award targets youth delinquency prevention strategies through positive relationship and skills acquisition

Technology

The COPS Office is interested in determining if your organization will use the grant to assist in increasing technological capacity to better prevent and/or respond to crime and disorder incidents.

If awarded funding, my organization will implement or enhance:

T1- Ensuring that agency staff have proper access to relevant data (e.g., calls for service, incident and arrest data, etc.).

Yes No Not Sure

T2- Analysis and understanding of problems in the community.

Yes No Not Sure

T3- Improvements to the agency's overall efficiency and effectiveness.

Yes No Not Sure

T4- Providing officers with necessary equipment to better prevent and/or respond to crime and disorder incidents.

Yes No Not Sure

Please provide specific examples of the types of activities you plan to engage in to enhance alignment of technology towards community policing if awarded grant funding (150 word maximum):

This award targets youth crime prevention and child welfare strategies by promoting positive adult and peer relationship and skills acquisition

If your organization receives this COPS grant funding, it should use your responses to these questions as your organization's community policing plan. Your organization may be audited or monitored to ensure that it is initiating or enhancing community policing in accordance with this plan.

We understand that your community policing needs may change during the life of your COPS grant (if awarded), and we welcome minor changes to this plan without prior approval. We also recognize that this plan may incorporate a broad range of possible community policing strategies and activities, and that your agency may implement particular community policing strategies from the plan on an as-needed basis throughout the life of the grant. If your agency's community policing plan changes significantly, however, you must submit those changes in writing to the COPS Office for approval. Changes are "significant" if they deviate from the range of possible community policing activities identified and approved in this original community policing plan submitted with your application.

Section 5: CONTINUATION OF PROJECT AFTER FEDERAL FUNDING ENDS

Retention for COPS Hiring Grants

This section is applicable to applicants applying for sworn officer positions.

Check here if not applying under the Universal Hiring Program.

Hiring grantees are required to retain all additional officer positions awarded for at least one full local budget cycle following the expiration of COPS grant funding for each COPS-funded officer position. The additional officer positions should be added to your agency's law enforcement budget with state, local, or tribal funds for at least one full local budget cycle, over and above all other locally-funded officer positions (including other school resource officers) that would have existed regardless of the grant, from the time that the thirty-six (36) months of grant funding for each COPS position expires. Absorbing COPS-funded officers through attrition (rather than adding the extra positions to your budget with additional funding) does not meet the retention requirement. Please be aware that if your agency has additional sworn officer hiring grants that are active when one hiring grant expires, the officer positions that were awarded under the expired grant are added to your baseline of locally-funded officer positions and must be maintained throughout the implementation of all additional hiring grants.

Use the space below to explain how your agency currently plans to retain any additional officer positions awarded. Please be as specific as possible about the source(s) of retention funding (General Fund revenues, local ballot item, etc.) your agency plans to utilize. A missing or incomplete response could affect your ability to receive funding. Please limit your response to a maximum of 250 words.

Section 6: NEED FOR FEDERAL ASSISTANCE

All applicants are required to provide a brief explanation of their agency's public safety needs and an explanation of their agency's inability to implement this project and/or address these public safety needs without federal assistance.

In the space below, please provide a brief explanation of your agency's inability to implement this project without federal assistance. [Please limit your response to a maximum of 250 words.]

Community schools and youth programs have inadequate resources to target high risk students and youth before they commit delinquent acts, experience school failure, truancy, or drop out. Targeted programs will work with youth to strengthen peer and community relationships and to develop skills and abilities that promote school and pre-vocational success. these strategies will decrease delinquency risk factors among targeted youth. Adequate state, local, and federal formula prevention funds are not available to cover these services.

Section 7: WAIVERS OF THE LOCAL MATCH

Please refer to the Application Guide for information on whether waivers of the local match, are available under the grant program for which you are applying.

Check here if not applicable

Are you requesting a waiver of the local match based upon severe fiscal distress?

Yes No

If requesting a waiver, you are required to attach a detailed waiver justification below. Please refer to the COPS Application Guide – “Waiver of the Local Match” section for information on what to include in your justification, as well as the program-specific portion of the Guide to review the local match requirements for the grant program under which you are applying.

Section 8: EXECUTIVE SUMMARY

This section is applicable to COPS applicants applying under the COPS Methamphetamine Initiative, Secure Our Schools, Child Sexual Predator Program, Technology Program and Community Policing Development Programs.

Check here if not applicable

Please attach a brief summary of how your agency will use this federal funding. Be sure to include a description of how you expect this grant to impact public safety and/or crime prevention in your community. Please refer to the COPS Application Guide for clarification on specific information to include in your summary. The Executive Summary may be used to keep Congress or other executive branch agencies informed on law enforcement strategies to deter crime in your community. [Please limit your response to a maximum of 400 words.]

Section 9: PROJECT DESCRIPTION (NARRATIVE)

This section is applicable to all COPS applicants.

Please attach to your application an in-depth narrative response detailing your proposed project. Please refer to the program-specific section of the COPS Application Guide: “How to Apply” section for information on what should be included in your response, as well as any additional formatting requirements and page length limitations.

Section 10: BUDGET NARRATIVE (EXCLUDING SWORN OFFICER POSITIONS)

This section is applicable to COPS applicants applying under the Community Policing Development Programs, the Methamphetamine Initiative, Secure Our Schools, the Tribal Resources Grant Program, Child Sexual Predator Program and the Technology Program.

Check here if not applicable

Please attach a budget narrative describing each item proposed for purchase, its purpose, and how the items relate to the overall project. Like items may be grouped together for ease of reporting. The structure of the Budget Narrative must mirror the structure of the Budget Detail Worksheet included in this application. In other words, each item reported in the Budget Narrative must fall under one of the following budget categories: Civilian/Other Personnel, Equipment/Technology, Other Costs, Supplies, Travel/Training, Contracts/Consultants, and Indirect Costs. For your information, a sample Budget Narrative and a sample Budget Detail Worksheet are included in the COPS Application Guide. Every item included on the Budget Detail Worksheet must be included in the Budget Narrative.

Note that allowable/unallowable costs will vary widely between different COPS grant programs and cooperative agreements. Please ensure that you refer to the program-specific portion of the COPS Application Guide – “Federal Funding: Allowable & Unallowable Costs” section for a complete list of the allowable and unallowable costs associated with the particular program for which you are applying. Including unallowable items on your application may delay the processing of your application and could ultimately result in the denial of your request.

bdgt narr sect10.doc

Add Attachment

Delete Attachment

View Attachment

Section 11: MEMORANDUM OF UNDERSTANDING

Check here if not applicable

Please attach a Memorandum of Understanding (MOU) to your application that defines the roles and responsibilities of the individuals and partner(s) involved in your proposed project. Please refer to the program-specific portion of the Guide for a complete description of information pertaining to the required MOU.

Add Attachment

Delete Attachment

View Attachment

Section 12: OFFICIAL PARTNER(S) CONTACT INFORMATION

Check here if not applicable.

An official "partner" under the grant may be a governmental or private entity that has established a legal, contractual, or other agreement with the applicant for the purpose of supporting and working together for mutual benefits of the grant. Please refer to the Application Guide for a complete description of partnership requirements under the grant program for which you are applying.

Official Partner 1 of 1

Title:

Prefix:

First Name:

Middle Name:

Last Name:

Suffix:

Name of Partner Agency (e.g., School District)

Type of Partner Agency (e.g., School District)

Partner Agency Address

Street 1:

Street 2:

City:

County:

State:

Province:

Zip / Postal Code:

Country:

Telephone:

Fax:

E-mail:

Section 13: INCIDENT DATA

Incident data is required for the Secure Our Schools grant program. The data reported should cover the time period of September 1, 2006 to August 31, 2007 and should only include incidents that took place in and around the partnering schools. Please refer to the program guide for specific information and instructions regarding the data required for this submission.

Check here if not applicable.

Type of Incident	# of Incidents Reported
Homicide	
Sexual Offenses	
Aggravated/Major Assaults—for example, an attack with hands, fist, feet, or weapons on an individual.	
Simple/Minor Assaults—stalking, intimidation/bullying/coercion, etc.	
Thefts (Includes Reports of Stolen Property)	
Possession/Sale of Illegal Weapons	
Vandalism/Destruction of Property	
Alcohol-Related Offenses	
Possession, Use or Sale of Drugs	
Disorderly Conduct	

School Data	Totals
Truancy	
Detentions	
Suspensions	
Expulsions	
Threats to School Property	
# of schools involved in project	
Total Student Population for Involved Schools	

Section 14: CERTIFICATION OF REVIEW AND REPRESENTATION OF COMPLIANCE WITH REQUIREMENTS

Certification of Review of 28 C.F.R. Part 23/Criminal Intelligence Systems

You must answer this question regardless of the type of COPS grant you are applying for. Please review the COPS Application Guide: Legal Requirements Section for additional information.

Please check one of the following, as applicable to your agency's intended use of this grant:

- Yes, my agency will use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system. By signing below, we assure that our agency will comply with the requirements of 28 C.F.R. Part 23.
- No, my agency will not use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system.

The signatures of the applicant's Authorized Organizational Representative (on-line applications only), Law Enforcement Executive/Program Official and Government Executive/Financial Official, and any applicable program partners on the Certification of Review and Representation of Compliance with Requirements:

- 1) Assures the COPS Office that the applicant will comply with all legal, administrative, and programmatic requirements that govern the applicant for acceptance and use of federal funds as outlined in the applicable COPS Application Guide; AND
- 2) Attests to the accuracy of the information submitted with this application (including the Budget Detail Worksheets).

The signatures below must be made by the actual executives named on this application unless there is an officially documented authorization for a delegated signature. If your jurisdiction has such an official document, it must be attached to this application. Applications with missing, incomplete, or inaccurate signatures or responses may not be considered for funding. Stamped or electronic signatures (unless applying online via Grants.gov) also will not be accepted. Original signatures are required. Faxed copies will not be accepted. Applications postmarked after the final application deadline date may not be considered for funding.

Signatures shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

Please be advised that a hold may be placed on this application if it is deemed that the applicant agency is not in compliance with federal civil rights laws, and/or is not cooperating with an ongoing federal civil rights investigation, and/or is not cooperating with a COPS Office compliance investigation concerning a current grant award.

By signing below, I certify that I have read, understand, and agree, if awarded, to abide by all of the applicable grant compliance terms and conditions as outlined in the COPS Application Guide. In addition, I certify that the information provided on this form and any attached forms is true and accurate to the best of my knowledge. I understand that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government.

Authorized Organizational Representative's Signature:

Completed Upon Submission to Grants.gov

Date:

Completed Upon Submission to Grants.gov

Law Enforcement Executive/Program Official:

Prefix:

First Name:

Middle Name:

Last Name:

Suffix:

Signature: _____ Date:

Government Executive/Financial Official:

Prefix:

First Name:

Middle Name:

Last Name:

Suffix:

Signature: _____ Date:

Signing this page also assures the COPS Office that you have read, understand, and agree, if awarded, to abide by the grant terms and conditions as outlined in the Assurances and Certifications. The signed hard copy of the Assurances and Certifications should be kept in the agency's files and furnished upon request.

GRANTS.GOV NOTE:

When applying online via Grants.gov, the Authorized Organizational Representative's signature will be the only signature submitted online. However, the Law Enforcement Executive/Program Official and the Government Executive/Financial Official signatures, as well as any applicable program partners' signatures, are MANDATORY and a hard copy of the Certification of Review and Representation of Compliance with Requirements should be kept in the agency's files and furnished upon request. Signatures shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

PAPERWORK REDUCTION ACT NOTICE

The public reporting burden for this collection of information is estimated to be up to eight average hours per response, depending upon the COPS program being applied for including time for searching existing data sources, gathering the data needed, and completing and reviewing the application. Send comments regarding this burden estimate or any other aspects of the collection of this information, including suggestions for reducing this burden, to the Office of Community Oriented Policing Services, U.S. Department of Justice, 1100 Vermont Avenue, N.W., Washington, D.C. 20530; and to the Public Use Reports Project, Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

You are not required to respond to this collection of information unless it displays a valid OMB control number. The OMB control number for this application is 1103-0098 and the expiration date is 08/31/2011.

SECTION 15: ASSURANCES

Several provisions of federal law and policy apply to all grant programs. We (the Office of Community Oriented Policing Services) need to secure your assurance that the applicant will comply with these provisions. If you would like further information about any of these assurances, please contact your state's COPS Grant Program Specialist at (800) 421-6770.

By the applicant's authorized representative's signature, the applicant assures that it will comply with all legal and administrative requirements that govern the applicant for acceptance and use of federal grant funds. In particular, the applicant assures us that:

1. It has been legally and officially authorized by the appropriate governing body (for example, mayor or city council) to apply for this grant and that the persons signing the application and these assurances on its behalf are authorized to do so and to act on its behalf with respect to any issues that may arise during processing of this application.

2. It will comply with the provisions of federal law, which limit certain political activities of grantee employees whose principal employment is in connection with an activity financed in whole or in part with this grant. These restrictions are set forth in 5 U.S.C. § 1501, et seq.

3. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act, if applicable.

4. It will establish safeguards, if it has not done so already, to prohibit employees from using their positions for a purpose that is, or gives the appearance of being, motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business or other ties.

5. It will give the Department of Justice or the Comptroller General access to and the right to examine records and documents related to the grant.

6. It will comply with all requirements imposed by the Department of Justice as a condition or administrative requirement of the grant, including but not limited to: the requirements of 28 CFR Part 66 and 28 CFR Part 70 (governing administrative requirements for grants and cooperative agreements); 2 CFR Part 225 (OMB Circular A-87), 2 CFR 220 (OMB Circular A-21), 2 CFR Part 230 (OMB Circular A-122) and 48 CFR Part 31.000, et seq. (FAR 31.2) (governing cost principles); OMB Circular A-133 (governing audits) and other applicable OMB circulars; the applicable provisions of the Omnibus Crime Control and Safe Streets Act of 1968, as amended; 28 CFR Part 38.1; the current edition of the COPS Grant Monitoring Standards and Guidelines; the applicable COPS Grant Owners Manuals; and with all other applicable program requirements, laws, orders, regulations, or circulars.

7. If applicable, it will, to the extent practicable and consistent with applicable law, seek, recruit and hire qualified members of racial and ethnic minority groups and qualified women in order to further effective law enforcement by increasing their ranks within the sworn positions in the agency.

8. It will not, on the ground of race, color, religion, national origin, gender, disability or age, unlawfully exclude any person from

participation in, deny the benefits of or employment to any person, or subject any person to discrimination in connection with any programs or activities funded in whole or in part with federal funds. These civil rights requirements are found in the non-discrimination provisions of the Omnibus Crime Control and Safe Streets Act of 1968, as amended (42 U.S.C. § 3789 (d)); Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. § 2000d); the Indian Civil Rights Act (25 U.S.C. §§ 1301-1303); Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794); Title II, Subtitle A of the Americans with Disabilities Act (ADA) (42 U.S.C. § 12101, et seq.); the Age Discrimination Act of 1975 (42 U.S.C. § 6101, et seq.); and Department of Justice Non-Discrimination Regulations contained in Title 28, Parts 35 and 42 (subparts C, D, E and G) of the Code of Federal Regulations.

A. In the event that any court or administrative agency makes a finding of discrimination on grounds of race, color, religion, national origin, gender, disability or age against the applicant after a due process hearing, it agrees to forward a copy of the finding to the Office of Civil Rights, Office of Justice Programs, 810 7th Street, NW, Washington, D.C. 20531.

B. Grantees that have 50 or more employees and grants over \$500,000 (or over \$1,000,000 in grants over an eighteen-month period), must submit an acceptable Equal Employment Opportunity Plan ("EEOP") or EEOP short form (if grantee is required to submit an EEOP under 28 CFR 42.302), that is approved by the Office of Justice Programs, Office for Civil Rights within 60 days of the award start date. For grants under \$500,000, but over \$25,000, or for grantees with fewer than 50 employees, the grantee must submit an EEOP Certification. (Grantees of less than \$25,000 are not subject to the EEOP requirement.)

9. Pursuant to Department of Justice guidelines (June 18, 2002 Federal Register (Volume 67, Number 117, pages 41455-41472)), under Title VI of the Civil Rights Act of 1964, it will ensure meaningful access to its programs and activities by persons with limited English proficiency.

10. It will ensure that any facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protection Agency's (EPA) list of Violating Facilities and that it will notify us if advised by the EPA that a facility to be used in this grant is under consideration for such listing by the EPA.

11. If the applicant's state has established a review and comment procedure under Executive Order 12372 and has selected this program for review, it has made this application available for review by the state Single Point of Contact.

12. It will submit all surveys, interview protocols, and other information collections to the COPS Office for submission to the Office of Management and Budget for clearance under the Paperwork Reduction Act of 1995 if required.

13. It will comply with the Human Subjects Research Risk Protections requirements of 28 CFR Part 46 if any part of the funded project contains non-exempt research or statistical activities which involve human subjects and also with 28 CFR Part 22, requiring the safeguarding of individually identifiable information collected from research participants.

14. Pursuant to Executive Order 13043, it will enforce on-the-job seat belt policies and programs for employees when operating agency-owned, rented or personally-owned vehicles.

15. It will not use COPS funds to supplant (replace) state, local, or Bureau of Indian Affairs funds that otherwise would be made available for the purposes of this grant, as applicable.

16. If the awarded grant contains a retention requirement, it will retain the increased officer staffing level and/or the increased officer redeployment level, as applicable, with state or local funds for a minimum of one full local budget cycle following expiration of the grant period.

17. It will not use any federal funding directly or indirectly to influence in any manner a Member of Congress, a jurisdiction, or an official of any government, to favor, adopt, or oppose, by vote or otherwise, any legislation, law ratification, policy or appropriation whether before or after the introduction of any bill, measure, or resolution proposing such legislation, law, ratification, policy or appropriation as set forth in the Anti-Lobby Act, 18 U.S.C. 1913.

False statements or claims made in connection with COPS grants (including cooperative agreements) may result in fines, imprisonment, disbarment from participating in federal grants or contracts, and/or any other remedy available by law.

I certify that the assurances provided are true and accurate to the best of my knowledge.

Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

Signature of Law Enforcement Executive (or Official with Programmatic Authority, as applicable)

Date

Signature of Government Executive (or Official with Financial Authority, as applicable)

Date

SECTION 16: CERTIFICATIONS

Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements Coordination with Affected Agencies

Although the Department of Justice has made every effort to simplify the application process, other provisions of federal law require us to seek your agency's certification regarding certain matters. Applicants should read the regulations cited below and the instructions for certification included in the regulations to understand the requirements and whether they apply to a particular applicant. Signing this form complies with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying," 28 CFR Part 67, "Government-Wide Debarment and Suspension (Nonprocurement)," 28 CFR Part 83 Government-Wide Requirements for Drug-Free Workplace (Grants)," and the coordination requirements of the Public Safety Partnership and Community Policing Act of 1994. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

1. Lobbying

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

A. No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the making of any federal grant; the entering into of any cooperative agreement; and the extension, continuation, renewal, amendment or modification of any federal grant or cooperative agreement;

B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;

C. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

2. Debarment, Suspension and Other Responsibility Matters (Direct Recipient)

As required by Executive Order 12549, Debarment and Suspension, and implemented at 2 CFR Part 2867, for prospective participants in primary covered transactions, as defined at 2 CFR Part 2867, Section 2867.437 -

A. The applicant certifies that it and its principals:

(i) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department or agency;

(ii) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) or private agreement or transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion or receiving stolen property, making false claims, or obstruction of justice, or commission of any offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility.

(iii) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (A)(ii) of this certification; and

(iv) Have not within a three-year period preceding this application had one or more public transactions (federal, state or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. Drug-Free Workplace (Grantees Other Than Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 83, for grantees, as defined at 28 CFR Part 83, Sections 83 and 83.510 -

A. The applicant certifies that it will, or will continue to, provide a drug-free workplace by:

(i) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(ii) Establishing an on-going drug-free awareness program to inform employees about -

- (a) The dangers of drug abuse in the workplace;
- (b) The grantee's policy of maintaining a drug-free workplace;
- (c) Any available drug counseling, rehabilitation and employee assistance programs; and
- (d) The penalties that may be imposed upon employees for drug-abuse violations occurring in the workplace;

(iii) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (i);

(iv) Notifying the employee in the statement required by paragraph (i) that, as a condition of employment under the grant, the employee will -

- (a) Abide by the terms of the statement; and
- (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(v) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (iv)(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: COPS Office, 1100 Vermont Ave., NW, Washington, D.C. 20530. Notice shall include the identification number(s) of each affected grant.

(vi) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (iv)(b), with respect to any employee who is so convicted -

(a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state or local health, law enforcement or other appropriate agency;

(vii) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (i), (ii), (iii), (iv), (v) and (vi).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of performance (street address, city, county, state, zip code)

Check if there are workplaces on file that are not identified here.

4. Coordination

The Public Safety Partnership and Community Policing Act of 1994 requires applicants to certify that there has been appropriate coordination with all agencies that may be affected by the applicant's grant proposal if approved. Affected agencies may include, among others, the Office of the United States Attorney, state or local prosecutors, or correctional agencies. The applicant certifies that there has been appropriate coordination with all affected agencies.

Grantee Agency Name and Address:

VT Agency of Human Services, Department for Children and Families, Family Services
Osgood 2, 103 S. Main St., Waterbury, VT

Grantee IRS/ Vendor Number:

False statements or claims made in connection with COPS grants (including cooperative agreements) may result in fines, imprisonment, disbarment from participating in federal grants or contracts, and/or any other remedy available by law.

I certify that the assurances provided are true and accurate to the best of my knowledge.

Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

Typed Name and Title of Law Enforcement Executive (or Official with Programmatic Authority, as applicable):

Stephen Dale, Commissioner, AHS Department for Children and Families

Signature: _____ Date: _____

Typed Name and Title of Government Executive (or Official with Financial Authority, as applicable):

David Cohen, Financial Director, Department for Children and Families

Signature: _____ Date: _____

**Section 10: BUDGET NARRATIVE
(EXCLUDING SWORN OFFICER POSITIONS)**

Introduction: The VT Agency of Human Services, Department for Children and Families – AHS / DCF submits this application and budget in response to a notice of congressional earmark. VT Senator Bernie Sanders' office has pre-determined allocations and it is the role of the DCF to apply, administer, monitor, and report collated outcomes and fiscal status to the COPS office.

This budget represents the collation of 12 sub-recipient budgets that meet the criteria established by this grant. Detail budgets per sub-grantee on following page.