



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: James Reardon, Commissioner of Finance & Management
From: Nathan Lavery, Fiscal Analyst
Date: December 28, 2010
Subject: JFO #2473, #2474, #2475, #2476

No Joint Fiscal Committee member has requested that the following items be held for review:

JFO #2473 — \$10,000 grant from the State of Connecticut Department of Agriculture to the Agency of Agriculture, Food & Markets. This grant will support a New England regional study to determine if there is a viable option for connecting local growers and producers to institutional markets.
[JFO received 11/17/10]

JFO #2474 — \$500,000 grant from the U.S. Department of Justice to the Vermont Department of Education. This grant will support extended education programs for at-risk school aged children outside of routine school hours.
[JFO received 11/17/10]

JFO #2475 — \$150,000 grant from the U.S. Department of Justice to the Vermont Department of Public Safety. This grant will be used to upgrade Vermont's statewide sex offender registry.
[JFO received 11/17/10]

JFO #2476 — \$400,000 grant from the U.S. Department of Commerce to the Vermont Department of Economic, Housing and Community Development. This funding will assist Vermont businesses in accessing and developing export sales by supporting international trade shows, international export training, international market development, and client resources.
[JFO received 11/17/10]

The Governor's approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Roger Allbee, Secretary
Armando Vilaseca, Commissioner
Thomas Tremblay, Commissioner
Tayt Brooks, Commissioner



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: November 19, 2010
Subject: Grant Request

Enclosed please find six (6) request that the Joint Fiscal Office has received from the administration.

JFO #2471 — \$65,474 grant from the Federal Emergency Management Agency (FEMA) to the Department of Public Safety. This grant will support hazard mitigation projects in Marlboro. **Expedited review of this item has been requested. Joint Fiscal Committee members will be contacted by December 3 with a request to waive the statutory review period and accept this item.**
[JFO received 11/9/10]

JFO #2472 — \$65,474 grant from the Federal Emergency Management Agency (FEMA) to the Department of Public Safety. This grant will support hazard mitigation projects in Fairfield, Windsor, S. Windsor and Ottauquechee region. **Expedited review of this item has been requested. Joint Fiscal Committee members will be contacted by December 3 with a request to waive the statutory review period and accept this item.**
[JFO received 11/9/10]

JFO #2473 — \$10,000 grant from the State of Connecticut Department of Agriculture to the Agency of Agriculture, Food & Markets. This grant will support a New England regional study to determine if there is a viable option for connecting local growers and producers to institutional markets.
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JFO #2475 — \$150,000 grant from the U.S. Department of Justice to the Vermont Department of Public Safety. This grant will be used to upgrade Vermont's statewide sex offender registry.
[JFO received 11/17/10]

JFO #2476 — \$400,000 grant from the U.S. Department of Commerce to the Vermont Department of Economic, Housing and Community Development. This funding will assist Vermont businesses in accessing and developing export sales by supporting international trade shows, international export training, international market development, and client resources.

[JFO received 11/17/10]

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Nathan Lavery at 802-828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for Joint Fiscal Committee review.

cc: James Reardon, Commissioner
Thomas Tremblay, Commissioner
Roger Allbee, Secretary
Armando Vilaseca, Commissioner
Tayt Brooks, Commissioner

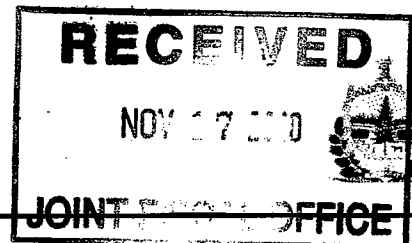
State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
 [fax] 802-828-2428


**STATE OF VERMONT
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary:		To upgrade Vermont's statewide Sex Offender Registry (SOR) in pursuit of compliance with the federal Adam Walsh Act and to improve Vermont's SOR program.			
Date:		10/27/2010			
Department:		Department of Public Safety			
Legal Title of Grant:		Support for the Adam Walsh Act Implementation Grant Program			
Federal Catalog #:		16.750			
Grant/Donor Name and Address:		US Dept of Justice, Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering and Tracking (SMART), Washington, D.C.			
Grant Period:		From:	To:		
		10/1/2010	3/31/2012		
Grant/Donation		\$150,000			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$	\$150,000	\$	\$150,000	100% federal funding
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:					
Department of Finance & Management		<i>10/30/10</i>	(Initial) <i>D</i> , 10-27-10		
Secretary of Administration		<i>10/1/10</i>	(Initial)		
Sent To Joint Fiscal Office		<i>11/3/10</i>	Date		



**Dept. of Public Safety
Administration Division
Accounting Unit**

Memo

To: David Beatty
From: Tracy O'Connell 
Date: 10/21/10
Re: AA-1 for Support for the Adam Walsh Act Implementation Grant
Program – Sex Offender Registry Upgrade

I have prepared the AA-1 grant acceptance form for the Support for the Adam Walsh Act Implementation Grant Program. These grant funds will be used to upgrade the Sex Offender Registry database which will also allow VCIC the ability to implement the necessary compliance requirements as well as providing more accurate, complete and timely data to all authorized law enforcement and State agencies that require information regarding the Sex Offender Registry.

Thank you.

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION					
1. Agency:					
2. Department:		Public Safety			
3. Program:		Criminal Justice Services			
4. Legal Title of Grant:		Support for the Adam Walsh Act Implementation Grant Program			
5. Federal Catalog #:		16.750			
6. Grant/Donor Name and Address: U.S. Dept. of Justice Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering and Tracking Washington, DC 20531					
7. Grant Period:		From: 10/1/2010	To: 3/31/2012		
8. Purpose of Grant: This grant is intended to upgrade the Sex Offender Registry in order to implement the necessary compliance regulations as well as providing more timely and accurate information to authorized law enforcement and other State agencies.					
9. Impact on existing program if grant is not Accepted: If this grant is not accepted, the Sex Offender Registry will continue to operate however; VCIC will continue to be limited to the speed and accuracy of the database as well as not being able to implement any additional compliance requirements.					
10. BUDGET INFORMATION					
	SFY 1	SFY 2	SFY 3	Comments	
Expenditures:	FY 2011	FY 2012	FY		
Personal Services	\$	\$150,000	\$	Personal Services Contract	
Operating Expenses	\$	\$	\$		
Grants	\$	\$	\$		
Total	\$	\$150,000	\$		
Revenues:					
State Funds:	\$	\$	\$		
Cash	\$	\$	\$		
In-Kind	\$	\$	\$		
Federal Funds:	\$	\$	\$		
(Direct Costs)	\$	\$150,000	\$		
(Statewide Indirect)	\$	\$	\$		
(Departmental Indirect)	\$	\$	\$		
Other Funds:	\$	\$	\$		
Grant (source)	\$	\$	\$		
Total	\$	\$150,000	\$		
Appropriation No:	2140020000	Amount:	\$150,000		
			\$		

REC'D OCT 26 2010

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

			\$
			\$
			\$
			\$
			\$
		Total	\$150,000

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Thomas R. Tremblay Agreed by: TRT (initial)

12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

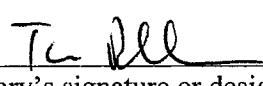
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <u>Thomas R. Tremblay</u>	Date: <u>10/1/10</u>
	Title: Commissioner	
	Signature: _____	Date: _____
	Title: _____	

14. ACTION BY GOVERNOR

<input checked="" type="checkbox"/>	Check One Box: Accepted	 (Governor's signature)	
<input type="checkbox"/>	Rejected		
		Date: <u>11/3/10</u>	

15. SECRETARY OF ADMINISTRATION

<input checked="" type="checkbox"/>	Check One Box: Request to JFO	 (Secretary's signature or designee)	
<input type="checkbox"/>	Information to JFO		
		Date: <u>11/01/10</u>	

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

<input type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input type="checkbox"/> Notice of Award <input type="checkbox"/> Grant Agreement <input type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)
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End Form AA-1



Department of Justice
Office of Justice Programs

Office of the Assistant Attorney General

Washington, D.C. 20531

September 15, 2010

Commissioner Thomas Tremblay
Vermont Department of Public Safety
103 South Main Street
Waterbury, VT 05671-0001

Dear Commissioner Tremblay:

On behalf of Attorney General Eric Holder, it is my pleasure to inform you that the Office of Justice Programs has approved your application for funding under the SMART Office Support for the Adam Walsh Act Implementation Grant Program in the amount of \$150,000 for Vermont Department of Public Safety.

Enclosed you will find the Grant Award and Special Conditions documents. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact:

- Program Questions, Jacqueline O'Reilly, Program Manager at (202) 514-5024; and
- Financial Questions, the Office of the Chief Financial Officer, Customer Service Center (CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in black ink, appearing to read "Laurie Robinson".

Laurie Robinson
Assistant Attorney General

Enclosures



Department of Justice
Office of Justice Programs
Office of the Chief Financial Officer

Washington, D.C. 20531

September 15, 2010

Commissioner Thomas Tremblay
Vermont Department of Public Safety
103 South Main Street
Waterbury, VT 05671 - 0001

Reference Grant Number: 2010-AW-BX-0030

Dear Commissioner Tremblay:

I am pleased to inform you that my office has approved the following budget categories for the aforementioned grant award in the cost categories identified below:

Category	Budget
Personnel	\$0
Fringe Benefits	\$0
Travel	\$0
Equipment	\$0
Supplies	\$0
Construction	\$0
Contractual	\$148,500
Other	\$1,500
Total Direct Cost	\$150,000
Indirect Cost	\$0
Total Project Cost	\$150,000
Federal Funds Approved:	\$150,000
Non-Federal Share:	\$0
Program Income:	\$0

Match is not required for this grant program.

All Sole Source procurement in excess of \$100,000 requires written justification and the prior approval of OJP.

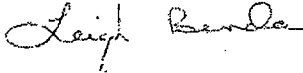
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at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in cursive script that reads "Leigh Benda".

Leigh Benda
Chief Financial Officer

PROGRAM ABSTRACT

Project Scope

Though Vermont has a statewide Sex Offender Registry (SOR) and Internet site, the State does not comply with a number of elements of the Adam Walsh Act. While Vermont currently does not have legislation in place that addresses full Adam Walsh implementation we have been successful in getting some additional compliance during the last two legislative sessions. As we move forward with aspects of compliance with the Adam Walsh Act we have found that our current SOR software is not sufficiently capable of moving in that direction due to its base design and underlying capability. The software engine driving our SOR program is no longer adaptable to the changes in technology that have occurred. This project proposes to replace the existing, outdated Vermont SOR software with a newer version that is capable of advanced technological capabilities such as interfacing with outside criminal justice systems for accessibility to more timely and accurate data in order to reach compliance with SORNA requirements and provide the best service to the people of Vermont.

Federal Funding Requested

The State of Vermont is requesting \$150,000 to support the project described in this proposal.

Project Activities

Vermont has identified a primary strategy for resolving the program issue identified above and that is to obtain and develop innovative, integrated technology to resolve a fundamental program issue that will impede our future efforts toward compliance.

PROGRAM NARRATIVE

1. STATEMENT OF THE PROBLEM

Overview of the Vermont Sex Offender Registry:

The Vermont Sex Offender Registry (SOR) has been managed since its inception in 1996 by the Vermont Criminal Information Center (VCIC) at the Vermont Department of Public Safety (DPS). The SOR added an Internet component in 2004. Currently 2,472 sex offenders are registered with the SOR. One Thousand two hundred and two (1202) registrants are posted on the SOR Internet site.

The SOR is a centrally managed, statewide sex offender registry. All initial registration, registration information changes, notifications, verifications, and enforcement are managed at the SOR by the SOR Coordinator. Since the SOR Coordinator constitutes the entire staff of the SOR, the system **must be highly automated** to minimize manual workload and to maintain timely and accurate public safety services to Vermonters. The SOR Coordinator is responsible for managing incoming registration information from District Courts, the Department of Corrections, law enforcement agencies and offenders. Once an offender is registered in the SOR the data is automatically transmitted to the State SOR Database and the NCIC Sex Offender File. All SOR information is available 24/7 to law enforcement via the Vermont Law Enforcement Telecommunications System (VLETS) and the NCIC system. A "Super Query" via VLETS performed by law enforcement during all roadside encounters automatically queries the State SOR Database and NCIC. The public has access to SOR data either by contacting VCIC, their local law enforcement agency, or consulting the Internet Site. A SOR advisory appears in a special report header provided to schools when they run a Vermont conviction report on potential employees.

The SOR database:

The SOR database on which Vermont depends for this valuable public safety information began in 1996 as a simple Microsoft access database that was developed in house. As the database grew it was migrated to an Oracle Forms database. This occurred in 2003 and was simply an import of the Access functionality. The functionality of the SOR database has been expanded over the years based on Legislative changes and registry needs. Many of the functionality changes were quick fixes to a particular need without a lot of flexibility built in. Unfortunately we have experienced hurdles in several areas with our database's inability to easily or effectively meet some of the technology challenges. Lack of XML compliancy is a major concern as we move forward in attempts to interface with other agencies for the transfer of critical data. The requirements imposed under SORNA/Adam Walsh provide increased availability of larger amounts of information, some of which is time sensitive. This drives agencies to seek more and more interface capabilities to ensure data is imported and exported from disparate systems. Our current SOR does not have the ability to easily interface with outside sources while still ensuring data integrity.

While we have made great strides in the last couple years to move toward compliancy with the SORNA/Adam Walsh Act requirements it is clear that any future movement in that direction is severely limited by the ability of our current software to effectively deal with the changes. While the Vermont Legislature has control of the level of compliance we can achieve we have continued to advance our compliancy a piece at a time. This can only continue with the assurance that we can deal with requirements accurately and efficiently through the State SOR database.

Vermont applied for a SMART Grant in 2009 but did not receive any funding. Without a funding source we cannot upgrade our current software and would be limited as to the speed and degree to which we can implement any additional compliance requirements.

It is important to understand that the SOR database serves the entire law enforcement community, agencies that have an authorized need for the information (such as education, the department of children and families for example), and the public as a whole and that the data it contains needs to be accurate, complete and timely for everyone's protection.

The Challenges:

Vermont has the challenge, like many states, in getting the legislature to authorize the implementation of the requirements under SORNA. We have sought to get the appropriate legislation passed for the last two sessions of the Vermont Legislature. In 2009 some law changes were made which allowed us to move toward compliance. Specifically:

- 1) Additional offenses were added to the list for posting on the Internet site leaving only three offense conviction types that are not available for posting.
- 2) Federal Offenses were added to reportable crimes.
- 3) Probate court is now required to search the registry and notify of name changes.
- 4) DNA information was required to be entered into the registry database.
- 5) New photo required every year with address verification requirement.
- 6) Vermont came into compliance with the Adam Walsh Act regarding internet posting of all tier 2 and 3 registrants.

In the current (2010) Legislative Session there is legislation being generated that will allow inclusion of offenders with convictions from out of state (including federal convictions) to

be posted on Vermont's internet sex offender registry. The issue here, as in many other requirements, involves our ability to obtain the information in a timely and accurate manner. For example the sex offender registry coordinator could obtain addressing and other critical information from the Department of Corrections via an electronic interface if the systems could share the data more efficiently. This would be extremely beneficial for ensuring that addressing was accurate and up to date between the two agencies.

All of these aggressive steps to attain compliance are taxing the capabilities of our current SOR database to deal with the increased complexities and activity (internet posting have quadrupled as a result of legislation). The Legislature is sensitive to individual protections as well as the general public safety. As a consequence in the last session they mandated a state audit of the Vermont Sex Offender Registry with two key areas to be assessed: (1) the extent to which the data in the State's SOR is reliable and current, and (2) whether the State's controls are designed to identify errors, omissions, and outdated registry data. The Vermont Sex Offender registry is currently in the middle of the audit process and problems and inadequacies of our current database functionality are rapidly coming to the forefront. The result of the audit will determine if Vermont is allowed to publish addressing information on the Vermont Sex Offender Registry website as of July 1, 2010. If the legislature does not have confidence in the underlying SOR database it would severely impede our future legislative endeavors and subsequently our ability to achieve SORNA compliancy.

The following issues in our current database are among those we have discovered to be an impediment to successful implementation of SORNA requirements:

- 1) Limitations on data transfer capabilities to outside agencies: export/import capability limited and NO XML capability. At this time internet postable addressing

information and timely registration/registration update information are key areas of compliance concern.

- 2) Manual entry of information in areas that should be automated (increased risk of error) is an impediment for compliance because with limited staffing we need to continue to ensure the quality of the data is at the highest level possible.
- 3) Reporting and report generation exists on the system but is often prone to failure and causes more effort than it does efficiency.

Unfortunately due to the construction of our original database these issues are either not addressable or are impractical to implement in the system.

2. PROJECT GOALS AND OBJECTIVES

The primary goal of this grant application is to obtain funding to replace the current Vermont SOR database with a new SOR database that contains functionality or is at least leveraged to ensure there would be functionality for requirements under SORNA. This software would contain the most current, efficient and versatile interfacing tools to allow effective communication and data transfer with internal as well as external data repositories. The program will also minimize the amount of human intervention required in order to minimize the chance of entry and transcription errors. Pursuant to this singular but extremely important goal several objectives have been identified to include:

Objective #1 - Obtain a system from a vendor that has experience in this type of software development that can exhibit a proven track record for both system functionality and support and has a sound, long term prospectus. This will ensure that the grant money is invested for a long term and stable purchase.

Objective #2 - Obtain a system that contains data fields and data elements that are at a minimum equal to our current system. This will ensure that our current level of compliance is not compromised.

Objective #3 - Obtain a system that recognizes and is capable of full SORNA requirement implementation. Being able to move forward with SORNA implementation is a crucial part of the vision for this grant application.

Objective #4 - The system should be able to, where ever possible, to minimize manpower expenditures. Data entry, validations and rules should be enforced where possible to minimize the chances for error and to free time for our minimal staff. This will help provide additional time for data quality checks and controls that will solidify the integrity of this extremely important data.

Objective #5 - The system should be able to interface or at a minimum provide/receive data to/from disparate systems and will be XML compliant. Interfacing and exchanging data is a crucial part of meeting requirements that require information be updated in a timely and accurate manner. Desired interfaces would include but not be limited to:

- a. Develop automated mechanisms to transfer of addressing (and other) information from the Department of corrections with transfer to a quality control queue for verification and validation.
- b. Develop automated mechanisms by which Vermont State Police and local law enforcement agencies can register sex offenders and registration changes and transmit that information to the SOR.

While the above objectives relate, in general, to the procurement and development of the software program, which is the goal of this project, it is crucial to understand the underlying

motivation. Our fundamental goal is to overcome the limitations of our current software in furthering SORNA compliancy. Ensuring data is timely, up to date and reliable is best accomplished thru interfacing and exchanging data with disparate systems. Information required for compliancy such as 1) addressing information 2) crime information 3) individual registration information is currently not accessible to our software. Recently we have overcome numerous legislative hurdles but this can only continue with a high level of confidence in our ability to deliver accurate and timely information.

3. PROJECT/PROGRAM DESIGN AND IMPLEMENTATION

The goal as stated above will be accomplished by attaining the objectives as outlined. Each objective will be achieved through methodical analysis to ensure they meet the requirements required for SORNA compliance now and in the future. The measures by which these objectives will be gauged are listed below:

Objective #1 - A vendor to provide the product will be solicited through the normal state bid process. The vendor will be selected based on the following criteria:

- a. Experience in similar product development.
- b. Company prospectus.
- c. Client references.
- d. Ability of product to meet predetermined standards for current and continued needs of the SOR and SORNA.
- e. Competitive cost.

Objective #2 - Specifications will be provided to the vendors in the bid process that will describe the current SOR functionality to ensure the base system is no less than our current system.

Objective #3 - The bid process will contain verbiage that will require commitment from vendors that the product will contain the capability to meet and/or exceed the requirements for full SORNA implementation.

Objective #4 - Requirements laid out in the bid process will address deficiencies in our current SOR database. These deficiencies will address the removal of human intervention when possible thus reducing error potential and increasing overall efficiency. These additions will include (but not be limited to) requirements for:

- a. Additional fields
- b. Auto population of key fields (such as certain date fields as well as calculated fields).
- c. More extensive drop down menu with auto populate capability.
- d. More extensive validation and data integrity rules.
- e. Logging capabilities to reflect at a minimum: access, modifier identity, date of record modifications, etc.

Objective #5 - Project submissions received via the bid process must include assurance that the product will be XML compliant and have the resources built in that will ensure that it is sufficiently flexible to deal with a wide variety of possible data exchange formats. This objective will be achieved when data transfers have been established with regards to the addressing information from the department of corrections and there is a

transfer methodology in place to allow registrants to register at local police agencies and the information is transmitted to a registry queue.

4. CAPABILITIES/COMPETENCIES

The Vermont Criminal Information Center (VCIC) at the Vermont Department of Public Safety has authored this grant proposal and will manage the grant if awarded. Bruce A. Parizo, Deputy Director of VCIC will be the Grant Coordinator. VCIC manages the Vermont Sex Offender Registry and has done so since the implementation of the Registry in 1996. Bruce A. Parizo has been the project manager on several major successful software upgrade projects to include the 2008 rollout of a new Computerized Criminal History (CCH) program, the Vermont Criminal Conviction Report Internet Service (VCCRIS). Mr. Parizo is currently involved with the redesign and relocation of the Vermont Sex Offender Registry Internet Site. Sheri Englert, Sex Offender Registry coordinator, will be involved with the development of the software and the interfaces with regard to content required for the SORNA compliance issues. She has been involved with the registry since 1999.

Product cost estimates for this proposal were received from our current state switch vendor – Computer Projects of Illinois who have seven years of experience in the development of Sex Offender Registry software.

5. IMPACT / OUTCOMES AND EVALUATION / PERFORMANCE MEASURE

The impact of this project will be measured by an assessment of how well the strategy accomplished the goals and objectives of the project set forth in this proposal.

Objectives	Performance Measure	Methodology
<p># 1 Find a vendor to provide the product will be solicited through the normal state bid process. The vendor will be selected based on the following criteria:</p> <ul style="list-style-type: none"> a. Experience in similar product development. b. Company prospectus. c. Client references. d. Ability of product to meet predetermined standards for current and continued needs of the SOR and SORNA. e. Competitive cost. 	<p>Does the vendor meet the criteria to ensure the best possible product based on the criteria outlined in the preceding objective statement.</p>	<p>This will be accomplished by reviewing all product bids submitted and comparing them with regards to the criteria,</p>
<p># 2 Ensure the functionality of the new base system meets/exceeds the functionality of the current system with explicit consideration for aspects of SORNA compliance</p>	<p>Does the new system achieve the same level of functionality as the existing system as it pertains to basic functions and all current SORNA/Adam Walsh implementations.</p>	<p>The process of verifying functionality will involve comparison of the two separate SOR programs. Specific attention will be paid to the ability of the new system to more effectively apply SORNA requirements that are currently in place.</p>
<p>#3 Ensure the new product contains the capability to meet and/or exceed the requirements for full SORNA implementation.</p>	<p>Is the product leveraged to meet the requirements of all other SORNA/Adam Walsh full implementation requirements as Vermont moves toward full compliancy.</p>	<p>The method for making this determination is software validation against a list of compliance requirements that have not yet been legislatively authorized to verify that the software is ready to incorporate the requirement.</p>
<p>#4 The software needs to address deficiencies in our current software that require manual entry or other human intervention that could potentially result in error.</p>	<p>Is the product sufficiently developed with drop down menus, entry validations and auto population to minimize error. Is there a logging capability to track changes and modifications to the system.</p>	<p>This will be accomplished when the software is reviewed and all that could utilize dropdowns or require validation or auto population are in place. When changes or modifications are tracked thru a logging function this aspect will be complete.</p>

<p>#5 There is a need to be able to import/export data from disparate databases to ensure Vermont's ability to provide reliable and up-to-date data. The ability to easily interface with other systems is a driving force for future compliance issues.</p>	<p>Is the product XML compliant? Can the product interface with legacy systems that are not XML compliant? Is the interface capability robust enough to ensure future compatibilities?</p>	<p>Development of several interfaces for SORNA compliance is a part of this proposal. Successful interfacing with the state switch and the new database for registration information and the accessing of information regarding addressing from the DOC legacy system would be good performance gauges.</p>
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In addition to the specific project monitoring and evaluation efforts specified above, Vermont will provide the data required by grantees as per the solicitation and as set out in *Performance Measures* section of the SMART Office FY 2009 program solicitation.

Sustainability:

VCIC is prepared to incorporate the additional costs associated with higher maintenance fees for the purchased SOR Software and associated interfaces into the budget of the Division of Criminal Justice Services, Department of Public Safety.

6. PROJECT TIMELINE AND POSITION DESCRIPTIONS

Please see attachment #2 for project timeline and position descriptions.

7. BUDGET WORKSHEET AND BUDGET NARRATIVE

Please see attachment #3 for Budget Worksheet and Narrative

SUMMARY AND CONCLUSION

While we have made great strides in the last couple years to move toward compliancy with the SORNA/Adam Walsh Act requirements it is clear that any future movement in that direction is severely limited by the ability of our current software to effectively deal with the changes. While the Vermont Legislature has control of the level of compliance we can achieve we have continued to advance our compliancy a piece at a time. This can only continue with the assurance that we can deal with requirements accurately and efficiently through the state SOR database.

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It is important to understand that the SOR database serves the entire law enforcement community, agencies that have an authorized need for the information (such as education, the department of children and families for example), and the public as a whole and that the data it contains needs to be accurate, complete and timely for everyone's protection.

ATTACHMENT #2 - PROJECT TIMELINE

PROJECT GOAL	RELATED OBJECTIVE	ACTIVITY	COMPLETION DATE	RESPONSIBLE PERSON
Research, purchase, develop and implement a NEW SOR software program for the State of Vermont.	#1	Submit a Request for Proposal in accordance with State policy.	Month 1	Bruce Parizo
		Review Bid submissions, evaluate vendor qualifications and chose vendor.	Month 2	
		Obtain Statement of Work and Contract per Vermont specifications.	Month 3	
	#2	Develop base system that meets Vermont's current legislatively authorized requirements pursuant to Adam Walsh	Month 4 -8	Bruce Parizo Sheri Englert Vendor
	#3	Develop the system to ensure it is leveraged to include future SORNA requirements as Vermont moves forward with legislative changes to encompass full implementation.	Month 6-16	Bruce Parizo Sheri Englert Vendor
	#4	Review system development to verify that its design inherently reduces the human intervention that could result in errors thru transcription or calculation and is fundamentally geared for automatic population of fields with data quality checks and entyr validation in place.	Month 4-16	Bruce Parizo Sheri Englert Vendor
	#5	Develop and implement as many data transfer programs as possible but no less then: 1) Automated mechanism to transfer addressing information from Department of Corrections. 2) Automated mechanism which will allow law enforcement agencies to collect required information from registrants on their VLETS workstations. Once collected the data will be transmitted to the CPI message switch and transferred to an electronic queue on the SOR. After the SOR Coordinator reviews and quality control checks the data it will be transferred to the SOR automatically without the need for additional data entry.	Month 12-18	Bruce Parizo Sheri Englert Vendor
Testing and Acceptance	All objectives	Testing and Acceptance of the new software.	Month 16-18	Bruce Parizo Sheri Englert

POSITION DESCRIPTIONS

1. BRUCE PARIZO

Deputy Director, Vermont Criminal Information Center

Class Definition:

Manage the day to day operations of the Vermont Criminal Information Center (VCIC) to include: hiring, supervision and evaluation of staff; managing the VCIC budget for designated programs; substantive program support for VCIC staff and criminal justice agencies regarding VCIC programs; personnel and payroll functions for VCIC staff; equipment purchase, maintenance, and rotation; correspondance; inquiries from the public and criminal justice agencies; certifying VCIC documents; serve as Acting Director in the absence of the Director. Program responsibility for the following ongoing VCIC initiatives: Vermont Criminal History Record System; State Identification Bureau; Criminal History Record Check Program; Criminal Record Expungement/Sealing/Pardons Unit; Sex Offender Registry; Medical Marijuana Registry; National Instant Check Reporting System; VCIC Microfilm Center.

Examples of Work:

Vermont Criminal History Record System: Responsible for the maintenance of accurate, complete, and timely criminal records for defendants arraigned in Vermont District Courts. The system involves nearly 200,000 records with nearly 75,000 charge updates to the system annually. Responsibilities include: liaison with contributing agencies; developing and maintaining documentation; setting and maintaining annual, production, and quality control goals; supervising data entry/transfer; software maintenance to include operating performance and code structure; data quality assurance; training both VCIC staff and criminal justice professionals from outside the agency; record appeals; responding to requests for data or analysis of criminal record information; responding to general informational inquiries from criminal justice agencies and the public; completing surveys from the federal government and funding agencies

State Identification Bureau (SIB): The SIB is a unit within VCIC which is responsible for the collection, maintenance, and dissemination of fingerprint images and photographs. The SIB maintains both paper and digital fingerprint and photograph images. The SIB is staffed by a Section Supervisor and six staff. The day to day operations of the unit are supervised by the Section Supervisor. The Section Supervisor will report to the the Deputy Director (DD). The DD will be responsible for setting an maintaining annual, production, and quality control goals for the section in consultation with the Section Supervisor. The DD will be responsible for supervision of the Section Supervisor, staff hiring, program support, equipment including software, and budgeting.

Sex Offender Registry (SOR): VCIC has responsibility for maintaining the Vermont Sex Offender Registry and Internet Sex Offender Registry. The Registry is responsible for tracking the whereabouts of approximately 2,200 registrants. Nearly 260 new subjects are added to the Registry each year. The Registry is staffed by one VCIC

staff person. The DD is responsible for managing the program in compliance with State and Federal law and the Vermont SOR Regulations. The DD is also responsible for the hiring, supervision, and evaluation of SOR staff, developing and maintaining policy and documentation, setting and maintaining annual, production, and quality control goals for the SOR. The DD will also be responsible for program support, the SOR Web Site, SOR software, equipment, budgeting, and training.

IT Management: The Deputy Director currently has administrative management and control of 25 VCIC computers and is responsible for high level IT administration of these units to include machine configuration, trouble shooting, repair, software installation, updates and day to day management. this is a time consuming endeavor and requires advanced computer knowledge and skills. In addition to that the DD has additional administrative access to computers in the field for the purpose of IT assistance and specific program installation. The DD currently designs, develops, writes and implements software programs for the staff of VCIC. Additionally the DD is responsible for the installation and support of several software programs statewide to include PsPortals, G-Link and other issues related to the Criminal history program and the VLETS switch. This often entails contact with various federal, local and state entities in an effort to resolve any questions or issues they experience and often requires remote access to their computers for diagnostic and reparative operations. Also responsible for maintenance and administration of several internal software servers. Also absorbs some of the switch management issues in absence of others.

Information Security Officer (ISO): The DD serves at the ISO for state of Vermont and as such has oversight of security issues and concerns regarding statewide access to sensitive and confidential FBI CJIS data. In this role statewide policy is designed, written and implemented, monitored, enforced and reviewed. In this role the DD acts as liason between federal, state and local entities regarding security issues.

3. SHERI ENGLERT

Sex Offender Registry Coordinator (Criminal Record Specialist III)

Class Definition:

Complex technical work as a Criminal Record Specialist for the Vermont Criminal Information Center, Department of Public Safety involving automated records program management. Positions in this class differ from lower level Criminal Record Specialists as assigned duties are generally of a more complex technical/professional level with enhanced program management responsibility and oversight. Reports to the Deputy Director of VCIC but is required to take a proactive role in the management and operation of the program(s). Job has significant responsibility for independent program actions with need for high level independent interaction with both in and out of state agencies, law enforcement agencies, courts and other service providers. This class must be adept at interpreting and applying all applicable State, Federal and local regulations that inform program management as a significant amount of liability can be incurred as a result of errors in data or decisions.

Examples of Work:

In addition to the duties of the Criminal Record Specialist I & II, the Criminal Record Specialist III will have a significant degree of proactive program management responsibility. This will require database management and responsibility for oversight of modification, entry or dissemination of information contained therein performed by Vermont Criminal Information Center personnel. The Criminal Record Specialist III will differ from the Criminal Record Specialist II in that they will be accountable for the management of the database and all components of the specified program(s). Responsible for proactive goal setting and will be responsible for goal attainment as they pertain to assigned program(s) development and performance. Proactive development and implementation of procedures, policies and forms for program(s). Provide training to VCIC personnel as well as other agency's staff members regarding procedures, policies, forms, and applicable revisions. Training of VCIC personnel and other agency staff members regarding quality control checks and necessity of same (verifying data accuracy), coordinating with departmental staff to maintain databases including modifications and upgrades as determined. Provide relevant information to both in and out of state agencies, law enforcement agencies, courts, other service providers, the media and the general public. Collaborating with other state agencies and law enforcement personnel as to the type of information to be collected and reported for one or more of the Vermont Criminal Information Center programs. Vermont Crime Information programs include, but are not limited to: the Vermont Sex Offender Registry; the Vermont Marijuana Registry; the Vermont Criminal History Program; the Vermont Criminal Record Check Services; the State Warrant System; Expungment, Sealings, and Pardons Section; Vermont Crime Reporting Program; Quality Assurance Program; or the Crime Analysis Unit. Performs related duties as required.

Grant Application Identifier:
Proposed Grant Period:

2010 SMART Office Support for Adam Walsh Act Implementation Grant Program
9/1/2010 - 3/31/2012

Adapted for VT DPS from OMB 1121-01

Budget Detail Worksheet

A. Personnel - List each position by title and name of employee, if available. Show the annual salary rate and the percentage of time to be devoted to the project. Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization.

Name, Position / Title	Computation			Total Hours	Cost for the Period
	Hourly Rate	X Number of Hr's Per Week	X Number of Weeks		
1.	\$0.00	0	0	0	\$0.00
2.				0	\$0.00
3.				0	\$0.00
Total Personnel:					\$0.00

B. Fringe Benefits - Fringe benefits should be based on actual known costs or an established formula. Fringe benefits are for the personnel listed in budget category (A) and only for the percentage of time devoted to the project. Fringe benefits on overtime hours are limited to FICA, Workman's Compensation, and Unemployment Compensation.

	Rate	Computation		Cost
FICA	7.65%	X Salary	\$0.00	\$0.00
Worker's Comp	0.48%	X Salary	\$0.00	\$0.00
Total Fringe:				\$0.00
TOTAL PERSONNEL AND FRINGE BENEFITS:				\$0.00

C. Travel - Itemize travel expenses of project personnel by purpose (e.g., staff to training, field interviews, advisory group meeting, etc.). Show the basis of computation (e.g., six people to 3-day training at \$X airfare, \$X lodging, \$X subsistence). In training projects, travel and meals for trainees should be listed separately. Show the number of trainees and the unit costs involved. Identify the location of travel, if known. Indicate source of Travel Policies applied, Applicant or Federal Travel Regulations.

TOTAL TRAVEL: \$0.00

D. Equipment - List non-expendable items that are to be purchased. Non-expendable equipment is tangible property having a useful life of more than two years and an acquisition cost of \$5,000 or more per unit. (Note: Organization's own capitalization policy may be used for items costing less than \$5,000). Expendable items should be included either in the "supplies" category or in the "Other" category. Applicants should analyze the cost benefits or purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "Contractual" category. Explain how the equipment is necessary for the success of the project. Attach a narrative describing the procurement method to be used.

0 @ \$0 ea \$0
TOTAL EQUIPMENT: \$0.00

E. Supplies - List items by type (office supplies, postage, training materials, copying paper, and expendable equipment items costing less than \$5,000, such as books, hand held tape recorders) and show the basis for computation. (Note: Organization's own capitalization policy may be used for items costing less than \$5,000). Generally, supplies include any materials that are expendable or consumed during the course of the project.

TOTAL SUPPLIES: \$0.00

F. Construction - As a rule, construction costs are not allowable. In some cases, minor repairs or renovations may be allowable. Check with the program office before budgeting funds in this category.

TOTAL CONSTRUCTION: \$0.00

G. Consultants/Contracts - Indicate whether applicant's formal, written Procurement Policy or the Federal Acquisition Regulations are followed.

Consultant Fees: For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and the estimated time on the project. Consultant fees in excess of \$450 per day require additional justification and prior approval from OJP.

Sub-Total: \$0.00

Consultant Expenses: List all expenses to be paid from the grant to the individual consultants in addition to their fees (i.e., travel, meals, lodging, etc.)

Sub-Total: \$0.00

Contracts: Provide a description of the product or service to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole source contracts in excess of \$100,000.

SOR - Sex Offender Registry Software
Upgrade (see budget narrative for

1. breakdown) 1 @ \$148,500.00 ea \$148,500.00

TOTAL CONTRACTS / CONSULTANTS: \$148,500.00

H. Other Costs - List items (e.g., rent, reproduction, telephone, janitorial or security services, and investigative or confidential funds) by major type and the basis of the computation. For example, provide the square footage and the cost per square foot for rent, or provide a monthly rental cost and how many months to rent.

Admin Costs

1. VISION: State of VT's state-wide accounting system	0.50% of federal request	\$150,000.00	\$750.00
2. DII: State of VT's state-wide computer system	0.30% of federal request	\$150,000.00	\$450.00
3. Single Audit Allocation	0.20% of federal request	\$150,000.00	\$300.00

TOTAL \$1,500.00

I. Indirect Costs - Indirect costs are allowed only if the applicant has a Federally approved indirect cost rate. A copy of the rate approval (a fully executed, negotiated agreement), must be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant Federal agency, which will review all documentation and approve a rate for the applicant organization, or if the applicant's accounting system permits, costs may be allocated in direct costs categories.

TOTAL INDIRECT: \$0.00

TOTAL PROJECT COST: \$150,000.00

Budget Summary

Budget Category	Amount
A. Personnel	\$0.00
B. Fringe Benefits	\$0.00
C. Travel	\$0.00
D. Equipment	\$0.00
E. Supplies	\$0.00
F. Construction	\$0.00
G. Consultants/Contracts	\$148,500.00
H. Other	\$1,500.00
Total Direct Costs	\$150,000.00
I. Indirect Costs	\$0.00
TOTAL PROJECT COSTS	\$150,000.00

Federal Request	\$150,000.00
Non-Federal Amount	\$0.00

Budget Narrative

The budget narrative should be a plain-language explanation of the proposed expenditures that are listed in the Budget Detail Worksheet above.

A. Personnel

C. Travel

D. Equipment

E. Supplies

F. Construction

G. Consultants / Contracts

VT proposes to contract with CPI (Computer Projects of Illinois) for the following upgrades to the SOR -one contract:

1. Sex Offender Registry: Direct cost for the utilization of JAVA for the Database Admin, reporting and monitoring system (\$45,000.00).
2. Sex Offender Registry Database: Software to redesign current system to include reorganization and layout of files and tables for new VT SOR rules and regulations as well as SMART/SORNA/Adam Walsh compliance (\$43,500.00).
3. Sex Offender Registry Website: Data feed and interface to ensure appropriate data is fed to the internet site in an automatic and regulated fashion (\$5,000.00).

Electronic Sex Offender Registry Registration Form: Vermont proposes to contract with CPI, the State's Message Switch vendor, to create a new Message Key on the Vermont Law Enforcement

4. Telecommunications System (VLETS) which will allow law enforcement agencies to collect required information from registrants on their VLETS workstations. Once completed the data will be transmitted to the CPI Message Switch and transferred to an electronic queue on the SOR. After the SOR Coordinator reviews and quality control checks the data it will be transferred to the SOR automatically without the need for additional data entry (15,000.00)
5. Electronic interface between the SOR and the Department of Corrections: This interface system is for the exchange of pertinent data regarding current sex offender addressing etc. This would entail a data batch process whereby the information would be sent by DOC and retrieved by the SOR for review and subsequent transfer to the SOR database after review and verification (\$15,000.00).
6. System testing and project management: (\$25,000.00)

H. Other Costs

Administrative costs incurred by the VT Department of Public Safety to manage this grant.

VISION - This is a direct charge associated with all financial transactions, which include accounts payable and receivable transactions. The cost provides access to the statewide integrated financial management system, which allows us to process grant-related transactions and to produce financial reports for the grant.

DII - This is a direct charge associated with all Information Technology purchases, including the procurement of hardware, software or professional services. The cost includes support for enterprise solutions such as server infrastructure, information sharing systems and technical architecture.

Single Audit Allocation - This is a direct charge associated with Vermont's statewide Single Audit as required by OMB Circular A-133.

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

Agency of Administration


[phone] 802-828-2376
 [fax] 802-828-2428

STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		To upgrade Vermont's statewide Sex Offender Registry (SOR) in pursuit of compliance with the federal Adam Walsh Act and to improve Vermont's SOR program.			
Date:		10/27/2010			
Department:		Department of Public Safety			
Legal Title of Grant:		Support for the Adam Walsh Act Implementation Grant Program			
Federal Catalog #:		16.750			
Grant/Donor Name and Address:		US Dept of Justice, Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering and Tracking (SMART), Washington, D.C.			
Grant Period:		From:	To:		
		10/1/2010	3/31/2012		
Grant/Donation		\$150,000			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$	\$150,000	\$	\$150,000	100% federal funding
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:					
Department of Finance & Management				<i>10/30/10</i>	(Initial) <i>D 10-27-10</i>
Secretary of Administration				<i>JP 10/1/10</i>	(Initial)
Sent To Joint Fiscal Office				<i>11/3/10</i>	Date



Memo

To: David Beatty
From: Tracy O'Connell 
Date: 10/21/10
Re: AA-1 for Support for the Adam Walsh Act Implementation Grant
Program – Sex Offender Registry Upgrade

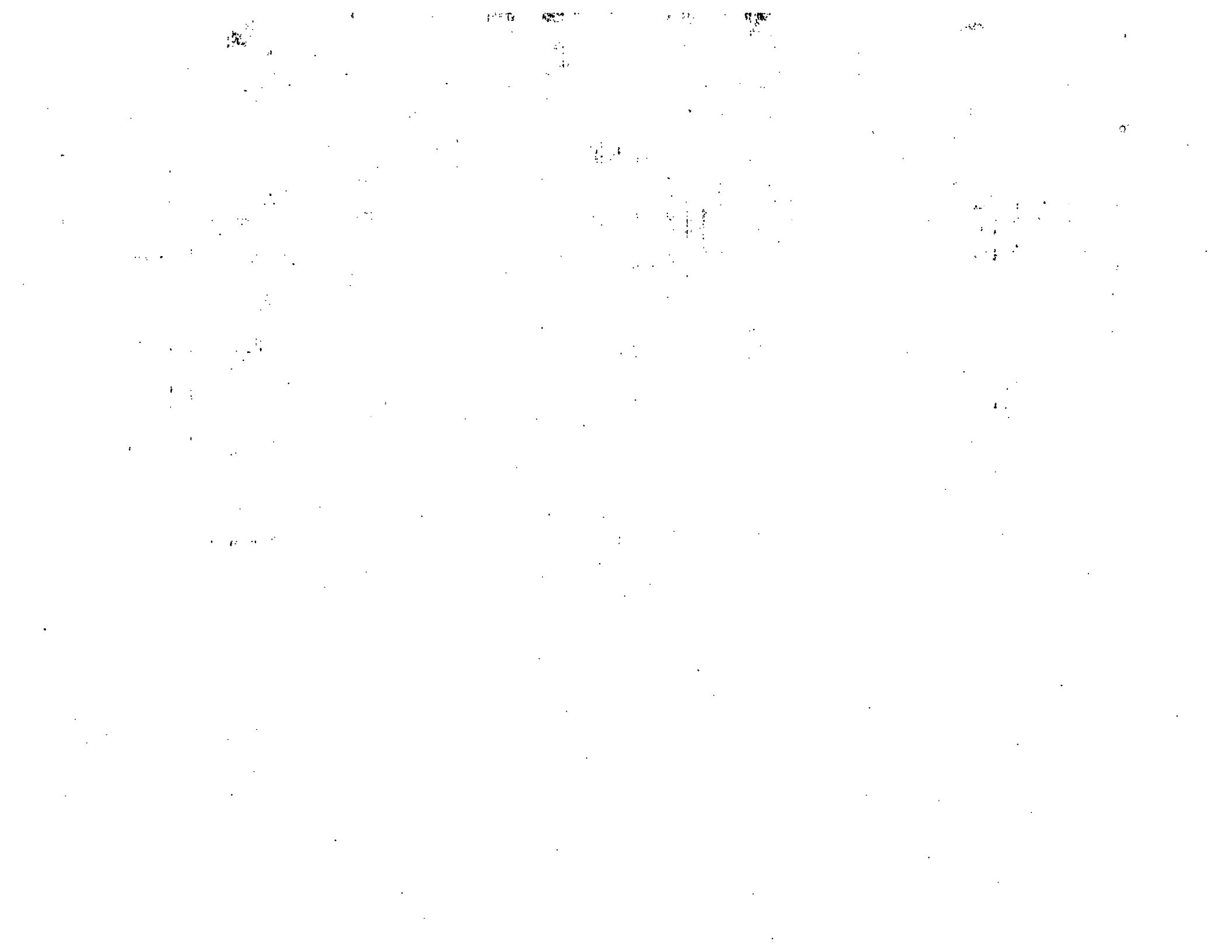
I have prepared the AA-1 grant acceptance form for the Support for the Adam Walsh Act Implementation Grant Program. These grant funds will be used to upgrade the Sex Offender Registry database which will also allow VCIC the ability to implement the necessary compliance requirements as well as providing more accurate, complete and timely data to all authorized law enforcement and State agencies that require information regarding the Sex Offender Registry.

Thank you.

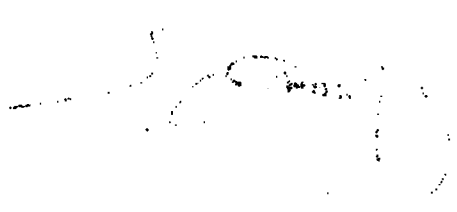
STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION					
1. Agency:					
2. Department:		Public Safety			
3. Program:		Criminal Justice Services			
4. Legal Title of Grant:		Support for the Adam Walsh Act Implementation Grant Program			
5. Federal Catalog #:		16.750			
6. Grant/Donor Name and Address: U.S. Dept. of Justice Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering and Tracking Washington, DC 20531					
7. Grant Period:		From: 10/1/2010	To: 3/31/2012		
8. Purpose of Grant: This grant is intended to upgrade the Sex Offender Registry in order to implement the necessary compliance regulations as well as providing more timely and accurate information to authorized law enforcement and other State agencies.					
9. Impact on existing program if grant is not Accepted: If this grant is not accepted, the Sex Offender Registry will continue to operate however; VCIC will continue to be limited to the speed and accuracy of the database as well as not being able to implement any additional compliance requirements.					
10. BUDGET INFORMATION					
	SFY 1 FY 2011	SFY 2 FY 2012	SFY 3 FY	Comments	
Expenditures:					
Personal Services	\$	\$150,000	\$	Personal Services Contract	
Operating Expenses	\$	\$	\$		
Grants	\$	\$	\$		
Total	\$	\$150,000	\$		
Revenues:					
State Funds:	\$	\$	\$		
Cash	\$	\$	\$		
In-Kind	\$	\$	\$		
Federal Funds:	\$	\$	\$		
(Direct Costs)	\$	\$150,000	\$		
(Statewide Indirect)	\$	\$	\$		
(Departmental Indirect)	\$	\$	\$		
Other Funds:	\$	\$	\$		
Grant (source)	\$	\$	\$		
Total	\$	\$150,000	\$		
Appropriation No:	2140020000		Amount:	\$150,000	
				\$	

REC'D OCT 26 2010



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Department of Justice
Office of Justice Programs

Office of the Assistant Attorney General

Washington, D.C. 20531

September 15, 2010

Commissioner Thomas Tremblay
Vermont Department of Public Safety
103 South Main Street
Waterbury, VT 05671-0001

Dear Commissioner Tremblay:

On behalf of Attorney General Eric Holder, it is my pleasure to inform you that the Office of Justice Programs has approved your application for funding under the SMART Office Support for the Adam Walsh Act Implementation Grant Program in the amount of \$150,000 for Vermont Department of Public Safety.

Enclosed you will find the Grant Award and Special Conditions documents. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact:

- Program Questions, Jacqueline O'Reilly, Program Manager at (202) 514-5024; and
- Financial Questions, the Office of the Chief Financial Officer, Customer Service Center (CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in black ink, appearing to read "L. Robinson".

Laurie Robinson
Assistant Attorney General

Enclosures



Department of Justice
Office of Justice Programs
Office of the Chief Financial Officer

Washington, D.C. 20531

September 15, 2010

Commissioner Thomas Tremblay
Vermont Department of Public Safety
103 South Main Street
Waterbury, VT 05671 - 0001

Reference Grant Number: 2010-AW-BX-0030

Dear Commissioner Tremblay:

I am pleased to inform you that my office has approved the following budget categories for the aforementioned grant award in the cost categories identified below:

Category	Budget
Personnel	\$0
Fringe Benefits	\$0
Travel	\$0
Equipment	\$0
Supplies	\$0
Construction	\$0
Contractual	\$148,500
Other	\$1,500
Total Direct Cost	\$150,000
Indirect Cost	\$0
Total Project Cost	\$150,000
Federal Funds Approved:	\$150,000
Non-Federal Share:	\$0
Program Income:	\$0

Match is not required for this grant program.

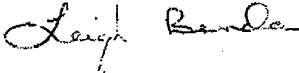
All Sole Source procurement in excess of \$100,000 requires written justification and the prior approval of OJP.

If you have questions regarding this award, please contact:

- Program Questions, Jacqueline O'Reilly, Program Manager at (202) 514-5024
- Financial Questions, the Office of Chief Financial Officer, Customer Service Center(CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in cursive script that reads "Leigh Benda".

Leigh Benda
Chief Financial Officer

PROGRAM ABSTRACT

Project Scope

Though Vermont has a statewide Sex Offender Registry (SOR) and Internet site, the State does not comply with a number of elements of the Adam Walsh Act. While Vermont currently does not have legislation in place that addresses full Adam Walsh implementation we have been successful in getting some additional compliance during the last two legislative sessions. As we move forward with aspects of compliance with the Adam Walsh Act we have found that our current SOR software is not sufficiently capable of moving in that direction due to its base design and underlying capability. The software engine driving our SOR program is no longer adaptable to the changes in technology that have occurred. This project proposes to replace the existing, outdated Vermont SOR software with a newer version that is capable of advanced technological capabilities such as interfacing with outside criminal justice systems for accessibility to more timely and accurate data in order to reach compliance with SORNA requirements and provide the best service to the people of Vermont.

Federal Funding Requested

The State of Vermont is requesting \$150,000 to support the project described in this proposal.

Project Activities

Vermont has identified a primary strategy for resolving the program issue identified above and that is to obtain and develop innovative, integrated technology to resolve a fundamental program issue that will impede our future efforts toward compliance.

PROGRAM NARRATIVE

1. STATEMENT OF THE PROBLEM

Overview of the Vermont Sex Offender Registry:

The Vermont Sex Offender Registry (SOR) has been managed since its inception in 1996 by the Vermont Criminal Information Center (VCIC) at the Vermont Department of Public Safety (DPS). The SOR added an Internet component in 2004. Currently 2,472 sex offenders are registered with the SOR. One Thousand two hundred and two (1202) registrants are posted on the SOR Internet site.

The SOR is a centrally managed, statewide sex offender registry. All initial registration, registration information changes, notifications, verifications, and enforcement are managed at the SOR by the SOR Coordinator. Since the SOR Coordinator constitutes the entire staff of the SOR, the system **must be highly automated** to minimize manual workload and to maintain timely and accurate public safety services to Vermonters. The SOR Coordinator is responsible for managing incoming registration information from District Courts, the Department of Corrections, law enforcement agencies and offenders. Once an offender is registered in the SOR the data is automatically transmitted to the State SOR Database and the NCIC Sex Offender File. All SOR information is available 24/7 to law enforcement via the Vermont Law Enforcement Telecommunications System (VLETS) and the NCIC system. A "Super Query" via VLETS performed by law enforcement during all roadside encounters automatically queries the State SOR Database and NCIC. The public has access to SOR data either by contacting VCIC, their local law enforcement agency, or consulting the Internet Site. A SOR advisory appears in a special report header provided to schools when they run a Vermont conviction report on potential employees.

The SOR database:

The SOR database on which Vermont depends for this valuable public safety information began in 1996 as a simple Microsoft access database that was developed in house. As the database grew it was migrated to an Oracle Forms database. This occurred in 2003 and was simply an import of the Access functionality. The functionality of the SOR database has been expanded over the years based on Legislative changes and registry needs. Many of the functionality changes were quick fixes to a particular need without a lot of flexibility built in. Unfortunately we have experienced hurdles in several areas with our database's inability to easily or effectively meet some of the technology challenges. Lack of XML compliancy is a major concern as we move forward in attempts to interface with other agencies for the transfer of critical data. The requirements imposed under SORNA/Adam Walsh provide increased availability of larger amounts of information, some of which is time sensitive. This drives agencies to seek more and more interface capabilities to ensure data is imported and exported from disparate systems. Our current SOR does not have the ability to easily interface with outside sources while still ensuring data integrity.

While we have made great strides in the last couple years to move toward compliancy with the SORNA/Adam Walsh Act requirements it is clear that any future movement in that direction is severely limited by the ability of our current software to effectively deal with the changes. While the Vermont Legislature has control of the level of compliance we can achieve we have continued to advance our compliancy a piece at a time. This can only continue with the assurance that we can deal with requirements accurately and efficiently through the State SOR database.

Vermont applied for a SMART Grant in 2009 but did not receive any funding. Without a funding source we cannot upgrade our current software and would be limited as to the speed and degree to which we can implement any additional compliance requirements.

It is important to understand that the SOR database serves the entire law enforcement community, agencies that have an authorized need for the information (such as education, the department of children and families for example), and the public as a whole and that the data it contains needs to be accurate, complete and timely for everyones protection.

The Challenges:

Vermont has the challenge, like many states, in getting the legislature to authorize the implementation of the requirements under SORNA. We have sought to get the appropriate legislation passed for the last two sessions of the Vermont Legislature. In 2009 some law changes were made which allowed us to move toward compliance. Specifically:

- 1) Additional offenses were added to the list for posting on the Internet site leaving only three offense conviction types that are not available for posting.
- 2) Federal Offenses were added to reportable crimes.
- 3) Probate court is now required to search the registry and notify of name changes.
- 4) DNA information was required to be entered into the registry database.
- 5) New photo required every year with address verification requirement.
- 6) Vermont came into compliance with the Adam Walsh Act regarding internet posting of all tier 2 and 3 registrants.

In the current (2010) Legislative Session there is legislation being generated that will allow inclusion of offenders with convictions from out of state (including federal convictions) to

be posted on Vermont's internet sex offender registry. The issue here, as in many other requirements, involves our ability to obtain the information in a timely and accurate manner. For example the sex offender registry coordinator could obtain addressing and other critical information from the Department of Corrections via an electronic interface if the systems could share the data more efficiently. This would be extremely beneficial for ensuring that addressing was accurate and up to date between the two agencies.

All of these aggressive steps to attain compliance are taxing the capabilities of our current SOR database to deal with the increased complexities and activity (internet posting have quadrupled as a result of legislation). The Legislature is sensitive to individual protections as well as the general public safety. As a consequence in the last session they mandated a state audit of the Vermont Sex Offender Registry with two key areas to be assessed: (1) the extent to which the data in the State's SOR is reliable and current, and (2) whether the State's controls are designed to identify errors, omissions, and outdated registry data. The Vermont Sex Offender registry is currently in the middle of the audit process and problems and inadequacies of our current database functionality are rapidly coming to the forefront. The result of the audit will determine if Vermont is allowed to publish addressing information on the Vermont Sex Offender Registry website as of July 1, 2010. If the legislature does not have confidence in the underlying SOR database it would severely impede our future legislative endeavors and subsequently our ability to achieve SORNA compliancy.

The following issues in our current database are among those we have discovered to be an impediment to successful implementation of SORNA requirements:

- 1) Limitations on data transfer capabilities to outside agencies: export/import capability limited and NO XML capability. At this time internet postable addressing

information and timely registration/registration update information are key areas of compliance concern.

- 2) Manual entry of information in areas that should be automated (increased risk of error) is an impediment for compliance because with limited staffing we need to continue to ensure the quality of the data is at the highest level possible.
- 3) Reporting and report generation exists on the system but is often prone to failure and causes more effort than it does efficiency.

Unfortunately due to the construction of our original database these issues are either not addressable or are impractical to implement in the system.

2. PROJECT GOALS AND OBJECTIVES

The primary goal of this grant application is to obtain funding to replace the current Vermont SOR database with a new SOR database that contains functionality or is at least leveraged to ensure there would be functionality for requirements under SORNA. This software would contain the most current, efficient and versatile interfacing tools to allow effective communication and data transfer with internal as well as external data repositories. The program will also minimize the amount of human intervention required in order to minimize the chance of entry and transcription errors. Pursuant to this singular but extremely important goal several objectives have been identified to include:

Objective #1 - Obtain a system from a vendor that has experience in this type of software development that can exhibit a proven track record for both system functionality and support and has a sound, long term prospectus. This will ensure that the grant money is invested for a long term and stable purchase.

Objective #2 - Obtain a system that contains data fields and data elements that are at a minimum equal to our current system. This will ensure that our current level of compliance is not compromised.

Objective #3 - Obtain a system that recognizes and is capable of full SORNA requirement implementation. Being able to move forward with SORNA implementation is a crucial part of the vision for this grant application.

Objective #4 - The system should be able to, where ever possible, to minimize manpower expenditures. Data entry, validations and rules should be enforced where possible to minimize the chances for error and to free time for our minimal staff. This will help provide additional time for data quality checks and controls that will solidify the integrity of this extremely important data.

Objective #5 - The system should be able to interface or at a minimum provide/receive data to/from disparate systems and will be XML compliant. Interfacing and exchanging data is a crucial part of meeting requirements that require information be updated in a timely and accurate manner. Desired interfaces would include but not be limited to:

- a. Develop automated mechanisms to transfer of addressing (and other) information from the Department of corrections with transfer to a quality control queue for verification and validation.
- b. Develop automated mechanisms by which Vermont State Police and local law enforcement agencies can register sex offenders and registration changes and transmit that information to the SOR.

While the above objectives relate, in general, to the procurement and development of the software program, which is the goal of this project, it is crucial to understand the underlying

motivation. Our fundamental goal is to overcome the limitations of our current software in furthering SORNA compliancy. Ensuring data is timely, up to date and reliable is best accomplished thru interfacing and exchanging data with disparate systems. Information required for compliancy such as 1) addressing information 2) crime information 3) individual registration information is currently not accessible to our software. Recently we have overcome numerous legislative hurdles but this can only continue with a high level of confidence in our ability to deliver accurate and timely information.

3. PROJECT/PROGRAM DESIGN AND IMPLEMENTATION

The goal as stated above will be accomplished by attaining the objectives as outlined. Each objective will be achieved through methodical analysis to ensure they meet the requirements required for SORNA compliance now and in the future. The measures by which these objectives will be gauged are listed below:

Objective #1 - A vendor to provide the product will be solicited through the normal state bid process. The vendor will be selected based on the following criteria:

- a. Experience in similar product development.
- b. Company prospectus.
- c. Client references.
- d. Ability of product to meet predetermined standards for current and continued needs of the SQR and SORNA.
- e. Competitive cost.

Objective #2 - Specifications will be provided to the vendors in the bid process that will describe the current SOR functionality to ensure the base system is no less than our current system.

Objective #3 - The bid process will contain verbiage that will require commitment from vendors that the product will contain the capability to meet and/or exceed the requirements for full SORNA implementation.

Objective #4 - Requirements laid out in the bid process will address deficiencies in our current SOR database. These deficiencies will address the removal of human intervention when possible thus reducing error potential and increasing overall efficiency. These additions will include (but not be limited to) requirements for:

- a. Additional fields
- b. Auto population of key fields (such as certain date fields as well as calculated fields).
- c. More extensive drop down menu with auto populate capability.
- d. More extensive validation and data integrity rules.
- e. Logging capabilities to reflect at a minimum: access, modifier identity, date of record modifications, etc.

Objective #5 - Project submissions received via the bid process must include assurance that the product will be XML compliant and have the resources built in that will ensure that it is sufficiently flexible to deal with a wide variety of possible data exchange formats. This objective will be achieved when data transfers have been established with regards to the addressing information from the department of corrections and there is a

transfer methodology in place to allow registrants to register at local police agencies and the information is transmitted to a registry queue.

4. CAPABILITIES/COMPETENCIES

The Vermont Criminal Information Center (VCIC) at the Vermont Department of Public Safety has authored this grant proposal and will manage the grant if awarded. Bruce A. Parizo, Deputy Director of VCIC will be the Grant Coordinator. VCIC manages the Vermont Sex Offender Registry and has done so since the implementation of the Registry in 1996. Bruce A. Parizo has been the project manager on several major successful software upgrade projects to include the 2008 rollout of a new Computerized Criminal History (CCH) program, the Vermont Criminal Conviction Report Internet Service (VCCRIS). Mr. Parizo is currently involved with the redesign and relocation of the Vermont Sex Offender Registry Internet Site. Sheri Englert, Sex Offender Registry coordinator, will be involved with the development of the software and the interfaces with regard to content required for the SORNA compliance issues. She has been involved with the registry since 1999.

Product cost estimates for this proposal were received from our current state switch vendor – Computer Projects of Illinois who have seven years of experience in the development of Sex Offender Registry software.

5. IMPACT / OUTCOMES AND EVALUATION / PERFORMANCE MEASURE

The impact of this project will be measured by an assessment of how well the strategy accomplished the goals and objectives of the project set forth in this proposal.

Objectives	Performance Measure	Methodology
<p># 1 Find a vendor to provide the product will be solicited through the normal state bid process. The vendor will be selected based on the following criteria:</p> <ul style="list-style-type: none"> a. Experience in similar product development. b. Company prospectus. c. Client references. d. Ability of product to meet predetermined standards for current and continued needs of the SOR and SORNA. e. Competitive cost. 	<p>Does the vendor meet the criteria to ensure the best possible product based on the criteria outlined in the preceding objective statement.</p>	<p>This will be accomplished by reviewing all product bids submitted and comparing them with regards to the criteria,</p>
<p># 2 Ensure the functionality of the new base system meets/exceeds the functionality of the current system with explicit consideration for aspects of SORNA compliance</p>	<p>Does the new system achieve the same level of functionality as the existing system as it pertains to basic functions and all current SORNA/Adam Walsh implementations.</p>	<p>The process of verifying functionality will involve comparison of the two separate SOR programs. Specific attention will be paid to the ability of the new system to more effectively apply SORNA requirements that are currently in place.</p>
<p>#3 Ensure the new product contains the capability to meet and/or exceed the requirements for full SORNA implementation.</p>	<p>Is the product leveraged to meet the requirements of all other SORNA/Adam Walsh full implementation requirements as Vermont moves toward full compliancy.</p>	<p>The method for making this determination is software validation against a list of compliance requirements that have not yet been legislatively authorized to verify that the software is ready to incorporate the requirement.</p>
<p>#4 The software needs to address deficiencies in our current software that require manual entry or other human intervention that could potentially result in error.</p>	<p>Is the product sufficiently developed with drop down menus, entry validations and auto population to minimize error. Is there a logging capability to track changes and modifications to the system.</p>	<p>This will be accomplished when the software is reviewed and all that could utilize dropdowns or require validation or auto population are in place. When changes or modifications are tracked thru a logging function this aspect will be complete.</p>

<p>#5 There is a need to be able to import/export data from disparate databases to ensure Vermont's ability to provide reliable and up-to-date data. The ability to easily interface with other systems is a driving force for future compliance issues.</p>	<p>Is the product XML compliant? Can the product interface with legacy systems that are not XML compliant? Is the interface capability robust enough to ensure future compatibilities?</p>	<p>Development of several interfaces for SORNA compliance is a part of this proposal. Successful interfacing with the state switch and the new database for registration information and the accessing of information regarding addressing from the DOC legacy system would be good performance gauges.</p>
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In addition to the specific project monitoring and evaluation efforts specified above, Vermont will provide the data required by grantees as per the solicitation and as set out in *Performance Measures* section of the SMART Office FY 2009 program solicitation.

Sustainability:

VCIC is prepared to incorporate the additional costs associated with higher maintenance fees for the purchased SOR Software and associated interfaces into the budget of the Division of Criminal Justice Services, Department of Public Safety.

6. PROJECT TIMELINE AND POSITION DESCRIPTIONS

Please see attachment #2 for project timeline and position descriptions.

7. BUDGET WORKSHEET AND BUDGET NARRATIVE

Please see attachment #3 for Budget Worksheet and Narrative

SUMMARY AND CONCLUSION

While we have made great strides in the last couple years to move toward compliancy with the SORNA/Adam Walsh Act requirements it is clear that any future movement in that direction is severely limited by the ability of our current software to effectively deal with the changes. While the Vermont Legislature has control of the level of compliance we can achieve we have continued to advance our compliancy a piece at a time. This can only continue with the assurance that we can deal with requirements accurately and efficiently through the state SOR database.

Vermont applied for a SMART Grant in 2009 but did not receive any funding. Without a funding source we cannot upgrade our current software and would be limited as to the speed and degree to which we can implement any additional compliance requirements.

It is important to understand that the SOR database serves the entire law enforcement community, agencies that have an authorized need for the information (such as education, the department of children and families for example), and the public as a whole and that the data it contains needs to be accurate, complete and timely for everyone's protection.

ATTACHMENT #2 - PROJECT TIMELINE

PROJECT GOAL	RELATED OBJECTIVE	ACTIVITY	COMPLETION DATE	RESPONSIBLE PERSON
Research, purchase, develop and implement a NEW SOR software program for the State of Vermont.	#1	Submit a Request for Proposal in accordance with State policy.	Month 1	Bruce Parizo
		Review Bid submissions, evaluate vendor qualifications and chose vendor.	Month 2	
		Obtain Statement of Work and Contract per Vermont specifications.	Month 3	
	#2	Develop base system that meets Vermont's current legislatively authorized requirements pursuant to Adam Walsh	Month 4 -8	Bruce Parizo Sheri Englert Vendor
	#3	Develop the system to ensure it is leveraged to include future SORNA requirements as Vermont moves forward with legislative changes to encompass full implementation.	Month 6-16	Bruce Parizo Sheri Englert Vendor
	#4	Review system development to verify that its design inherently reduces the human intervention that could result in errors thru transcription or calculation and is fundamentally geared for automatic population of fields with data quality checks and entyr validation in place.	Month 4-16	Bruce Parizo Sheri Englert Vendor
	#5	Develop and implement as many data transfer programs as possible but no less then: 1) Automated mechanism to transfer addressing information from Department of Corrections. 2) Automated mechanism which will allow law enforcement agencies to collect required information from registrants on their VLETS workstations. Once collected the data will be transmitted to the CPI message switch and transferred to an electronic queue on the SOR. After the SOR Coordinator reviews and quality control checks the data it will be transferred to the SOR automatically without the need for additional data entry.	Month 12-18	Bruce Parizo Sheri Englert Vendor
Testing and Acceptance	All objectives	Testing and Acceptance of the new software.	Month 16-18	Bruce Parizo Sheri Englert

POSITION DESCRIPTIONS

1. BRUCE PARIZO

Deputy Director, Vermont Criminal Information Center

Class Definition:

Manage the day to day operations of the Vermont Criminal Information Center (VCIC) to include: hiring, supervision and evaluation of staff; managing the VCIC budget for designated programs; substantive program support for VCIC staff and criminal justice agencies regarding VCIC programs; personnel and payroll functions for VCIC staff; equipment purchase, maintenance, and rotation; correspondance; inquiries from the public and criminal justice agencies; certifying VCIC documents; serve as Acting Director in the absence of the Director. Program responsibility for the following ongoing VCIC initiatives: Vermont Criminal History Record System; State Identification Bureau; Criminal History Record Check Program; Criminal Record Expungement/Sealing/Pardons Unit; Sex Offender Registry; Medical Marijuana Registry; National Instant Check Reporting System; VCIC Microfilm Center.

Examples of Work:

Vermont Criminal History Record System: Responsible for the maintenance of accurate, complete, and timely criminal records for defendants arraigned in Vermont District Courts. The system involves nearly 200,000 records with nearly 75,000 charge updates to the system annually. Responsibilities include: liaison with contributing agencies; developing and maintaining documentation; setting and maintaining annual, production, and quality control goals; supervising data entry/transfer; software maintenance to include operating performance and code structure; data quality assurance; training both VCIC staff and criminal justice professionals from outside the agency; record appeals; responding to requests for data or analysis of criminal record information; responding to general informational inquiries from criminal justice agencies and the public; completing surveys from the federal government and funding agencies

State Identification Bureau (SIB): The SIB is a unit within VCIC which is responsible for the collection, maintenance, and dissemination of fingerprint images and photographs. The SIB maintains both paper and digital fingerprint and photograph images. The SIB is staffed by a Section Supervisor and six staff. The day to day operations of the unit are supervised by the Section Supervisor. The Section Supervisor will report to the the Deputy Director (DD). The DD will be responsible for setting an maintaining annual, production, and quality control goals for the section in consultation with the Section Supervisor. The DD will be responsible for supervision of the Section Supervisor, staff hiring, program support, equipment including software, and budgeting.

Sex Offender Registry (SOR): VCIC has responsibility for maintaining the Vermont Sex Offender Registry and Internet Sex Offender Registry. The Registry is responsible for tracking the whereabouts of approximately 2,200 registrants. Nearly 260 new subjects are added to the Registry each year. The Registry is staffed by one VCIC

staff person. The DD is responsible for managing the program in compliance with State and Federal law and the Vermont SOR Regulations. The DD is also responsible for the hiring, supervision, and evaluation of SOR staff, developing and maintaining policy and documentation, setting and maintaining annual, production, and quality control goals for the SOR. The DD will also be responsible for program support, the SOR Web Site, SOR software, equipment, budgeting, and training.

IT Management: The Deputy Director currently has administrative management and control of 25 VCIC computers and is responsible for high level IT administration of these units to include machine configuration, trouble shooting, repair, software installation, updates and day to day management. this is a time consuming endeavor and requires advanced computer knowledge and skills. In addition to that the DD has additional administrative access to computers in the field for the purpose of IT assistance and specific program installation. The DD currently designs, develops, writes and implements software programs for the staff of VCIC. Additionally the DD is responsible for the installation and support of several software programs statewide to include PsPortals, G-Link and other issues related to the Criminal history program and the VLETS switch. This often entails contact with various federal, local and state entities in an effort to resolve any questions or issues they experience and often requires remote access to their computers for diagnostic and reparative operations. Also responsible for maintenance and administration of several internal software servers. Also absorbs some of the switch management issues in absence of others.

Information Security Officer (ISO): The DD serves at the ISO for state of Vermont and as such has oversight of security issues and concerns regarding statewide access to sensitive and confidential FBI CJIS data. In this role statewide policy is designed, written and implemented, monitored, enforced and reviewed. In this role the DD acts as liason between federal, state and local entities regarding security issues.

3. SHERI ENGLERT

Sex Offender Registry Coordinator (Criminal Record Specialist III)

Class Definition:

Complex technical work as a Criminal Record Specialist for the Vermont Criminal Information Center, Department of Public Safety involving automated records program management. Positions in this class differ from lower level Criminal Record Specialists as assigned duties are generally of a more complex technical/professional level with enhanced program management responsibility and oversight. Reports to the Deputy Director of VCIC but is required to take a proactive role in the management and operation of the program(s). Job has significant responsibility for independent program actions with need for high level independent interaction with both in and out of state agencies, law enforcement agencies, courts and other service providers. This class must be adept at interpreting and applying all applicable State, Federal and local regulations that inform program management as a significant amount of liability can be incurred as a result of errors in data or decisions.

Examples of Work:

In addition to the duties of the Criminal Record Specialist I & II, the Criminal Record Specialist III will have a significant degree of proactive program management responsibility. This will require database management and responsibility for oversight of modification, entry or dissemination of information contained therein performed by Vermont Criminal Information Center personnel. The Criminal Record Specialist III will differ from the Criminal Record Specialist II in that they will be accountable for the management of the database and all components of the specified program(s). Responsible for proactive goal setting and will be responsible for goal attainment as they pertain to assigned program(s) development and performance. Proactive development and implementation of procedures, policies and forms for program(s). Provide training to VCIC personnel as well as other agency's staff members regarding procedures, policies, forms, and applicable revisions. Training of VCIC personnel and other agency staff members regarding quality control checks and necessity of same (verifying data accuracy), coordinating with departmental staff to maintain databases including modifications and upgrades as determined. Provide relevant information to both in and out of state agencies, law enforcement agencies, courts, other service providers, the media and the general public. Collaborating with other state agencies and law enforcement personnel as to the type of information to be collected and reported for one or more of the Vermont Criminal Information Center programs. Vermont Crime Information programs include, but are not limited to: the Vermont Sex Offender Registry; the Vermont Marijuana Registry; the Vermont Criminal History Program; the Vermont Criminal Record Check Services; the State Warrant System; Expungment, Sealings, and Pardons Section; Vermont Crime Reporting Program; Quality Assurance Program; or the Crime Analysis Unit. Performs related duties as required.

Grant Application Identifier:

2010 SMART Office Support for Adam Walsh Act Implementation Grant Program

Adapted for VT DPS from OMB 1121-01

Proposed Grant Period:

9/1/2010 - 3/31/2012

Budget Detail Worksheet

A. Personnel - List each position by title and name of employee, if available. Show the annual salary rate and the percentage of time to be devoted to the project. Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization.

Name, Position / Title	Computation			Total Hours	Cost for the Period
	Hourly Rate	X Number of Hr's Per Week	X Number of Weeks		
1.	\$0.00	0	0	0	\$0.00
2.				0	\$0.00
3.				0	\$0.00
Total Personnel					\$0.00

B. Fringe Benefits - Fringe benefits should be based on actual known costs or an established formula. Fringe benefits are for the personnel listed in budget category (A) and only for the percentage of time devoted to the project. Fringe benefits on overtime hours are limited to FICA, Workman's Compensation, and Unemployment Compensation.

	Rate	Computation		Cost
FICA	7.65%	X Salary	\$0.00	\$0.00
Worker's Comp	0.48%	X Salary	\$0.00	\$0.00
Total Fringe				\$0.00
TOTAL PERSONNEL AND FRINGE BENEFITS:				\$0.00

C. Travel - Itemize travel expenses of project personnel by purpose (e.g., staff to training, field interviews, advisory group meeting, etc.). Show the basis of computation (e.g., six people to 3-day training at \$X airfare, \$X lodging, \$X subsistence). In training projects, travel and meals for trainees should be listed separately. Show the number of trainees and the unit costs involved. Identify the location of travel, if known. Indicate source of Travel Policies applied, Applicant or Federal Travel Regulations.

TOTAL TRAVEL

D. Equipment - List non-expendable items that are to be purchased. Non-expendable equipment is tangible property having a useful life of more than two years and an acquisition cost of \$5,000 or more per unit. (Note: Organization's own capitalization policy may be used for items costing less than \$5,000). Expendable items should be included either in the "supplies" category or in the "Other" category. Applicants should analyze the cost benefits or purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "Contractual" category. Explain how the equipment is necessary for the success of the project. Attach a narrative describing the procurement method to be used.

0 @ \$0 ea \$0
TOTAL EQUIPMENT:

E. Supplies - List items by type (office supplies, postage, training materials, copying paper, and expendable equipment items costing less than \$5,000, such as books, hand held tape recorders) and show the basis for computation. (Note: Organization's own capitalization policy may be used for items costing less than \$5,000). Generally, supplies include any materials that are expendable or consumed during the course of the project.

TOTAL SUPPLIES:

F. Construction - As a rule, construction costs are not allowable. In some cases, minor repairs or renovations may be allowable. Check with the program office before budgeting funds in this category.

TOTAL CONSTRUCTION:

G. Consultants/Contracts - Indicate whether applicant's formal, written Procurement Policy or the Federal Acquisition Regulations are followed.

Consultant Fees: For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and the estimated time on the project. Consultant fees in excess of \$450 per day require additional justification and prior approval from OJP.

Sub-Total:

Consultant Expenses: List all expenses to be paid from the grant to the individual consultants in addition to their fees (i.e., travel, meals, lodging, etc.)

Sub-Total:

Contracts: Provide a description of the product or service to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole source contracts in excess of \$100,000.

SOR - Sex Offender Registry Software
 Upgrade (see budget narrative for
 1. breakdown) 1 @ \$148,500.00 ea \$148,500.00

TOTAL CONTRACTS / CONSULTANTS:

H. Other Costs - List items (e.g., rent, reproduction, telephone, janitorial or security services, and investigative or confidential funds) by major type and the basis of the computation. For example, provide the square footage and the cost per square foot for rent, or provide a monthly rental cost and how many months to rent.

Admin Costs

1. VISION: State of VT's state-wide accounting system	0.50% of federal request	\$150,000.00	\$750.00
2. DII: State of VT's state-wide computer system	0.30% of federal request	\$150,000.00	\$450.00
3. Single Audit Allocation	0.20% of federal request	\$150,000.00	\$300.00
			TOTAL \$1,500.00

I. Indirect Costs - Indirect costs are allowed only if the applicant has a Federally approved indirect cost rate. A copy of the rate approval (a fully executed, negotiated agreement), must be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant Federal agency, which will review all documentation and approve a rate for the applicant organization, or if the applicant's accounting system permits, costs may be allocated in direct costs categories.

TOTAL INDIRECT: \$0.00

TOTAL PROJECT COST: \$150,000.00

Budget Summary

<u>Budget Category</u>	<u>Amount</u>
A. Personnel	\$0.00
B. Fringe Benefits	\$0.00
C. Travel	\$0.00
D. Equipment	\$0.00
E. Supplies	\$0.00
F. Construction	\$0.00
G. Consultants/Contracts	\$148,500.00
H. Other	\$1,500.00
Total Direct Costs	\$150,000.00
I. Indirect Costs	\$0.00
TOTAL PROJECT COSTS	\$150,000.00

Federal Request	\$150,000.00
Non-Federal Amount	\$0.00

Budget Narrative

The budget narrative should be a plain-language explanation of the proposed expenditures that are listed in the Budget Detail Worksheet above.

A. Personnel

C. Travel

D. Equipment

E. Supplies

F. Construction

G. Consultants / Contracts

VT proposes to contract with CPI (Computer Projects of Illinois) for the following upgrades to the SOR -one contract:

1. Sex Offender Registry: Direct cost for the utilization of JAVA for the Database Admin, reporting and monitoring system (\$45,000.00).
2. Sex Offender Registry Database: Software to redesign current system to include reorganization and layout of files and tables for new VT SOR rules and regulations as well as SMART/SORNA/Adam Walsh compliance (\$43,500.00).
3. Sex Offender Registry Website: Data feed and interface to ensure appropriate data is fed to the internet site in an automatic and regulated fashion (\$5,000.00).

Electronic Sex Offender Registry Registration Form: Vermont proposes to contract with CPI, the State's Message Switch vendor, to create a new Message Key on the Vermont Law Enforcement Telecommunications System (VLETS) which will allow law enforcement agencies to collect required information from registrants on their VLETS workstations. Once completed the data will be transmitted to the CPI Message Switch and transferred to an electronic queue on the SOR. After the SOR Coordinator reviews and quality control checks the data it will be transferred to the SOR automatically without the need for additional data entry (15,000.00)

5. Electronic interface between the SOR and the Department of Corrections: This interface system is for the exchange of pertinent data regarding current sex offender addressing etc. This would entail a data batch process whereby the information would be sent by DOC and retrieved by the SOR for review and subsequent transfer to the SOR database after review and verification (\$15,000.00).

6. System testing and project management: (\$25,000.00)

H. Other Costs

Administrative costs incurred by the VT Department of Public Safety to manage this grant.

VISION - This is a direct charge associated with all financial transactions, which include accounts payable and receivable transactions. The cost provides access to the statewide integrated financial management system, which allows us to process grant-related transactions and to produce financial reports for the grant.

DII - This is a direct charge associated with all Information Technology purchases, including the procurement of hardware, software or professional services. The cost includes support for enterprise solutions such as server infrastructure, information sharing systems and technical architecture.

Single Audit Allocation - This is a direct charge associated with Vermont's statewide Single Audit as required by OMB Circular A-133.

sent executed award to OJP 9/23/10

**Dept. of Public Safety
Administration
Division
Accounting Unit**

*CC'd
Jell
Bruce*

Memo

To: Commissioner Tremblay
From: Sara Small *Smael*
Date: 09/20/2010
Re: 2010 Support for Adam Walsh Act Implementation Grant Program

Attached is the approved award which requires your signature for the 2010 SMART grant.

This is a new grant and the funds will be used to upgrade the Sex Offender Registry in order to implement the necessary compliance requirements as well as enhancing the database to provide more accurate, complete and timely data to law enforcement and other state agencies.

If you have any further questions, please contact myself or Bruce Parizo.

Thank you.



Department of Justice
Office of Justice Programs
Office for Civil Rights

Washington, D.C. 20531

September 15, 2010

Commissioner Thomas Tremblay
Vermont Department of Public Safety
103 South Main Street
Waterbury, VT 05671-0001

Dear Commissioner Tremblay:

Congratulations on your recent award. In establishing financial assistance programs, Congress linked the receipt of Federal funding to compliance with Federal civil rights laws. The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice is responsible for ensuring that recipients of financial aid from OJP, its component offices and bureaus, the Office on Violence Against Women (OVW), and the Office of Community Oriented Policing Services (COPS) comply with applicable Federal civil rights statutes and regulations. We at OCR are available to help you and your organization meet the civil rights requirements that come with Justice Department funding.

Ensuring Access to Federally Assisted Programs

As you know, Federal laws prohibit recipients of financial assistance from discriminating on the basis of race, color, national origin, religion, sex, or disability in funded programs or activities, not only in respect to employment practices but also in the delivery of services or benefits. Federal law also prohibits funded programs or activities from discriminating on the basis of age in the delivery of services or benefits.

Providing Services to Limited English Proficiency (LEP) Individuals

In accordance with Department of Justice Guidance pertaining to Title VI of the Civil Rights Act of 1964, 42 U.S.C. § 2000d, recipients of Federal financial assistance must take reasonable steps to provide meaningful access to their programs and activities for persons with limited English proficiency (LEP). For more information on the civil rights responsibilities that recipients have in providing language services to LEP individuals, please see the website at <http://www.lep.gov>.

Ensuring Equal Treatment for Faith-Based Organizations

The Department of Justice has published a regulation specifically pertaining to the funding of faith-based organizations. In general, the regulation, Participation in Justice Department Programs by Religious Organizations; Providing for Equal Treatment of all Justice Department Program Participants, and known as the Equal Treatment Regulation 28 C.F.R. part 38, requires State Administering Agencies to treat these organizations the same as any other applicant or recipient. The regulation prohibits State Administering Agencies from making award or grant administration decisions on the basis of an organization's religious character or affiliation, religious name, or the religious composition of its board of directors.

The regulation also prohibits faith-based organizations from using financial assistance from the Department of Justice to fund inherently religious activities. While faith-based organizations can engage in non-funded inherently religious activities, they must be held separately from the Department of Justice funded program, and customers or beneficiaries cannot be compelled to participate in them. The Equal Treatment Regulation also makes clear that organizations participating in programs funded by the Department of Justice are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion. For more information on the regulation, please see OCR's website at <http://www.ojp.usdoj.gov/ocr/etfbo.htm>.

State Administering Agencies and faith-based organizations should also note that the Safe Streets Act, as amended; the Victims of Crime Act, as amended; and the Juvenile Justice and Delinquency Prevention Act, as amended, contain prohibitions against discrimination on the basis of religion in employment. Despite these nondiscrimination provisions, the Justice Department has concluded that the Religious Freedom Restoration Act (RFRA) is reasonably construed, on a case-by-case basis, to require that its funding agencies permit faith-based organizations applying for funding under the applicable program statutes both to receive DOJ funds and to continue considering religion when hiring staff, even if the statute that authorizes the funding program generally forbids considering of religion in employment decisions by grantees.

Questions about the regulation or the application of RFRA to the statutes that prohibit discrimination in employment may be directed to this Office.

Enforcing Civil Rights Laws

All recipients of Federal financial assistance, regardless of the particular funding source, the amount of the grant award, or the number of employees in the workforce, are subject to the prohibitions against unlawful discrimination. Accordingly, OCR investigates recipients that are the subject of discrimination complaints from both individuals and groups. In addition, based on regulatory criteria, OCR selects a number of recipients each year for compliance reviews, audits that require recipients to submit data showing that they are providing services equitably to all segments of their service population and that their employment practices meet equal employment opportunity standards.

Complying with the Safe Streets Act or Program Requirements

In addition to these general prohibitions, an organization which is a recipient of financial assistance subject to the nondiscrimination provisions of the Omnibus Crime Control and Safe Streets Act (Safe Streets Act) of 1968, 42 U.S.C. § 3789d(c), or other Federal grant program requirements, must meet two additional requirements: (1) complying with Federal regulations pertaining to the development of an Equal Employment Opportunity Plan (EEO), 28 C.F.R. § 42.301-.308, and (2) submitting to OCR Findings of Discrimination (see 28 C.F.R. §§ 42.205(5) or 31.202(5)).

1) Meeting the EEO Requirement

In accordance with Federal regulations, Assurance No. 6 in the Standard Assurances, COPS Assurance No. 8.B, or certain Federal grant program requirements, your organization must comply with the following EEO reporting requirements:

If your organization has received an award for \$500,000 or more and has 50 or more employees (counting both full- and part-time employees but excluding political appointees), then it has to prepare an EEO and submit it to OCR for review **within 60 days from the date of this letter**. For assistance in developing an EEO, please consult OCR's website at <http://www.ojp.usdoj.gov/ocr/eop.htm>. You may also request technical assistance from an EEO specialist at OCR by dialing (202) 616-3208.

If your organization received an award between \$25,000 and \$500,000 and has 50 or more employees, your organization still has to prepare an EEO, but it does not have to submit the EEO to OCR for review. Instead, your organization has to maintain the EEO on file and make it available for review or request. In addition, your organization has to complete Section B of the Certification Form and return it to OCR. The Certification Form can be found at <http://www.ojp.usdoj.gov/ocr/eop.htm>.

If your organization received an award for less than \$25,000; or if your organization has less than 50 employees, regardless of the amount of the award; or if your organization is a medical institution, educational institution, nonprofit organization or Indian tribe, then your organization is exempt from the EEO requirement. However, your organization must complete Section A of the Certification Form and return it to OCR. The Certification Form can be found at <http://www.ojp.usdoj.gov/ocr/eop.htm>.

2) Submitting Findings of Discrimination

In the event a Federal or State court or Federal or State administrative agency makes an adverse finding of discrimination against your organization after a due process hearing, on the ground of race, color, religion, national origin, or sex, your organization must submit a copy of the finding to OCR for review.

Ensuring the Compliance of Subrecipients

If your organization makes subawards to other agencies, you are responsible for assuring that subrecipients also comply with all of the applicable Federal civil rights laws, including the requirements pertaining to developing and submitting an EEO, reporting Findings of Discrimination, and providing language services to LEP persons. State agencies that make subawards must have in place standard grant assurances and review procedures to demonstrate that they are effectively monitoring the civil rights compliance of subrecipients.

If we can assist you in any way in fulfilling your civil rights responsibilities as a recipient of Federal funding, please call OCR at (202) 307-0690 or visit our website at <http://www.ojp.usdoj.gov/ocr/>.

Sincerely,



Michael L. Alston
Director

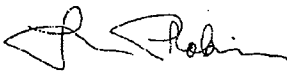
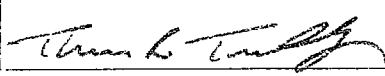
cc: Grant Manager
Financial Analyst



Department of Justice
Office of Justice Programs
**Sex Offender Sentencing,
Monitoring, Apprehending,
Registering and Tracking Office**

Grant

PAGE 1 OF 3

1. RECIPIENT NAME AND ADDRESS (Including Zip Code) Vermont Department of Public Safety 103 South Main Street Waterbury, VT 05671-0001		4. AWARD NUMBER: 2010-AW-BX-0030	
		5. PROJECT PERIOD: FROM 10/01/2010 TO 03/31/2012 BUDGET PERIOD: FROM 10/01/2010 TO 03/31/2012	
1A. GRANTEE IRS/VENDOR NO. 036000274		6. AWARD DATE 09/15/2010	7. ACTION Initial
		8. SUPPLEMENT NUMBER 00	
		9. PREVIOUS AWARD AMOUNT	\$ 0
3. PROJECT TITLE Vermont's FY 2010 Support for Adam Walsh Act Implementation Grant Program		10. AMOUNT OF THIS AWARD	\$ 150,000
		11. TOTAL AWARD	\$ 150,000
12. SPECIAL CONDITIONS THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).			
13. STATUTORY AUTHORITY FOR GRANT This project is supported under FY10 (SMART - Adam Walsh Act Implementation) Pub. L. No. 109-248			
15. METHOD OF PAYMENT GPRS			
AGENCY APPROVAL		GRANTEE ACCEPTANCE	
16. TYPED NAME AND TITLE OF APPROVING OFFICIAL Laurie Robinson Assistant Attorney General		18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Thomas Tremblay Commissioner	
17. SIGNATURE OF APPROVING OFFICIAL 		19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL 	19A. DATE 9/23/10
AGENCY USE ONLY			
20. ACCOUNTING CLASSIFICATION CODES FISCAL YEAR FUND CODE BUD. ACT. DIV. OFC. REG. SUB. POMS AMOUNT X B AW 22 00 00 150000		21. JAWBGT0064	

OJP FORM 4000/2 (REV. 5-87) PREVIOUS EDITIONS ARE OBSOLETE.

OJP FORM 4000/2 (REV. 4-88)



Department of Justice
Office of Justice Programs
**Sex Offender Sentencing,
Monitoring, Apprehending,
Registering and Tracking
Office**

**AWARD CONTINUATION
SHEET
Grant**

PAGE 2 OF 3

PROJECT NUMBER 2010-AW-BX-0030

AWARD DATE 09/15/2010

SPECIAL CONDITIONS

1. The recipient agrees to comply with the financial and administrative requirements set forth in the current edition of the Office of Justice Programs (OJP) Financial Guide.
2. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302), that is approved by the Office for Civil Rights, is a violation of its Certified Assurances and may result in suspension or termination of funding, until such time as the recipient is in compliance.
3. The recipient agrees to comply with the organizational audit requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, and further understands and agrees that funds may be withheld, or other related requirements may be imposed, if outstanding audit issues (if any) from OMB Circular A-133 audits (and any other audits of OJP grant funds) are not satisfactorily and promptly addressed, as further described in the current edition of the OJP Financial Guide, Chapter 19.
4. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of OJP.
5. The recipient must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, contractor, subgrantee, subcontractor, or other person has either 1) submitted a false claim for grant funds under the False Claims Act; or 2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving grant funds. This condition also applies to any subrecipients. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by -

mail:

Office of the Inspector General
U.S. Department of Justice
Investigations Division
950 Pennsylvania Avenue, N.W.
Room 4706
Washington, DC 20530

e-mail: oig.hotline@usdoj.gov

hotline: (contact information in English and Spanish): (800) 869-4499

or hotline fax: (202) 616-9881

Additional information is available from the DOJ OIG website at www.usdoj.gov/oig.

6. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of any contract or subaward to either the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries, without the express prior written approval of OJP.
7. The recipient agrees to comply with any additional requirements that may be imposed during the grant performance period if the agency determines that the recipient is a high-risk grantee. Cf. 28 C.F.R. parts 66, 70.



Department of Justice
Office of Justice Programs
**Sex Offender Sentencing,
Monitoring, Apprehending,
Registering and Tracking
Office**

**AWARD CONTINUATION
SHEET
Grant**

PAGE 3 OF 3

PROJECT NUMBER 2010-AW-BX-0030

AWARD DATE 09/15/2010

SPECIAL CONDITIONS

8. Approval of this award does not indicate approval of any consultant rate in excess of \$450 per day. A detailed justification must be submitted to and approved by the Office of Justice Programs (OJP) program office prior to obligation or expenditure of such funds.
9. All contracts under this award should be competitively awarded unless circumstances preclude competition. When a contract amount exceeds \$100,000 and there has been no competition for the award, the recipient must comply with rules governing sole source procurement found in the current edition of the OJP Financial Guide.
10. The recipient shall submit semiannual progress reports. Progress reports shall be submitted within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. These reports will be submitted to the Office of Justice Programs, on-line through the Internet at <https://grants.ojp.usdoj.gov/>.
11. To ensure compliance with the Government Performance and Results Acts (GPRA), Public Law 103-62, OJP requires the grant recipient to collect and report data that measures the results of the program implemented with this grant. The performance measures can be found at http://www.ojp.usdoj.gov/smart/funding/SMART_FY10_AWA.pdf. This data must be included as part of the grantee's categorical assistance progress report submitted on GMS for each reporting period.
12. The recipient agrees to submit a final report at the end of this award documenting all relevant project activities during the entire period of support under this award. This report will include detailed information about the project(s) funded, including, but not limited to, information about how the funds were actually used for each purpose area, data to support statements of progress, and data concerning individual results and outcomes of funded projects reflecting project successes and impacts. The final report is due no later than 90 days following the close of this award period or the expiration of any extension periods. This report will be submitted to the Office of Justice Programs, on-line through the Internet at <https://grants.ojp.usdoj.gov/>.
13. With respect to this award, federal funds may not be used to pay cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year (An award recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-federal funds.)

This limitation on compensation rates allowable under this award may be waived on an individual basis at the discretion of the OJP official indicated in the program announcement under which this award is made.
14. Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Department encourages recipients and sub recipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this grant, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.



Department of Justice
Office of Justice Programs

*Sex Offender Sentencing, Monitoring, Apprehending,
Registering and Tracking Office*

Washington, D.C. 20531

Memorandum To: Official Grant File

From: Dawn Doran, Deputy Director

Subject: Categorical Exclusion for Vermont Department of Public Safety

The recipient agrees to assist SMART to comply with the National Environmental Policy Act (NEPA) and other related federal environmental impact analyses requirements in the use of these grant funds either directly by the recipient or by a subrecipient. Accordingly, prior to obligating grant funds, the grantee agrees to first determine if any of the following activities will be related to the use of the grant funds and, if so, to advise SMART and request further NEPA implementation guidance. Recipient understands that this special condition applies to its activities whether or not they are being specifically funded with these grant funds. That is, as long as the activity is being conducted by the recipient, a subrecipient, or any third party and the activity needs to be undertaken in order to use these grant funds, this special condition must first be met. The activities covered by this special condition are: a). new construction; b). minor renovation or remodeling of a property either: (1) listed on or eligible for listing on the National Register of Historic Places or; (2) located within a 100-year flood plain; c). a renovation, lease, or any other proposed use of a building or facility that will either; (1) result in a change in its basic prior use or; (2) significantly changes its size and, d). implementation of a new program involving the use of chemicals other than chemicals that are: (1) purchased as an incidental component of a funded activity and; (2) traditionally used, for example, in office, household, recreational, or education environments.



Department of Justice
Office of Justice Programs
Sex Offender Sentencing, Monitoring,
Apprehending, Registering and
Tracking Office

**GRANT MANAGER'S MEMORANDUM, PT. I:
PROJECT SUMMARY**

Grant

PROJECT NUMBER
2010-AW-BX-0030

PAGE 1 OF 1

This project is supported under FY10 (SMART - Adam Walsh Act Implementation) Pub. L. No. 109-248

1. STAFF CONTACT (Name & telephone number)

Jacqueline O'Reilly
(202) 514-5024

2. PROJECT DIRECTOR (Name, address & telephone number)

Bruce Parizo
Deputy Director
103 South Main Street
State Police Headquarters
Waterbury, VT 05671-0001
(802) 241-5224

3a. TITLE OF THE PROGRAM

SMART FY 10 Support for Adam Walsh Act Implementation Grant Program

3b. POMS CODE (SEE INSTRUCTIONS
ON REVERSE)

4. TITLE OF PROJECT

Vermont's FY 2010 Support for Adam Walsh Act Implementation Grant Program

5. NAME & ADDRESS OF GRANTEE

Vermont Department of Public Safety
103 South Main Street
Waterbury, VT 05671-0001

6. NAME & ADDRESS OF SUBGRANTEE

7. PROGRAM PERIOD

FROM: 10/01/2010 TO: 03/31/2012

8. BUDGET PERIOD

FROM: 10/01/2010 TO: 03/31/2012

9. AMOUNT OF AWARD

\$ 150,000

10. DATE OF AWARD

09/15/2010

11. SECOND YEAR'S BUDGET

12. SECOND YEAR'S BUDGET AMOUNT

13. THIRD YEAR'S BUDGET PERIOD

14. THIRD YEAR'S BUDGET AMOUNT

15. SUMMARY DESCRIPTION OF PROJECT (See instruction on reverse)

The Adam Walsh Child Protection and Safety Act of 2006 (AWA) (Pub. L. 109-248, 42 U.S.C. § 16901, et seq) authorizes the Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (SMART) to administer sex offender management grants. These grants assist state, local and tribal jurisdictions in developing and/or enhancing sex offender registration and notification programs that support substantial implementation of Title I of the AWA, known as the Sex Offender Registration and Notification Act (SORNA). SORNA was enacted to protect the public from convicted sex offenders and offenders against children by establishing a comprehensive national system for the registration of those offenders.

The primary goal of the Vermont Criminal Information Center (VCIC) is to obtain funding to replace the current Vermont Sex Offender Registry (SOR) database with a new SOR database that contains functionality or is at least leveraged to ensure that there would be functionality for requirements under SORNA. In order to achieve this, VCIC will obtain a system from a vendor that has experience in this type of software development that can exhibit a proven track record for both

system functionality and support, that contains data fields and data elements that are at a minimum equal to the current system, recognizes and is capable of full SORNA requirement implementation, can minimize manpower expenditures, and interface or at a minimum provide/receive data to/from disparate systems and will be XML compliant.

ca/ncf