



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: James Reardon, Commissioner of Finance & Management
From: Nathan Lavery, Fiscal Analyst
Date: April 9, 2010
Subject: JFO #2434, #2435, #2436, #2437

No Joint Fiscal Committee member has requested that the following items be held for review:

JFO #2434 — \$10,466 grant from the University of Vermont to Agriculture, Food & Markets. These funds will be used to provide oversight of the voluntary Johne's disease program, review risk assessment and management plans, and process invoices for participating veterinarians.
[JFO received 3/4/10]

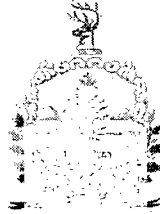
JFO #2435 — \$40,000 donation from the Watershed Center (Bristol, Vermont) to the Department of Fish & Wildlife. This funding represents 25% of the of the purchase price for a conservation easement in the Town of Bristol.
[JFO received 3/09/10]

JFO #2436 — \$951,000 grant from the U.S. Department of Labor to the Department of Disabilities, Aging and Independent Living. This grant will be used to further develop a statewide employment-focused Neuro-Resource Facilitation (NRF) System for disabled veterans with Traumatic Brain Injury in Vermont. **This grant includes a request for two (2) limited service positions.**
[JFO received 3/09/10]

JFO #2437 — \$293,998 grant from the U.S. Department of Health & Human Services to the Office of Vermont Health Access. This grant will be used to support the development of a State Medicaid Health Information Technology Plan (SMHP). **The establishment of two (2) limited service positions are associated with this request.** [JFO received 3/09/10]

The Governor's approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Roger Allbee, Secretary
Wayne Laroche, Commissioner
Joan Senecal, Commissioner
Susan Besio, Director



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: March 9, 2010
Subject: Grant Requests

Enclosed please find five (5) requests that the Joint Fiscal Office has received from the administration. These requests include the establishment of six (6) limited service positions and the retention of two existing positions.

JFO #2433 — \$3,999,923 grant from the U.S. Department of Labor to the Vermont Department of Labor. These funds will be used to study the demand for Green Jobs in Vermont and the northeast. Vermont will act as the lead administrative state for this collaboration with Massachusetts, Connecticut, Maine, New York, New Hampshire, and the District of Columbia. Vermont's share of this award is approximately \$530,000. **The establishment of two (2) limited service positions are associated with this request, as is the retention of two existing positions.** This grant is awarded under the American Recovery and Reinvestment Act and **expedited review of this item has been requested.** Joint Fiscal Committee members will be contacted within two weeks with a request to waive the statutory review period and accept this item.

[JFO received 3/4/10]

JFO #2434 — \$10,466 grant from the University of Vermont to Agriculture, Food & Markets. These funds will be used to provide oversight of the voluntary Johne's disease program, review risk assessment and management plans, and process invoices for participating veterinarians.

[JFO received 3/4/10]

JFO #2435 — \$40,000 donation from the Watershed Center (Bristol, Vermont) to the Department of Fish & Wildlife. This funding represents 25% of the of the purchase price for a conservation easement in the Town of Bristol.

[JFO received 3/09/10]

JFO #2436 — \$951,000 grant from the U.S. Department of Labor to the Department of Disabilities, Aging and Independent Living. This grant will be used to further develop a statewide employment-focused Neuro-Resource Facilitation (NRF) System for disabled veterans with Traumatic Brain Injury in Vermont. **This grant includes a request for two limited service positions.**

[JFO received 3/09/10]

JFO #2437 — \$293,998 grant from the U.S. Department of Health & Human Services to the Office of Vermont Health Access. This grant will be used to support the development of a State

Medicaid Health Information Technology Plan (SMHP). **The establishment of two (2) limited service positions are associated with this request.** This grant is awarded under the American Recovery and Reinvestment Act and **expedited review of this item has been requested.** Joint Fiscal Committee members will be contacted within two weeks with a request to waive the statutory review period and accept this item.

[JFO received 3/09/10]

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by March 23 we will assume that you agree to consider as final the Governor's acceptance of these requests.

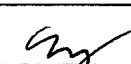

cc: James Reardon, Commissioner
Patricia Moulton Powden, Commissioner
Roger Allbee, Secretary
Wayne Laroche, Commissioner
Joan Senecal, Commissioner
Susan Besio, Director

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

Agency of Administration

**STATE OF VERMONT
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary:		This grant from UVM is a subgrant of a portion of a Grant to UVM from the Walker Milk Fund. The grant from UVM is for work related to the voluntary John's disease program that will be done by the Agency of Agriculture, Food and Markets.			
Date:		2/22/2010			
Department:		Agency of Agriculture Food and Markets			
Legal Title of Grant:		John's Disease Educaiton and Risk Assessment through the Vermont Cattle Health Improvement Program			
Federal Catalog #:		NA			
Grant/Donor Name and Address:		University of Vermont, Department of Agriculture and Life Sciences, 340 Waterman Building, Burlington, VT 05405			
Grant Period:		From:	To:		
		1/1/2010	12/31/2010		
Grant/Donation		\$10,466			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$3,485	\$6,981	\$	\$10,466	
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:					
Department of Finance & Management					(Initial)
Secretary of Administration					(Initial)
Sent To Joint Fiscal Office				3/3/2010	Date 3/3/2010

RECEIVED
 MAR 04 2010
JOINT FISCAL OFFICE

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:	Agency of Agriculture, Food & Markets			
2. Department:				
3. Program:	Vermont Cattle Improvement Program			
4. Legal Title of Grant:	Johne's Disease Education and Risk Assessment through the Vermont Cattle Health Improvement Program			
5. Federal Catalog #:				
6. Grant/Donor Name and Address:	University of Vermont Department of Agriculture & Life Science 340 Waterman Building Burlington, VT 05405			
7. Grant Period:	From:	1/1/2010	To:	12/31/2010
8. Purpose of Grant:	The purpose of this grant is to provide oversight of the voluntary Johne's disease program, review all risk assessment and management plans submitted by certified veterinarians, process invoices for reimbursement of veterinarian's time and laboratory testing fees.			
9. Impact on existing program if grant is not Accepted:	None.			
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2010	FY 2011	FY	
Personal Services	\$1,340	\$2,688	\$	
Operating Expenses	\$2,145	\$4,293	\$	
Grants	\$	\$	\$	
Total	\$3,485	\$6,981	\$	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$	\$	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source University of Vermont)	\$3,485	\$6,981	\$	
Total	\$3,485	\$6,981	\$	
Appropriation No:	2200021000	Amount:	\$10,466	
	Fund 21889		\$	

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

	Program 26869		\$
			\$
			\$
			\$
			\$
		Total	\$

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: _____ (initial)

12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-IPN (if applicable):

Signature: *Diane Bottifield* Date: *2/8/10*
 Title: *Deputy Secretary For Roger Allbee*
 Signature: _____ Date: _____
 Title: _____

14. ACTION BY GOVERNOR

Check One Box: Accepted *[Signature]* Date: *3/3/10*
 Rejected (Governor's signature) Date: _____

15. SECRETARY OF ADMINISTRATION

Check One Box: Request to JFO *[Signature]* Date: _____
 Information to JFO (Secretary's signature or designee) Date: *4/22/10*

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

- | | |
|---|---|
| <input type="checkbox"/> Request Memo | <input type="checkbox"/> Notice of Donation (if any) |
| <input type="checkbox"/> Dept. project approval (if applicable) | <input type="checkbox"/> Grant (Project) Timeline (if applicable) |
| <input type="checkbox"/> Notice of Award | <input type="checkbox"/> Request for Extension (if applicable) |
| <input type="checkbox"/> Grant Agreement | <input type="checkbox"/> Form AA-1PN attached (if applicable) |
| <input type="checkbox"/> Grant Budget | |

End Form AA-1

Research Subaward Agreement

Institution/Organization ("Prime Recipient")
 Name: The University of Vermont and State Agricultural College
 Prime Award No.: 24238
 Awarding Agency:
Bank of America

Institution/Organization ("Subrecipient")
 Name: Vermont Agency of Agriculture, Food & Markets
 Subaward No.: 24238 CFDA #: N/A
 Amount Funded This Action: \$10,466.00 Est. Total (if incrementally funded): \$10,466.00

Subaward Period of Performance:
 Budget Period: From: 1/1/2010 To: 12/31/2010

Estimated Project Period (if incrementally funded):
 From: 1/1/2010 To: 12/31/2010

Project Title:
Vermont Cattle Health Improvement Program

Reporting Requirements (Check here if applicable: See Attachment 4) ARRA Funds (Attachment 4A)

Terms & Conditions

- 1) Prime Recipient hereby awards a cost reimbursable subaward, as described above, to Subrecipient. The statement of work and budget for this subaward are (check one): As specified in Subrecipient's proposal dated _____; or as shown in Attachment 5. In its performance of the subaward work, Subrecipient shall be an independent entity and not an employee or agent of Prime Recipient.
- 2) Prime Recipient shall reimburse Subrecipient not more often than monthly for allowable costs. All invoices shall be submitted using Subrecipient's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), subaward number, and certification as to truth and accuracy of invoice. *Invoices that do not reference Prime Recipient's Subaward Number shall be returned to Subrecipient.* Invoices and questions concerning invoice receipt or payments should be directed to the appropriate party's Financial Contact as shown in Attachments 3A & 3B.
- 3) A final statement of cumulative costs incurred, including cost sharing, marked "FINAL" must be submitted to Prime Recipient's Financial Contact, as shown in Attachments 3A and 3B, NOT LATER THAN sixty (60) days after subaward end date. The final statement of costs shall constitute Subrecipient's final financial report.
- 4) All payments shall be considered provisional and subject to adjustment within the total estimated cost in the event such adjustment is necessary as a result of an adverse audit finding against the Subrecipient.
- 5) Matters concerning the technical performance of this subaward should be directed to the appropriate party's Principal Investigator, as shown in Attachments 3A and 3B. Technical reports are required as shown above, "Reporting Requirements".
- 6) Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this subaward agreement, and any changes requiring prior approval, should be directed to the appropriate party's Administrative Contact, as shown in Attachments 3A & 3B. Any such changes made to this subaward agreement require the written approval of each party's Authorized Official as shown in Attachments 3A & 3B.
- 7) Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, or director's, to the extent allowed by law.
- 8) Either party may terminate this subaward with thirty days written notice to the appropriate party's Administrative Contact as shown in Attachments 3A & 3B. Prime Recipient shall pay Subrecipient for termination costs as allowable under OMB Circular A-21 or A-122 or 45 CFR Part 74 Appendix E, "Principles for Determining Costs Applicable to Research and Development under Grants and Contracts with Hospitals" as applicable.
- 9) No-cost extensions require the approval of the Prime Recipient. Any requests for a no-cost extension should be addressed to and received by the Administrative Contact, as shown in Attachments 3A & 3B, not less than thirty (30) days prior to the desired effective date of the requested change.
- 10) The Subaward is subject to the terms and conditions of the Prime Award and other special terms and conditions, as identified in Attachment 2.
- 11) By signing below Subrecipient makes the certifications and assurances shown in Attachments 1 and 2. Subrecipient also assures that it will comply with applicable statutory and regulatory requirements specified in the Research Terms & Conditions Appendix C found at <http://www.nsf.gov/bfa/dias/policy/trc/appc.pdf>.

By an Authorized Official of Prime Recipient

 Date

By an Authorized Official of Subrecipient

 Date

**Attachment 1
Research Subaward Agreement
Certifications and Assurances**

By signing the Subaward Agreement, the authorized official of Subrecipient certifies, to the best of his/her knowledge and belief that:

Certification Regarding Lobbying

1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying", to the Prime Recipient.

3) The Subrecipient shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Debarment, Suspension, and Other Responsibility Matters

Subrecipient certifies by signing this Subaward Agreement that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency.

OMB Circular A-133 Assurance

Subrecipient assures Prime Recipient that it complies with A-133 and that it will notify Prime Recipient of completion of required audits and of any adverse findings which impact this subaward.

**AWARD
DOCUMENT**
Attachment 2

Bank of America
Merrill Lynch



Philanthropic Management
Institutional Retirement, Philanthropy & Investments

18 November 2009

Julia M. Smith, Ph.D.
University of Vermont
Dept. of Agriculture & Life Science
85 South Prospect St., 340 Waterman Building
Burlington, VT 05405

RECEIVED
Signed by PION
DEC 04 2009

RECEIVED
pen
NOV 25 2009

RE: The George Walker Milk Fund

OFFICE OF SPONSORED PROGRAMS OFFICE OF SPONSORED PROGRAMS

Dear Dr. Smith:

On behalf of the Trustees of the Walker Milk Fund, please accept our most sincere congratulations as you have been awarded a 2009 grant in support of your research work in collaboration with the Vermont Agency of Agriculture, Food & Markets on "John's disease education and risk assessment through the Vermont Cattle Health Improvement Program".

The grant check in the amount of \$27,755 is being mailed under separate cover and we would appreciate your signing and returning the enclosed copy of this letter to acknowledge both receipt of the check and your understanding that the grant funds may only be applied towards the research as detailed in your proposal.

The Trustees would appreciate receiving a one page expenditure report no later than November 1, 2010 for their records. Please address this letter to:

Deborah D. Pearce, VP
Cambridge Appleton Trust
45 Milk Street, Floor 9
Boston, MA 02109

The Trustees have directed me to tender most sincere congratulations and best wishes for your continued success.

Please accept our congratulations.

Sincerely yours,

David A. Maxwell
VP & Relationship Manager

Cc: Messrs. Stoddart, Wadsworth & Gadbois

12/3/09

Tel: 800.313.7643 • Fax: 617.434.5040

Bank of America, N.A., MA5-100-16-01
100 Federal Street, 16th Floor, Boston, MA 02110

Attachment 3A
Research Subaward Agreement

Subaward Number:
24238

Prime Recipient Contacts

Institution/Organization ("Prime Recipient")

Name: The University of Vermont and State Agricultural College

Address: 340 Waterman Building

City: Burlington

State: VT

ZipCode: 05405

Administrative Contact

Name: Julie Macy, Research Administrator, II

Address: Pre-Award Services, OSP, UVM

340 Waterman Building

City: Burlington

State: VT

ZipCode: 05405

Telephone: 802/656-1325

Fax: 802/656-1326

Email: julie.macy@uvm.edu

Principal Investigator

Name: Julia M. Smith, DVM, PhD

Address: Department of Animal Science, UVM

Terrill Hall, Room 311

City: Burlington

State: VT

ZipCode: 05405

Telephone: 802/656-4496

Fax: 802/656-8196

Email: julie.m.smith@uvm.edu

Financial Contact

Name: Jennifer Gagnon, Director

Address: Grant and Contract Administrative Services, UVM

223 Waterman Building

City: Burlington

State: VT

ZipCode: 05405

Telephone: 802/656-1435

Fax: 802/656-8604

Email: jennifer.gagnon@uvm.edu

Authorized Official

Name: Ruth Farrell, Associate Vice President for Research Administration

Address: Office of Sponsored Programs, UVM

340 Waterman Building

City: Burlington

State: VT

ZipCode: 05405

Telephone: 802/656-3360

Fax: 802/656-1326

Email: osp@uvm.edu

Attachment 3B
Research Subaward Agreement

Subaward Number:

24238

Subrecipient Contacts

Institution/Organization ("Subrecipient")

Name:

Address:

City:

State:

ZipCode + 4:

EIN No.:

Institution Type:

Reg. in CCR? Yes No

Performance Site Same Address as Above?

DUNS No.:

Congressional District:

Congressional District:

Yes No If No, complete Sect. C of Attachment 4A

Administrative Contact

Name:

Address:

City:

State:

ZipCode:

Telephone:

Fax:

Email:

Principal Investigator

Name:

Address:

City:

State:

ZipCode:

Telephone:

Fax:

Email:

Financial Contact

Name:

Address:

City:

State:

ZipCode:

Telephone:

Fax:

Email:

Authorized Official

Name:

Address:

City:

State:

ZipCode:

Telephone:

Fax:

Email:

**ATTACHMENT 4
SUBAWARD AGREEMENT**

Reporting Requirements

Subcontractor agrees to provide UVM with all documentation and reports necessary for UVM to complete, in a timely manner, all reports required by the funding agency (e.g., IRB approvals, patent or invention statements, expenditure reports, and progress reports).

Attachment 5



Food Safety and Consumer Protection Division
Kristin Haas, DVM, Director/State Veterinarian

116 State Street • Montpelier, Vermont 05620-2901 • (802) 828-3429 • (802) 828-5983 FAX

September 4, 2009

To: Ms. Ruth Farrell, Associate Vice President for Research administration, Office of Sponsored Programs, University of Vermont

Re: Subaward scope of work on Vermont Cattle Health Improvement Program (Walker Fund) proposal

Statement of work

The Project Director leading the Vermont Agency of Agriculture, Food & Markets subaward for the Vermont Cattle Health Improvement Program will be Assistant State Veterinarian, Michael Wood, DVM.

Dr. Wood is a co-project director of this grant funded project. He will work in collaboration with Dr. Julie Smith of the University of Vermont Department of Animal Science.

As the Vermont certified Designated Johne's Coordinator, Dr. Wood will provide oversight of the voluntary Johne's disease program as funded by this grant, will review all risk assessment and management plans submitted by certified veterinarians, process invoices for reimbursement of veterinarian's time and laboratory testing fees. Dr. Wood will also serve as chair of the project advisory committee, assist in review and distribution of educational materials, and provide documentation of project activities and financials for reporting to the funding organization through the University of Vermont. Dr. Wood will submit invoices against the subaward as directed by the University of Vermont Office of Grant and Contract Accounting.

The budget for the proposed subaward totals \$10,466 and has been allocated as follows.

Salary support for co-PD Wood	4,028
Veterinary reimbursement	5,000
Agency indirect (15.93%)	1,438

The anticipated project start and end dates are January 1 and December 31, 2010.







STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Representative Carolyn Partridge
From: Nathan Lavery, Fiscal Analyst
Date: March 9, 2010
Subject: JFO #2434

Representative Michael Obuchowski asked that I forward to you a copy of the enclosed grant materials and cover memo. He requests your observations regarding the enclosed item.

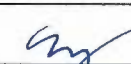

cc: Rep. Michael Obuchowski

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

Agency of Administration

**STATE OF VERMONT
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary:		This grant from UVM is a subgrant of a portion of a Grant to UVM from the Walker Milk Fund. The grant from UVM is for work related to the voluntary Johne's disease program that will be done by the Agency of Agriculture, Food and Markets.			
Date:		2/22/2010			
Department:		Agency of Agriculture Food and Markets			
Legal Title of Grant:		Johne's Disease Educaiton and Risk Assessment through the Vermont Cattle Health Improvement Program			
Federal Catalog #:		NA			
Grant/Donor Name and Address:		University of Vermont, Department of Agriculture and Life Sciences, 340 Waterman Building, Burlington, VT 05405			
Grant Period:		From:	To:		
		1/1/2010	12/31/2010		
Grant/Donation		\$10,466			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$3,485	\$6,981	\$	\$10,466	
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:					
Department of Finance & Management					(Initial)
Secretary of Administration					(Initial)
Sent To Joint Fiscal Office				3/3/2010	Date 3/3/2010

RECEIVED
 MAR 04 2010
JOINT FISCAL OFFICE



STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:	Agency of Agriculture, Food & Markets			
2. Department:				
3. Program:	Vermont Cattle Improvement Program			
4. Legal Title of Grant:	Johne's Disease Education and Risk Assessment through the Vermont Cattle Health Improvement Program			
5. Federal Catalog #:				
6. Grant/Donor Name and Address:	University of Vermont Department of Agriculture & Life Science 340 Waterman Building Burlington, VT 05405			
7. Grant Period:	From:	1/1/2010	To:	12/31/2010
8. Purpose of Grant:	The purpose of this grant is to provide oversight of the voluntary Johne's disease program, review all risk assessment and management plans submitted by certified veterinarians, process invoices for reimbursement of veterinarian's time and laboratory testing fees.			
9. Impact on existing program if grant is not Accepted:	None.			
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2010	FY 2011	FY	
Personal Services	\$1,340	\$2,688	\$	
Operating Expenses	\$2,145	\$4,293	\$	
Grants	\$	\$	\$	
Total	\$3,485	\$6,981	\$	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$	\$	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source University of Vermont)	\$3,485	\$6,981	\$	
Total	\$3,485	\$6,981	\$	
Appropriation No:	2200021000	Amount:	\$10,466	
	Fund 21889		\$	

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

	Program 26869		\$
			\$
			\$
			\$
			\$
		Total	\$

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: _____ (initial)

12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <i>Dianne Bottifield</i>	Date: <i>2/8/10</i>
	Title: <i>Deputy Secretary For Roger Albee</i>	
	Signature: _____	Date: _____
	Title: _____	

14. ACTION BY GOVERNOR

<input checked="" type="checkbox"/> Check One Box: Accepted	<i>[Signature]</i> (Governor's signature)	Date: <i>3/3/10</i>
<input type="checkbox"/> Rejected		

15. SECRETARY OF ADMINISTRATION

<input checked="" type="checkbox"/> Check One Box: Request to JFO	<i>[Signature]</i> (Secretary's signature or designee)	Date: <i>4/22/10</i>
<input type="checkbox"/> Information to JFO		

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

<input type="checkbox"/> Request Memo	<input type="checkbox"/> Notice of Donation (if any)
<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input type="checkbox"/> Notice of Award	<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Grant Agreement	<input type="checkbox"/> Form AA-1PN attached (if applicable)
<input type="checkbox"/> Grant Budget	

End Form AA-1

Research Subaward Agreement

Institution/Organization ("Prime Recipient")

Name: The University of Vermont and State Agricultural College

Prime Award No.: 24238

Awarding Agency:

Bank of America

Institution/Organization ("Subrecipient")

Name: Vermont Agency of Agriculture, Food & Markets

Subaward No.: 24238

CFDA #: N/A

Amount Funded This Action:

\$10,466.00

Est. Total (if incrementally funded)

\$10,466.00

Subaward Period of Performance:

Budget Period: From:

1/1/2010

To:

12/31/2010

Estimated Project Period (if incrementally funded):

From:

1/1/2010

To:

12/31/2010

Project Title:

Vermont Cattle Health Improvement Program

Reporting Requirements (Check here if applicable: See Attachment 4) ARRA Funds (Attachment 4A)

Terms & Conditions

- 1) Prime Recipient hereby awards a cost reimbursable subaward, as described above, to Subrecipient. The statement of work and budget for this subaward are (check one): As specified in Subrecipient's proposal dated _____; or as shown in Attachment 5. In its performance of the subaward work, Subrecipient shall be an independent entity and not an employee or agent of Prime Recipient.
- 2) Prime Recipient shall reimburse Subrecipient not more often than monthly for allowable costs. All invoices shall be submitted using Subrecipient's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), subaward number, and certification as to truth and accuracy of invoice. *Invoices that do not reference Prime Recipient's Subaward Number shall be returned to Subrecipient.* Invoices and questions concerning invoice receipt or payments should be directed to the appropriate party's Financial Contact as shown in Attachments 3A & 3B.
- 3) A final statement of cumulative costs incurred, including cost sharing, marked "FINAL" must be submitted to Prime Recipient's Financial Contact, as shown in Attachments 3A and 3B, NOT LATER THAN sixty (60) days after subaward end date. The final statement of costs shall constitute Subrecipient's final financial report.
- 4) All payments shall be considered provisional and subject to adjustment within the total estimated cost in the event such adjustment is necessary as a result of an adverse audit finding against the Subrecipient.
- 5) Matters concerning the technical performance of this subaward should be directed to the appropriate party's Principal Investigator, as shown in Attachments 3A and 3B. Technical reports are required as shown above, "Reporting Requirements".
- 6) Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this subaward agreement, and any changes requiring prior approval, should be directed to the appropriate party's Administrative Contact, as shown in Attachments 3A & 3B. Any such changes made to this subaward agreement require the written approval of each party's Authorized Official as shown in Attachments 3A & 3B.
- 7) Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, or director's, to the extent allowed by law.
- 8) Either party may terminate this subaward with thirty days written notice to the appropriate party's Administrative Contact as shown in Attachments 3A & 3B. Prime Recipient shall pay Subrecipient for termination costs as allowable under OMB Circular A-21 or A-122 or 45 CFR Part 74 Appendix E, "Principles for Determining Costs Applicable to Research and Development under Grants and Contracts with Hospitals" as applicable.
- 9) No-cost extensions require the approval of the Prime Recipient. Any requests for a no-cost extension should be addressed to and received by the Administrative Contact, as shown in Attachments 3A & 3B, not less than thirty (30) days prior to the desired effective date of the requested change.
- 10) The Subaward is subject to the terms and conditions of the Prime Award and other special terms and conditions, as identified in Attachment 2.
- 11) By signing below Subrecipient makes the certifications and assurances shown in Attachments 1 and 2. Subrecipient also assures that it will comply with applicable statutory and regulatory requirements specified in the Research Terms & Conditions Appendix C found at <http://www.nsf.gov/bfa/dias/policy/rtc/appc.pdf>.

By an Authorized Official of Prime Recipient

By an Authorized Official of Subrecipient

Date

Date

Attachment 1
Research Subaward Agreement
Certifications and Assurances

By signing the Subaward Agreement, the authorized official of Subrecipient certifies, to the best of his/her knowledge and belief that:

Certification Regarding Lobbying

1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying", to the Prime Recipient.

3) The Subrecipient shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Debarment, Suspension, and Other Responsibility Matters

Subrecipient certifies by signing this Subaward Agreement that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency.

OMB Circular A-133 Assurance

Subrecipient assures Prime Recipient that it complies with A-133 and that it will notify Prime Recipient of completion of required audits and of any adverse findings which impact this subaward.

AWARD
DOCUMENT
Attachment 2

Bank of America
Merrill Lynch



Philanthropic Management
Institutional Retirement, Philanthropy & Investments

18 November 2009

Julia M. Smith, Ph.D.
University of Vermont
Dept. of Agriculture & Life Science
85 South Prospect St., 340 Waterman Building
Burlington, VT 05405

RECEIVED
Signed by Pion
DEC 04 2009

RECEIVED
Pen
NOV 25 2009

RE: The George Walker Milk Fund

OFFICE OF SPONSORED PROGRAMS OFFICE OF SPONSORED PROGRAMS

Dear Dr. Smith:

On behalf of the Trustees of the Walker Milk Fund, please accept our most sincere congratulations as you have been awarded a 2009 grant in support of your research work in collaboration with the Vermont Agency of Agriculture, Food & Markets on "John's disease education and risk assessment through the Vermont Cattle Health Improvement Program".

The grant check in the amount of \$27,755 is being mailed under separate cover and we would appreciate your signing and returning the enclosed copy of this letter to acknowledge both receipt of the check and your understanding that the grant funds may only be applied towards the research as detailed in your proposal.

The Trustees would appreciate receiving a one page expenditure report no later than November 1, 2010 for their records. Please address this letter to:

Deborah D. Pearce, VP
Cambridge Appleton Trust
45 Milk Street, Floor 9
Boston, MA 02109

The Trustees have directed me to tender most sincere congratulations and best wishes for your continued success.

Please accept our congratulations.

Sincerely yours,

David A. Maxwell
VP & Relationship Manager

Cc: Messrs. Stoddart, Wadsworth & Gadbois

12/3/09

Tel: 800.313.7643 • Fax: 617.434.5040

Bank of America, N.A., MA5-100-16-01
100 Federal Street, 16th Floor, Boston, MA 02110

Attachment 3A
Research Subaward Agreement

Subaward Number:
24238

Prime Recipient Contacts

Institution/Organization ("Prime Recipient")

Name: The University of Vermont and State Agricultural College

Address: 340 Waterman Building

City: Burlington

State: VT

ZipCode: 05405

Administrative Contact

Name: Julie Macy, Research Administrator, II

Address: Pre-Award Services, OSP, UVM

340 Waterman Building

City: Burlington

State: VT

ZipCode: 05405

Telephone: 802/656-1325

Fax: 802/656-1326

Email: julie.macy@uvm.edu

Principal Investigator

Name: Julia M. Smith, DVM, PhD

Address: Department of Animal Science, UVM

Terrill Hall, Room 311

City: Burlington

State: VT

ZipCode: 05405

Telephone: 802/656-4496

Fax: 802/656-8196

Email: julie.m.smith@uvm.edu

Financial Contact

Name: Jennifer Gagnon, Director

Address: Grant and Contract Administrative Services, UVM

223 Waterman Building

City: Burlington

State: VT

ZipCode: 05405

Telephone: 802/656-1435

Fax: 802/656-8604

Email: jennifer.gagnon@uvm.edu

Authorized Official

Name: Ruth Farrell, Associate Vice President for Research Administration

Address: Office of Sponsored Programs, UVM

340 Waterman Building

City: Burlington

State: VT

ZipCode: 05405

Telephone: 802/656-3360

Fax: 802/656-1326

Email: ospuvm@uvm.edu

Attachment 3B
Research Subaward Agreement

Subaward Number:

24238

Subrecipient Contacts

Institution/Organization ("Subrecipient")

Name:

Address:

City:

State:

ZipCode + 4:

EIN No.:

Institution Type:

Reg. in CCR? Yes No

Performance Site Same Address as Above?

DUNS No.:

Congressional District:

Congressional District:

Yes No If No, complete Sect. C of Attachment 4A

Administrative Contact

Name:

Address:

City:

State:

ZipCode:

Telephone:

Fax:

Email:

Principal Investigator

Name:

Address:

City:

State:

ZipCode:

Telephone:

Fax:

Email:

Financial Contact

Name:

Address:

City:

State:

ZipCode:

Telephone:

Fax:

Email:

Authorized Official

Name:

Address:

City:

State:

ZipCode:

Telephone:

Fax:

Email:

**ATTACHMENT 4
SUBAWARD AGREEMENT**

Reporting Requirements

Subcontractor agrees to provide UVM with all documentation and reports necessary for UVM to complete, in a timely manner, all reports required by the funding agency (e.g., IRB approvals, patent or invention statements, expenditure reports, and progress reports).

Attachment 5



Food Safety and Consumer Protection Division
Kristin Haas, DVM, Director/State Veterinarian

116 State Street • Montpelier, Vermont 05620-2901 • (802) 828-3429 • (802) 828-5983 FAX

September 4, 2009

To: Ms. Ruth Farrell, Associate Vice President for Research Administration, Office of Sponsored Programs, University of Vermont

Re: Subaward scope of work on Vermont Cattle Health Improvement Program (Walker Fund) proposal

Statement of work

The Project Director leading the Vermont Agency of Agriculture, Food & Markets subaward for the Vermont Cattle Health Improvement Program will be Assistant State Veterinarian, Michael Wood, DVM.

Dr. Wood is a co-project director of this grant funded project. He will work in collaboration with Dr. Julie Smith of the University of Vermont Department of Animal Science.

As the Vermont certified Designated Johne's Coordinator, Dr. Wood will provide oversight of the voluntary Johne's disease program as funded by this grant, will review all risk assessment and management plans submitted by certified veterinarians, process invoices for reimbursement of veterinarian's time and laboratory testing fees. Dr. Wood will also serve as chair of the project advisory committee, assist in review and distribution of educational materials, and provide documentation of project activities and financials for reporting to the funding organization through the University of Vermont. Dr. Wood will submit invoices against the subaward as directed by the University of Vermont Office of Grant and Contract Accounting.

The budget for the proposed subaward totals \$10,466 and has been allocated as follows.

Salary support for co-PD Wood	4,028
Veterinary reimbursement	5,000
Agency indirect (15.93%)	1,438

The anticipated project start and end dates are January 1 and December 31, 2010.

