



Mailing Address
1 Baldwin Street
Drawer 33
Montpelier, Vermont 05633-5701

Tel.: (802) 828-2295
Fax: (802) 828-2483

STATE OF VERMONT
JOINT FISCAL COMMITTEE
1 Baldwin Street
Montpelier, Vermont 05633-5701

MEMORANDUM

To: James Reardon, Commissioner of Finance & Management

From: Rebecca Buck, Staff Associate *RB*

Date: August 16, 2007

Subject: Status of Requests

No Joint Fiscal Committee member has requested that the following items be held for review:

JFO #2293 – \$400,000 grant from the U.S. Department of Justice to the Vermont Department of Corrections. The grant will be spent over a period of two years. The FY 2008 request is for \$200,000. The funds will be used to improve the department's capacity to provide notification to victims of crime through the creation of a Statewide Automated Victim Information and Notification System (SAVIN.)
[JFO received 07/17/07]

JFO #2294 - \$42,000 grant from the U.S. Department of Agriculture, Natural Resources Conservation Service, to the Vermont Agency of Agriculture, Food and Markets. The funds will be used to cover half the cost of a civil or agricultural engineer position to be located in the natural Resources Conservation Service St. Albans field office. The cost of the other half of the position will be paid by the Agency of Agriculture, Food and Markets. The position will focus on completing the design work necessary to advance water quality projects that have been awarded state and federal dollars with the objective of reducing the non-point source discharge in the Missisquoi Bay watershed.
[JFO received 07/17/07]

JFO #2295 - \$15,000 grant from the University of Vermont to the Vermont Agency of Agriculture, Food and Markets. The University is the grantee of a federal grant from the U.S. Department of Agriculture. The State of Vermont is the sub grantee. The funds will be used to pay 20% of the cost of an Ombudsman for the Vermont Dairy Task Force. The remaining 80% of the cost will be paid by the Vermont Agency of Agriculture, Food and Markets.
[JFO received 07/17/07]

In accordance with 32 V.S.A. §5, the requisite 30 days having elapsed since these items were submitted to the Joint Fiscal Committee, the Governor's approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of these actions.

cc: Linda Morse
Cynthia LaWare
Robert Hofmann
Roger Albee
Molly Paulger
Jenny Audet

From: "Morrison, Mary" <Mary.Morrison@state.vt.us>
To: "Michael Obuchowski" <OBIE@leg.state.vt.us>
Date: 8/1/2007 9:14 AM
Subject: Questions from Rep. Michael Obuchowski regarding JFO #2294 and JFO #2295
Attachments: ole0.bmp; ole1.bmp

CC: "Rebecca Buck" <rbuck@leg.state.vt.us>
Here are the responses to the questions regarding our requests to accept grants. If you need more information, please let me know.

JFO #2294

1) What specifically is the source of the state match?

The source of this match is General Fund dollars which are in the FY2008 budget for this position.

JFO #2295

1) Is there a state match required for the Dairy Ombudsman Program?

No state match is required.

2) Please provide specific activity/budget details as to what this \$15,000 grant will support. If you could provide additional information or data for ombudsman activities and how this grant fits into the overall budget for those activities that would be helpful.

Budget from Grant - \$15,000

\$10,000 Personal Services - salary

\$5,000 Operating Expensive - Travel \$3,000 and Materials - \$2,000

Overall Budget for Ombudsman Program - 30% time

Salary - \$16,386.29

Travel - \$3,395.00 - 70 farm visits and meetings - average 100 miles
@\$0.485/mile

Materials - \$2,500.00

Total - \$22,281.29

Article as provided to Legislators on 2006 Ombudsman Program

Vermont Dairy Task Force
Contact Diane Bothfeld 828-3835
Article Number 4 of a series for 2007.

Dairy Ombudsman Program of the Vermont Dairy Task Force - 2006 Results

The Dairy Ombudsman program was an initiative of the Vermont Dairy Task Force in 2006 that will continue in 2007 to assist dairy farmers in information collection, training opportunities and location of consults to allow Vermont dairy farmers to improve and maintain their dairy

farming operations. The Dairy Ombudsman Program worked with dairy farmers with good management skills and solid financial dairy operations who wanted more advanced information, training opportunities and access to consultants.

The strict definition of an ombudsman is an official who is designated to assist you to overcome the delay, injustice or impersonal delivery of services.. The Dairy Ombudsman acted in this capacity as well as a one stop source for information and resources to dairy farmers. The Dairy Ombudsman program provided information, guidance and assistance to Vermont dairy farmers in 2006. Contacts were made with over 600 dairy farmers through 21 on-farm meetings, 55 group meeting and 114 phone consultations. Topics included the resolution of disputes over environmental regulations, dispute with cooperatives, information on renewable energy, fuel efficiency, organic dairy transition, on-farm processing, farm expansion and assistance with financial difficulties. The Ombudsman program acted as the one stop shop for dairy farmers looking for information and contacts within the dairy industry. There are many success stories but three will be mentioned here.

The number of requests for information on renewable energy lead to the collaborate effort of Ben & Jerry's, Vermont Environmental Consortium and the Dairy Task Force publishing a Farm Energy Handbook. This handbook is a self assessment guide for dairy farmers to determine what renewable energy types might be viable for their farm operations. This handbook was funded by a USDA Risk Management Grant and has been distributed to all active dairy farmers in Vermont. In 2007, the book will be transferred to disk and web format and made available to all interested parties. Also in 2007, a series of workshops on renewable energy will be held around the state to further address dairy farmer's questions on renewable energy production on the farm. These workshops were held in Sheldon, Middlebury and Springfield, Vermont. Attendance for these meetings has been good with 35 in Middlebury, 20 in Springfield and 10 in Sheldon on February 14 during the blizzard. The meeting in Newport was cancelled due to weather but is rescheduled for March 14 and farmers from Orleans and Franklin county are encouraged to attend.

<<Picture (Device Independent Bitmap)>>

A second example of a success story for the Dairy Ombudsman Program is the expansion of a dairy farm in southern Vermont. This farm produces cheese and sends milk to a dairy cooperative. Cheese sales have grown and to meet cheese demand and continue to ship a set amount of milk to the cooperative, the farm needed to milk more cows. The Ombudsman brought all parties needed to discuss the expansion of the farm (NRCS, VACC Lender, VT Land Trust, builder and farmer) to the table so all information could be shared between parties in the most efficient manner possible. Plans were finalized, financing was secured and the building of the barn began in October of 2006. The barn has been completed and the cows and heifers moved in at the end of the year. This barn has been a great addition to this farm families' life. "We got heifers and dry cows into part of the barn yesterday!!!! It looks GREAT! They LOVE it! I can't tell you what this will do for our lives!", stated the farm owner. The coordination of resources allowed this project to move forward in an expedited manner.

<<Picture (Device Independent Bitmap)>>

The final example is the resolution of a dispute over environmental regulations for a dairy farmer. This farmer had worked for compliance with environmental regulations but difficulties arose when personnel changes occurred and paperwork was lost. This issue was resolved by bringing all parties to the table with the farmer by the Dairy Ombudsman to resolve the issue. The parties at the table included the Deputy Secretary of Agriculture, The State head of USDA Farm Services Agency, the State head of the Natural Resource Conservation Service (NRCS), a representative from the US Army Corps of Engineers, the local personnel from NRCS and the farm family. All parties worked to resolve the issue and the dairy ombudsman worked to bring the group together, to write up the discussion with designated activities and due dates noted and to follow-up that these activities took place to resolved the issue to the satisfaction of all parties. Environmental regulations are important for all dairy farmers to follow and understand- the action of bringing all parties to the table illuminated issues for each party but allowed for a resolution that was acceptable to comply with the regulations and end the dispute.

The Dairy Ombudsman program continues in 2007. The Dairy Ombudsman for Vermont is Diane Bothfeld at the Vermont Agency of Agriculture. She welcomes calls and contacts from all dairy farmers on any subject at 828-3835 or Diane.Bothfeld@state.vt.us.

Plan of Work for 2007 - 2008

1. Provide dairy farmers with information on issues affecting their dairy farming operations.
2. Maintain and grow database of contacts
 - a. Survey UVM Extension, UVM College of Agriculture and Life Sciences, Farm Bureau, Agency of Agriculture, Agency Of Natural Resource, NRCS and Farm Services Agency for contact and information on dairy topics
 - b. Attend regional and national dairy meetings to obtain newest and best information
3. Record contacts and requests for information by phone and website
 - a. Coordinate and facilitate in - state training and visits by specific topic area experts
 - b. Visit farms to provide up to date information and assistance
4. What would be the result if the JFC did not approve this grant?
What wouldn't be done?

Loss of these grant funds would limit the number of on-farm contacts that could be made with dairy farmers in Vermont due to less travel funds. Materials funding would be limited and fewer items could be obtained to assist dairy farmers with areas of interest. The offset of state salary would be decreased.

Mary Morrison





From: Rebecca Buck
To: mary.morrison@state.vt.us
Subject: Questions from Rep. Michael Obuchowski regarding JFO #2294 and JFO #2295

Good afternoon Mary:

Representative Michael Obuchowski has the following questions regarding JFO #2294 and JFO #2295:

With regard to **JFO #2294** (\$42,000 environmental quality incentive program grant from US Dept. of Ag):

1) What specifically is the source of the state match?

With regard to **JFO #2295** (\$15,000 grant to cover 20% of dairy Ombudsman activities):

1) Rep. Obuchowski has noted this submission only references the \$15,000 grant request (and the item above --JFO #2294--refers to state match). Is there state match involved in the support of the ombudsman activities? If so, how much and what is the source?

2) Please provide specific activity/budget details as to what this \$15,000 grant will support. If you could provide additional information or data for ombudsman activities and how this grant fits into the overall budget for those activities that would be helpful.

3) What would be the result if JFC did not approve this grant? What wouldn't be done?

Please cc me on your response to Representative Obuchowski. Thanks Mary. --Becky

CC: Klein, Steve; Obuchowski, Michael



Mailing Address:
1 Baldwin Street
Drawer 33
Montpelier, Vermont 05633-5701

Tel.: (802) 828-2295
Fax: (802) 828-2483

STATE OF VERMONT
JOINT FISCAL COMMITTEE
1 Baldwin Street
Montpelier, Vermont 05633-5701

MEMORANDUM

To: Joint Fiscal Committee Members

From: Maria Belliveau, Associate Fiscal Officer *MB*

Date: July 17, 2007

Subject: JFO #2293, 2294 and 2295

Enclosed please find three (3) grant requests that the Joint Fiscal Office recently received from the Administration:

JFO #2293 - \$400,000 grant from the U.S. Department of Justice to the Vermont Department of Corrections. The grant will be spent over a period of two years. The FY 2008 request is for \$200,000. The funds will be used to improve the department's capacity to provide notification to victims of crime through the creation of a Statewide Automated Victim Information and Notification System (SAVIN.)

[JFO received 07/17/07]

JFO #2294 - \$42,000 grant from the U.S. Department of Agriculture, Natural Resources Conservation Service, to the Vermont Agency of Agriculture, Food and Markets. The funds will be used to cover half the cost of a civil or agricultural engineer position to be located in the natural Resources Conservation Service St. Albans field office. The cost of the other half of the position will be paid by the Agency of Agriculture, Food and Markets. The position will focus on completing the design work necessary to advance water quality projects that have been awarded state and federal dollars with the objective of reducing the non-point source discharge in the Missisquoi Bay watershed.

[JFO received 07/17/07]

JFO #2295 - \$15,000 grant from the University of Vermont to the Vermont Agency of Agriculture, Food and Markets. The University is the grantee of a federal grant from the U.S. Department of Agriculture. The State of Vermont is the sub grantee. The funds will be used to pay 20% of the cost of an Ombudsman for the Vermont Dairy Task Force. The remaining 80% of the cost will be paid by the Vermont Agency of Agriculture, Food and markets.

[JFO received 07/17/07]

The Joint Fiscal Office has reviewed these submissions and has determined that all appropriate forms bearing the necessary approvals are in order.

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Rebecca Buck at 802/828-5969; rbuck@leg.state.vt.us or Stephen Klein at 802/828-5769; sklein@leg.state.vt.us) if you would like this item held for legislative review. Unless we hear from you to the contrary by **August 2nd** we will assume that you agree to consider as final the Governor's acceptance of this request.

cc: James Reardon, Commissioner
Linda Morse, Administrative Assistant
Cynthia LaWare, Secretary
Robert Hofmann, Commissioner
Roger Albee, Secretary

JFO #2295



STATE OF VERMONT
GRANT ACCEPTANCE FORM

GRANT SUMMARY: This partnership grant is for covering 20% of the cost of the Ombudsman for the Vermont Dairy Task Force with the remainder of the Ombudsman's salary to be covered by the Vermont Agency of Agriculture, Food and Markets. The Ombudsman will work on assessing industry education needs, conduct training and workshops on areas of production, marketing financial and environmental risks faced by Vermont dairy producers.

FEDERAL CATALOG No.: CFDA 10.457 USDA Contract # 06-IE-0831-0111-E (UVM is grantee of Federal Grant and the State of Vermont is the subgrantee).

GRANTOR / DONOR: University of Vermont
Grant and Contract Accounting
223 Waterman Building
Burlington Vermont 05405

DATE: 7/3/07

DEPARTMENT: Agriculture, Food and Markets

GRANT / DONATION: This grant will help pay for the Vermont Dairy Task Force Ombudsman's activities in designing and delivering educational services to Vermont dairy producers.

AMOUNT / VALUE: \$15,000.00

POSITIONS REQUESTED: None

GRANT PERIOD: 10-1-06 to 9-30-07

COMMENTS: The classified position already exists. This grant helps cover the cost of the position.

DEPARTMENT OF FINANCE AND MANAGEMENT:
SECRETARY OF ADMINISTRATION
SENT TO JOINT FISCAL OFFICE:

(INITIAL) [Signature] 7/10/07
(INITIAL) [Signature] 7-11-07
DATE: 7/16/07

STATE OF VERMONT
REQUEST FOR GRANT ACCEPTANCE
 (use additional sheets as needed)

FORM AA-1
 (Rev. 9-90)

1. Agency:
2. Department: **Vermont Agency of Agriculture, Food & Markets**
3. Program: 2006-2007 Commodity Partnerships for Risk Management Education
4. Legal Title of Grant Vermont's Dairy Industry's Revitalization
5. Federal Catalog No.: 10.457 USDA Contract #06-IE-0831-0111-E
6. Grantor and Office Address: The University of Vermont
 Grant & Contract Accounting
 223 Waterman Building
 Burlington, VT 05405

7. Grant Period: From: 10-1-06 To: 9-30-07

Purpose of Grant: (Attach additional Sheets if needed)

The purpose of this partnership agreement with the Vermont Dairy Task Force is to assess industry education needs, identify priority areas and topics, determine educational goals and methods, deliver training and workshops, and evaluate program results. Education efforts will concentrate on areas of production, marketing, financial and environmental risk to Vermont dairy producers.

9. Impact on Existing Programs if Grant is not Accepted:

Completion of initiatives related to commodity group education will not be completed

10. Budget Information:	(1st State FY)	(2nd State FY)	(3rd State FY)
	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>
EXPENDITURES:			
Personal Services	\$	\$ 10,000.	\$
Operating Expenses	\$	\$ 5,000.	\$
Grants	\$	\$	\$
TOTAL	\$	\$ 15,000.	\$
REVENUES:			
State Funds:			
Cash	\$	\$	\$
In-Kind	\$	\$	\$
Federal Funds:			
(Direct Costs)	\$	\$	\$
(Statewide Indirect)	\$	\$	\$
(Department Indirect)	\$	\$	\$
Other Funds:			
(source) <u>University of Vermont</u>	\$	\$ 15,000.	\$
TOTAL	\$	\$ 15,000.	\$

Grants will be allocated to these appropriation expenditure accounts

Appropriation Nos.
 DEPTID 2200030000
 FUND 21889
 PROGRAM TBD

Amounts
 \$ 15,000.

REC'D JUL 03 2007

11. Will grant monies be spent by one or more personal service contracts?

YES

NO

If YES, signature of appointing authority here indicates intent to follow current guidelines on bidding.

X _____ (Date)

12a. Please list any requested Limited Service Positions:

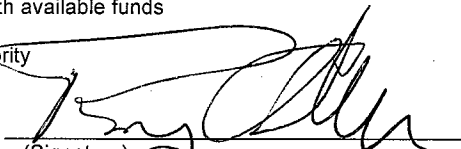
Titles	Number of Positions
TOTAL	

12b. Equipment and space for these positions:

- Is presently available.
- Can be obtained with available funds

13. Signature of Appointing Authority

I certify that no funds have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant.



 (Signature)
 Secretary: Agriculture, Food & Markets
 (Title)

5/3/07
(Date)

14. Action by Governor:

- Approved
- Rejected

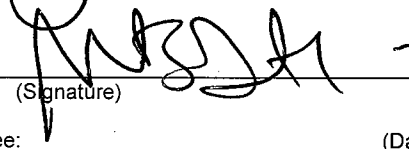


 (Signature)

7/12/07
(Date)

15. Secretary of Administration:

- Request to JFO
- Information to JFO



 (Signature)

7-1-07
(Date)

16. Action by Joint Fiscal Committee:

(Dates)

- Request to be placed on JFC agenda
- Approved (not placed on agenda in 30 days)
- Approved by JFC
- Rejected by JFC
- Approved by Legislature

(Signature)

(Date)

1875

1875

1875

UVM ID 20325 **Subaward Agreement**

Institution/Organization ("UVM") Name: The University of Vermont and State Agricultural College Address: 85 South Prospect Street, 340 Waterman Bldg Burlington, VT 05405-0160	Institution/Organization ("SUBAWARDEE") Name: VT Agency of Agriculture, Food and Markets Address: 116 State Street, Drawer 20 Montpelier, VT 05620-2901 EIN No.:
---	--

Prime Award No. 06-IE08310111-E	Subaward No. 20325 Initial 04062007
---------------------------------	-------------------------------------

Awarding Agency USDA RMA	CFDA No. 10.457
--------------------------	-----------------

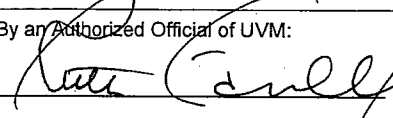
Subaward Period of Performance August 30, 2006 through September 30, 2007	Amount Funded this Action \$15,000.00	Total Funded to Date \$15,000.00
--	--	-------------------------------------

Project Title Vermont's Dairy Industry's Revitalization	Required Cost Share \$0.00
--	-------------------------------

Reporting Requirements [Check here if applicable: See Attachment 4]

Terms and Conditions

- 1) UVM hereby awards a cost reimbursable subaward, as described above, to Subawardee. The statement of work and budget for this subaward are as shown in Attachment 5. In its performance of subaward work, Subawardee shall be an independent entity and not an employee or agent of UVM.
- 2) UVM shall reimburse Subawardee not more often than monthly for allowable costs. All invoices shall be submitted using Subawardee's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), subaward number, and certification as to truth and accuracy of invoice. *Invoices that do not reference UVM's subaward number shall be returned to Subawardee.* Invoices and questions concerning invoice receipt or payments should be directed to the appropriate party's Financial Contact, as shown in Attachment 3.
- 3) A final statement of cumulative costs incurred, including cost sharing, marked "FINAL," must be submitted to UVM's Financial Contact NOT LATER THAN sixty (60) days after subaward end date. The final statement of costs shall constitute Subawardee's final financial report.
- 4) All payments shall be considered provisional and subject to adjustment within the total estimated cost in the event such adjustment is necessary as a result of an adverse audit finding against the Subawardee.
- 5) Matters concerning the technical performance of this subaward should be directed to the appropriate party's Project Director, as shown in Attachment 3. Technical reports are required as shown above, "Reporting Requirements."
- 6) Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this subaward agreement, and any changes requiring prior approval, should be directed to the appropriate party's Administrative Contact, as shown in Attachment 3. Any such changes made to this subaward agreement require the written approval of each party's Authorized Official, as shown in Attachment 3.
- 7) Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, or directors, to the extent allowed by law.
- 8) Either party may terminate this agreement with thirty days written notice to the appropriate party's Administrative Contact, as shown in Attachment 3. UVM shall pay Subawardee for termination costs as allowable under OMB Circular A-21 or A-122, as applicable.
- 9) No-cost extensions require the approval of the UVM. Any requests for a no-cost extension should be addressed to and received by the Administrative Contact, as shown in Attachment 3, not less than thirty days prior to the desired effective date of the requested change.
- 10) The Subaward is subject to the terms and conditions of the Prime Award and other special terms and conditions, as identified in Attachment 2.
- 11) By signing below Subawardee makes the certifications and assurances shown in Attachments 1 and 2.

By an Authorized Official of UVM:

 Ruth Farrell, Associate Vice President for Research Administration
 Name & Title

By an Authorized Official of SUBAWARDEE:

 Name & Title

**Attachment 1
Subaward Agreement**

By signing the Subaward Agreement, the authorized official of SUBAWARDEE certifies, to the best of his/her knowledge and belief, that:

Certification Regarding Lobbying

1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Subawardee, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subawardee shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying," to the UVM.

3) The Subawardee shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U. S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Debarment, Suspension, and Other Responsibility Matters

Subawardee certifies by signing this Subaward Agreement that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency.

OMB Circular A-133 Assurance

Subawardee assures UVM that it complies with A-133 and that it will notify UVM of completion of required audits and of any adverse findings, which impact this subaward.

Attachment 2
Subaward Agreement
USDA

Certifications/Assurances:

1. As a condition of this Subaward, the Subawardee assures and certifies that it is in compliance with and will comply in the course of this Subaward with all applicable laws, regulations, Executive Orders and other generally applicable requirements, including those set out in 7 CFR 3015.205(b), which hereby are incorporated in this Subaward by reference. In addition, the following assurances/certifications are made and verified by the official signing for Subawardee on the face page of this Subaward. 1) 7 CFR 3018 (Lobbying); 2) 7 CFR 3017.600 (Drug-Free Workplace).

General terms and conditions:

1. The restrictions on the expenditure of federal funds in appropriations acts are applicable to this subaward to the extent those restrictions are pertinent.
2. 7 CFR Part 3015, 3017, 3018 and 3019.
3. General Terms and Conditions A dated February 1, 2005 except for the following (available at <http://www.csrees.usda.gov/business/awards/awardterms.html>) :
 - a. The right to initiate a no cost-time extension of the end date provided by Article 4 is replaced by the need to obtain prior written approval from the UVM;
 - b. The payment mechanism described in Article 7 and the financial reporting requirements in Article 8 are replaced with Terms and Conditions (1) through (4) of this agreement; and
 - c. Any prior approvals are to be sought from the UVM and not the Federal Awarding Agency.
4. Title to equipment costing \$5,000 or more and acquired by the subawardee with funds provided under this award shall vest in the subawardee. When the equipment is no longer needed by the subawardee and the per unit fair market value is less than \$5,000, the subawardee may retain, sell, or dispose of the equipment with no further obligation to the UVM. If, on the other hand, the per unit fair market value is \$5,000 or more, then the subawardee must submit a written request to the UVM for disposition instructions.

Special terms and conditions: [Institutions may include the following optional clauses.]

1. Copyrights

Subawardee grants / shall grant (check one) to UVM an irrevocable, royalty-free, non-transferable, non-exclusive right and license to use, reproduce, make derivative works, display, and perform publicly any copyrights or copyrighted material (including any computer software and its documentation and/or databases) first developed and delivered under this Subaward Agreement solely for the purpose of and only to the extent required to meet UVM's obligations to the Federal Government under its Prime Award.

2. Data Rights

Subawardee grants to UVM the right to use data created in the performance of this Subaward Agreement solely for the purpose of and only to the extent required to meet UVM's obligations to the Federal Government under its Prime Award.

[Do not add a Patent or Inventions Clause. The prime grant governs rights to patents and inventions. Prime grantee cannot obtain rights in the subawardee's subject inventions as a part of consideration for the subaward. Should it be necessary, the Federal Government can authorize the prime grantee's right to practice a subawardee's subject invention (as well as subject data or copyrights) on behalf of the Federal Government.]

Other Special Terms and Conditions:

1. See Attached
2. Described Below

No Other Special Terms and Conditions Described

**Attachment 3
Subaward Agreement**

UVM Contacts	Subawardee Contacts
<p>Administrative Contact</p> <p>Name: Eugenie Chao Office of Sponsored Programs Address: 340 Waterman Bldg, 85 South Prospect Street Burlington, VT 05405-0160 Telephone: (802) 656-3360 Fax: (802) 656-1326 Email: y.chao@uvm.edu</p>	<p>Administrative Contact</p> <p>Name:</p> <p>Address:</p> <p>Telephone:</p> <p>Fax:</p> <p>Email:</p>
<p>Principal Investigator</p> <p>Name: Robert Parsons Address: 204 Morrill Hall University of Vermont Burlington, VT 05405 Telephone: (802) 656-2109 Fax: Email: bob.parsons@uvm.edu</p>	<p>Project Director</p> <p>Name:</p> <p>Address:</p> <p>Telephone:</p> <p>Fax:</p> <p>Email:</p>
<p>Financial Contact</p> <p>Name: Marcy Whittle, Director Grant & Contract Accounting Services Address: 223 Waterman Building Burlington, VT 05405-0160 Telephone: (802) 656-2986 Fax: (802) 656-8604 Email: marcy.whittle@uvm.edu</p>	<p>Financial Contact</p> <p>Name:</p> <p>Address:</p> <p>Telephone:</p> <p>Fax:</p> <p>Email:</p>
<p>Authorized Official</p> <p>Name: Ruth Farrell, Associate Vice President for Research Administration Address: Office of Sponsored Programs 340 Waterman Building Burlington, VT 05405-0160 Telephone: (802) 656-3360 Fax: (802) 656-1326 Email: ospuvm@uvm.edu</p>	<p>Authorized Official</p> <p>Name:</p> <p>Address:</p> <p>Telephone:</p> <p>Fax:</p> <p>Email:</p>

**ATTACHMENT 4
SUBAWARD AGREEMENT**

Reporting Requirements

[Enter specifics]

UVM Principal and Subawardee Project Director may discuss current activities and assess progress on the project during visits to the two sites, telephone conversations, and in informal written reports. Subawardee will submit formal progress reports to the Principal Investigator at UVM on a regular basis. Subawardee agrees to provide UVM with all documentation and reports necessary for UVM to complete all reports required by the funding agency in a timely manner (e.g., IRB approvals, patent or invention statements, expenditure reports, progress reports).

Additional Reporting Requirements:

Dairy Commodity - 20325 - Subcontract with Vermont Agency of Agriculture Food and Markets - \$15,000.

The contract with the Vermont Agency of Agriculture, Food, and Markets will be to cover 20% (\$10,000) of the cost of the Ombudsman for the Vermont Dairy Task Force. The Ombudsman will dedicated 75% of total effort for the Dairy Task Force. Remainder of the Ombudsmans salary will be covered by VAAFAM. An additional \$5000 is being provided to cover the supplies, phone, and mileage for Ombudsman.

Specific activities of the Ombudsman include coordinating the following educational activities, moderate Dairy Task Force activities, meet with farmers individually and groups, take calls at an 800 phone number provided by the VAAFAM, and write articles on dairy risk management topics.

- 1) Write 10 news articles on various dairy management articles in regional papers to reach all Vermont dairy farmers.
- 2) coordinate a conference in cooperation with dairy cooperatives with national speakers on milk marketing, management, and other identified topics of interest.
- 3) Continue the educational program on Exploring Alternative Energy Sources on Farms.
- 4) Conduct efforts to reduce the cost of Workers compensation insurance for Vermont farmers.
- 5) Coordinate workshops for production protocol and marketing for Vermont cheese makers.
- 6) Other activities that are not funded by this partnership.



Vermont Dairy Task Force

Contact Diane Bothfeld 828-3835

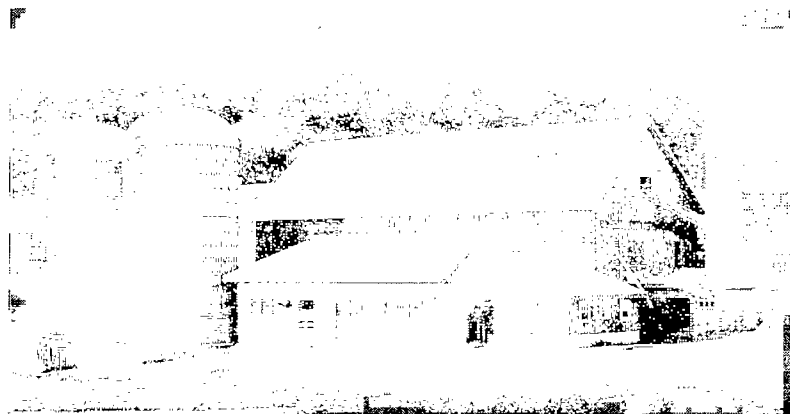
Article Number 4 of a series for 2007.

Dairy Ombudsman Program of the Vermont Dairy Task Force – 2006 Results

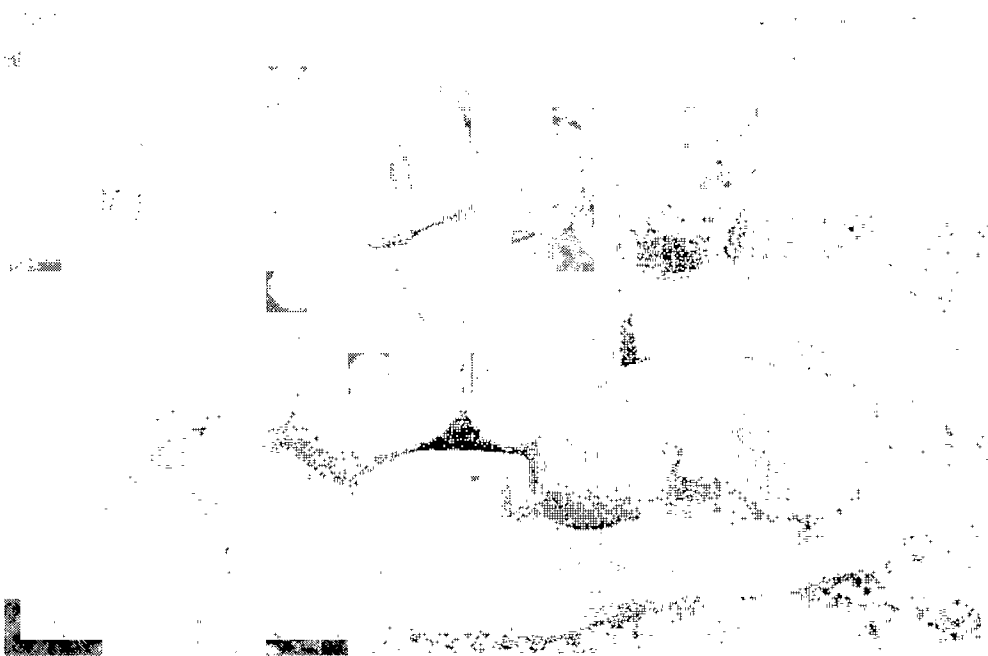
The Dairy Ombudsman program was an initiative of the Vermont Dairy Task Force in 2006 that will continue in 2007 to assist dairy farmers in information collection, training opportunities and location of consults to allow Vermont dairy farmers to improve and maintain their dairy farming operations. The Dairy Ombudsman Program worked with dairy farmers with good management skills and solid financial dairy operations who wanted more advanced information, training opportunities and access to consultants.

The strict definition of an ombudsman is *an official who is designated to assist you to overcome the delay, injustice or impersonal delivery of services.* The Dairy Ombudsman acted in this capacity as well as a one stop source for information and resources to dairy farmers. The Dairy Ombudsman program provided information, guidance and assistance to Vermont dairy farmers in 2006. Contacts were made with over 600 dairy farmers through 21 on-farm meetings, 55 group meeting and 114 phone conservations. Topics included the resolution of disputes over environmental regulations, dispute with cooperatives, information on renewable energy, fuel efficiency, organic dairy transition, on-farm processing, farm expansion and assistance with financial difficulties. The Ombudsman program acted as the one stop shop for dairy farmers looking for information and contacts within the dairy industry. There are many success stories but three will be mentioned here.

The number of requests for information on renewable energy lead to the collaborate effort of Ben & Jerry's, Vermont Environmental Consortium and the Dairy Task Force publishing a Farm Energy Handbook. This handbook is a self assessment guide for dairy farmers to determine what renewable energy types might be viable for their farm operations. This handbook was funded by a USDA Risk Management Grant and has been distributed to all active dairy farmers in Vermont. In 2007, the book will be transferred to disk and web format and made available to all interested parties. Also in 2007, a series of workshops on renewable energy will be held around the state to further address dairy farmer's questions on renewable energy production on the farm. These workshops were held in Sheldon, Middlebury and Springfield, Vermont. Attendance for these meetings has been good with 35 in Middlebury, 20 in Springfield and 10 in Sheldon on February 14 during the blizzard. The meeting in Newport was cancelled due to weather but is rescheduled for March 14 and farmers from Orleans and Franklin county are encouraged to attend.



A second example of a success story for the Dairy Ombudsman Program is the expansion of a dairy farm in southern Vermont. This farm produces cheese and sends milk to a dairy cooperative. Cheese sales have grown and to meet cheese demand and continue to ship a set amount of milk to the cooperative, the farm needed to milk more cows. The Ombudsman brought all parties needed to discuss the expansion of the farm (NRCS, VACC Lender, VT Land Trust, builder and farmer) to the table so all information could be shared between parties in the most efficient manner possible. Plans were finalized, financing was secured and the building of the barn began in October of 2006. The barn has been completed and the cows and heifers moved in at the end of the year. This barn has been a great addition to this farm families' life. *"We got heifers and dry cows into part of the barn yesterday!!!! It looks GREAT! They LOVE it! I can't tell you what this will do for our lives!"*, stated the farm owner. The coordination of resources allowed this project to move forward in an expedited manner.



The final example is the resolution of a dispute over environmental regulations for a dairy farmer. This farmer had worked for compliance with environmental regulations but difficulties arose when personnel changes occurred and paperwork was lost. This issue was resolved by bringing all parties to the table with the farmer by the Dairy Ombudsman to resolve the issue. The parties at the table included the Deputy Secretary of Agriculture, The State head of USDA Farm Services Agency, the State head of the Natural Resource Conservation Service (NRCS), a representative from the US Army Corps of Engineers, the local personnel from NRCS and the farm family. All parties worked to resolve the issue and the dairy ombudsman worked to bring the group together, to write up the discussion with designated activities and due dates noted and to follow-up that these activities took place to resolved the issue to the satisfaction of all parties. Environmental regulations are important for all dairy farmers to follow and understand- the action of bringing all parties to the table illuminated issues for each party but allowed for a resolution that was acceptable to comply with the regulations and end the dispute.

The Dairy Ombudsman program continues in 2007. The Dairy Ombudsman for Vermont is Diane Bothfeld at the Vermont Agency of Agriculture. She welcomes calls and contacts from all dairy farmers on any subject at 828-3835 or Diane.Bothfeld@state.vt.us.