



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee members
From: Sorsha Anderson, Senior Staff Associate
Date: July 24, 2024
Subject: Grants – JFO #3209

Enclosed please find one (1) item, which the Joint Fiscal Office has received from the Administration. This grant is being held for the **July 30, 2024** Joint Fiscal Committee meeting.

JFO #3209: \$1,582,876.00 to the Department of Public Safety from the Federal Emergency Management Agency (FEMA). Funds used for the emergency work and repair of disaster damaged facilities during the December 18-19, 2023 storm event in Essex, Lamoille, Orange, Orleans, Rutland, Windham, and Windsor Counties. There is a required State match of 25% on the project costs; management costs are 100% federally funded. The 25% match is met through a combination of ERAF funds and the local recipient contribution. *[Received July 11, 2024]*

Please review the enclosed materials and notify the Joint Fiscal Office (Sorsha Anderson: sanderson@leg.state.vt.us) if you have questions ahead of the Joint Fiscal Committee meeting. A representative from the Department of Public Safety will be available for questions at the July 30, 2024 Joint Fiscal Committee meeting.



State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

Agency of Administration

**STATE OF VERMONT
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary: Federal disaster assistance for emergency work and the repair/replacement of disaster damaged facilities during the severe storm and flooding event for the period December 18-19, 2023 in Essex, Lamoille, Orange, Orleans, Rutland, Windham, and Windsor Counties.

Date: 5/22/2024

Department: Public Safety - Vermont Emergency Management

Legal Title of Grant: Disaster Grants- Public Assistance (Presidentially Declared Disasters))

Federal Catalog #: 97.036

Grant/Donor Name and Address: Federal Emergency Management Agency

Grant Period: **From:** 3/2/2024 **To:** 3/2/2028

Grant/Donation \$1,582,176

	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$442,448	\$1,065,073	\$474,654	\$1,582,175	May not add due to rounding.

Position Information:	# Positions	Explanation/Comments
	0	

Additional Comments: State share of match requirement will increase the GF transfer to ERAF planned for the FY25 BAA by an estimated \$226K.

Department of Finance & Management	Adam Greshin <small>Digitally signed by Adam Greshin Date: 2024.05.22 09:56:48 -0400</small>	(Initial)
Secretary of Administration	Sarah Clark <small>04AB832CD55C738...</small>	(Initial)
Sent To Joint Fiscal Office		Date

Jason Aronowitz
Digitally signed by Jason Aronowitz
 Date: 2024.05.22 09:56:48 -0400





State of Vermont
Department of Public Safety
45 State Drive
Waterbury, Vermont 05671-1300
(802) 241-5000
TTY/TDD (888) 545-7598
www.dps.vermont.gov

May 7, 2024

To: Jason Aronowitz, Senior Budget & Management Analyst

From: Kristine Seipel, Financial Manager

Re: Request for Grant Acceptance

Attached you will find our request for Grant Acceptance (Form AA-1) for the FEMA Public Assistance grant program for FEMA-DR-4762-VT.

If you have any questions, please contact me at Krisine.Seipel@vermont.gov.

Respectfully,

Kristine

Cc: Sarah Prior, Financial Administrator

Cc: Melissa Austin, Financial Manger

Cc: Richard Hallenbeck, Director of Administration and Finance

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:				
2. Department:		Public Safety		
3. Program:		Vermont Emergency Management		
4. Legal Title of Grant:		Disaster Grants- Public Assistance (Presidentially Declared Disasters)		
5. Federal Catalog #:		97.036		
6. Grant/Donor Name and Address: Federal Emergency Management Agency				
7. Grant Period:		From: 3/2/2024	To: 3/2/2028	
8. Purpose of Grant: To provide Federal disaster assistance for emergency work and the repair/replacement of disaster damaged facilities during the severe storm and flooding event for the period December 18-19, 2023 in Essex, Lamoille, Orange, Orleans, Rutland, Windham, and Windsor Counties.				
9. Impact on existing program if grant is not Accepted: Eligible State, Local, and Non-Profit agencies may have to bear the full cost of the disaster related expenses. Budget information below is based on the Preliminary Damage Assessment, SF-424 submission, and allowable State Management Cost.				
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2024	FY 2025	FY 2026	
Personal Services	\$13,506	\$81,038	\$40,520	CAT Z MC
Operating Expenses	\$	\$	\$	
Grants	\$38,589	\$1,312,047	\$578,845	Subgrants
Total	\$52,095	\$1,393,085	\$619,365	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$4,341	\$147,606	\$65,120	ERAF
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$42,448	\$1,065,073	\$474,654	MC & Subgrants
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source Local Match)	\$5,306	\$180,406	\$79,591	Subgrant Match
Total	\$52,095	\$1,393,085	\$619,365	
Appropriation No:	2140030000 Fund 22005	Amount:	\$42,448	
	2140030000 Fund 21555		\$4,341	
			\$	
			\$	
			\$	
			\$	

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

	\$
Total	\$46,789

PERSONAL SERVICE INFORMATION


11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: _____ (initial)

12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

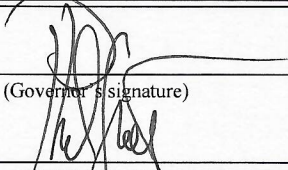
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: 	Date: 5/6/2024
	Title: Daniel Batsie, Deputy Commissioner	
	Signature: _____	Date: _____
	Title: _____	

14. SECRETARY OF ADMINISTRATION

<input checked="" type="checkbox"/> Approved:	(Secretary or designee signature) 	Date: 5/24/2024 2:53:
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15. ACTION BY GOVERNOR

<input checked="" type="checkbox"/> Accepted	(Governor's signature) 	Date: July 10, 2024
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16. DOCUMENTATION REQUIRED

Required GRANT Documentation	
<input type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input type="checkbox"/> Notice of Award <input type="checkbox"/> Grant Agreement <input type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)

End Form AA-1

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

DECLARED MARCH 2, 2024

SUMMARY

STATE: Vermont
NUMBER: FEMA-4762-DR
INCIDENT: Severe Storm and Flooding
INCIDENT PERIOD: December 18-19, 2023
DATE REQUESTED BY GOVERNOR: February 15, 2024
FEDERAL COORDINATING OFFICER: William F. Roy
National FCO Program

DESIGNATIONS AND TYPES OF ASSISTANCE:

INDIVIDUAL ASSISTANCE (Assistance to individuals and households):

Not Requested.

PUBLIC ASSISTANCE (Assistance for emergency work and the repair or replacement of disaster-damaged facilities):

Essex, Lamoille, Orange, Orleans, Rutland, Windham, and Windsor Counties.

HAZARD MITIGATION GRANT PROGRAM (Assistance for actions taken to prevent or reduce long term risk to life and property from natural hazards):

All areas in the State of Vermont are eligible for assistance under the Hazard Mitigation Grant Program.

OTHER: Additional designations may be made at a later date if requested by the state and warranted by the results of further damage assessments.

PHILIP B. SCOTT
GOVERNOR



State of Vermont
OFFICE OF THE GOVERNOR

**REQUEST FOR MAJOR DISASTER DECLARATION
GOVERNOR'S REQUEST COVER LETTER**

February 14, 2024

The Honorable Joseph Robinette Biden, Jr.
President of the United States
The White House
Washington, DC

Through: Ms. Lori Ehrlich
Regional Administrator
FEMA Region I
220 Binney Street
Cambridge, Massachusetts 02142

Dear Mr. President:

Under the provisions of Section 401 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. §§ 5121-5207 (Stafford Act), and implemented by 44 C.F.R. § 206.36, I request you declare a Major Disaster for the State of Vermont for heavy rainfall and severe flooding that occurred statewide on December 18-19, 2023. I am requesting Public Assistance for Essex, Lamoille, Orange, Orleans, Rutland, Windham, and Windsor Counties and Hazard Mitigation Assistance statewide.

A State of Emergency was not declared for this event. However, this flooding necessitated the (partial) activation of the Vermont State Emergency Operations Center from December 18-19, 2023. The SEOC was activated on December 18, 2023 at 1300 hrs. until 2000 hrs. as a Partial-Virtual Activation. For the overnight, the SEOC was in Monitoring Status with two VEM Watch Officers. The SEOC was re-activated on December 19, 2023 at 0800 hrs. to 1600 hrs. as a Partial-Hybrid Activation. For the overnight, the SEOC continued under Monitoring Status by the VEM Watch Officer on duty.

National Weather Service:

“Heavy rainfall combined with significant water runoff from snowmelt led to widespread flooding across Vermont between December 17th and December 20th. Widespread impacts occurred in the forms of ponding of water, low-land field and road flooding, numerous stream and mainstem river flooding. In addition, several landslides were reported on Vermont roads.

The Honorable Joseph R. Biden, Jr.
February 14, 2024

A coastal storm originated off Florida's west coast in the Gulf of Mexico (December 16th) and inland along the eastern seaboard (December 17th), eventually crossing New England on December 18th.

Periods of rain developed and moved across Vermont during the mid to late afternoon of December 17th, becoming steadier and heavier during the night into midday on December 18th before gradually ending by the evening of December 18th.

Rainfall totals across Vermont were generally 1.5 to 3 inches with localized 3.5+ inches. In addition to this rainfall was the contribution of snowmelt to the surface water run-off, estimated to be between 1 and 2 inches of water equivalent.

The combination of heavy rainfall and snowmelt, with wet antecedent conditions from three storms in the prior three weeks, led to significant surface water runoff that created a wide array of flooding impacts.

Impacts initially began as widespread ponding of water, low-land field and road flooding that flowed into streams causing numerous stream flooding which flowed into the larger, mainstem rivers in Vermont causing water levels to reach minor to major flood categories on several official gaged locations. Most rivers crested by the morning of December 19th and receded below flood stage by the afternoon. However, the Winooski River at Essex Junction, the Otter Creek at Center Rutland and the Barton River in Coventry didn't recede below flood stage until the morning of December 20th. In addition, several landslides were reported on Vermont roads."

*-*Preliminary* Weather Summary for the December 17-20, 2023, Significant Flood Event – National Weather Service – Burlington, VT)*

Refer below to Weather Summary, **Enclosure B**: National Oceanic and Atmospheric Administration (NOAA), National Weather Service - Eastern Region, *Vermont Heavy Rain and Flooding December 17-20, 2023, Report*.

State Emergency Operations Center Activation:

Partners participating in the ongoing SEOC activation included: Agency of Agriculture, Agency of Human Services, Agency of Transportation, Agency of Digital Services, Public Service Department, Radio Technology Services, Department of Health, Vermont State Police, Division of Fire Safety, Department of Labor, Buildings and General Services, Agency of Education, Urban Search and Rescue/Swiftwater Rescue, Department of Environmental Conservation, Department Forest Parks & Recreation, Vermont National Guard, American Red Cross, SerVermont and Vermont-211.

The Honorable Joseph R. Biden, Jr.
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Impact Summary

One individual died from hypothermia during this incident as a result of exposure to floodwater.

Swift water rescue teams performed twelve rescues. Three people were pulled from a home in Jamaica, and nine were saved from vehicles caught in moving water across the state.

The Vermont National Guard provided the state with high-water vehicles to assist with rescues.

A dozen towns experienced major flooding issues. Damage included dozens of flood-damaged roads and flooded basements in homes in several towns which far exceeded local resources.

The Moretown Village Fire Department issued an evacuation order for homes and businesses in the village. The Moretown Fire Chief confirmed that between 30-50 homes were evacuated. In Brattleboro, officials assisted residents of the Mountain Home mobile home park to evacuate as 12 homes were flooded.

The extent of the storm took many education officials by surprise, prompting 120 schools to close early according to Heather Bouchey, interim Secretary of the Vermont Agency of Education. At the December 19, 2023, press conference in Berlin, she called the event a “mass early dismissal.”

The American Red Cross (ARC) opened a regional shelter (Level-1 Response - ARC managed) on December 18, 2023, in the Town of Barre at their auditorium. The peak shelter population was 18 people and two pets. The shelter remained open for two days and served meals for the 18 individuals. One case was opened and closed; there were no clean-up kits; and no fixed/mobile feeding sites. The VT Departments of Mental Health and Human Services had five personnel on stand-by, for three nights, but no assistance was required. Other than the ARC, the Mass Feeding Group did not activate, though they were put on notice.

Local shelters were opened on December 18, 2023, in Hardwick at Hazen Union High School and in Northfield at Norwich University. A local warming center was also opened on December 18, 2023, in Cavendish at the Cavendish Baptist Church.

Throughout this statewide severe flooding event, local news reports poured in capturing the impacts of the devastation. As Governor, I was quoted by news outlets expressing the heartbreak which this event caused for many Vermonters:

“...Some of the places that were impacted in July are currently experiencing flooding once again, so for them, this is July. And it’s a real gut punch.”

The Honorable Joseph R. Biden, Jr.
February 14, 2024

This storm had multiple statewide impacts. For example, total damage to the Vermont rail system, according to estimates provided by the Vermont Agency of Transportation Rail Division, were approximately \$652,356 in damages for two of the requested Counties; Orleans with \$473,700, Rutland with \$178,656 which contributed to reaching their respective county thresholds. But it is important to note that rail damages totaling \$349,690 with \$122,552 in Addison County; \$61,628 in Bennington County; \$26,625 in Caledonia County; \$49,399 in Franklin County; and \$89,486 in Washington County; will be borne by State of Vermont because these five counties remained below the county threshold and have therefore not been included in this request.

Damages which were validated during the Joint Preliminary Damage Assessment included:

Essex County: Town damages included: Bloomfield (1) road; Brighton (2) roads, and Concord (1) road; and VT Agency of Transportation LVRT Rail Trails - (1) site in West Burke at MP 27.68-27.85 - scouring and sediment removal.

Lamoille County: Town damages included: Belvidere (1) road; Morristown (1) road with failed 9-foot plate culvert by 75 feet long, the washout is 40 feet across by 50 feet long); VT Agency of Transportation Rail Trail LVRT (emergency slope stabilization); and Stowe (5) roads.

Orange County: Town damages included: Randolph (2) roads and (1) emergency slope stabilization; Tunbridge (1) road; Williamstown (1) road; and Washington Electric COOP (WEC) utility damages.

Orleans County: Town damages included: Greensboro (3) roads; and VT Agency of Transportation WACR CLR Railroad - (1) site in Coventry at MP 6.90-7.50 - scouring and sediment removal and (1) site in Orleans at MP 9.80-10.40 - scouring and sediment removal.

Rutland County: Town damages included: Benson 6) roads; and VT Agency of Transportation B&R Railroad - (2) sites in Danby, at MP 37.80 - water over tracks needs ballast stone replacement and MP 38.70 - water under tracks with exposed cross ties needs ballast stone replacement.

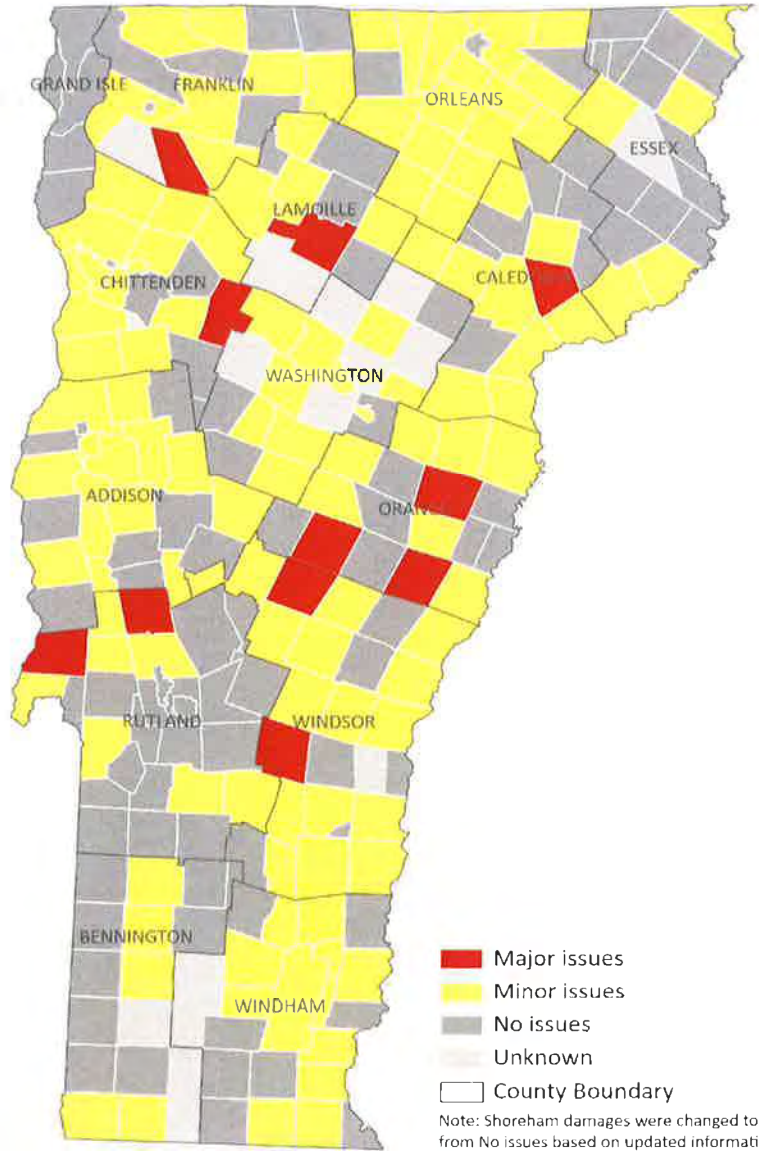
Windham County: Town damages included: Brattleboro (1 road - slope stabilization); Jamaica (7) roads; and Townshend (3) roads.

Windsor County: Town damages included: Barnard (1) site emergency slope stabilization; Bethel (3) roads, (1) pump station, and (1) ballfield; Chester (4) roads; Plymouth (3) roads; Royalton (2) roads; and Springfield (5) roads.

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The Local Liaisons were activated on December 18-20, 2023, to report damages. Results of December 21, 2023, local liaison reporting are summarized in this map:

Local Liaison Report December 21, 2023



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On December 28, 2023, VEM Director Eric Forand requested a joint Preliminary Damage Assessment for six (6) counties: Addison, Essex, Orange, Orleans, Rutland, and Windsor. On January 5, 2024, we asked for the inclusion of four (4) additional counties: Caledonia, Franklin, Lamoille, and Windham Counties. On January 16 and 31, 2024, we asked for the inclusion of Washington and Bennington Counties respectively, based on additional reported damages.

It was confirmed during Preliminary Damage Assessments that all the damage reported occurred during December 18-19, 2023. In their weather summary (Enclosure C: *NOAA Vermont Heavy Rain and Flooding December 17-20, 2023, Report*), the National Oceanic and Atmospheric Association started the incident period on December 17, 2023. We request December 18, 2024, as the start of the incident period in Vermont because none of the reported damages occurred prior to that date.

Demographics of Impacted Counties That Met County Thresholds

Vermont Median Household income is \$73,991 and Poverty Level is 10.4%. Most counties are rural and sparsely populated.

Essex County: Median Household income is \$55,247; Poverty @13.2%; pop. 5,976
Lamoille County: Median Household income is \$69,886; Poverty @8.6%; pop. 25,945
Orange County: Median Household income is \$74,534; Poverty @9.2%; pop. 29,277
Orleans County: Median Household income is \$63,981; Poverty @6.8%; pop. 27,459
Rutland County: Median Household income is 62,641 Poverty @11.4%; pop. 60,585
Windham County: Median Household income is \$65,473; Poverty @12.6%; pop. 45,857
Windsor County: Median Household income is \$69,492; Poverty @8.7%; pop. 57,753

Sources: United States Census Bureau, 2022 American Community Survey 5-Year Estimates, U.S. Census Bureau, American Community Survey Office, Web, 7 December 2023.

United States Census Bureau, Annual Estimates of the Resident Population: April 1, 2020, to July 1, 2022. U.S. Census Bureau, Population Division. Web, May 2023. <http://www.census.gov/>

Additional Factors for Consideration

Vermonters are still suffering from the devastating impacts of the historical flooding which occurred during summer of 2023, declared as DR-4720 and DR-4744. This smaller but impactful storm caused repeated damages in numerous communities, compounding the misery and setting back recovery efforts from the summer storms for exhausted survivors and local road crews and emergency responders.

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February 14, 2024

While towns in Vermont obtain insurance through the Vermont League of Cities and Towns' Property and Casualty Intermunicipal Fund (PACIF), roads, water crossings and drainage structures are not covered, and insurance coverage is not commercially available in most cases.

State/ Local Resources for Assistance

Vermont's resource referral hotline, Vermont 211, took damage reports from impacted residents and businesses. More than eighty households comprised of 232 individuals in twelve counties, (including Addison, Caledonia, Chittenden, Essex, Franklin, Orange, Orleans, Lamoille, Rutland, Washington, Windham, and Windsor Counties) called Vermont 211 to report flood damages incurred on December 18-19, 2023. Additionally, fourteen businesses in seven counties (including Addison, Chittenden, Franklin, Lamoille, Rutland, Washington, and Windsor) reported flood damages.

Vermont's Emergency Relief and Assistance Fund (ERAF), provides the state portion of the non-federal share of declared disasters for eligible municipal Public Assistance applicants and is funded through transfers from the Vermont General Fund. This event will add approximately \$150,000 to the need for additional ERAF transfers from the General Fund, the timing of which will be based on estimated cash flow. Based on cash flow expectations, \$6 million was added to ERAF in the Governor's Recommended FY24 Budget Adjustment.

Disaster History

The impacts of this storm clearly exceed the resources of the State of Vermont. Since 2013, Vermont has experienced 16 federally declared and numerous non-declared disasters: DR4120 (May 2013 flooding), DR4140 (June-July 2013 flooding), DR4163 (December 2013 ice storm), DR4178 (April 2014 flooding), DR4207 (December 2014 severe winter storm), DR4232 (June 9, 2015 flooding), DR4330 (June-July 2017 flooding), DR4356 (October 29-30, 2018 severe storm and flooding), DR4380 (May 4-5, 2018 severe storm), DR4445 (April 15, 2019 severe storm and flooding), DR4474 (Severe storm and flooding, October 31- November 1, 2019), DR4621 (Severe storms and flooding, July 29, 2021-July 30, 2021), DR4532 (COVID-19), DR4695 (Severe storm and flooding, December 22-24, 2022), DR4720 (Severe storms and flooding, landslides and mudslides, July 7-21, 2023, and DR4744 August 3-5, 2023, Severe Storms and Flooding).

Hazard Mitigation Damage Avoidance

Vermont and FEMA have been successful in recent years in making use of available funding via Section 404 (42 U.S.C. § 5170c) and Section 406 (42 U.S.C. § 5172). The impacts of this flooding would have been even more devastating if not for mitigation work previously accomplished, particularly more than 170 acquisitions and removals of flood-prone structures accomplished through Hazard Mitigation Assistance since Tropical Storm Irene. The Town of Brandon in Rutland County has been frequently cited as a hazard mitigation success story thanks to culvert upgrades in the downtown business district and buy-outs of residences in Forest Dale,

The Honorable Joseph R. Biden, Jr.
February 14, 2024

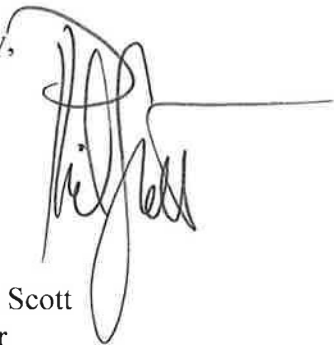
a flood prone area of the community. We are committed to continuing our ongoing efforts to build resiliency and reduce flood vulnerability using Section 406 and Section 404 Hazard Mitigation.

Conclusion

For Essex, Lamoille, Orange, Orleans, Rutland, Windham, and Windsor Counties of Vermont, the December 18-19, 2023, severe storms and flooding event compounded the impacts of earlier federally declared severe flooding events in July and August 2023 which overwhelmed state and local resources. Accordingly, I request a Major Disaster Declaration under the Stafford Act for Public Assistance for qualifying counties and Hazard Mitigation Assistance statewide.

Thank you for your continued support of the State of Vermont and its residents.

Sincerely,

A handwritten signature in black ink, appearing to read 'Philip B. Scott', with a horizontal line extending to the right from the end of the signature.

Philip B. Scott
Governor

Enclosures:

OMB No. 1660-0009/FEMA Form 010-0-13

A: Public Assistance

B: National Oceanic and Atmospheric Administration (NOAA), National Weather Service - Eastern Region, *Vermont Heavy Rain and Flooding December 17-20, 2023, Report.*

C: Requirements for Other Federal Agency Programs

ENCLOSURE B:

WEATHER SUMMARY

National Oceanic and Atmospheric Administration (NOAA), National Weather Service - Eastern Region, *Vermont Heavy Rain and Flooding December 17-20, 2023, Report.*



U.S. DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL WEATHER SERVICE EASTERN REGION
630 Johnson Ave. Suite 202
Bohemia, NY 11716

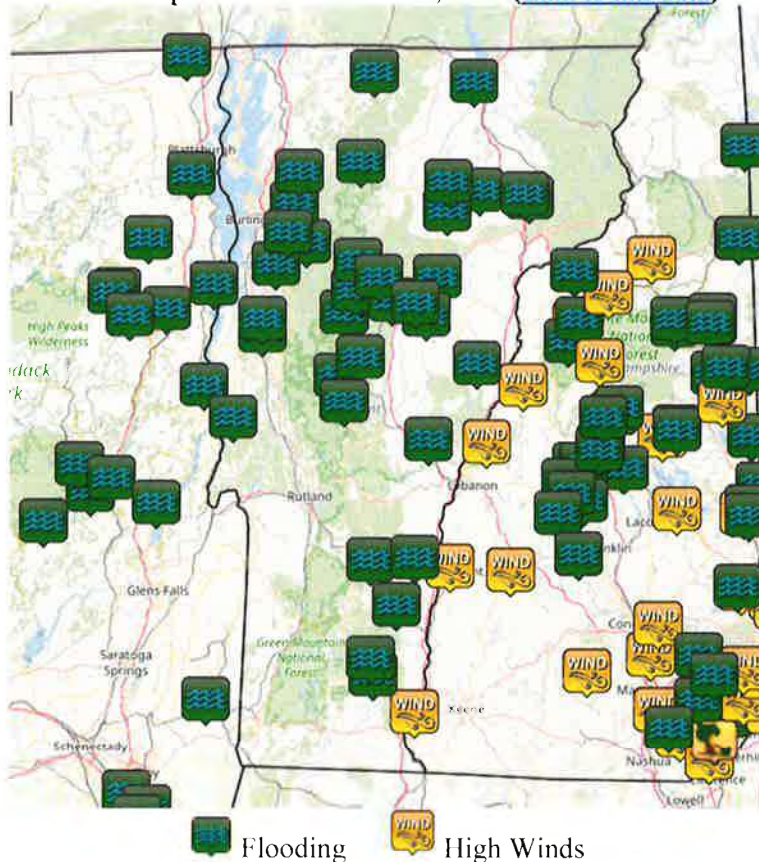
January 4, 2024

Vermont Heavy Rain and Flooding December 17-20, 2023

Overview:

A coastal storm moved up the east coast over the weekend of December 16-17, and tracked across New England Monday into Tuesday, December 18-19. Heavy rain was observed across the area, with amounts ranging from one to locally over four inches across the state. This rain resulted in numerous reports of flooding.

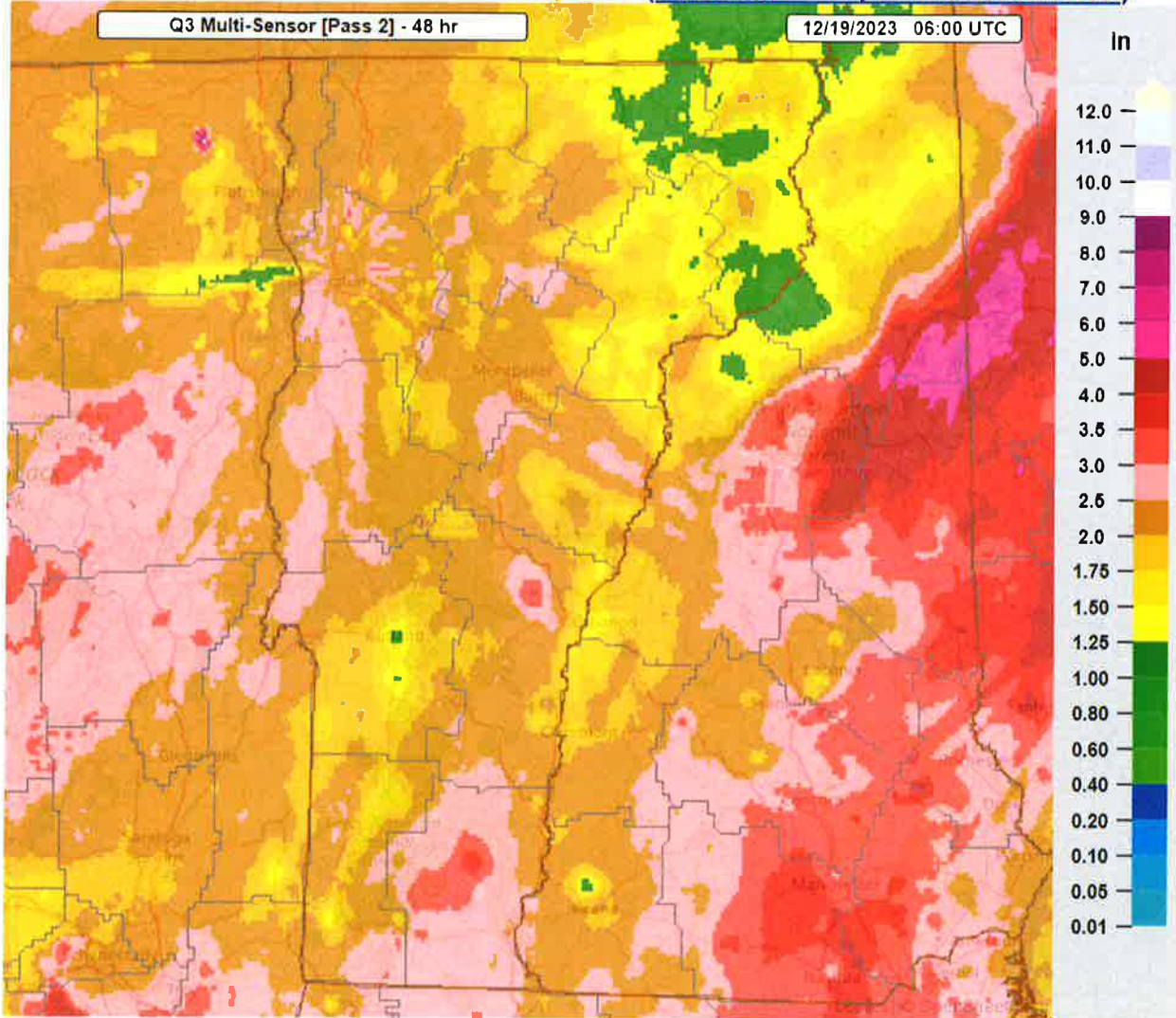
Storm Reports - December 17-19, 2023 ([Link to this data](#))



Flooding/Heavy Rain

Rain moved in from the south Sunday night, December 17th, and continued through the day Monday, December 18th before tapering off at night. The rain was heavy at times. The bulk of the flooding, both flash and river flooding, occurred during the day Monday, the 18th. Slower responding rivers remained above flood stage into Wednesday, the 20th.

Rainfall Estimate December 17-18, 2023 [\(A zoomable map can be found here\)](#)



Total Rainfall Measurements (1" or greater)

County	Location	Total rainfall (inches)
Addison	N Middlebury	3.23
	2 SE New Haven	3.11
	1 SE Cornwall	3.07
	4 NNE Shoreham	3.05
	Addison	3.04
	Bristol	3.02
	1 WNW Orwell	3.02
	East Middlebury	2.95
	Lake Dunmore	2.93
	Vergennes	2.92
	Ferrisburg	2.64
	Middlebury	2.60
	New Haven	2.51
	West Addison	2.36
	Bridport	2.35
	1 NE South Lincoln	2.29
	South Lincoln	2.11
	S Hancock	2.09
	4 ENE Starksboro	2.07
	Ripton	2.01
Cornwall	1.61	
Essex	Island Pond	1.64
	Averill	1.42
Orange	Orange	3.14
	2 E Corinth Corners	3.12
	Tunbridge	3.08
	Chelsea	2.97
	Vershire	2.90
	Brookfield	2.82
	ESE Chelsea	2.76
	Williamstown	2.74
	Randolph	2.58
	2 SE Strafford	2.55

	Corinth	2.52
	3 SW Braintree	2.33
	Strafford	2.00
	East Thetford	1.79
	South Strafford	1.78
	2 ENE West Fairlee	1.76
	North Thetford	1.50
	Union Village NEPP	1.47
Orleans	Lowell	2.82
	Albany	2.43
	Craftsbury Common	2.25
	4 NNE Greensboro	2.22
	2 NNW Greensboro	2.03
	1 NE East Craftsbury	2.01
	1 WNW Westfield	2.01
	Brownington	1.72
	2 W Derby Line	1.57
	7 SE Morgan	1.24
	East Charleston	1.19
Rutland	2 NW West Bridgewater	4.17
	Benson	3.55
	East Wallingford	2.89
	Killington	2.87
	Mount Holly	2.50
	1 W Shrewsbury	2.14
	Poultney	2.13
	Mendon	2.10
	Belmont	1.99
	Wells	1.94
	1 N West Rutland	1.80
	Danby	1.71
	Pawlet	1.71
	1 SSE West Rutland	1.64
	Wallingford	1.61
	3 SSW Wallingford	1.59
	Cuttingsville	1.35

	Rutland Airport	1.27
	1 N Rutland	1.24
Windsor	Quechee	4.27
	North Pomfret	3.80
	3 N Pomfret	3.48
	2 S West Windsor	3.46
	Taftsville	3.40
	Brownsville	3.38
	4 W Hartland	3.22
	Springfield	3.13
	Bridgewater	2.90
	Plymouth	2.89
	Barnard	2.83
	Royalton	2.82
	3 S Ludlow	2.75
	Reading	2.70
	NNE Proctorsville	2.69
	South Royalton	2.54
	Springfield Airport	2.53
	Stockbridge	2.31
	Cavendish	2.25
	4 WNW Bethel	2.20
	1 WNW Weathersfield	2.19
	2 NE Rochester	2.15
	NE Rochester	1.95
East Bethel	1.94	
Rochester	1.79	
1 NNE North Hartland	1.75	
1 E Woodstock	1.39	

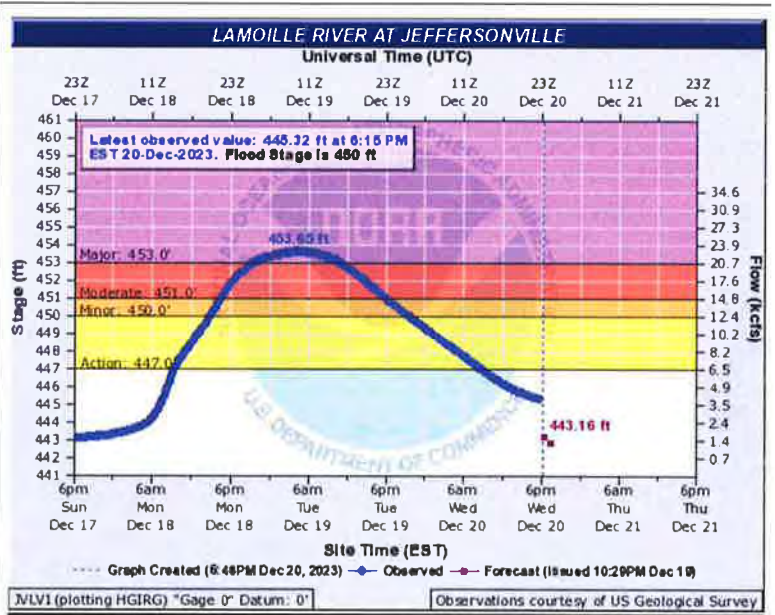
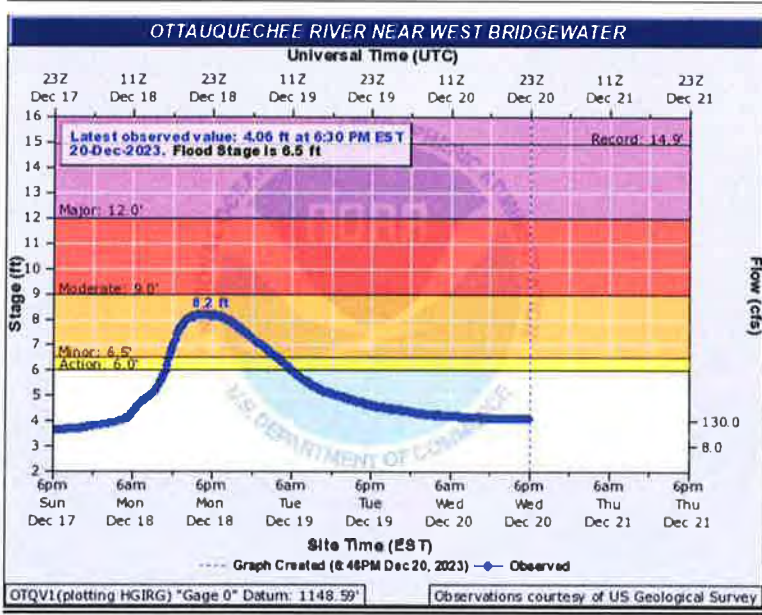
Flood and Flash Flood Reports

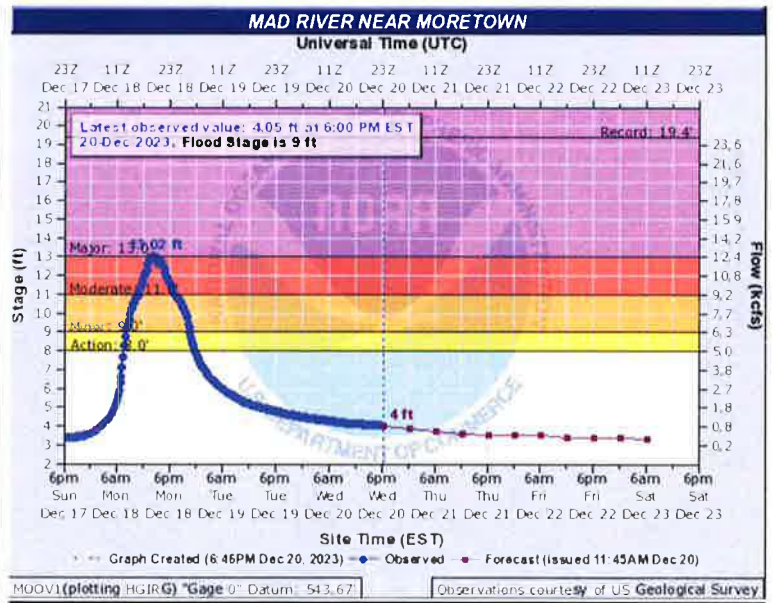
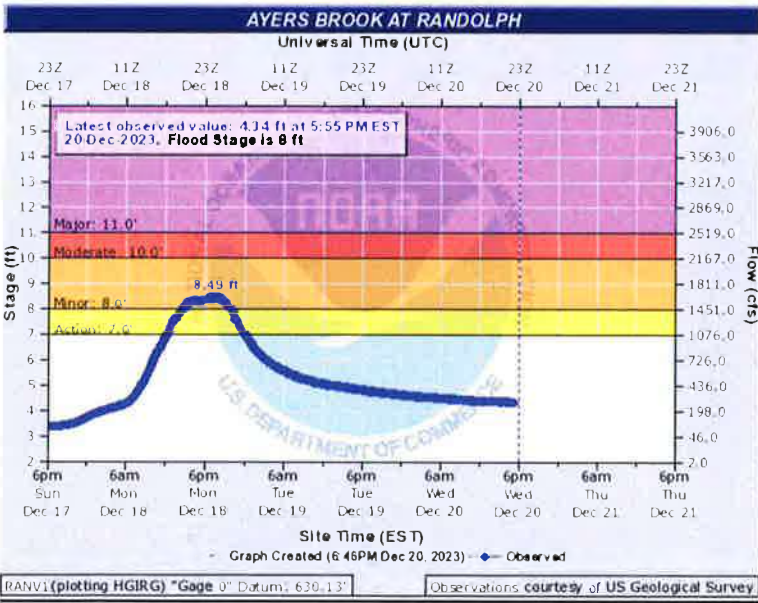
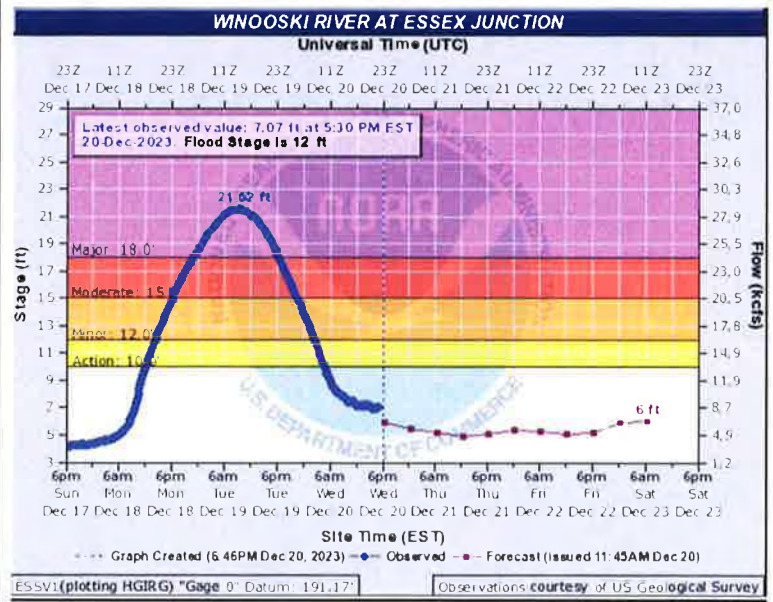
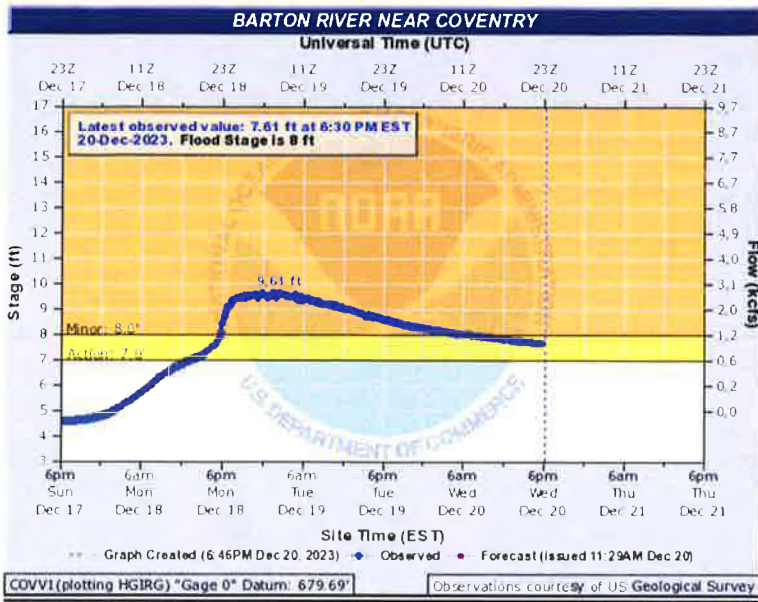
County	Location	Date/ Time	Summary
Addison	Granville	12/18 10:13 am	Part of Rt 100 flooded.
	2 NNE Hough Crossing	12/18 11:10 am	Flooding reported on VT Rt 22A between Orwell and Shoreham.
	New Haven Junction	12/18 4:44 pm	Flooding on roads near US Rte 7 & VT Rte 17 Intersection.
	2 N New Haven Junction	12/18 5:12 pm	Portion of Plank Road closed.
Orange	Corinth	12/18 5:01 pm	Pictures of roads flooded in Corinth.
Orleans	1 W Campbells Corner	12/18 5:20 pm	VT 14 closed between VT Rte 15 and E Craftsbury Road in Hardwick/Craftsbury
	2 NNE Coventry	12/18 7:39 pm	Multiple sections of Route 5 closed between Coventry and Newport due to high water.
Windsor	Sharon	12/18 9:00 am	Route 14 Sharon Flooded
	Ludlow	12/18 9:03 am	Route 103/100 Flooded
	Chester	12/18 9:05 am	Numerous roads flooded
	Greenbush	12/18 3:31 pm	VT 106 is closed between VT 131 and Little Ascutney Road due to flooding.
	1 N Rochester	12/18 3:35 pm	VT 100 is closed in Rochester near Quarry Hill Road due to flooding.

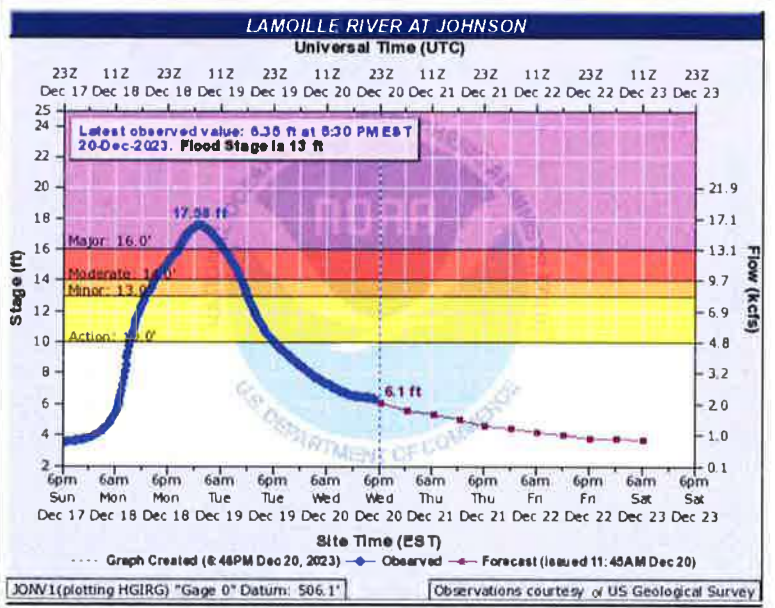
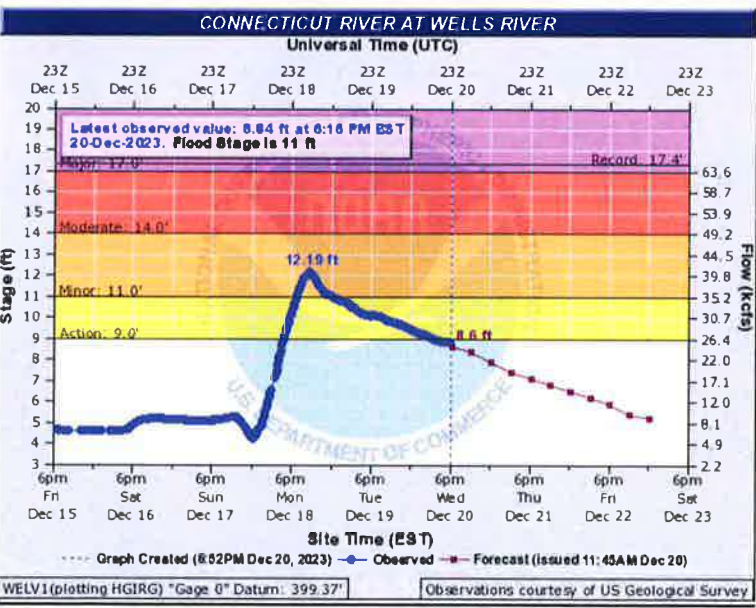
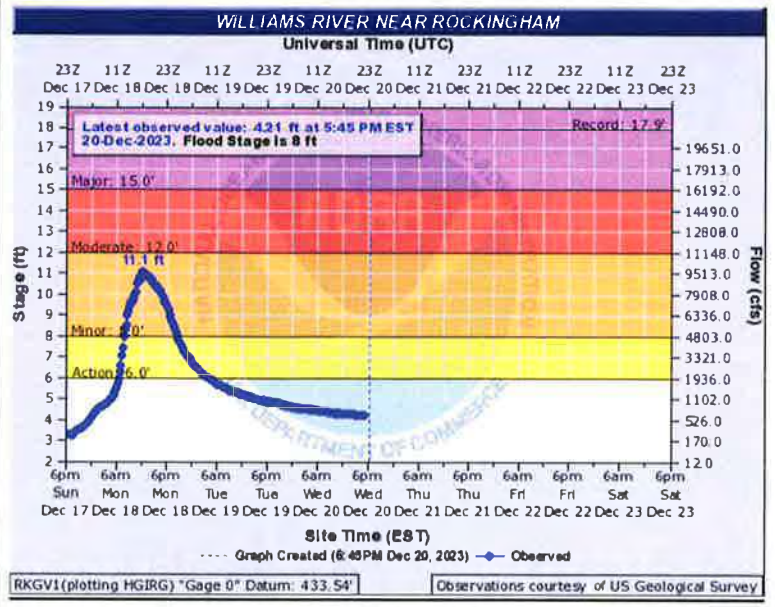
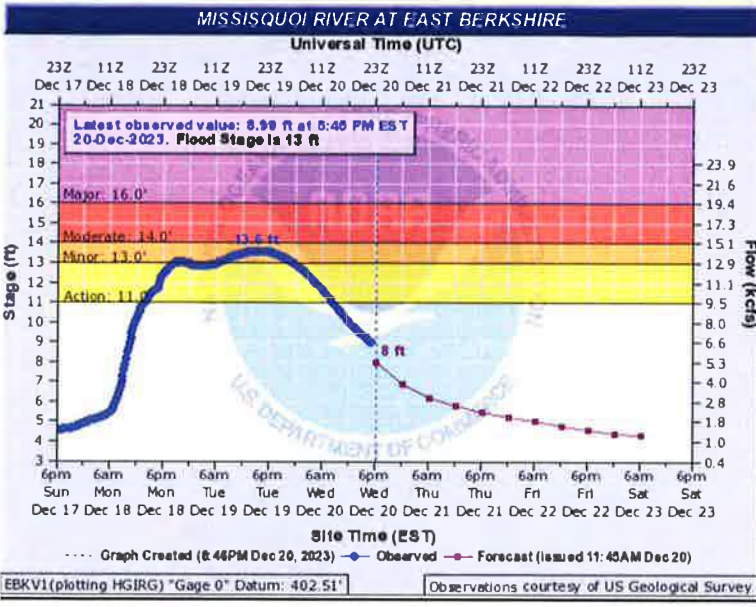
River Flooding

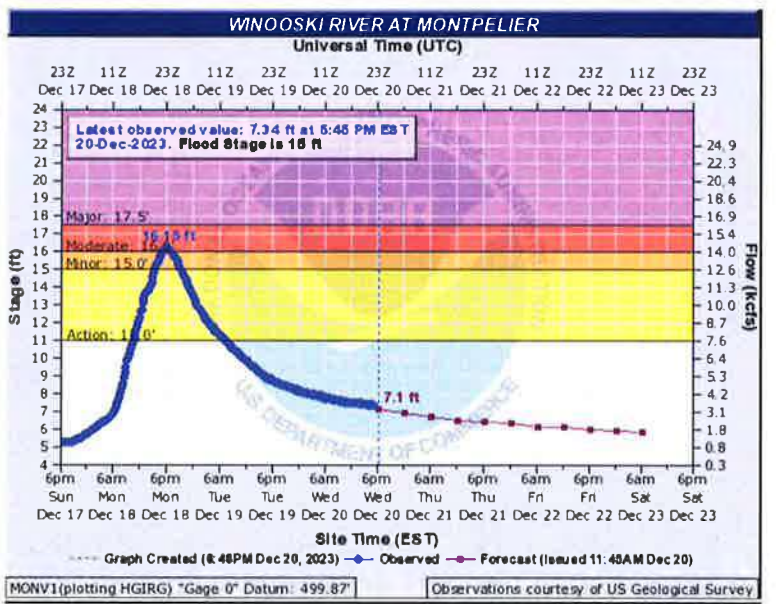
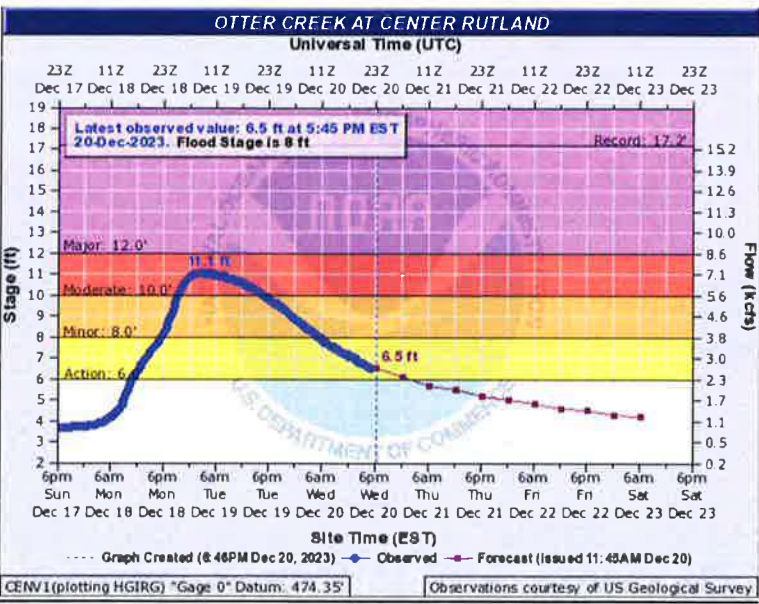
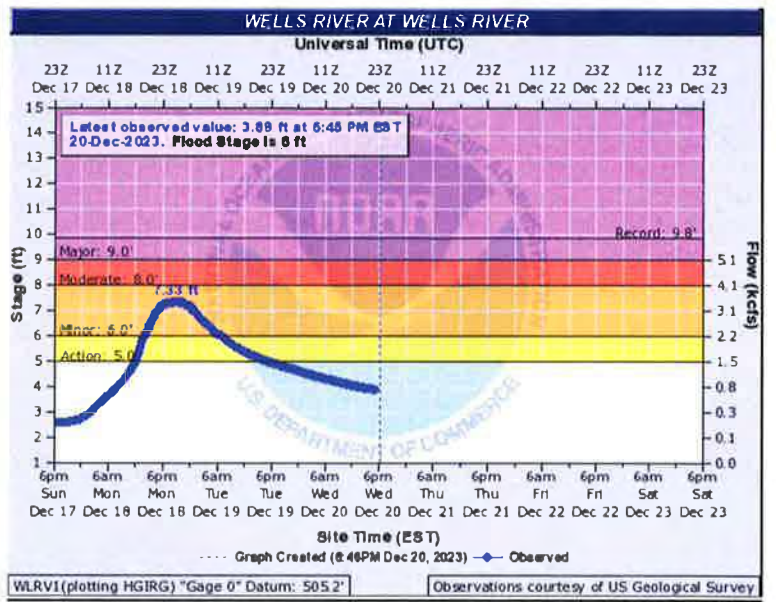
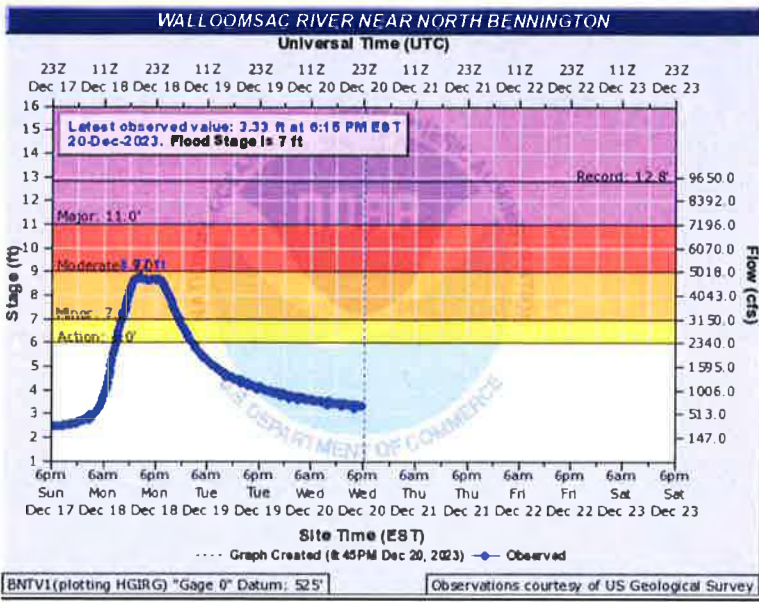
Heavy rainfall combined with significant water runoff from snowmelt led to widespread flooding across Vermont between December 17th and December 20th.

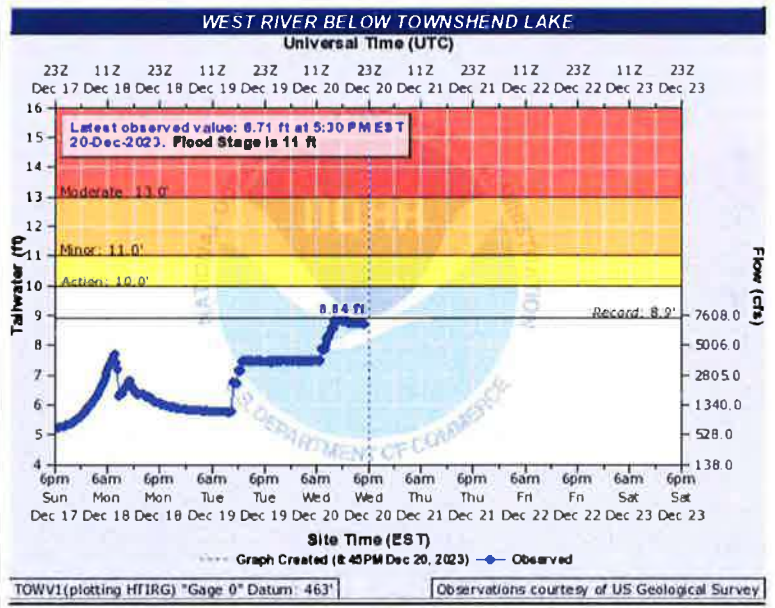
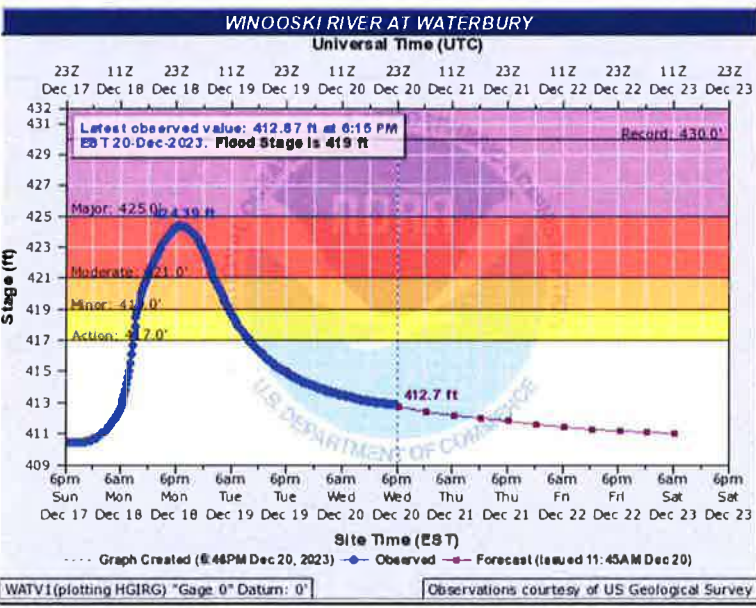
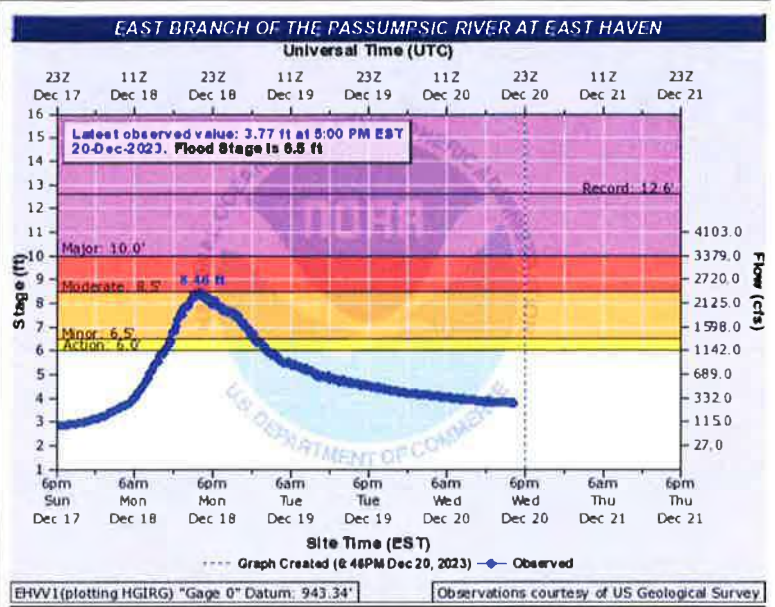
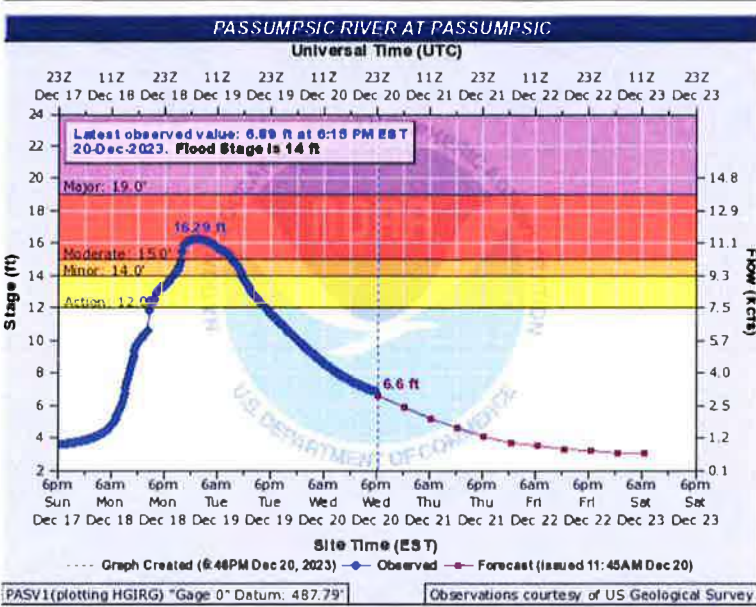
Impacts initially began as field and road flooding. As flood waters drained into the rivers, significant river rises occurred on the larger, mainstem rivers in Vermont causing water levels to reach minor to major flood categories on several official gaged locations. Most rivers crested by the morning of December 19th and receded below flood stage by the afternoon. However, the Winooski River at Essex Junction, the Otter Creek at Center Rutland and the Barton River in Coventry didn't recede below flood stage until the morning of December 20th. In addition, several landslides were reported on Vermont roads.











Gabriel Langbauer and Scott Whittier

NWS Weather Forecast Office - Burlington, VT

Scott Sukup, Peter Wichrowski, and Howard Silverman

Emergency Response Meteorologists
 NWS Eastern Region Headquarters

ENCLOSURE C

TO MAJOR DISASTER REQUEST

Estimated Assistance from Other Federal Agency Programs

County	SBA Home Loans	SBA Business Loans	FSA Loans	NRCS	FHWA	USACE	OTHER
All Counties	TBD	TBD	TBD	TBD	TBD	TBD	TBD
Totals							

Federal Emergency Management Agency
REQUEST FOR PRESIDENTIAL DISASTER DECLARATION
MAJOR DISASTER OR EMERGENCY

OMB Control Number 1660-0009

Expires 06/30/2023

1. Request Date Feb 14, 2024

Burden Disclosure Notice

Public reporting burden for this form is estimated to average 9 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. This collection of information is required to obtain a benefit. You are not required to respond to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street SW, Washington, DC 20472, Paperwork Reduction Project (1660-0009). **NOTE: Do not send your completed form to this address.**

Completion of this form including applicable attachments satisfies legal requirements for emergency and major disaster declaration requests under 42 U.S.C. §§ 5170 and 5191, respectively, as implemented at 44 C.F.R. §§ 206.35 and 206.36. Failure to use this form may result in a failure to meet these requirements and/or a delay in processing the request.

2a. Name of State (as defined in Stafford Act 102, 42 U.S.C. § 5122) or Indian tribal government requesting declaration.

State of Vermont

2b. Population (as reported by 2010 Census) or estimated population of Indian tribal government's damaged area(s). 643,077 (2020)

3. Governor's or Tribal Chief Executive's Name

Philip B. Scott

4. Designation of State or Tribal Coordinating Officer upon declaration (if available) and phone number

Eric Forand (802) 585-0633

5. Designation of Governor's Authorized Representative or Tribal Chief Executive Representative upon declaration (if available) and phone number

Kristin L. Clouser (802) 828-3322

6. Declaration Request For: Major Disaster (Stafford Act Sec. 401) Emergency (Stafford Act Sec. 501 (a))

7. Incident Period: Beginning Date End Date
 Dec 18, 2023 Dec 19, 2023 or Continuing

If requesting a "continuing" incident period, enclose an official statement from a qualified Federal Government agency acknowledged as a national authority in a specific incident field (e.g., United States Geological Survey for seismic incidents, the National Weather Service for flooding).

7b. Type of Incident (Check all that apply)

- Drought Earthquake Explosion Fire Flood Hurricane Landslide Mudslide
 Severe Storm Snowstorm
 (rain, high water, wind-driven, rain, hail, lightning) (Must include Enclosure D: Historic and Current Snowfall Data) Straight-Line Winds
 Tidal Wave Tornado Tropical Depression Tropical Storm Tsunami Volcanic Eruption Winter Storm
 Other (please specify) _____

8. Description of damages (Short description of impacts of disaster on affected area and population). Include additional details in enclosed Governor's or Tribal Chief Executive's cover letter.

Heavy rain started late on December 17, 2023 statewide and continued into December 19, 23, causing severe damage in select locations, including the eleven counties. Several roads were closed due to inundation and several residents were evacuated from their homes to shelters. Preliminary Damage Assessments were performed from January 2, 2023 through February 5, 2024 to capture the damage that occurred in the smaller towns, state agencies (specifically Railroad and Rail Trails) and utility companies. The validated PDA Results were completed on February 5, 2024 and totaled: \$1,929,483 which exceeded the State threshold (\$1,183,261) for the Counties: Essex, \$ 46,399 (\$27,232), Lamoille, \$140,681 (\$119,347), Orange, \$160,678 (\$134,674); Orleans, \$607,818 (\$126,007.80); Rutland, \$290,859 (\$ 278,631); Windham, \$213,300 (\$211,163); & Windsor, \$469,748 (\$265,664).

9. Description of the nature and amount of State and local or Indian tribal government resources which have been or will be committed. Include additional details in enclosed Governor's or Tribal Chief Executive's cover letter.

A State of Emergency was not declared. The State Emergency Operations Center remained in partial activation from the catastrophic flooding on December 18, 2023, at 1300 hrs. to 2000 hrs. as a "Partial-Virtual Activation". For the overnight, the SEOC was in Monitoring Status with (2) VEM Watch Officers (WOs). The SEOC was re-activated on December 19, 2023, at 0800 hrs. to 1600 hrs. as a "Partial-Hybrid Activation". In the overnight, the SEOC was under Monitoring Status by the VEM WO on duty. Vermont's Regional Planning Commissions were activated as Local Liaisons on December 18-19, 2023, with the report for each community summarized on December 21, 23. The VTrans Districts performed their initial damage assessments during that time. Vermont Swift-water assets were prepositioned and deployed to the most impacted counties to evacuate residents from their homes to shelters.

Individual Assistance Dates Performed Requested _____ Start _____ End _____

Individual Assistance Accessibility Problems (Areas that could not be accessed, and why)

Public Assistance Dates Performed Requested Dec 28, 2023 Start Jan 2, 2024 End Feb 5, 2024

Public Assistance Accessibility Problems (Areas that could not be accessed, and why)

Snowfall in the days and weeks following the storm impeded access to and inspection of damages.

11. Programs and Areas Requested

Individual Assistance N/A Individuals and Households Program Crisis Counseling Program Disaster Unemployment Assistance
 All Disaster Case Management Disaster Legal Services Small Business Administration (SBA) Disaster Assistance

For the following jurisdictions, specify programs and areas (counties, parishes, independent cities; for Indian tribal government, list tribe(s) and/or tribal area(s)) If additional space is needed, please enclose additional documentation).

For States, identify Federally-recognized Tribes in the requested counties (if applicable).

Please see **Enclosure A: Supplemental Information for Individual Assistance** for additional information in support of this request*.

*Not Required for Emergency Declaration Request

11. Programs and Areas Requested (Continued)

Public Assistance N/A Debris Removal (Category A) Emergency Protective Measures (Category B) Permanent Work (Categories C-G)* (not available for Emergency Declaration Requests)

For the following jurisdictions, specify programs and areas (counties, parishes, independent cities; for Indian tribal government, list tribe(s) and/or tribal area(s)). If additional space is needed or your request includes different categories of work for different jurisdictions; please enclose additional documentation.

Public Assistance is requested in the following (7) counties: Essex, Lamoille, Orange, Orleans, Rutland, Windham and Windsor based on validation of eligible damages from the December 18-19, 2023 storm, from the Preliminary Damage Assessment that was completed on February 5, 2024.

For States, identify Federally-recognized Tribes included in the requested counties (if applicable).

Please see **Enclosure B: Supplemental Information for Public Assistance** for additional information in support of this request*.

Indemnification for Debris Removal Activity

I do not anticipate the need for debris removal.

I anticipate the need for debris removal, which poses an immediate threat to lives, public health and safety. Pursuant to Sections 403 and 407 of the Stafford Act, 42 U.S.C. §§ 5170b & 5173, the State or Indian tribal government agrees to indemnify and hold harmless the United States of America for any claims arising from the removal of debris or wreckage for this disaster. The State or Indian tribal government agrees that debris removal from public and private property will not occur until the landowner signs an unconditional authorization for the removal of debris.

Request for Direct Federal Assistance

I do not request direct Federal assistance at this time.

I request direct Federal assistance for work and services to save lives and protect property, and:

a. I request the following type(s) of assistance:

b. List of reasons why State and local or Indian tribal government cannot perform, or contract for, required work and services.

c. In accordance with 44 C.F.R. § 206.208, the State or Indian tribal government agrees that it will, with respect to direct Federal assistance: (1) Provide without cost to the United States all lands, easements, and rights-of-ways necessary to accomplish the approved work; (2) Hold and save the United States free from damages due to the requested work, and shall indemnify the Federal Government against any claims arising from such work; (3) Provide reimbursement to FEMA for the non-Federal share of the cost of such work in accordance with the provisions of the FEMA-State or FEMA-Tribe Agreement ; and (4) Assist the performing Federal agency in all support and local jurisdictional matters.

Request for Snow Assistance

N/A I request snow assistance.

Snow assistance for the following jurisdictions (Specify counties, independent cities or tribes and/or tribal areas).

Please see **Enclosure D: Historic and Current Snowfall Data** for additional information in support of this request*.

*Not Required for Emergency Declaration Request

11. Programs and Areas Requested (Continued)

Hazard Mitigation* Statewide **OR**

For the following specific counties, parishes, independent cities or tribes and/or tribal areas.

All fourteen counties.

12. Mitigation Plan Information*

a. Mitigation Plan Expiration Date November 16, 2028 b. Type of Plan Enhanced Standard

13. Other Federal Agency Programs

I do not anticipate requirements from Other Federal Agencies I do anticipate requirements from Other Federal Agencies

Please see **Enclosure C**: Requirements for Other Federal Agency Programs for additional information in support of this request*.

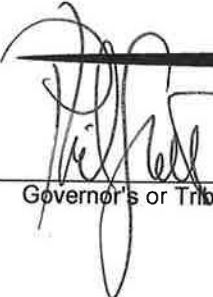
14. Findings and Certifications

I certify the following:

- a. I have determined that this incident is of such severity and magnitude that effective response is beyond the capabilities of the State and the affected local government or Indian tribal government and that supplementary federal assistance is necessary.
- b. In response to this incident, I have taken appropriate action under State or tribal law and have directed the execution of the State or Tribal Emergency Plan on 12/18/2023 (expires 10/31/2024) in accordance with the Stafford Act.
- c. The State and local governments, or Indian tribal government will assume all applicable non-Federal share of costs required by the Stafford Act.

15. List of Enclosures and Supporting Documentation

- Cover Letter Enclosure A (Individual Assistance)* Enclosure B (Public Assistance)*
- Enclosure C (Requirements for Other Federal Agency Programs) Enclosure D (Historic and Current Snowfall Data)
- Additional Supporting Documentation _____



Governor's or Tribal Chief Executive's Signature

2/15/24

Date

If anyone except the Governor or Tribal Chief Executive signs this document, please provide the documentation that establishes that this individual has the legal authority to act on behalf of the Governor or Tribal Chief Executive.

*Not Required for Emergency Declaration Request

Event: Severe Storm and Flooding

Start Date	End Date	Description	FHWA Declaration	Status
12/18/2023	12/19/2023	Severe Storm and Flooding		Active

County	Debris Removal (Cat. A)	Protective Measures (Cat. B)	Roads and Bridges (Cat. C)	Water Control Facilities (Cat. D)	Buildings and Equipment (Cat. E)	Utilities (Cat. F)	Parks, Recreation (Cat. G)	Total	POP	\$/CAP	\$4.60/ CAP	Threshold Met
Addison	-	-	-	-	-	-	-	-	37,363	-	171,869.80	No
Bennington	-	-	-	-	-	-	-	-	37,347	-	171,796.20	No
Caledonia	-	-	-	-	-	-	-	-	30,233	-	139,071.80	No
Chittenden	-	-	-	-	-	-	-	-	168,323	-	774,285.80	No
Essex	-	-	46,399.00	-	-	-	-	46,399.00	5,920	7.84	27,232.00	Yes
Franklin	-	-	-	-	-	-	-	-	49,946	-	229,751.60	No
Grand Isle	-	-	-	-	-	-	-	-	7,293	-	33,547.80	No
Lamoille	-	39,132.00	78,094.00	-	-	-	23,455.00	140,681.00	25,945	5.42	119,347.00	Yes
Orange	-	34,222.00	111,711.00	-	-	14,744.00	-	160,677.00	29,277	5.49	134,674.20	Yes
Orleans	-	-	607,818.00	-	-	-	-	607,818.00	27,393	22.19	126,007.80	Yes
Rutland	-	-	290,859.00	-	-	-	-	290,859.00	60,572	4.80	278,631.20	Yes
Washington	-	-	-	-	-	-	-	-	59,807	-	275,112.20	No
Windham	-	141,589.00	71,711.00	-	-	-	-	213,300.00	45,905	4.65	211,163.00	Yes
Windsor	-	-	331,024.00	87,786.00	-	-	50,937.00	469,747.00	57,753	8.13	265,663.80	Yes
State Agencies	-	-	-	-	-	-	-	-	State POP	\$/CAP	\$1.84/CAP	Short
Totals:	-	214,943.00	1,537,616.00	87,786.00	-	14,744.00	74,392.00	1,929,481.00	643,077	3.00	2,958,154.00	

Application for Federal Assistance SF-424

1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Applicant	2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	If Revision, select Appropriate letter(s): N/A Other (Specify): N/A
--	--	--

3. Date Received 4/10/2024	4. Applicant Identifier 000-U6HEW-00
-------------------------------	---

5a. Federal Entity Identifier 000-U6HEW-00	5b. Federal Award Identifier: PA-I-VT-4762
---	---

State Use Only:

6. Date Received by State: N/A	7. State Applicant Identifier:
--------------------------------	--------------------------------

8. Applicant Information:

a. Legal Name: Vermont Department of Public Safety (000-U6HEW-00)	
b. Employer/Taxpayer Identification Number (EIN/TIN) 03-6000264	c. Unique Entity Identifier (UEI): LALMDNWSYKT1

d. Address:

Street1: 45 State Drive	State: Vermont
Street2: --	Province: --
City: Waterbury	Country: USA
County/Parish: Washington County	Zip: 05671

e. Organizational Unit:

Department Name: Department of Public Safety	Division Name: Vermont Emergency Management
---	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: --
First Name: Kim
Middle Name: --
Last Name: Canarecci
Suffix: --

Title: Public Assistance Officer

Organization Affiliation: Vermont Department of Public Safety (000-U6HEW-00)

Telephone Number: (802) 585-4209	Fax Number: --
----------------------------------	----------------

Email: kim.canarecci@vermont.gov

Application for Federal Assistance SF-424

9. Type of Applicant 1: Select Applicant Type:

State Government

Type of Applicant 2: Select Applicant Type:

N/A

Type of Applicant 3: Select Applicant Type:

N/A

Other (specify)

N/A

10. Name of Federal Agency:

Federal Emergency Management Agency

11. Catalog of Federal Domestic Assistance Number:

97.036

CFDA Title:

PUBLIC ASSISTANCE

12. Funding Opportunity Number:

N/A

Title:

N/A

13. Competition Identification Number:

N/A

Title:

N/A

14. Areas Affected by Project (Cities, Counties, States, etc.)

Essex County, Lamoille County, Orange County, Orleans County, Rutland County, Windham County, Windsor County

15. Descriptive Title of Applicant's Project:

DR-4762 Vermont Severe Storms and Flooding, December 18-19, 2023.

Application for Federal Assistance SF-424

16. Congressional Districts of:

- a. Applicant VT-000
- b. Program/Project VT-000

17. Proposed Project:

- a. Start Date: 12/18/2023
- b. End Date: 3/02/2028

18. Estimated Funding (\$):

a. Federal:	\$1,582,176.00
b. Applicant:	\$0.00
c. State:	\$226,059.00
d. Local:	\$256,312.00
e. Other:	\$0.00
f. Program Income:	\$0.00
g. TOTAL:	\$2,064,547.00

19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

- Yes No

If "Yes", provide explanation and attach

21. By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

- ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Application for Federal Assistance SF-424

Authorized Representative:

Prefix: --
First Name: Douglas
Middle Name: R.
Last Name: Farnham
Suffix: --

Title: Chief Recovery Officer, VT Agency of Administration - Alternate GAR

Telephone Number: 802-585-8119

Fax Number: --

Email: Douglas.Farnham@vermont.gov

Signature of Authorized Representative:

DocuSigned by:
Douglas Farnham
41948B1C0A36415...

Date Signed: 4/10/2024

Assurances - Non-Construction Programs SF-424B

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681- 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

Assurances - Non-Construction Programs SF-424B

8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis- Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333), regarding labor standards for federally-assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.

11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system. Will assist the awarding agency in assuring compliance with

Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

Signature of Authorized Certifying Official:	DocuSigned by: <i>Douglas Farnham</i> 41948B1C0A36415	Title: Chief Recovery Officer, VT Agency of Administration - Alternate GAR
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Applicant Organization: Vermont Department of Public Safety (000-U6HEW-00)	Date Submitted: 4/10/2024
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Assurances - Construction Programs SF-424D

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure nondiscrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and

Assurances - Construction Programs SF-424D

290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333) regarding labor standards for federally-assisted construction subagreements.

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(d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.


17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).

18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

Signature of Authorized Certifying Official:

DocuSigned by:

 41948B1COA36415...

Title: Chief Recovery Officer, VT Agency of Administration - Alternate GAR

Applicant Organization:

Vermont Department of Public Safety (000-U6HEW-00)

Date Submitted:

4/10/2024

Certification Regarding Lobbying

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Applicant Organization:

Vermont Department of Public Safety (000-U6HEW-00)

Printed Name and Title of Authorized Representative:

Prefix: --
 First Name: Douglas
 Middle Name: R.
 Last Name: Farnham
 Suffix: --

Title:

Chief Recovery Officer, VT Agency of Administration - Alternate GAR

Signature:

DocuSigned by:

 41948B1C0A36415...

Date:

4/10/2024