



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee members
From: Daniel Dickerson, Fiscal Analyst *DD*
Date: February 7, 2020
Subject: Grant Requests – JFO #2997

Enclosed please find one (1) item, which the Joint Fiscal Office has received from the Administration.

JFO #2997 – \$182,300 from the U.S. Dept. of Transportation (DOT) to the VT Agency of Transportation (AOT) This funding will be used to implement a roadside inspection system, known as Commercial Vehicle Information Exchange Window (CVIEW), for commercial motor vehicle enforcement in Vermont. This funding was awarded in 2017 with a project period extending out to 2021, but AOT could not begin implementation until a separate project was completed to aid in data integration. AOT is requesting approval to accept the funds now that they are ready to proceed with the CVIEW project.

[JFO received 01/31/20]

Please review the enclosed materials and notify the Joint Fiscal Office (Daniel Dickerson at (802) 828-2472; ddickerson@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by February 21, 2020 we will assume that you agree to consider as final the Governor's acceptance of these requests.



JFO 2997

State of Vermont
Department of Finance & Management
109 State Street, Pavilion Building
Montpelier, VT 05620-0401

[phone] 802-828-2376
[fax] 802-828-2428

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JAN 31 2020
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**STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary:	Grant is to implement a roadside inspection program, data integration and exchange system for intra- and inter-state safety data for commercial motor vehicle enforcement.				
Date:	1/10/2020				
Department:	AOT - DMV 8100002100				
Legal Title of Grant:	Implementation of the FY 2017 High Priority Grant (HP-ITD)				
Federal Catalog #:	20.237				
Grant/Donor Name and Address:	US Dept of Transportation, Federal Motor Carrier Safety Administration, 1200 New Jersey Ave. SE, Washington DC 20590				
Grant Period:	From:	7/1/2017	To:	9/30/2021	
Grant/Donation	\$20,000 \$182,300 <i>AK</i>				
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$40,480	\$141,820	\$	\$182,300	
Position Information:	# Positions	Explanation/Comments			
	0				
Additional Comments:	100% Federal Funds				
<i>1/10/20</i> Department of Finance & Management	<i>AK</i>			(Initial)	
Secretary of Administration	<i>BZ</i>			(Initial)	
Sent To Joint Fiscal Office	1/31/20			Date	



JOINT FISCAL OFFICE

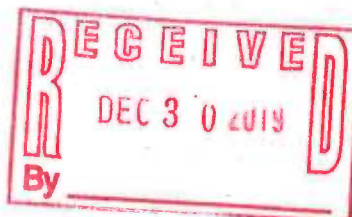
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1-11-50

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:	Transportation			
2. Department:	Department of Motor Vehicles			
3. Program:	Enforcement & Safety Division			
4. Legal Title of Grant:	Implementation of the FY2017 High Priority Grant (HP-ITD)			
5. Federal Catalog #:	20.237			
6. Grant/Donor Name and Address:	US Department of Transportation Federal Motor Carrier Safety Administration 1200 New Jersey Avenue, SE Washington, DC 20590			
7. Grant Period:	From:	7/1/2017	To:	9/30/2021
8. Purpose of Grant:	Implement a roadside inspection program, data integration and exchange system (intra and interstate safety data) for commercial motor vehicle enforcement.			
9. Impact on existing program if grant is not Accepted:	Project will not proceed			
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2020	FY 2021	FY	
Personal Services	\$	\$	\$	
Operating Expenses	\$	\$	\$	
Grants	\$40,480	\$141,820	\$	
Total	\$40,480	\$141,820	\$	
Revenues:				
State Funds:				
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:				
(Direct Costs)	\$40,480	\$141,820	\$	Other Fed - 20165
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:				
Grant (source)	\$	\$	\$	
Total	\$40,480	\$141,820	\$	
Appropriation No:	8100002100	Amount:	\$182,300	
			\$	
			\$	
			\$	
			\$	
			\$	



STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

		\$
	Total	\$182,300

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Joe Flynn Agreed by: J. (Initial)

12. Limited Service Position Information:	# Positions	Title
	0	
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature:	Date:
	E-SIGNED by Joe Flynn on 2019-12-29 23:30:05 GMT	
	Signature:	Date:
	Title:	

14. SECRETARY OF ADMINISTRATION

<input checked="" type="checkbox"/> Approved:	(Secretary or designee signature) <i>[Signature]</i>	Date: 1/13/2020
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15. ACTION BY GOVERNOR

<input checked="" type="checkbox"/> Check One Box: Accepted	(Governor's signature) <i>[Signature]</i>	Date: 1/30/20
<input type="checkbox"/> Rejected		

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

<input type="checkbox"/> Request Memo	<input type="checkbox"/> Notice of Donation (if any)
<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input type="checkbox"/> Notice of Award	<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Grant Agreement	<input type="checkbox"/> Form AA-1PN attached (if applicable)
<input type="checkbox"/> Grant Budget	

End Form AA-1

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

Daniel Dickerson

From: Aronowitz, Jason <Jason.Aronowitz@vermont.gov>
Sent: Monday, February 3, 2020 3:07 PM
To: Daniel Dickerson
Subject: RE: AOT grant

PRISM:

http://www.consystem.com/vermont/web/el/el_269.htm

“The USDOT Performance and Registration Information Systems Management program's central site where they collect data from all participating states and allow access to credential and safety information from other participating states. PRISM involves two processes: the Commercial Vehicle Registration Process, and the Motor Carrier Safety Improvement Process (MCSIP), which work in parallel to identify motor carriers and hold them responsible for the safety of their operation.”

From: Daniel Dickerson <ddickerson@leg.state.vt.us>
Sent: Monday, February 3, 2020 2:49 PM
To: Aronowitz, Jason <Jason.Aronowitz@vermont.gov>
Subject: RE: AOT grant

EXTERNAL SENDER: Do not open attachments or click on links unless you recognize and trust the sender.

Thanks for the info. Do you happen to know what CVIEW stands for?

Dan

From: Aronowitz, Jason <Jason.Aronowitz@vermont.gov>
Sent: Monday, February 3, 2020 2:45 PM
To: Daniel Dickerson <ddickerson@leg.state.vt.us>
Subject: RE: AOT grant

Dan,

Here's what I could find out today. Please let me know if you would like further information.

DMV inadvertently did not complete the AA-1 at the time of grant award. However, no funds were expended pending DMV and the Vermont Agency of Digital Services creating and testing the new software for the Commercial Vehicles Operations system with a proposed implementation date of May 4, 2020. The start of DMV's CVIEW project, which will be using these grant funds, has been waiting for the CVO project to near the end of its schedule due to system integration requirements.

-Jason

Jason Aronowitz
Budget Analyst
Finance and Management
109 State Street 5th Floor
Montpelier, VT 05609

(802) 622-4102

From: Daniel Dickerson <ddickerson@leg.state.vt.us>
Sent: Monday, February 3, 2020 9:17 AM
To: Aronowitz, Jason <Jason.Aronowitz@vermont.gov>
Subject: AOT grant

EXTERNAL SENDER: Do not open attachments or click on links unless you recognize and trust the sender.

Jason,

I have attached what I received. I guess the big question is, how is a grant award from 2017 just now going through the AA-1 process? Let me know if you are able to dig up any juicy tidbits of information. Thanks

Dan

Daniel Dickerson
Fiscal Analyst / Business Manager
Vermont Legislative Joint Fiscal Office
One Baldwin Street | Montpelier, VT 05633-5701
802.828.2472



U.S Department of Transportation

Federal Motor Carrier Safety Administration

Grant Agreement

1. RECIPIENT NAME AND ADDRESS

Vermont Agency of Transportation
120 State Street Rm 305
Enforcement & Safety Division
Montpelier, VT 05603-0001

2. AGREEMENT NUMBER: FM-MHP-0322-17

3. AMENDMENT NO. 0

4. PROJECT PERFORMANCE PERIOD: FROM 07/01/2017 TO 09/30/2021

5. FEDERAL FUNDING PERIOD: FROM 07/01/2017 TO 09/30/2021

1A. IRS/VENDOR NO. 036000264

1B. DUNS NO. 809376296

6. ACTION New

7. CFDA#: 20.237

8. PROJECT TITLE
Implementation of the FY 2017 High Priority Grant (HP-ITD)

TITLE	FEDERAL	NON-FEDERAL	TOTAL
9. PREVIOUS AGREEMENTS	0.00	0.00	0.00
10. THIS AGREEMENT	182,300.00	0.00	182,300.00
11. TOTAL AGREEMENT	182,300.00	0.00	182,300.00

12. INCORPORATED ATTACHMENTS

THIS AGREEMENT INCLUDES THE FOLLOWING ATTACHMENTS, INCORPORATED HEREIN AND MADE A PART HEREOF:

FMCSA Financial Assistance Agreement General Provisions and Assurances; Recipient project plan and budget incorporated by reference unless/except as noted below.

13. STATUTORY AUTHORITY FOR GRANT/ COOPERATIVE AGREEMENT

49 U.S.C. §§ 31102(l); 31104 (2016), as amended by the FAST Act, Pub. L. No. 114-94, §§ 5101(a) and (c) (2015).

14. REMARKS

See enclosed award conditions.

GRANTEE ACCEPTANCE		AGENCY APPROVAL	
15. NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Mr. William Jake Elovirta		17. NAME AND TITLE OF AUTHORIZED FMCSA OFFICIAL Mr. Randy Sechrist Authorizing Official	
16. SIGNATURE OF AUTHORIZED GRANTEE OFFICIAL Electronically Signed	16A. DATE 09/25/2017	18. SIGNATURE OF AUTHORIZED FMCSA OFFICIAL Electronically Signed	18A. DATE 09/25/2017

AGENCY USE ONLY

19. OBJECT CLASS CODE: 41000

20. ORGANIZATION CODE: M600000000

21. ACCOUNTING CLASSIFICATION CODES

DOCUMENT NUMBER	FUND	BY	BPAC	AMOUNT
FM-MHP-0322-17-01-00	17X05717MH	2017	0905710MHP	182,300.00

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
07/01/2017	09/30/2017	Quarterly	10/30/2017
10/01/2017	12/31/2017	Quarterly	01/30/2018
01/01/2018	03/31/2018	Quarterly	04/30/2018
04/01/2018	06/30/2018	Quarterly	07/30/2018
07/01/2018	09/30/2018	Quarterly	10/30/2018
10/01/2018	12/31/2018	Quarterly	01/30/2019
01/01/2019	03/31/2019	Quarterly	04/30/2019
04/01/2019	06/30/2019	Quarterly	07/30/2019
07/01/2019	09/30/2019	Quarterly	10/30/2019
10/01/2019	12/31/2019	Quarterly	01/30/2020
01/01/2020	03/31/2020	Quarterly	04/30/2020
04/01/2020	06/30/2020	Quarterly	07/30/2020
07/01/2020	09/30/2020	Quarterly	10/30/2020
10/01/2020	12/31/2020	Quarterly	01/30/2021
01/01/2021	03/31/2021	Quarterly	04/30/2021
04/01/2021	06/30/2021	Quarterly	07/30/2021
07/01/2021	09/30/2021	Final	12/29/2021

AWARD CONDITIONS

- As authorized by 49 U.S.C. sections 31102(l) and 31104, the Federal Motor Carrier Safety Administration (FMCSA) may award High Priority (HP) Program funding for eligible activities or projects related to motor carrier safety and/or innovative technology deployment.

The purpose of this grant award to the Vermont Agency of Transportation – DMV is to fund their CVIEW project at 100% as outlined in the NOFA.

The FMCSA approves the project plan, line item budget and budget at a reduced funding amount from the amount requested in the original application. The FY 2017 total award amount is \$182,300 (Federal award amount is \$182,300 the required State match is \$0).

This reduction is limited to Travel for ITD Workshop and the Commercial Vehicle Operations System projects (\$3,189,181).

Within thirty (30) days upon execution of this Notice of grant award, the Recipient agrees to submit a revised line item budget, budget narrative, and (if applicable) project plan reflecting the total project cost to the FMCSA Division Office and/or Headquarter Program Manager for review and approval.

BUDGET INFORMATION - Non-Construction Programs

OMB Number: 4040-0006
Expiration Date: 01/31/2019

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. FY17 HP-ITD	20.237	\$	\$	\$ 182,300.00	\$ 0.00	\$ 182,300.00
2.						
3.						
4.						
5. Totals		\$	\$	\$ 182,300.00	\$ 0.00	\$ 182,300.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) FY17 EP-ITD	(2)	(3)	(4)	
a. Personnel	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
b. Fringe Benefits	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
c. Travel	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
d. Equipment	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
e. Supplies	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
f. Contractual	<input type="text" value="182,300.00"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="182,300.00"/>
g. Construction	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
h. Other	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
i. Total Direct Charges (sum of 6a-6h)	<input type="text" value="182,300.00"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text" value="182,300.00"/>
j. Indirect Charges	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
k. TOTALS (sum of 6i and 6j)	\$ <input type="text" value="182,300.00"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text" value="182,300.00"/>
7. Program Income	\$ <input type="text" value="0.00"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text" value="0.00"/>

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SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8. FY17 HP-ITD	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	
9. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
10. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
11. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
12. TOTAL (sum of lines 8-11)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	
SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ <input type="text" value="182,300.00"/>	\$ <input type="text" value="0.00"/>	\$ <input type="text" value="60,766.00"/>	\$ <input type="text" value="60,766.00"/>	\$ <input type="text" value="60,766.00"/>
14. Non-Federal	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
15. TOTAL (sum of lines 13 and 14)	\$ <input type="text" value="182,300.00"/>	\$ <input type="text" value="0.00"/>	\$ <input type="text" value="60,766.00"/>	\$ <input type="text" value="60,766.00"/>	\$ <input type="text" value="60,766.00"/>
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16. FY17 HP-ITD	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	
17. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
18. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
19. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
20. TOTAL (sum of lines 16 - 19)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	
SECTION F - OTHER BUDGET INFORMATION					
21. Direct Charges: <input type="text"/>		22. Indirect Charges: <input type="text"/>			
23. Remarks: <input type="text"/>					

