



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee members
From: Daniel Dickerson, Fiscal Analyst
Date: March 24, 2015
Subject: Grant Request #2744, #2745, #2746, #2747, #2748

Enclosed please find five (5) items that the Joint Fiscal Office has received from the administration. Two (2) limited-service positions are associated with these requests.

JFO #2744 – \$249,966 grant from the U.S. Department of Energy to the Vermont Department of Public Service. The grant funds will be used to help modernize and streamline the process for interconnecting distributed renewable generation resources to Vermont’s electric grid. Green Mountain Power will be supplying a required match through in-kind staff time.
[JFO received 3/13/15]

JFO #2745 – \$10,000 grant from the National Alcoholic Beverage Control Association to the Vermont Department of Liquor Control. These funds will be used by the Department to develop a public service campaign to educate the public about the amount of alcohol in their drinks and to combat “over-service.”
[JFO received 3/20/15]

JFO #2746 – \$25,000 grant from SumOfUs to the Vermont Department of Finance and Management. These funds will cover a portion of the costs associated with implementation and administration of the new law that requires labeling of food produced from genetic engineering. The donated funds will be deposited in the Vermont Food Fight Fund.
[JFO received 3/20/15]

JFO #2747 – \$30,000 grant from the Association of State & Territorial Health Officials to the Vermont Department of Health. The above amount represents two separate grants; a \$15,000 breastfeeding support grant to support workplace compliance with the federal lactation accommodation law and a \$15,000 health impacts assessment grant to support a health impact assessment of proposed zoning changes in the South End of Burlington.
[JFO received 3/20/15]

JFO #2748 – \$33,392,282 grant from the U.S. Department of Education to the Vermont Agency of Education. This grant will provide funding to facilitate the expansion of preschool programs to children from families below 200% of the Federal Poverty Level. The grant funds will be disbursed over four calendar years and five State fiscal years, starting in FY15 and

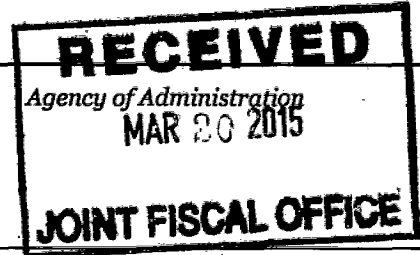
ending in FY19. Private philanthropic money will serve as the State's required 58% match over this period of time. **Two (2) limited-service positions**, an Education Financial Analyst and an Education Programs Coordinator, are associated with this request.

[JFO received 3/20/15]

Please review the enclosed materials and notify the Joint Fiscal Office (Daniel Dickerson at (802) 828-2472; ddickerson@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by April 7, 2015 we will assume that you agree to consider as final the Governor's acceptance of these requests.



JFO 2748



State of Vermont
Department of Finance & Management
109 State Street, Pavilion Building
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STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:	Federal Grant to expand access to preschool programs for those that are at or below 200% of the Federal poverty line.				
Date:	3/6/2015				
Department:	Education				
Legal Title of Grant:	Preschool Development Expansion Grant				
Federal Catalog #:	84.419				
Grant/Donor Name and Address:	US Department of Education, Washington, DC 20202				
Grant Period:	From: 1/1/2015		To: 12/31/2018		
Grant/Donation	\$33,392,282				
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$3,621,940	\$7,614,325	\$8,320,332	\$19,556,597	Funding into SFY 19

	# Positions	Explanation/Comments
Position Information:	2	One position is a Programs Coordinator to manage the program and provide support to sub-grantees. The second position is a Finance Analyst who will provide fiscal support and guidance to program staff and sub-grantees.

Additional Comments:

3/16

Department of Finance & Management	<i>[Signature]</i> 3/13/15	(Initial)
Secretary of Administration	<i>[Signature]</i> 3/13/15	(Initial)
Sent To Joint Fiscal Office		Date



STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

1. Agency:	Education		
2. Department:			
3. Program:	Early Education		
4. Legal Title of Grant:	Preschool Development Expansion Grant		
5. Federal Catalog #:	84.419		
6. Grant/Donor Name and Address: U.S. Department of Education			
7. Grant Period:	From:	1/1/2015	To: 12/31/2018
8. Purpose of Grant: The Preschool Development Grants Expansion Grant (PDG-Expansion) has two major purposes. One is to increase the number of four year olds from families at or below 200% of the Federal Poverty Level (FPL) who are enrolled in full day, quality prekindergarten programs, and the other is to increase the quality of prekindergarten programs for children in poverty in high needs communities. Grant funds will support 498 new prekindergarten slots in the first year of the grant and improve the quality of 795 existing slots for low income four year olds. Over the four years of the grant it is projected that 70.17% of eligible four year olds at or below 200% poverty in the state will benefit from increased access to and quality of a prekindergarten experience. Twenty six Vermont Supervisory Unions/Districts and all seven of Vermont's headstart programs formally agreed to participate in the grant as subgrantees; they will work with AOE staff to fulfill the grant's goals. All meet the requirement that 25% or more of their four year olds are at or below 200% of the FPL. In addition all seven of Vermont's Head Start programs have signed agreements to participate.			
9. Impact on existing program if grant is not Accepted: Act 166 provides universal access to prekindergarten. This grant provides the funding to improve quality at the same time as access is insured. If the grant is not accepted the work to improve quality will be much more difficult to achieve.			

	SFY 1 FY 2015	SFY 2 FY 2016	SFY 3 FY 2017	Comments
Expenditures:				
Personal Services	\$125,355	\$260,591	\$275,417	grant extends into FY19
Operating Expenses	\$39,085	\$53,984	\$54,415	
Grants	\$3,457,500	\$7,299,750	\$7,990,500	
Total	\$3,621,940	\$7,614,325	\$8,320,332	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$3,600,749	\$7,571,536	\$8,276,723	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$21,191	\$42,789	\$43,609	
Other Funds:	\$	\$	\$	

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STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

Grant (source)	\$	\$	\$
Total	\$3,621,940	\$7,614,325	\$8,320,332

Appropriation No:	5100010000	Amount:	\$71,200
	5100070000		\$3,550,740
			\$
			\$
			\$
			\$
			\$
		Total	\$3,621,940

Has current fiscal year budget detail been entered into Vantage? Yes No

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.
 Appointing Authority Name: _____ Agreed by: BJ (initial)

12. Limited Service Position Information:	# Positions	Title
	1	Grant Coordinator
	1	School Finance Analyst
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <u>[Signature]</u>	Date: <u>12-16-14</u>
	Title: <u>Dep. Secretary</u>	
	Signature: _____	Date: _____
	Title: _____	

Approved: _____ (Secretary or designee signature) _____ Deputy _____ Date: 03/13/15

Check One Box: Accepted _____ Date: 3/17/15
 Rejected _____ (Governor's signature) _____ Date: _____

Required GRANT Documentation

Received via email 2.20.15

STATE OF VERMONT
Joint Fiscal Committee Review
Limited Service - Grant Funded
Position Request Form

This form is to be used by agencies and departments when additional grant funded positions are being requested. Review and approval by the Department of Human Resources must be obtained prior to review by the Department of Finance and Management. The Department of Finance will forward requests to the Joint Fiscal Office for JFC review. A Request for Classification Review Form (RFR) and an updated organizational chart showing to whom the new position(s) would report must be attached to this form. Please attach additional pages as necessary to provide enough detail.

Agency/Department: Agency of Education Date: 2/18/15

Name and Phone (of the person completing this request): Karin Edwards, 479-1407

Request is for:

- Positions funded and attached to a new grant.
- Positions funded and attached to an existing grant approved by JFO # _____

1. Name of Granting Agency, Title of Grant, Grant Funding Detail (attach grant documents):
USDOE and Health and Human Services, Preschool Development Grant-Expansion

2. List below titles, number of positions in each title, program area, and limited service end date (information should be based on grant award and should match information provided on the RFR) position(s) will be established only after JFC final approval:

<u>Title* of Position(s) Requested</u>	<u># of Positions</u>	<u>Division/Program</u>	<u>Grant Funding Period/Anticipated End Date</u>
Education Programs Coordinator I	1	Pre-K through Middle School	Jan. 1, 2015-Dec. 30, 2018
Education Finance Analyst	1	Finance	Jan. 1 2015-Dec. 30, 2018

*Final determination of title and pay grade to be made by the Department of Human Resources Classification Division upon submission and review of Request for Classification Review.

3. Justification for this request as an essential grant program need:

These positions are needed to provide programmatic and financial technical assistance, support and monitoring for the 35 LEAs and HeadStart Programs as well as their partner private providers.

I certify that this information is correct and that necessary funding, space and equipment for the above position(s) are available (required by 32 VSA Sec. 5(b)).

[Signature] 2-20-2015
Signature of Agency or Department Head Date

[Signature] 2.25.15
Approved/Denied by Department of Human Resources Date

STW

[Signature] 3/13/15
Approved/Denied by Finance and Management Date

[Signature] 13/13/15
Approved/Denied by Secretary of Administration Date

Comments:

FEB 27 2015

MEMORANDUM

TO: Michael Clasen, Deputy Secretary, Agency of Administration
THRU: Jim Reardon, Commissioner, Department of Finance and Management
FROM: Sam Winship, Budget Analyst, Department of Finance and Management
RE: AA-1 Grant Acceptance from Agency of Education – Preschool Development Expansion Grant and Limited Service Position Request to support Grant
DATE: 3/6/2015

The enclosed is a federal grant award for \$33,392,282. This award is for the Preschool Development Expansion Grant, which will provide funding to facilitate the expansion of preschool programs to children from families below 200% of the Federal Poverty Level. The grant award will be disbursed from the Federal Government to the State over the course of four calendar and five fiscal years. The state will receive its final funding from the grant in state fiscal year 2019.

There are two Limited Service position requests that are being made to support the implementation and execution of the grant. These positions will be funded by 5% of the total grant amount, which is allotted for administrative expenses. The requested positions are an Education Financial Analyst and an Education Programs Coordinator. These new positions are being integrated into the current Agency structure. The Financial Analyst will be located under the Agency's Finance and Administration Division and the Program Coordinator will be working directly for the Director of the Pre-K through Middle School, Integrated Support for Learning Division.

The Education Programs Coordinator will work as the program manager. They will work with existing staff to implement the program and make awards to sub-grantees. This employee will also provide technical assistance to the grantees, communicate with stakeholders and work with an advisory board to support the program. The Education Financial Analyst will provide fiscal monitoring, instruct recipients in proper usage of their funds, will help recipients develop budgets and will write or co-write required fiscal reports.





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MEMORANDUM

TO: Joint Fiscal Office
FROM: Karin Edwards
SUBJECT: Preschool Developments Grants Expansion Grant
DATE: 12/12/2014

Here is the grant abstract. More information can be provided if required. While this grant is being administered by the Agency of Education, we will be working closely with Aly Richards from the Governor's Office on all aspects of the work.

The state of Vermont requests \$7,231,681 in Preschool Development Grants-Expansion Grant (PDG-Expansion) first year funds for the Vermont PDG-Expansion Initiative (the Program or Project), an ambitious but achievable plan including 498 new PreK slots and improving 795 existing slots for low-income four-year-olds in the first year of funding. These 1,293 PreK slots represents an expansion of access to a total of 49.90% of Vermont's eligible four-year-olds. By the end of the fourth year of funding, this number will rise to 1,818 or 70.17% of eligible four-year-olds statewide.

The portion of funds for administrative purposes (5%) will be used to hire Program and Fiscal Managers who will manage the PDG-Expansion implementation statewide; to fund a contract for external program evaluation; and limited expenses relating to travel and equipment.

The Grant Coordinator (who will serve as the program manager) will also provide technical assistance to subgrantees and facilitate and support a Professional Learning Community to enable subgrantees to share best practices, collaborate on training and professional development and support each other in developing high-quality preschool programs. This specific assistance was requested by the subgrantees during the proposal development process. The Fiscal Manager will provide technical assistance to subgrantees to properly braid various funding streams to enhance the sustainability of their PreK programs.

Private philanthropic dollars - part of Vermont's 58% match of requested funds - will support mentoring to increase the number of licensed early education teachers in Vermont.

Vermont has defined "high-needs communities" as Supervisory Unions (SUs) and Supervisory Districts (SDs) (LEAs) that have 25% or more of their children at or below 200% of the Federal Poverty Level (FPL). A total of 33 subgrantees (all seven of Vermont's Head Start programs and 26 of Vermont's Supervisory Unions and Districts) in approximately 150 high-needs communities statewide have formally agreed to participate. Thus, Vermont's PDG-Expansion project will have statewide reach.

Vermont has demonstrated a strong and enduring commitment to the early learning and development of young children and a record of accomplishment in providing high-quality early learning programs, and other programs and services, for children from birth to age five. Yet, work remains to be done. Vermont ranks high in access but does not fully achieve the quality defined as high quality preschool programs (HQPP), and that quality varies across individual programs in a mixed delivery model. Act 166, Vermont's universal PreK legislation, has been enacted but is primarily focused on access and equity. With universal voluntary access achieved, increasing quality and dosage in the state preschool program for four-year-olds under 200% FPL will be significantly accelerated with the resources requested in this proposal. This will help to close the achievement gap for poor children.

BUDGET PART II – VERMONT BUDGET NARRATIVE

OVERALL STATEWIDE BUDGET					
Budget Table I-1: Budget Summary by Budget Category					
(Evidence for selection criterion (G))					
Budget Categories	Grant Year 1	Grant Year 2	Grant Year 3	Grant Year 4	Total
1. Personnel	\$ 117,562	\$ 121,088	\$ 124,722	\$ 64,231	\$ 427,603
2. Fringe Benefits	\$ 41,456	\$ 42,286	\$ 43,130	\$ 21,997	\$ 148,869
3. Travel	\$ 8,500	\$ 8,500	\$ 8,500	\$ 6,000	\$ 31,500
4. Equipment	\$ 12,200				\$ 12,200
5. Supplies	\$ 100	\$ 100	\$ 100	\$ 50	\$ 350
6. Contractual	\$ 58,000	\$ 73,000	\$ 78,000	\$ 85,000	\$ 294,000
7. Training Stipends					\$ -
8. Other	\$ 11,480	\$ 11,500	\$ 11,520	\$ 5,770	\$ 40,270
9. Total Direct Costs (add lines 1-8)	\$ 249,298	\$ 256,474	\$ 265,972	\$ 183,048	\$ 954,792
10. Indirect Costs*	\$ 42,383	\$ 43,193	\$ 44,025	\$ 27,389	\$ 156,990
11. Funds to be distributed to Subgrantees	\$ 6,915,000	\$ 7,684,500	\$ 8,296,500	\$ 9,284,500	\$ 32,180,500
12. Funds set aside for participation in grantee technical assistance	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000	\$ 100,000
13. Total Grant Funds Requested (add lines 9-12)	\$ 7,231,681	\$ 8,009,167	\$ 8,631,497	\$ 9,519,937	\$ 33,392,282
14. Funds from other sources used to support the State's plan	\$ 4,064,000	\$ 4,439,000	\$ 4,898,000	\$ 5,639,000	\$ 19,040,000
15. Total Statewide Budget (add lines 13-14)	\$ 11,295,681	\$ 12,448,167	\$ 13,529,497	\$ 15,158,937	\$ 52,432,282