



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: James Reardon, Commissioner of Finance & Management
From: Nathan Lavery, Fiscal Analyst
Date: January 11, 2010
Subject: JFO #2419, #2420, #2421, #2422

No Joint Fiscal Committee member has requested that the following items be held for review:

JFO #2419 — \$1,198,956 grant from the U.S. Department Health and Human Services to the Vermont Department of Mental Health. These funds will be used to implement the Attachment, Self-Regulation and Competency (ARC) framework for complex trauma treatment aimed at improving outcomes for children ages 3 – 18 years old that have experienced complex trauma. **One (1) limited service position is associated with this request.**

[JFO received 12/8/09]

JFO #2420 — \$237,500 grant from the U.S. Department of Housing and Urban Development (HUD) to the Vermont Department of Children and Families, Office of Economic Opportunity. These grant funds will be used to cover building rehabilitation and/or new construction costs for seven homeless shelters across Vermont.

[JFO received 12/8/09]

JFO #2421 — \$250,000 grant from the U.S. Department Justice to the Vermont Judiciary. These grant funds will be used to support modeling (in Chittenden County) a statewide approach for creating integrated "criminal justice-capable" systems of care that divert persons with mental illness from the criminal justice system. **This request includes establishment of one (1) limited service position.**

[JFO received 12/8/09]

JFO #2422 — \$830,600 grant from the U.S. Centers for Disease Control and Prevention to the Vermont Department of Health, awarded under the American Recovery and Reinvestment Act. These grant funds will be used to establish an internal surveillance and prevention strategy designed to reduce the incidence of health care-associated infections. **This request includes establishment of one (1) limited service position.**

[JFO received 12/10/09]

The Governor's approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Michael Hartman, Commissioner
Wendy Davis, Commissioner
Stephen Dale, Commissioner
Robert Greemore, Court Administrator



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: December 9, 2009
Subject: Grant Requests

Enclosed please find five (5) requests that the Joint Fiscal Office has received from the administration. If approved, these requests would result in the establishment of 4 new limited service positions.

JFO #2418 — Request to **establish one (1) limited service position** in the Department of Public Safety. Funding for this position is provided by the \$3,061,782 Byrne Justice Assistance Grant awarded under the American Recovery and Reinvestment Act, and **expedited review of this item has been requested**. Joint Fiscal Committee members will be contacted within two weeks with a request to waive the statutory review period and accept this item. Note: The underlying grant was approved as part of the FY2010 budget (Sec. B.209).

[JFO received 12/3/09]

JFO #2419 — \$1,198,956 grant from the U.S. Department Health and Human Services to the Vermont Department of Mental Health. These funds will be used to implement the Attachment, Self-Regulation and Competency (ARC) framework for complex trauma treatment aimed at improving outcomes for children ages 3 – 18 years old that have experienced complex trauma. **One (1) limited service position is associated with this request**. Note: Request is comprised of selected pages from complete submission; additional information available upon request.

[JFO received 12/8/09]

JFO #2420 — \$237,500 grant from the U.S. Department of Housing and Urban Development (HUD) to the Vermont Department of Children and Families, Office of Economic Opportunity. These grant funds will be used to cover building rehabilitation and/or new construction costs for seven homeless shelters across Vermont in order to increase capacity or improve safety.

[JFO received 12/8/09]

JFO #2421 — \$250,000 grant from the U.S. Department Justice to the Vermont Judiciary. These grant funds will be used to support modeling (in Chittenden County) a statewide approach for creating integrated "criminal justice-capable" systems of care that divert persons with mental illness from the criminal justice system. **This request includes establishment of one (1) limited service position**.

[JFO received 12/8/09]

JFO #2422 — \$830,600 grant from the U.S. Centers for Disease Control and Prevention to the Vermont Department of Health, awarded under the American Recovery and Reinvestment Act. These grant funds will be used to establish an internal surveillance and prevention strategy designed to reduce the incidence of health care-associated infections. **This request includes establishment of one (1) limited service position.**

[JFO received 12/10/09]

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by December 23 we will assume that you agree to consider as final the Governor's acceptance of these requests.

cc: James Reardon, Commissioner
Thomas Tremblay, Commissioner
Wendy Davis, Commissioner
Stephen Dale, Commissioner
Robert Greemore, Court Administrator

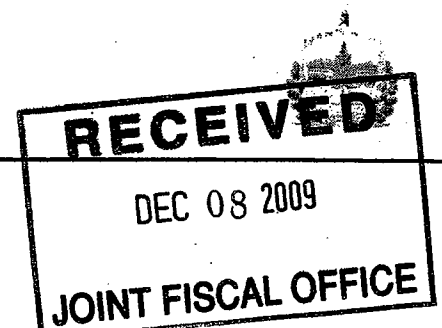
State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

Agency of Administration

STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		This grant is funding a Limited Service Project Manager position for the Chief Justice Task Force, Criminal Justice Capable Core team and Workgroup at 0.8 FTE. It also covers the cost of case manager for Chittenden County Treatment Courts (through a personal services consultant contract).			
Date:		11/25/2009			
Department:		Judiciary			
Legal Title of Grant:		BJA Justice & Mental Health Collaboration: Planning & Implementation			
Federal Catalog #:		16.745			
Grant/Donor Name and Address:		US Department of Justice, Bureau of Justice Assistance, 810 7 th St N.W., Washington, DC 20531			
Grant Period:		From:	To:		
		10/1/2009	3/31/2012		
Grant/Donation		\$250,000			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$116,600	\$112,600	\$20,800	\$250,000	
Position Information:		# Positions	Explanation/Comments		
		1	This is for a limited service project director for the grant and is 0.8 FTE		
Additional Comments:		This contract includes an in-kind match from the Chief Justice Task Force.			
Department of Finance & Management		JH		11/25/09	(Initial)
Secretary of Administration		Tom Hill		11/29/09	(Initial)
Sent To Joint Fiscal Office				12/4/09	Date



STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:	Judiciary			
2. Department:	Court Administrator's Office			
3. Program:	Chief Justice Task Force on Justice & MH (co-occurring) Collaboration			
4. Legal Title of Grant:	BJA Justice & Mental Health Collaboration: Planning & Implementation			
5. Federal Catalog #:	CFDA #16.745			
6. Grant/Donor Name and Address:				
Dept of Justice, Bureau of Justice Assistance, 810 7 th St. NW, Washington, DC 20531				
7. Grant Period:	From:	10/1/2009	To:	3/31/2012
8. Purpose of Grant:				
To provide funding for a Project Manager for the Chief Justice Task Force (tri-branch), the Criminal Justice Capable Core Team (implementation team) and Workgroup. To provide a case manager for the Chittenden County Treatment Courts initially evolving to a continuum of care coordinator for Chittenden County in years 2 & 3 using the sequential intercept model as the framework to divert individuals from the justice system.				
9. Impact on existing program if grant is not Accepted:				
In addition to needing help coordinating and expanding the Chief Justice Task Force and making it a true tri-branch task force, the Criminal Justice Capable Core Team will be severely limited in its ability to carry out the work of the Chief Justice (tri-branch) Task Force. Without an additional case manger the Chittenden Treatment Courts will not be able to increase its capacity nor will Chittenden County have the capability of creating a comprehensive flow to the continuum of services based on the sequential intercept model.				
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	
Expenditures:	FY 10	FY 11	FY 12	
Personal Services	\$135,750	\$134,750	\$34,667	
Operating Expenses	\$10,000	\$6,000	\$0	
Grants	\$	\$	\$	
Total	\$145,750	\$140,750	\$34,667	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$29,150	\$28,150	\$13,867	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$116,600	\$112,600	\$20,800	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$145,750	\$140,750	\$34,667	
Appropriation No: 0212000000				
Amount:			\$250,000	
			\$	

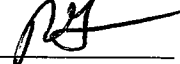
REC'D NOV 25 2009

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

			\$
			\$
			\$
			\$
			\$
			\$
		Total	\$

PERSONAL SERVICE INFORMATION


11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Robert Greemore Agreed by:  (initial)

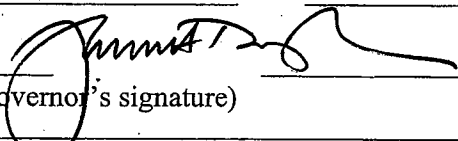
12. Limited Service Position Information:	# Positions	Title
	1	Project Director (.8)
Total Positions	1	

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

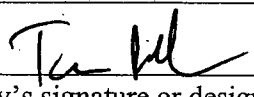
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <u></u>	Date: <u>11/25/09</u>
	Title: Court Administrator	
	Signature:	Date:
	Title:	

14. ACTION BY GOVERNOR

<input checked="" type="checkbox"/> Check One Box: Accepted	<u></u> (Governor's signature)	<u>12/2/09</u> Date:
<input type="checkbox"/> Rejected		

15. SECRETARY OF ADMINISTRATION

<input type="checkbox"/> Check One Box: Request to JFO	<u></u> (Secretary's signature or designee)	<u>11/3/09</u> Date:
<input type="checkbox"/> Information to JFO		

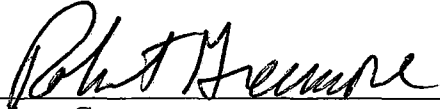
16. DOCUMENTATION REQUIRED

Required GRANT Documentation

<input type="checkbox"/> Request Memo	<input type="checkbox"/> Notice of Donation (if any)
<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input type="checkbox"/> Notice of Award	<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Grant Agreement	<input type="checkbox"/> Form AA-1PN attached (if applicable)
<input type="checkbox"/> Grant Budget	

End Form AA-1

Regarding the limited services position for the BJA Justice & Mental Health
Collaboration: Planning & Implementation Grant, we will follow the Vermont Judiciary's
process for classification and establishing this position.

A handwritten signature in black ink, appearing to read "Robert Greemore", written over a horizontal line.

Robert Greemore
Court Administrator



Department of Justice
Office of Justice Programs

Office of the Assistant Attorney General

Washington, D.C. 20531

September 1, 2009

Mr. Bob Greemore
Vermont Judiciary Courts
109 State Street
Montpelier, VT 05609

Dear Mr. Greemore:

On behalf of Attorney General Eric Holder, it is my pleasure to inform you that the Office of Justice Programs has approved your application for funding under the FY 09 Justice and Mental Health Collaboration Program: Planning and Implementation in the amount of \$250,000 for Vermont Judiciary Courts.

Enclosed you will find the Grant Award and Special Conditions documents. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact:

- Program Questions, Flora Lawson, Program Manager at (202) 305-9216; and
- Financial Questions, the Office of the Chief Financial Officer, Customer Service Center (CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in black ink, appearing to read "Laurie Robinson".

Laurie Robinson
Acting Assistant Attorney General

Enclosures

Attachment 1: Program Abstract and Narrative

The Vermont Court Administrator's Office and the Vermont Department of Mental Health are the joint applicants for the Funding Category: Planning and Implementation: \$250,000 for 30 months. The proposed scope of work will include: 1) local modeling (in Chittenden County) of a statewide approach for creating county level integrated "criminal justice-capable" systems of care that divert persons with mental illness and co-occurring disorders from entering or penetrating further into the criminal justice system; and 2) enhancement of the Chittenden County Integrated Treatment Courts. As such, this statement of need focuses on both Vermont as a whole and Chittenden County, the proposed modeling site.

Building on the strategic plan of the Vermont Chief Justice Task Force on Criminal Justice and Mental Health Collaboration, the project will utilize the Sequential Intercept Model as a framework for developing a local implementation plan that addresses six elements of a criminal justice-capable system of care. This plan will: 1) map community resources along each intercept point; 2) identify system gaps and needs at each point including, diversion strategies, workforce training, etc.; 3) develop local strategies and a time line for filling these gaps and addressing identified needs; and 4) assess the impact of system development activities upon the criminal justice diversion and recidivism of persons with mental illness or co-occurring disorders. During the implementation phase, the local plan will be operationalized and its success evaluated.

In addition, funding will be utilized to enhance the capacity of the Chittenden County Integrated Treatment Courts through the delivery of additional case management services targeted to the needs of system-involved women. This Project proposes to serve an additional 80 - 120 individuals in the Chittenden County Treatment Courts.

Vermont Criminal Justice Capable System of Care Budget (Oct. 2009 – April 2012)				
	Year 1	Year 2	Year 3	
	2009-2010	2010-2011	2011-2012	
	(12 months)	(12months)	(6months)	Total
A Personnel				
Project Manager (.8 FTE)	32,000	32,000	16,000	
Total Salaries	32,000	32,000	16,000	
B Fringe Benefits				
Fringe (30%)	9,600	9,600	4,800	
Total Fringe	9,600	9,600	4,800	
Total Personnel	41,600	41,600	20,800	104,000
C Travel (required/BJA)				
Ground, Air fare, Hotel, Meals	4,000	0	0	
Total Travel	4,000	0	0	4,000
D. Consultants/Contracts				
Case Management Contracts	65,000	65,000	0	
NAMI Conf & Guide	6,000	6,000		
Total Consultants/Contracts	71,000	71,000	0	142,000
E. In Kind/Match				
Chief Justice Task Force	29,150	28,150	13,867	71,167
Subtotal Costs	\$145,750	\$140,750	\$34,667	
Total Costs				
	Year 1	Year 2	Year 3	Total
	Federal : \$116,600	Federal: \$112,600	Federal: \$20,800	Federal: \$250,000
	In Kind: \$29,150	In Kind: \$28,150	In Kind: \$13,867	In Kind: \$71,167
	Total : \$145,750	Total:\$140,750	Total : \$34,667	Total: 321,167
	<i>Match Year 1</i>	<i>Match Year 2</i>	<i>Match Year 3</i>	
	$\frac{116,600}{.80} = 145,750$	$\frac{112,600}{.80} = 140,750$	$\frac{20,800}{.60} = 34,667$	
	$145,750 \times .20 = 29,150$	$140,750 \times .20 = 28,150$	$34,667 \times .40 = 13,867$	

Budget Worksheet and Narrative: Year 1

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.8 FTE x \$40,000 annual salary x 1 year	\$32,000
Grant Personnel Subtotal:		\$32,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force, the Criminal Justice Capable Implementation Team and the Local Interdisciplinary Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager		
FICA	7.65%	\$2,448
Medicare		\$500
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$6,522
Total:		\$9,600

C. Travel (Ground, Air, Hotels, Meals)

<u>Purpose of Travel</u>	<u>Location</u>	<u>Computation</u>	<u>Cost</u>
BJA Meeting (required)	TBA	Airfare: 4 people x \$500 ea. Hotel: 4 people x 2 nights x \$180 Ground: to airport, to hotel Meals: 4 people /\$50/day/2 days	\$2,000 \$1,440 \$ 160 \$ 400
Total:			\$4,000

Travel Narrative:

The BJA meeting is required under the Justice and Mental Health Collaboration Grant.

D. Consultants and Contracts

NAMI VT – Conference & Resource Guide

NAMI VT will add an extra day to its existing fall conference. In addition to the conference NAMI VT will update and distribute a resource guide for consumers and family members.

Staff time:	\$1,500
Costs related to conference (rent, food, materials, speakers) and Resource Guide:	\$4,500
Total:	\$6,000

Case Management Contracts/Narrative

The case management funding will be used to add capacity to these existing programs in Chittenden county to increase the numbers served. The local team and the state agencies will work on blended funding for the continuation and sustainability of case management services.

Case Management Contracts 1 FTE	\$65,000
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E. Other – In Kind

Chief Justice Task Force

Chief Justice – 2% of annual salary	\$2,000
2 Commissioners – 2.5% of annual salary	\$4,500
2 Deputy Commissioners – 2.5% of annual salary	\$3,500
Executive Director of VT CJTC – 5% of annual salary	\$3,750
Executive Director of State’s Attorney’s and Sheriffs	\$3,700
Defender General’s Office	\$3,700
Administrative Judge	\$2,500
Presiding Judge of Mental Health Court & Drug Court	\$2,500
NAMI VT Executive Director	\$3,000

Total In Kind: **\$29,150**

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Budget Worksheet and Narrative: Year 2

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.8 FTE x \$40,000 annual salary x 1 year	\$32,000
Grant Personnel Subtotal:		\$32,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force and the Criminal Justice Capable Implementation Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager		
FICA	7.65%	\$2,448
Medicare		\$500
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$6,522
Total:		\$9,600

C. Travel (Ground, Air, Hotels, Meals)
N/A for Year 2

D. Consultants and Contracts

NAMI VT – Conference & Resource Guide

NAMI VT will add an extra day to its existing fall conference. In addition to the conference NAMI VT will update and distribute a resource guide for consumers and family members.

Staff time:	\$1,500
Costs related to conference (rent, food, materials, speakers) and Resource Guide:	\$4,500
Total:	\$6,000

Case Management Contracts/Narrative

The case management funding will be used to add capacity to these existing programs in Chittenden county to increase the numbers served. The local team and the state agencies will work on blended funding for the continuation and sustainability of case management services.

Case Management Contracts 1 FTE **\$65,000**

E. Other – In Kind

Chief Justice Task Force

Chief Justice – 2% of annual salary	\$2,000
2 Commissioners – 2.5% of annual salary	\$4,500
2 Deputy Commissioners – 2.5% of annual salary	\$3,500
Executive Director of VT CJTC – 5% of annual salary	\$2,750
Executive Director of State’s Attorney’s and Sheriffs	\$3,700
Defender General’s Office	\$3,700
Administrative Judge	\$2,500
Presiding Judge of Mental Health Court & Drug Court	\$2,500
NAMI VT Executive Director	\$3,000

Total In Kind: **\$28,150**

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Budget Worksheet and Narrative: Year 3

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.40 x \$40,000 annual salary x 1 year	\$16,000
Grant Personnel Subtotal:		\$1 6,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force and the Criminal Justice Capable Implementation Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Coordinator		
FICA	7.65%	\$1,224
Medicare		\$300
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$3,146
Total:		\$4,800

C. Travel N/A

D. Consultants and Contracts

E. Other In Kind

*Chief Justice Task Force		
Chief Justice – 3% of annual salary		\$3,000
Administrative Judge 5%		\$4,500
NAMI VT Executive Director 10%		\$6,000
Subtotal for CJ Task Force:		\$13,167

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Vermont Project Time and Task Plan & Letters of Support - 2009

PROJECT TIMELINE

Phase 1: Planning

Goal: Systems Change – Develop a collaborative structure to guide the strategic, collaborative planning process. Use the Sequential Intercept Model as the Framework.

Objective: Create collaborative agreements among Task Force members and CJC Implementation Team members. Finalize CJC Implementation Plan

Date	Activity	Milestones	Key Staff
10/09	CJ-MHC & CJ Implementation Team reviews goals and objectives of program proposal and initiates action plan	Funds awarded. CJ MHC Task Force MOU signed	Project Director manager, CJ Task Force members
11/08	Project Manager hired	Project Manager begins work	Project Director, Implementation Team
11/08-4/09	CJC Implementation Team finalizes plan and develops collaborative agreements.	Plan finalized and approved by BJA and Task Force members. Collaborative agreement developed and signed.	Project Manager, CJC Implementation Team, CJ MHC Task Force
	CJC identifies data sources and develops collaborative agreements for data-sharing	Data sources identified and collaborative agreements to share data signed	Project Manager, CJC Implementation Team

Phase 2: Implementation

Goal: Utilize evidence-based practices to divert individuals with mental illness (cognitive and functional impairments) and reduce the recidivism of the mentally ill in the criminal justice system.

BJA Objectives: Increase number of court based diversion programs and alternatives jail diversion strategies.

Strategy: Expand and enhance the Chittenden County Treatment Courts.

Date	Activities	Milestones	Key Staff
10/09-12/09	Recruit and hire an additional Treatment Court case manager	Staff hired and oriented.	Project Director and Chittenden County Treatment Team
1/10-2/10	Introduce the concept and train on the Sequential Intercept Model; map community resources	Community resources are mapped to SIM, Treatment Court team understands their placement in the model	Project Director, Project Manager, CJC Implementation Team
1/10-4/10	Review use of evidence-based screening and assessment tools and treatment interventions	Treatment Court staff understand and integrate use of evidence-based tools and practices.	Project Director, Project Manager, Dept of Mental Health, Office of Alcohol and Drug Abuse Programs,
4/10-6/10	Refine data collection processes and evaluation protocols	Data collection & evaluation protocols finalized	Project Director, Program Manager/Evaluation specialist, team members
4/10-6/10	Finalize interagency agreements, contracts, billing procedures and fiscal controls, and reporting and monitoring procedures	Interagency agreements signed. Team MOU signed. Reporting and monitoring procedures in place.	Project Director, Program Manager, project partners

6/10-4/12	Ongoing case management services by new and existing staff	CM capacity increases as per stated goals and objectives	Case managers with team member support
6/10-4/12	Ongoing collection of data for new participants. Collections will be: when participant first enters the program, at discharge from the program, and 12 months post graduation.	Data will be collected from at least 85% of participants over the length of the project.	Coordinator, Case managers and Program Manager
6/10-4/12	Recidivism data will be collected on graduates up to one year following graduation.	Data will be collected from 80% of graduates for one year postgraduation	Project Manager/Evaluation Specialist
10/09-4/12	Managing continuation award process.	Adherence to and modification of project plan with BJA	Project Director, Program Manager

Goal: Cross-Training – Knowledge, Skills and Attitudes – Enhance the knowledge, skills and attitudes needed to provide an effective, integrated response to individuals with impaired decision-making or functioning involved or at risk of becoming involved in the criminal justice system.

BJA Objective: Increase number of criminal justice personnel trained in or using law-enforcement based diversion programs

Strategy: Create a menu of exiting trainings relevant to a Criminal Justice Capable System of Care for all disciplines

Date	Activity	Milestones	Key Staff
1/10-6/10	Map existing trainings	Training series mapped out.	Project Director, VISI, ADAP, CJ Task Force, VT Criminal Justice Training Council
6/10 – 4/12	Distribute ongoing professional development opportunities for team members	Publish draft schedule of opportunities	Project Director, ADAP, CJ Task Force, Field Services, VT Criminal Justice Training Council
6/10-4/12	Develop one day schedule to include in NAMI training	Agenda developed and delivered	NAMI, Project Director, ADAP
11/10 & 11/11	NAMI Conference – develop one full day workshop	Training conducted	NAMI, Project Director, ADAP

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
 [fax] 802-828-2428

STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		This grant is funding a Limited Service Project Manager position for the Chief Justice Task Force, Criminal Justice Capable Core team and Workgroup at 0.8 FTE. It also covers the cost of case manager for Chittenden County Treatment Courts (through a personal services consultant contract).			
Date:		11/25/2009			
Department:		Judiciary			
Legal Title of Grant:		BJA Justice & Mental Health Collaboration: Planning & Implementation			
Federal Catalog #:		16.745			
Grant/Donor Name and Address:		US Department of Justice, Bureau of Justice Assistance, 810 7 th St N.W., Washington, DC 20531			
Grant Period:		From:	To:		
		10/1/2009	3/31/2012		
Grant/Donation		\$250,000			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$116,600	\$112,600	\$20,800	\$250,000	
Position Information:		# Positions	Explanation/Comments		
		1	This is for a limited service project director for the grant and is 0.8 FTE		
Additional Comments:		This contract includes an in-kind match from the Chief Justice Task Force.			
Department of Finance & Management				<i>JH</i>	(Initial)
Secretary of Administration				<i>Tu hll</i>	(Initial)
Sent To Joint Fiscal Office				<i>12/4/09</i>	Date

RECEIVED
 DEC 08 2009
JOINT FISCAL OFFICE

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:	Judiciary			
2. Department:	Court Administrator's Office			
3. Program:	Chief Justice Task Force on Justice & MH (co-occurring) Collaboration			
4. Legal Title of Grant:	BJA Justice & Mental Health Collaboration: Planning & Implementation			
5. Federal Catalog #:	CFDA #16.745			
6. Grant/Donor Name and Address:				
Dept of Justice, Bureau of Justice Assistance, 810 7 th St. NW, Washington, DC 20531				
7. Grant Period:	From:	10/1/2009	To:	3/31/2012
8. Purpose of Grant:				
To provide funding for a Project Manager for the Chief Justice Task Force (tri-branch), the Criminal Justice Capable Core Team (implementation team) and Workgroup. To provide a case manager for the Chittenden County Treatment Courts initially evolving to a continuum of care coordinator for Chittenden County in years 2 & 3 using the sequential intercept model as the framework to divert individuals from the justice system.				
9. Impact on existing program if grant is not Accepted:				
In addition to needing help coordinating and expanding the Chief Justice Task Force and making it a true tri-branch task force, the Criminal Justice Capable Core Team will be severely limited in its ability to carry out the work of the Chief Justice (tri-branch) Task Force. Without an additional case manger the Chittenden Treatment Courts will not be able to increase its capacity nor will Chittenden County have the capability of creating a comprehensive flow to the continuum of services based on the sequential intercept model.				
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
	FY 10	FY 11	FY 12	
Expenditures:				
Personal Services	\$135,750	\$134,750	\$34,667	
Operating Expenses	\$10,000	\$6,000	\$0	
Grants	\$	\$	\$	
Total	\$145,750	\$140,750	\$34,667	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$29,150	\$28,150	\$13,867	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$116,600	\$112,600	\$20,800	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$145,750	\$140,750	\$34,667	
Appropriation No:	0212000000	Amount:	\$250,000	
			\$	


REC'D NOV 25 2009

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

			\$
			\$
			\$
			\$
			\$
		Total	\$

PERSONAL SERVICE INFORMATION


11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Robert Greemore Agreed by:  (initial)

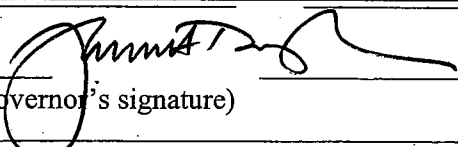
12. Limited Service Position Information:	# Positions	Title
	1	Project Director (.8)
Total Positions	1	

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

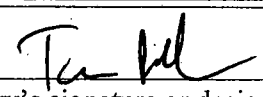
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <u></u>	Date: <u>11/25/09</u>
	Title: Court Administrator	
	Signature:	Date:
	Title:	

14. ACTION BY GOVERNOR

<input checked="" type="checkbox"/>	Check One Box: Accepted	<u></u> (Governor's signature)	Date: <u>12/2/09</u>
<input type="checkbox"/>	Rejected		Date:

15. SECRETARY OF ADMINISTRATION

<input type="checkbox"/>	Check One Box: Request to JFO	<u></u> (Secretary's signature or designee)	Date: <u>11/3/09</u>
<input type="checkbox"/>	Information to JFO		Date:

16. DOCUMENTATION REQUIRED

Required GRANT Documentation	
<input type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input type="checkbox"/> Notice of Award <input type="checkbox"/> Grant Agreement <input type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)

End Form AA-1

Regarding the limited services position for the BJA Justice & Mental Health
Collaboration: Planning & Implementation Grant, we will follow the Vermont Judiciary's
process for classification and establishing this position.

A handwritten signature in black ink, appearing to read "Robert Greemore", written over a horizontal line.

Robert Greemore
Court Administrator



Department of Justice
Office of Justice Programs

Office of the Assistant Attorney General

Washington, D.C. 20531

September 1, 2009

Mr. Bob Greemore
Vermont Judiciary Courts
109 State Street
Montpelier, VT 05609

Dear Mr. Greemore:

On behalf of Attorney General Eric Holder, it is my pleasure to inform you that the Office of Justice Programs has approved your application for funding under the FY 09 Justice and Mental Health Collaboration Program: Planning and Implementation in the amount of \$250,000 for Vermont Judiciary Courts.

Enclosed you will find the Grant Award and Special Conditions documents. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact:

- Program Questions, Flora Lawson, Program Manager at (202) 305-9216; and
- Financial Questions, the Office of the Chief Financial Officer, Customer Service Center (CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in black ink, appearing to read "Laurie Robinson".

Laurie Robinson
Acting Assistant Attorney General

Enclosures

Attachment 1: Program Abstract and Narrative

The Vermont Court Administrator's Office and the Vermont Department of Mental Health are the joint applicants for the Funding Category: Planning and Implementation: \$250,000 for 30 months. The proposed scope of work will include: 1) local modeling (in Chittenden County) of a statewide approach for creating county level integrated "criminal justice-capable" systems of care that divert persons with mental illness and co-occurring disorders from entering or penetrating further into the criminal justice system; and 2) enhancement of the Chittenden County Integrated Treatment Courts. As such, this statement of need focuses on both Vermont as a whole and Chittenden County, the proposed modeling site.

Building on the strategic plan of the Vermont Chief Justice Task Force on Criminal Justice and Mental Health Collaboration, the project will utilize the Sequential Intercept Model as a framework for developing a local implementation plan that addresses six elements of a criminal justice-capable system of care. This plan will: 1) map community resources along each intercept point; 2) identify system gaps and needs at each point including, diversion strategies, workforce training, etc.; 3) develop local strategies and a time line for filling these gaps and addressing identified needs; and 4) assess the impact of system development activities upon the criminal justice diversion and recidivism of persons with mental illness or co-occurring disorders. During the implementation phase, the local plan will be operationalized and its success evaluated.

In addition, funding will be utilized to enhance the capacity of the Chittenden County Integrated Treatment Courts through the delivery of additional case management services targeted to the needs of system-involved women. This Project proposes to serve an additional 80 - 120 individuals in the Chittenden County Treatment Courts.

Vermont Criminal Justice Capable System of Care Budget (Oct. 2009 – April 2012)				
	Year 1	Year 2	Year 3	
	2009-2010	2010-2011	2011-2012	
	(12 months)	(12months)	(6months)	Total
A Personnel				
Project Manager (.8 FTE)	32,000	32,000	16,000	
Total Salaries	32,000	32,000	16,000	
B Fringe Benefits				
Fringe (30%)	9,600	9,600	4,800	
Total Fringe	9,600	9,600	4,800	
Total Personnel	41,600	41,600	20,800	104,000
C Travel (required/BJA)				
Ground, Air fare, Hotel, Meals	4,000	0	0	
Total Travel	4,000	0	0	4,000
D. Consultants/Contracts				
Case Management Contracts	65,000	65,000	0	
NAMI Conf & Guide	6,000	6,000		
Total Consultants/Contracts	71,000	71,000	0	142,000
E. In Kind/Match				
Chief Justice Task Force	29,150	28,150	13,867	71,167
Subtotal Costs	\$145,750	\$140,750	\$34,667	
Total Costs				
	Year 1	Year 2	Year 3	Total
	Federal : \$116,600	Federal: \$112,600	Federal: \$20,800	Federal: \$250,000
	In Kind: \$29,150	In Kind: \$28,150	In Kind: \$13,867	In Kind: \$71,167
	Total : \$145,750	Total:\$140,750	Total : \$34,667	Total: 321,167
	<i>Match Year 1</i>	<i>Match Year 2</i>	<i>Match Year 3</i>	
	$\frac{116,600}{.80} = 145,750$	$\frac{112,600}{.80} = 140,750$	$\frac{20,800}{.60} = 34,667$	
	$145,750 \times .20 = 29,150$	$140,750 \times .20 = 28,150$	$34,667 \times .40 = 13,867$	

Budget Worksheet and Narrative: Year 1

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.8 FTE x \$40,000 annual salary x 1 year	\$32,000
Grant Personnel Subtotal:		\$32,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force, the Criminal Justice Capable Implementation Team and the Local Interdisciplinary Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager		
FICA	7.65%	\$2,448
Medicare		\$500
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$6,522
Total:		\$9,600

C. Travel (Ground, Air, Hotels, Meals)

<u>Purpose of Travel</u>	<u>Location</u>	<u>Computation</u>	<u>Cost</u>
<u>BJA Meeting</u> (required)	TBA	Airfare: 4 people x \$500 ea. Hotel: 4 people x 2 nights x \$180 Ground: to airport, to hotel Meals: 4 people /\$50/day/2 days	\$2,000 \$1,440 \$ 160 \$ 400
Total:			\$4,000

Travel Narrative:

The BJA meeting is required under the Justice and Mental Health Collaboration Grant.

D. Consultants and Contracts

NAMI VT – Conference & Resource Guide

NAMI VT will add an extra day to its existing fall conference. In addition to the conference NAMI VT will update and distribute a resource guide for consumers and family members.

Staff time:	\$1,500
Costs related to conference (rent, food, materials, speakers) and Resource Guide:	\$4,500
Total:	\$6,000

Case Management Contracts/Narrative

The case management funding will be used to add capacity to these existing programs in Chittenden county to increase the numbers served. The local team and the state agencies will work on blended funding for the continuation and sustainability of case management services.

Case Management Contracts 1 FTE	\$65,000
--	-----------------

E. Other – In Kind

Chief Justice Task Force

Chief Justice – 2% of annual salary	\$2,000
2 Commissioners – 2.5% of annual salary	\$4,500
2 Deputy Commissioners – 2.5% of annual salary	\$3,500
Executive Director of VT CJTC – 5% of annual salary	\$3,750
Executive Director of State’s Attorney’s and Sheriffs	\$3,700
Defender General’s Office	\$3,700
Administrative Judge	\$2,500
Presiding Judge of Mental Health Court & Drug Court	\$2,500
NAMI VT Executive Director	\$3,000

Total In Kind: \$29,150

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Budget Worksheet and Narrative: Year 2

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.8 FTE x \$40,000 annual salary x 1 year	\$32,000
Grant Personnel Subtotal:		\$32,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force and the Criminal Justice Capable Implementation Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager		
FICA	7.65%	\$2,448
Medicare		\$500
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$6,522
Total:		\$9,600

C. Travel (Ground, Air, Hotels, Meals)

N/A for Year 2

D. Consultants and Contracts

NAMI VT – Conference & Resource Guide

NAMI VT will add an extra day to its existing fall conference. In addition to the conference NAMI VT will update and distribute a resource guide for consumers and family members.

Staff time:	\$1,500
Costs related to conference (rent, food, materials, speakers) and Resource Guide:	\$4,500
Total:	\$6,000

Case Management Contracts/Narrative

The case management funding will be used to add capacity to these existing programs in Chittenden county to increase the numbers served. The local team and the state agencies will work on blended funding for the continuation and sustainability of case management services.

Case Management Contracts 1 FTE \$65,000

E. Other – In Kind

Chief Justice Task Force

Chief Justice – 2% of annual salary	\$2,000
2 Commissioners – 2.5% of annual salary	\$4,500
2 Deputy Commissioners – 2.5% of annual salary	\$3,500
Executive Director of VT CJTC – 5% of annual salary	\$2,750
Executive Director of State’s Attorney’s and Sheriffs	\$3,700
Defender General’s Office	\$3,700
Administrative Judge	\$2,500
Presiding Judge of Mental Health Court & Drug Court	\$2,500
NAMI VT Executive Director	\$3,000

Total In Kind: \$28,150

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Budget Worksheet and Narrative: Year 3

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.40 x \$40,000 annual salary x 1 year	\$16,000
Grant Personnel Subtotal:		\$1 6,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force and the Criminal Justice Capable Implementation Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Coordinator		
FICA	7.65%	\$1,224
Medicare		\$300
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$3,146
Total:		\$4,800

C. Travel N/A

D. Consultants and Contracts

E. Other In Kind

*Chief Justice Task Force		
Chief Justice – 3% of annual salary		\$3,000
Administrative Judge 5%		\$4,500
NAMI VT Executive Director 10%		\$6,000
Subtotal for CJ Task Force:		\$13,167

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Vermont Project Time and Task Plan & Letters of Support - 2009

PROJECT TIMELINE

Phase 1: Planning

Goal: Systems Change – Develop a collaborative structure to guide the strategic, collaborative planning process. Use the Sequential Intercept Model as the Framework.

Objective: Create collaborative agreements among Task Force members and CJC Implementation Team members. Finalize CJC Implementation Plan

Date	Activity	Milestones	Key Staff
10/09	CJ-MHC & CJ Implementation Team reviews goals and objectives of program proposal and initiates action plan	Funds awarded. CJ MHC Task Force MOU signed	Project Director manager, CJ Task Force members
11/08	Project Manager hired	Project Manager begins work	Project Director, Implementation Team
11/08-4/09	CJC Implementation Team finalizes plan and develops collaborative agreements.	Plan finalized and approved by BJA and Task Force members. Collaborative agreement developed and signed.	Project Manager, CJC Implementation Team, CJ MHC Task Force
	CJC identifies data sources and develops collaborative agreements for data-sharing	Data sources identified and collaborative agreements to share data signed	Project Manager, CJC Implementation Team

Phase 2: Implementation

Goal: Utilize evidence-based practices to divert individuals with mental illness (cognitive and functional impairments) and reduce the recidivism of the mentally ill in the criminal justice system.

BJA Objectives: Increase number of court based diversion programs and alternatives jail diversion strategies.

Strategy: Expand and enhance the Chittenden County Treatment Courts.

Date	Activities	Milestones	Key Staff
10/09-12/09	Recruit and hire an additional Treatment Court case manager	Staff hired and oriented.	Project Director and Chittenden County Treatment Team
1/10-2/10	Introduce the concept and train on the Sequential Intercept Model; map community resources	Community resources are mapped to SIM, Treatment Court team understands their placement in the model	Project Director, Project Manager, CJC Implementation Team
1/10-4/10	Review use of evidence-based screening and assessment tools and treatment interventions	Treatment Court staff understand and integrate use of evidence-based tools and practices.	Project Director, Project Manager, Dept of Mental Health, Office of Alcohol and Drug Abuse Programs,
4/10-6/10	Refine data collection processes and evaluation protocols	Data collection & evaluation protocols finalized	Project Director, Program Manager/Evaluation specialist, team members
4/10-6/10	Finalize interagency agreements, contracts, billing procedures and fiscal controls, and reporting and monitoring procedures	Interagency agreements signed. Team MOU signed. Reporting and monitoring procedures in place.	Project Director, Program Manager, project partners

6/10-4/12	Ongoing case management services by new and existing staff	CM capacity increases as per stated goals and objectives	Case managers with team member support
6/10-4/12	Ongoing collection of data for new participants. Collections will be: when participant first enters the program, at discharge from the program, and 12 months post graduation.	Data will be collected from at least 85% of participants over the length of the project.	Coordinator, Case managers and Program Manager
6/10-4/12	Recidivism data will be collected on graduates up to one year following graduation.	Data will be collected from 80% of graduates for one year postgraduation	Project Manager/Evaluation Specialist
10/09-4/12	Managing continuation award process.	Adherence to and modification of project plan with BJA	Project Director, Program Manager

Goal: Cross-Training – Knowledge, Skills and Attitudes – Enhance the knowledge, skills and attitudes needed to provide an effective, integrated response to individuals with impaired decision-making or functioning involved or at risk of becoming involved in the criminal justice system.

BJA Objective: Increase number of criminal justice personnel trained in or using law-enforcement based diversion programs

Strategy: Create a menu of exiting trainings relevant to a Criminal Justice Capable System of Care for all disciplines

Date	Activity	Milestones	Key Staff
1/10-6/10	Map existitng trainings	Training series mapped out.	Project Director, VISI, ADAP, CJ Task Force, VT Criminal Justice Training Council
6/10 – 4/12	Distribute ongoing professional development opportunities for team members	Publish draft schedule of opportunities	Project Director, ADAP, CJ Task Force, Field Services, VT Criminal Justice Training Council
6/10-4/12	Develop one day schedule to include in NAMI training	Agenda developed and delivered	NAMI, Project Director, ADAP
11/10 & 11/11	NAMI Conference – develop one full day workshop	Training conducted	NAMI, Project Director, ADAP



JFO 2421

State of Vermont
Department of Finance & Management
109 State Street, Pavilion Building
Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
[fax] 802-828-2428

**STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary:		This grant is funding a Limited Service Project Manager position for the Chief Justice Task Force, Criminal Justice Capable Core team and Workgroup at 0.8 FTE. It also covers the cost of case manager for Chittenden County Treatment Courts (through a personal services consultant contract).			
Date:		11/25/2009			
Department:		Judiciary			
Legal Title of Grant:		BJA Justice & Mental Health Collaboration: Planning & Implementation			
Federal Catalog #:		16.745			
Grant/Donor Name and Address:		US Department of Justice, Bureau of Justice Assistance, 810 7 th St N.W., Washington, DC 20531			
Grant Period:		From:	To:		
		10/1/2009	3/31/2012		
Grant/Donation		\$250,000			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$116,600	\$112,600	\$20,800	\$250,000	
Position Information:		# Positions	Explanation/Comments		
		1	This is for a limited service project director for the grant and is 0.8 FTE		
Additional Comments:		This contract includes an in-kind match from the Chief Justice Task Force.			
Department of Finance & Management				11/25/09	(Initial)
Secretary of Administration		Tm hll		11/29/09	(Initial)
Sent To Joint Fiscal Office				12/4/09	Date

RECEIVED
DEC 08 2009
JOINT FISCAL OFFICE

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:	Judiciary			
2. Department:	Court Administrator's Office			
3. Program:	Chief Justice Task Force on Justice & MH (co-occurring) Collaboration			
4. Legal Title of Grant:	BJA Justice & Mental Health Collaboration: Planning & Implementation			
5. Federal Catalog #:	CFDA #16.745			
6. Grant/Donor Name and Address:				
Dept of Justice, Bureau of Justice Assistance, 810 7 th St. NW, Washington, DC 20531				
7. Grant Period:	From:	10/1/2009	To:	3/31/2012
8. Purpose of Grant:				
To provide funding for a Project Manager for the Chief Justice Task Force (tri-branch), the Criminal Justice Capable Core Team (implementation team) and Workgroup. To provide a case manager for the Chittenden County Treatment Courts initially evolving to a continuum of care coordinator for Chittenden County in years 2 & 3 using the sequential intercept model as the framework to divert individuals from the justice system.				
9. Impact on existing program if grant is not Accepted:				
In addition to needing help coordinating and expanding the Chief Justice Task Force and making it a true tri-branch task force, the Criminal Justice Capable Core Team will be severely limited in its ability to carry out the work of the Chief Justice (tri-branch) Task Force. Without an additional case manger the Chittenden Treatment Courts will not be able to increase its capacity nor will Chittenden County have the capability of creating a comprehensive flow to the continuum of services based on the sequential intercept model.				
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 10	FY 11	FY 12	
Personal Services	\$135,750	\$134,750	\$34,667	
Operating Expenses	\$10,000	\$6,000	\$0	
Grants	\$	\$	\$	
Total	\$145,750	\$140,750	\$34,667	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$29,150	\$28,150	\$13,867	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$116,600	\$112,600	\$20,800	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$145,750	\$140,750	\$34,667	
Appropriation No: 0212000000				
Amount:			\$250,000	
			\$	

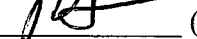
REC'D NOV 25 2009

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

				\$
				\$
				\$
				\$
				\$
				\$
			Total	\$

PERSONAL SERVICE INFORMATION


11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Robert Greemore Agreed by:  (initial)

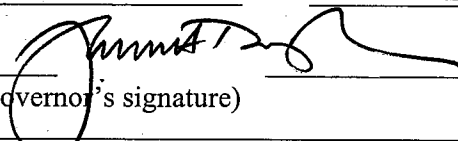
12. Limited Service Position Information:	# Positions	Title
	1	Project Director (.8)
Total Positions	1	

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

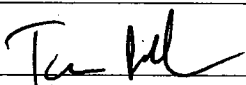
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <u></u>	Date: <u>11/25/09</u>
	Title: Court Administrator	
	Signature:	Date:
	Title:	

14. ACTION BY GOVERNOR

<input checked="" type="checkbox"/>	Check One Box: Accepted	<u></u> (Governor's signature)	
<input type="checkbox"/>	Rejected		Date: <u>12/2/09</u>

15. SECRETARY OF ADMINISTRATION

<input type="checkbox"/>	Check One Box: Request to JFO	<u></u> (Secretary's signature or designee)	
<input type="checkbox"/>	Information to JFO		Date: <u>11/3/09</u>

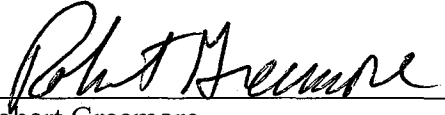
16. DOCUMENTATION REQUIRED

Required GRANT Documentation

<input type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input type="checkbox"/> Notice of Award <input type="checkbox"/> Grant Agreement <input type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)
---	--

End Form AA-1

Regarding the limited services position for the BJA Justice & Mental Health
Collaboration: Planning & Implementation Grant, we will follow the Vermont Judiciary's
process for classification and establishing this position.

A handwritten signature in cursive script, appearing to read "Robert Greemore", written over a horizontal line.

Robert Greemore
Court Administrator



Department of Justice
Office of Justice Programs

Office of the Assistant Attorney General

Washington, D.C. 20531

September 1, 2009

Mr. Bob Greemore
Vermont Judiciary Courts
109 State Street
Montpelier, VT 05609

Dear Mr. Greemore:

On behalf of Attorney General Eric Holder, it is my pleasure to inform you that the Office of Justice Programs has approved your application for funding under the FY 09 Justice and Mental Health Collaboration Program: Planning and Implementation in the amount of \$250,000 for Vermont Judiciary Courts.

Enclosed you will find the Grant Award and Special Conditions documents. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact:

- Program Questions, Flora Lawson, Program Manager at (202) 305-9216; and
- Financial Questions, the Office of the Chief Financial Officer, Customer Service Center (CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in black ink, appearing to read "Laurie Robinson".

Laurie Robinson
Acting Assistant Attorney General

Enclosures

Attachment 1: Program Abstract and Narrative

The Vermont Court Administrator's Office and the Vermont Department of Mental Health are the joint applicants for the Funding Category: Planning and Implementation: \$250,000 for 30 months. The proposed scope of work will include: 1) local modeling (in Chittenden County) of a statewide approach for creating county level integrated "criminal justice-capable" systems of care that divert persons with mental illness and co-occurring disorders from entering or penetrating further into the criminal justice system; and 2) enhancement of the Chittenden County Integrated Treatment Courts. As such, this statement of need focuses on both Vermont as a whole and Chittenden County, the proposed modeling site.

Building on the strategic plan of the Vermont Chief Justice Task Force on Criminal Justice and Mental Health Collaboration, the project will utilize the Sequential Intercept Model as a framework for developing a local implementation plan that addresses six elements of a criminal justice-capable system of care. This plan will: 1) map community resources along each intercept point; 2) identify system gaps and needs at each point including, diversion strategies, workforce training, etc.; 3) develop local strategies and a time line for filling these gaps and addressing identified needs; and 4) assess the impact of system development activities upon the criminal justice diversion and recidivism of persons with mental illness or co-occurring disorders. During the implementation phase, the local plan will be operationalized and its success evaluated.

In addition, funding will be utilized to enhance the capacity of the Chittenden County Integrated Treatment Courts through the delivery of additional case management services targeted to the needs of system-involved women. This Project proposes to serve an additional 80 - 120 individuals in the Chittenden County Treatment Courts.

Vermont Criminal Justice Capable System of Care Budget (Oct. 2009 – April 2012)				
	Year 1	Year 2	Year 3	
	2009-2010	2010-2011	2011-2012	
	(12 months)	(12months)	(6months)	Total
A Personnel				
Project Manager (.8 FTE)	32,000	32,000	16,000	
Total Salaries	32,000	32,000	16,000	
B Fringe Benefits				
Fringe (30%)	9,600	9,600	4,800	
Total Fringe	9,600	9,600	4,800	
Total Personnel	41,600	41,600	20,800	104,000
C Travel (required/BJA)				
Ground, Air fare, Hotel, Meals	4,000	0	0	
Total Travel	4,000	0	0	4,000
D. Consultants/Contracts				
Case Management Contracts	65,000	65,000	0	
NAMI Conf & Guide	6,000	6,000		
Total Consultants/Contracts	71,000	71,000	0	142,000
E. In Kind/Match				
Chief Justice Task Force	29,150	28,150	13,867	71,167
Subtotal Costs	\$145,750	\$140,750	\$34,667	
Total Costs				
	Year 1	Year 2	Year 3	Total
	Federal : \$116,600	Federal: \$112,600	Federal: \$20,800	Federal: \$250,000
	In Kind: \$29,150	In Kind: \$28,150	In Kind: \$13,867	In Kind: \$71,167
	Total : \$145,750	Total:\$140,750	Total : \$34,667	Total: 321,167
	Match Year 1	Match Year 2	Match Year 3	
	$\frac{116,600}{.80} = 145,750$	$\frac{112,600}{.80} = 140,750$	$\frac{20,800}{.60} = 34,667$	
	$145,750 \times .20 = 29,150$	$140,750 \times .20 = 28,150$	$34,667 \times .40 = 13,867$	

Budget Worksheet and Narrative: Year 1

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.8 FTE x \$40,000 annual salary x 1 year	\$32,000
Grant Personnel Subtotal:		\$32,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force, the Criminal Justice Capable Implementation Team and the Local Interdisciplinary Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager		
FICA	7.65%	\$2,448
Medicare		\$500
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$6,522
Total:		\$9,600

C. Travel (Ground, Air, Hotels, Meals)

<u>Purpose of Travel</u>	<u>Location</u>	<u>Computation</u>	<u>Cost</u>
BJA Meeting (required)	TBA	Airfare: 4 people x \$500 ea. Hotel: 4 people x 2 nights x \$180 Ground: to airport, to hotel Meals: 4 people /\$50/day/2 days	\$2,000 \$1,440 \$ 160 \$ 400
Total:			\$4,000

Travel Narrative:

The BJA meeting is required under the Justice and Mental Health Collaboration Grant.

D. Consultants and Contracts

NAMI VT – Conference & Resource Guide

NAMI VT will add an extra day to its existing fall conference. In addition to the conference NAMI VT will update and distribute a resource guide for consumers and family members.

Staff time:	\$1,500
Costs related to conference (rent, food, materials, speakers) and Resource Guide:	\$4,500
Total:	\$6,000

Case Management Contracts/Narrative

The case management funding will be used to add capacity to these existing programs in Chittenden county to increase the numbers served. The local team and the state agencies will work on blended funding for the continuation and sustainability of case management services.

Case Management Contracts 1 FTE	\$65,000
--	-----------------

E. Other – In Kind

Chief Justice Task Force

Chief Justice – 2% of annual salary	\$2,000
2 Commissioners – 2.5% of annual salary	\$4,500
2 Deputy Commissioners – 2.5% of annual salary	\$3,500
Executive Director of VT CJTC – 5% of annual salary	\$3,750
Executive Director of State’s Attorney’s and Sheriffs	\$3,700
Defender General’s Office	\$3,700
Administrative Judge	\$2,500
Presiding Judge of Mental Health Court & Drug Court	\$2,500
NAMI VT Executive Director	\$3,000

Total In Kind: \$29,150

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Budget Worksheet and Narrative: Year 2

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.8 FTE x \$40,000 annual salary x 1 year	\$32,000
Grant Personnel Subtotal:		\$32,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force and the Criminal Justice Capable Implementation Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager		
FICA	7.65%	\$2,448
Medicare		\$500
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$6,522
Total:		\$9,600

C. Travel (Ground, Air, Hotels, Meals)

N/A for Year 2

D. Consultants and Contracts

NAMI VT – Conference & Resource Guide

NAMI VT will add an extra day to its existing fall conference. In addition to the conference NAMI VT will update and distribute a resource guide for consumers and family members.

Staff time:	\$1,500
Costs related to conference (rent, food, materials, speakers) and Resource Guide:	\$4,500
Total:	\$6,000

Case Management Contracts/Narrative

The case management funding will be used to add capacity to these existing programs in Chittenden county to increase the numbers served. The local team and the state agencies will work on blended funding for the continuation and sustainability of case management services.

Case Management Contracts 1 FTE \$65,000

E. Other – In Kind

Chief Justice Task Force

Chief Justice – 2% of annual salary	\$2,000
2 Commissioners – 2.5% of annual salary	\$4,500
2 Deputy Commissioners – 2.5% of annual salary	\$3,500
Executive Director of VT CJTC – 5% of annual salary	\$2,750
Executive Director of State’s Attorney’s and Sheriffs	\$3,700
Defender General’s Office	\$3,700
Administrative Judge	\$2,500
Presiding Judge of Mental Health Court & Drug Court	\$2,500
NAMI VT Executive Director	\$3,000

Total In Kind: \$28,150

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Budget Worksheet and Narrative: Year 3

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.40 x \$40,000 annual salary x 1 year	\$16,000
Grant Personnel Subtotal:		\$1 6,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force and the Criminal Justice Capable Implementation Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Coordinator		
FICA	7.65%	\$1,224
Medicare		\$300
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$3,146
Total:		\$4,800

C. Travel N/A

D. Consultants and Contracts

E. Other In Kind

*Chief Justice Task Force		
Chief Justice – 3% of annual salary		\$3,000
Administrative Judge 5%		\$4,500
NAMI VT Executive Director 10%		\$6,000
Subtotal for CJ Task Force:		\$13,167

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Vermont Project Time and Task Plan & Letters of Support - 2009

PROJECT TIMELINE

Phase 1: Planning

Goal: Systems Change – Develop a collaborative structure to guide the strategic, collaborative planning process. Use the Sequential Intercept Model as the Framework.

Objective: Create collaborative agreements among Task Force members and CJC Implementation Team members. Finalize CJC Implementation Plan

Date	Activity	Milestones	Key Staff
10/09	CJ-MHC & CJ Implementation Team reviews goals and objectives of program proposal and initiates action plan	Funds awarded. CJ MHC Task Force MOU signed	Project Director manager, CJ Task Force members
11/08	Project Manager hired	Project Manager begins work	Project Director, Implementation Team
11/08-4/09	CJC Implementation Team finalizes plan and develops collaborative agreements. CJC identifies data sources and develops collaborative agreements for data-sharing	Plan finalized and approved by BJA and Task Force members. Collaborative agreement developed and signed. Data sources identified and collaborative agreements to share data signed	Project Manager, CJC Implementation Team, CJ MHC Task Force Project Manager, CJC Implementation Team

Phase 2: Implementation

Goal: Utilize evidence-based practices to divert individuals with mental illness (cognitive and functional impairments) and reduce the recidivism of the mentally ill in the criminal justice system.

BJA Objectives: Increase number of court based diversion programs and alternatives jail diversion strategies.

Strategy: Expand and enhance the Chittenden County Treatment Courts.

Date	Activities	Milestones	Key Staff
10/09-12/09	Recruit and hire an additional Treatment Court case manager	Staff hired and oriented.	Project Director and Chittenden County Treatment Team
1/10-2/10	Introduce the concept and train on the Sequential Intercept Model; map community resources	Community resources are mapped to SIM, Treatment Court team understands their placement in the model	Project Director, Project Manager, CJC Implementation Team
1/10-4/10	Review use of evidence-based screening and assessment tools and treatment interventions	Treatment Court staff understand and integrate use of evidence-based tools and practices.	Project Director, Project Manager, Dept of Mental Health, Office of Alcohol and Drug Abuse Programs,
4/10-6/10	Refine data collection processes and evaluation protocols	Data collection & evaluation protocols finalized	Project Director, Program Manager/Evaluation specialist, team members
4/10-6/10	Finalize interagency agreements, contracts, billing procedures and fiscal controls, and reporting and monitoring procedures	Interagency agreements signed. Team MOU signed. Reporting and monitoring procedures in place.	Project Director, Program Manager, project partners

6/10-4/12	Ongoing case management services by new and existing staff	CM capacity increases as per stated goals and objectives	Case managers with team member support
6/10-4/12	Ongoing collection of data for new participants. Collections will be: when participant first enters the program, at discharge from the program, and 12 months post graduation.	Data will be collected from at least 85% of participants over the length of the project.	Coordinator, Case managers and Program Manager
6/10-4/12	Recidivism data will be collected on graduates up to one year following graduation.	Data will be collected from 80% of graduates for one year postgraduation	Project Manager/Evaluation Specialist
10/09-4/12	Managing continuation award process.	Adherence to and modification of project plan with BJA	Project Director, Program Manager

Goal: Cross-Training – Knowledge, Skills and Attitudes – Enhance the knowledge, skills and attitudes needed to provide an effective, integrated response to individuals with impaired decision-making or functioning involved or at risk of becoming involved in the criminal justice system.

BJA Objective: Increase number of criminal justice personnel trained in or using law-enforcement based diversion programs

Strategy: Create a menu of exiting trainings relevant to a Criminal Justice Capable System of Care for all disciplines

Date	Activity	Milestones	Key Staff
1/10-6/10	Map existing trainings	Training series mapped out.	Project Director, VISI, ADAP, CJ Task Force, VT Criminal Justice Training Council
6/10 – 4/12	Distribute ongoing professional development opportunities for team members	Publish draft schedule of opportunities	Project Director, ADAP, CJ Task Force, Field Services, VT Criminal Justice Training Council
6/10-4/12	Develop one day schedule to include in NAMI training	Agenda developed and delivered	NAMI, Project Director, ADAP
11/10 & 11/11	NAMI Conference – develop one full day workshop	Training conducted	NAMI, Project Director, ADAP



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Representative William Lippert

From: Nathan Lavery, Fiscal Analyst

Date: December 9, 2009

Subject: JFO #2421

Representative Michael Obuchowski asked that I forward to you a copy of the enclosed grant materials and cover memo. He requests your observations regarding the enclosed item.

cc: Rep. Michael Obuchowski
Stephen Klein

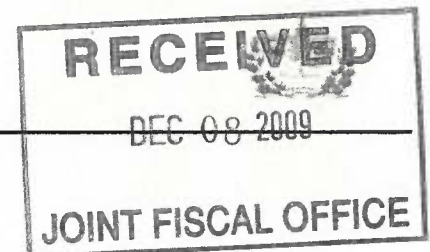
State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
 [fax] 802-828-2428

STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		This grant is funding a Limited Service Project Manager position for the Chief Justice Task Force, Criminal Justice Capable Core team and Workgroup at 0.8 FTE. It also covers the cost of case manager for Chittenden County Treatment Courts (through a personal services consultant contract).			
Date:		11/25/2009			
Department:		Judiciary			
Legal Title of Grant:		BJA Justice & Mental Health Collaboration: Planning & Implementation			
Federal Catalog #:		16.745			
Grant/Donor Name and Address:		US Department of Justice, Bureau of Justice Assistance, 810 7 th St N.W., Washington, DC 20531			
Grant Period:		From:	To:		
		10/1/2009	3/31/2012		
Grant/Donation		\$250,000			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$116,600	\$112,600	\$20,800	\$250,000	
Position Information:		# Positions	Explanation/Comments		
		1	This is for a limited service project director for the grant and is 0.8 FTE		
Additional Comments:		This contract includes an in-kind match from the Chief Justice Task Force.			
Department of Finance & Management		[Signature]		(Initial)	
Secretary of Administration		[Signature]		(Initial)	
Sent To Joint Fiscal Office		[Signature]		Date	
				12/4/09	



STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION

1. Agency:	Judiciary
2. Department:	Court Administrator's Office
3. Program:	Chief Justice Task Force on Justice & MH (co-occurring) Collaboration
4. Legal Title of Grant:	BJA Justice & Mental Health Collaboration: Planning & Implementation
5. Federal Catalog #:	CFDA #16.745

6. Grant/Donor Name and Address:
 Dept of Justice, Bureau of Justice Assistance, 810 7th St. NW, Washington, DC 20531

7. Grant Period:	From: 10/1/2009	To: 3/31/2012
-------------------------	------------------------	----------------------

8. Purpose of Grant:
 To provide funding for a Project Manager for the Chief Justice Task Force (tri-branch), the Criminal Justice Capable Core Team (implementation team) and Workgroup. To provide a case manager for the Chittenden County Treatment Courts initially evolving to a continuum of care coordinator for Chittenden County in years 2 & 3 using the sequential intercept model as the framework to divert individuals from the justice system.

9. Impact on existing program if grant is not Accepted:
 In addition to needing help coordinating and expanding the Chief Justice Task Force and making it a true tri-branch task force, the Criminal Justice Capable Core Team will be severely limited in its ability to carry out the work of the Chief Justice (tri-branch) Task Force. Without an additional case manger the Chittenden Treatment Courts will not be able to increase its capacity nor will Chittenden County have the capability of creating a comprehensive flow to the continuum of services based on the sequential intercept model.

10. BUDGET INFORMATION

	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 10	FY 11	FY 12	
Personal Services	\$135,750	\$134,750	\$34,667	
Operating Expenses	\$10,000	\$6,000	\$0	
Grants	\$	\$	\$	
Total	\$145,750	\$140,750	\$34,667	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$29,150	\$28,150	\$13,867	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$116,600	\$112,600	\$20,800	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$145,750	\$140,750	\$34,667	

Appropriation No:	0212000000	Amount:	\$250,000
			\$

REC'D NOV 25 2009

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

		\$
		\$
		\$
		\$
		\$
		\$
	Total	\$

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Robert Greemore Agreed by: RG (initial)

12. Limited Service Position Information:	# Positions	Title
	1	Project Director (.8)
Total Positions	1	

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

Signature: <u>Robert Greemore</u>	Date: <u>11/25/09</u>
Title: Court Administrator	
Signature:	Date:
Title:	

14. ACTION BY GOVERNOR

<input checked="" type="checkbox"/> Check One Box: Accepted	<u>[Signature]</u> (Governor's signature)	<u>12/2/09</u> Date:
<input type="checkbox"/> Rejected		

15. SECRETARY OF ADMINISTRATION

<input type="checkbox"/> Check One Box: Request to JFO	<u>[Signature]</u> (Secretary's signature or designee)	<u>11/3/09</u> Date:
<input type="checkbox"/> Information to JFO		

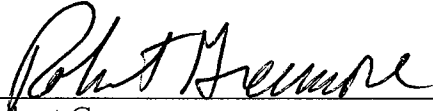
16. DOCUMENTATION REQUIRED

Required GRANT Documentation

<input type="checkbox"/> Request Memo	<input type="checkbox"/> Notice of Donation (if any)
<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input type="checkbox"/> Notice of Award	<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Grant Agreement	<input type="checkbox"/> Form AA-1PN attached (if applicable)
<input type="checkbox"/> Grant Budget	

End Form AA-1

Regarding the limited services position for the BJA Justice & Mental Health
Collaboration: Planning & Implementation Grant, we will follow the Vermont Judiciary's
process for classification and establishing this position.

A handwritten signature in cursive script, appearing to read "Robert Greemore", written over a horizontal line.

Robert Greemore
Court Administrator



Department of Justice
Office of Justice Programs

Office of the Assistant Attorney General

Washington, D.C. 20531

September 1, 2009

Mr. Bob Greemore
Vermont Judiciary Courts
109 State Street
Montpelier, VT 05609

Dear Mr. Greemore:

On behalf of Attorney General Eric Holder, it is my pleasure to inform you that the Office of Justice Programs has approved your application for funding under the FY 09 Justice and Mental Health Collaboration Program: Planning and Implementation in the amount of \$250,000 for Vermont Judiciary Courts.

Enclosed you will find the Grant Award and Special Conditions documents. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact:

- Program Questions, Flora Lawson, Program Manager at (202) 305-9216; and
- Financial Questions, the Office of the Chief Financial Officer, Customer Service Center (CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in black ink, appearing to read "Laurie Robinson".

Laurie Robinson
Acting Assistant Attorney General

Enclosures



Department of Justice
Office of Justice Programs
Office for Civil Rights

Washington, D.C. 20531

September 1, 2009

Mr. Bob Greemore
Vermont Judiciary Courts
109 State Street
Montpelier, VT 05609

Dear Mr. Greemore:

Congratulations on your recent award. In establishing financial assistance programs, Congress linked the receipt of Federal funding to compliance with Federal civil rights laws. The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice is responsible for ensuring that recipients of financial aid from OJP, its component offices and bureaus, the Office on Violence Against Women (OVW), and the Office of Community Oriented Policing Services (COPS) comply with applicable Federal civil rights statutes and regulations. We at OCR are available to help you and your organization meet the civil rights requirements that come with Justice Department funding.

Ensuring Access to Federally Assisted Programs

As you know, Federal laws prohibit recipients of financial assistance from discriminating on the basis of race, color, national origin, religion, sex, or disability in funded programs or activities, not only in respect to employment practices but also in the delivery of services or benefits. Federal law also prohibits funded programs or activities from discriminating on the basis of age in the delivery of services or benefits.

Providing Services to Limited English Proficiency (LEP) Individuals

In accordance with Department of Justice Guidance pertaining to Title VI of the Civil Rights Act of 1964, 42 U.S.C. § 2000d, recipients of Federal financial assistance must take reasonable steps to provide meaningful access to their programs and activities for persons with limited English proficiency (LEP). For more information on the civil rights responsibilities that recipients have in providing language services to LEP individuals, please see the website at <http://www.lep.gov>.

Ensuring Equal Treatment for Faith-Based Organizations

The Department of Justice has published a regulation specifically pertaining to the funding of faith-based organizations. In general, the regulation, Participation in Justice Department Programs by Religious Organizations; Providing for Equal Treatment of all Justice Department Program Participants, and known as the Equal Treatment Regulation 28 C.F.R. part 38, requires State Administering Agencies to treat these organizations the same as any other applicant or recipient. The regulation prohibits State Administering Agencies from making award or grant administration decisions on the basis of an organization's religious character or affiliation, religious name, or the religious composition of its board of directors.

The regulation also prohibits faith-based organizations from using financial assistance from the Department of Justice to fund inherently religious activities. While faith-based organizations can engage in non-funded inherently religious activities, they must be held separately from the Department of Justice funded program, and customers or beneficiaries cannot be compelled to participate in them. The Equal Treatment Regulation also makes clear that organizations participating in programs funded by the Department of Justice are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion. For more information on the regulation, please see OCR's website at <http://www.ojp.usdoj.gov/ocr/etfbo.htm>.

State Administering Agencies and faith-based organizations should also note that the Safe Streets Act, as amended; the Victims of Crime Act, as amended; and the Juvenile Justice and Delinquency Prevention Act, as amended, contain prohibitions against discrimination on the basis of religion in employment. Despite these nondiscrimination provisions, the Justice Department has concluded that the Religious Freedom Restoration Act (RFRA) is reasonably construed, on a case-by-case basis, to require that its funding agencies permit faith-based organizations applying for funding under the applicable program statutes both to receive DOJ funds and to continue considering religion when hiring staff, even if the statute that authorizes the funding program generally forbids considering of religion in employment decisions by grantees.

Questions about the regulation or the application of RFRA to the statutes that prohibit discrimination in employment may be directed to this Office.

Enforcing Civil Rights Laws

All recipients of Federal financial assistance, regardless of the particular funding source, the amount of the grant award, or the number of employees in the workforce, are subject to the prohibitions against unlawful discrimination. Accordingly, OCR investigates recipients that are the subject of discrimination complaints from both individuals and groups. In addition, based on regulatory criteria, OCR selects a number of recipients each year for compliance reviews, audits that require recipients to submit data showing that they are providing services equitably to all segments of their service population and that their employment practices meet equal employment opportunity standards.

Complying with the Safe Streets Act or Program Requirements

In addition to these general prohibitions, an organization which is a recipient of financial assistance subject to the nondiscrimination provisions of the Omnibus Crime Control and Safe Streets Act (Safe Streets Act) of 1968, 42 U.S.C. § 3789d(c), or other Federal grant program requirements, must meet two additional requirements: (1) complying with Federal regulations pertaining to the development of an Equal Employment Opportunity Plan (EEOP), 28 C.F.R. § 42.301-.308, and (2) submitting to OCR Findings of Discrimination (see 28 C.F.R. §§ 42.205(5) or 31.202(5)).

1) Meeting the EEOP Requirement

In accordance with Federal regulations, Assurance No. 6 in the Standard Assurances, COPS Assurance No. 8.B, or certain Federal grant program requirements, your organization must comply with the following EEOP reporting requirements:

If your organization has received an award for \$500,000 or more and has 50 or more employees (counting both full- and part-time employees but excluding political appointees), then it has to prepare an EEOP and submit it to OCR for review **within 60 days from the date of this letter**. For assistance in developing an EEOP, please consult OCR's website at <http://www.ojp.usdoj.gov/ocr/eeop.htm>. You may also request technical assistance from an EEOP specialist at OCR by dialing (202) 616-3208.

If your organization received an award between \$25,000 and \$500,000 and has 50 or more employees, your organization still has to prepare an EEOP, but it does not have to submit the EEOP to OCR for review. Instead, your organization has to maintain the EEOP on file and make it available for review on request. In addition, your organization has to complete Section B of the Certification Form and return it to OCR. The Certification Form can be found at <http://www.ojp.usdoj.gov/ocr/eeop.htm>.

If your organization received an award for less than \$25,000; or if your organization has less than 50 employees, regardless of the amount of the award; or if your organization is a medical institution, educational institution, nonprofit organization or Indian tribe, then your organization is exempt from the EEOP requirement. However, your organization must complete Section A of the Certification Form and return it to OCR. The Certification Form can be found at <http://www.ojp.usdoj.gov/ocr/eeop.htm>.

2) Submitting Findings of Discrimination

In the event a Federal or State court or Federal or State administrative agency makes an adverse finding of discrimination against your organization after a due process hearing, on the ground of race, color, religion, national origin, or sex, your organization must submit a copy of the finding to OCR for review.

Ensuring the Compliance of Subrecipients

If your organization makes subawards to other agencies, you are responsible for assuring that subrecipients also comply with all of the applicable Federal civil rights laws, including the requirements pertaining to developing and submitting an EEOP, reporting Findings of Discrimination, and providing language services to LEP persons. State agencies that make subawards must have in place standard grant assurances and review procedures to demonstrate that they are effectively monitoring the civil rights compliance of subrecipients.

If we can assist you in any way in fulfilling your civil rights responsibilities as a recipient of Federal funding, please call OCR at (202) 307-0690 or visit our website at <http://www.ojp.usdoj.gov/ocr/>.

Sincerely,



Michael L. Alston
Director

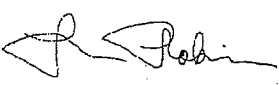

cc: Grant Manager
Financial Analyst



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

Grant

PAGE 1 OF 3

1. RECIPIENT NAME AND ADDRESS (Including Zip Code) Vermont Judiciary Courts 109 State Street Montpelier, VT 05609		4. AWARD NUMBER: 2009-MO-BX-0042	
		5. PROJECT PERIOD: FROM 10/01/2009 TO 03/31/2012 BUDGET PERIOD: FROM 10/01/2009 TO 03/31/2012	
1A. GRANTEE IRS/VENDOR NO. 036000301		6. AWARD DATE 09/01/2009	7. ACTION Initial
		8. SUPPLEMENT NUMBER 00	
		9. PREVIOUS AWARD AMOUNT \$ 0	
3. PROJECT TITLE Vermont Integrated System of Care		10. AMOUNT OF THIS AWARD \$ 250,000	
		11. TOTAL AWARD \$ 250,000	
12. SPECIAL CONDITIONS THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).			
13. STATUTORY AUTHORITY FOR GRANT This project is supported under FY09(BJA - JMHCP) 42 USC 3797aa			
15. METHOD OF PAYMENT PAPRS			
AGENCY APPROVAL		GRANTEE ACCEPTANCE	
16. TYPED NAME AND TITLE OF APPROVING OFFICIAL Laurie Robinson Acting Assistant Attorney General		18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Bob Greenore Senior Court Administrator	
17. SIGNATURE OF APPROVING OFFICIAL 		19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL 	19A. DATE
AGENCY USE ONLY			
20. ACCOUNTING CLASSIFICATION CODES FISCAL FUND BUD. DIV. YEAR CODE ACT. OFC. REG. SUB. POMS AMOUNT X B MO 80 00 00 250000		21. IMOUGT4273	

OJP FORM 4000/2 (REV. 5-87) PREVIOUS EDITIONS ARE OBSOLETE.

OJP FORM 4000/2 (REV. 4-88)



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Grant

PAGE 2 OF 3

PROJECT NUMBER 2009-MO-BX-0042

AWARD DATE 09/01/2009

SPECIAL CONDITIONS

1. The recipient agrees to comply with the financial and administrative requirements set forth in the current edition of the Office of Justice Programs (OJP) Financial Guide.
2. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302), that is approved by the Office for Civil Rights, is a violation of its Certified Assurances and may result in suspension or termination of funding, until such time as the recipient is in compliance.
3. The recipient agrees to comply with the organizational audit requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, and further understands and agrees that funds may be withheld, or other related requirements may be imposed, if outstanding audit issues (if any) from OMB Circular A-133 audits (and any other audits of OJP grant funds) are not satisfactorily and promptly addressed, as further described in the current edition of the OJP Financial Guide, Chapter 19.
4. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of OJP.
5. The recipient must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, contractor, subgrantee, subcontractor, or other person has either 1) submitted a false claim for grant funds under the False Claims Act; or 2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving grant funds. This condition also applies to any subrecipients. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by -

mail:

Office of the Inspector General
U.S. Department of Justice
Investigations Division
950 Pennsylvania Avenue, N.W.
Room 4706
Washington, DC 20530

e-mail: oig.hotline@usdoj.gov

hotline: (contact information in English and Spanish): (800) 869-4499

or hotline fax: (202) 616-9881

Additional information is available from the DOJ OIG website at www.usdoj.gov/oig.

6. The grant recipient agrees to complete and submit to BJA a copy of the Justice and Mental Health Collaboration Program (JMHCPC) Planning and Implementation Guide within 6 months from the project period start date. BJA will provide the grant recipient with instructions and assistance on how to complete the JMHCPC Planning and Implementation Guide. Once BJA has received and approved the grant recipient's completed JMHCPC Planning and Implementation Guide, BJA will notify the grant recipient to begin the project's implementation phase.
7. The recipient may not obligate, expend or draw down funds until the Office of the Chief Financial Officer (OCFO) has approved the budget and budget narrative and a Grant Adjustment Notice (GAN) has been issued to remove this special condition.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Grant

PAGE 3 OF 3

PROJECT NUMBER 2009-MO-BX-0042

AWARD DATE 09/01/2009

SPECIAL CONDITIONS

8. No portion of these federal grant funds shall be used towards any part of the annual cash compensation of any employee of the grantee whose total annual cash compensation exceeds 110% of the maximum salary payable to a member of the Federal government's Senior Executive Service at an agency with a Certified SES Performance Appraisal System for that year.

This prohibition may be waived on an individual basis at the discretion of the Assistant Attorney General for OJP.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

Washington, D.C. 20531

Memorandum To: Official Grant File

From: Maria A. Berry, Environmental Coordinator

Subject: Categorical Exclusion for Vermont Judiciary Courts

Awards under this program will be used to develop national demonstration, training, and technical assistance programs.

None of the following activities will be conducted whether under the Office of Justice Programs federal action or a related third party action:

- (1) New construction.
- (2) Any renovation or remodeling of a property located in an environmentally or historically sensitive area, including property (a) listed on or eligible for listing on the National Register of Historic Places, or (b) located within a 100-year flood plain, a wetland, or habitat for an endangered species;
- (3) A renovation which will change the basic prior use of a facility or significantly change its size.
- (4) Research and technology whose anticipated and future application could be expected to have an effect on the environment.
- (5) Implementation of a program involving the use of chemicals.

Additionally, the proposed action is neither a phase nor a segment of a project which when reviewed in its entirety would not meet the criteria for a categorical exclusion. Consequently, the subject federal action meets the Office of Justice Programs' criteria for a categorical exclusion as contained in paragraph 4(b) of Appendix D to Part 61 of the Code of Federal Regulations.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**GRANT MANAGER'S MEMORANDUM, PT. I:
PROJECT SUMMARY**

Grant

PROJECT NUMBER
2009-MO-BX-0042

PAGE 1 OF 1

This project is supported under FY09(BJA – JMHCP) 42 USC 3797aa

1. STAFF CONTACT (Name & telephone number)

Flora Lawson
(202) 305-9216

2. PROJECT DIRECTOR (Name, address & telephone number)

Karen S. Gennette
Vermont Treatment Court Coordinator
109 State St.
Montpelier, VT 05609
(802) 828-4913

3a. TITLE OF THE PROGRAM

BJA FY 09 Justice and Mental Health Collaboration Program: Planning and Implementation

3b. POMS CODE (SEE INSTRUCTIONS
ON REVERSE)

4. TITLE OF PROJECT

Vermont Integrated System of Care

5. NAME & ADDRESS OF GRANTEE

Vermont Judiciary Courts
109 State Street
Montpelier, VT 05609

6. NAME & ADDRESS OF SUBGRANTEE

7. PROGRAM PERIOD

FROM: 10/01/2009 TO: 03/31/2012

8. BUDGET PERIOD

FROM: 10/01/2009 TO: 03/31/2012

9. AMOUNT OF AWARD

\$ 250,000

10. DATE OF AWARD

09/01/2009

11. SECOND YEAR'S BUDGET

12. SECOND YEAR'S BUDGET AMOUNT

13. THIRD YEAR'S BUDGET PERIOD

14. THIRD YEAR'S BUDGET AMOUNT

15. SUMMARY DESCRIPTION OF PROJECT (See instruction on reverse)

The Justice and Mental Health Collaboration Program (JMHCP) seeks to increase public safety through an innovative, cross-system, collaborative response to individuals with mental illness who come in contact with the criminal or juvenile justice systems. This program is funded through Public Law 111-8 (Consolidated Appropriations Act, 2009). The program is designed to increase public safety by facilitating collaboration among the criminal justice, juvenile justice, and mental health and substance abuse treatment systems to increase access to services for offenders with mental illness. Activities under this initiative will encourage early intervention for "system-involved" individuals with mental illness; provide new and existing mental health courts with various treatment options; maximize diversion opportunities for non-violent offenders with mental illness and co-occurring disorders; promote training for justice and treatment professionals on criminal justice processed and mental health and substance abuse issues; and facilitate communication, collaboration, and the delivery of support services among justice professionals, treatment and related service providers, and governmental partners.

Attachment 1: Program Abstract and Narrative

The Vermont Court Administrator's Office and the Vermont Department of Mental Health are the joint applicants for the Funding Category: Planning and Implementation: \$250,000 for 30 months. The proposed scope of work will include: 1) local modeling (in Chittenden County) of a statewide approach for creating county level integrated “criminal justice-capable” systems of care that divert persons with mental illness and co-occurring disorders from entering or penetrating further into the criminal justice system; and 2) enhancement of the Chittenden County Integrated Treatment Courts. As such, this statement of need focuses on both Vermont as a whole and Chittenden County, the proposed modeling site.

Building on the strategic plan of the Vermont Chief Justice Task Force on Criminal Justice and Mental Health Collaboration, the project will utilize the Sequential Intercept Model as a framework for developing a local implementation plan that addresses six elements of a criminal justice-capable system of care. This plan will: 1) map community resources along each intercept point; 2) identify system gaps and needs at each point including, diversion strategies, workforce training, etc.; 3) develop local strategies and a time line for filling these gaps and addressing identified needs; and 4) assess the impact of system development activities upon the criminal justice diversion and recidivism of persons with mental illness or co-occurring disorders. During the implementation phase, the local plan will be operationalized and its success evaluated.

In addition, funding will be utilized to enhance the capacity of the Chittenden County Integrated Treatment Courts through the delivery of additional case management services targeted to the needs of system-involved women. This Project proposes to serve an additional 80 - 120 individuals in the Chittenden County Treatment Courts.

1. Statement of the Problem

Vermont is a small, mostly rural state with the population the size of a large city (623,908) (U.S. Census Bureau, 2006). In the past decade, Vermont's incarceration rate has grown dramatically – increasing by 73% relative to 19% growth for the nation as a whole (VT Department of Corrections, 2007). Moreover, in the next 10 years, the state prison population is projected to grow at three times the rate of the state's population overall, increasing an additional 23% in that time. (CSG, 2007; JFA Institute, 2007).

A recent point-in-time analysis of the prevalence of diagnosed behavioral health conditions in the state prison population revealed alarming trends. Among men, the rate of mental health diagnosis was greater in those convicted of violent felonies than among those convicted of property or drug crimes (41% vs. 27%) and males with six or more disciplinary violations were more likely to have a diagnosis of mental illness than those with no disciplinary violations. Most alarming of all, only 13% of those in need of treatment were receiving it. (Vermont DOC, 2007)

A survey of the mental health needs of persons incarcerated by the VT DOC in April 2008 showed that of 1468 men incarcerates, 459 (31%) had a diagnosis of mental illness; of the 137 women incarcerates, 83 (61%) had a diagnosis of mental illness. Of note was the fact that the women had a much lower rate of psychotic disorders than the men, and had a high rate of trauma-spectrum illness and/or cluster B personality disorders (e.g., borderline personality disorder). Much of the time, female inmates found to have mental illness were identified as having both trauma spectrum illness and borderline personality disorder. (VTDOC, 2008)

As is true of prison mental health treatment more generally, within the VTDOC, mental health services (i.e., screening, crisis intervention, and stabilization) are geared toward allowing prisoners with a mental illness to function in the general prison population rather than assertive

treatment. This makes diversion strategies to treatment and community supports for non-violent offenders all the more critical.

Chittenden County is the largest of Vermont's 14 counties, accounting for 24% of the state's population. The county is home to Burlington, Vermont's largest and most diverse city, which includes a Medically Underserved Area and has more Medicaid patients than any other area in the state. Chittenden County residents comprise a disproportionately large percentage of incarcerated offenders in Vermont, with, on any given day, 31% of male VDOC inmates and 46% of female inmates having been processed or sentenced through the Chittenden County Court (VDOC, 2007). The county is home to two correctional facilities – the Chittenden County Correctional Facility (capacity 197) and the Northwest State Correctional Facility (also known as St. Albans) the correctional facility that houses all female inmates (daily census 130 – 140).

Chittenden County has a number of diversion and re-entry initiatives in place, including two specialized Integrated Treatment Court tracks under the single “umbrella” of the District Court. The Chittenden County Adult Drug Integrated Treatment Court was initiated in March 2003. The Chittenden County Mental Health Court started shortly thereafter in June 2004. The Howard Center (the state's designated MH/SA agency in Chittenden County) provides the clinical services and supervision to both court programs. Participants must be at least 18 years old, have a diagnosed mental health and/or substance use disorder, and have been charged with crimes that would result in a minimum jail sentence of 18 months. Presently, the courts are staffed by two case managers who each manage a caseload of 20 participants. Each court serves approximately 35 – 40 adults per year. However, the Chittenden County Court processes almost 1,000 felony and more than 3,000 misdemeanor cases annually. Given the prevalence of mental health and co-occurring conditions noted earlier, it is clear that the need for court diversion far, far outstrips

current capacity. The need is particularly great with regard to addressing the needs of women, given the dramatically higher prevalence of mental health conditions found among Vermont female incarcerates. For this reason, if funded, the project will hire a Treatment Court Case Manager specializing in the mental health conditions and needs of women.

Chittenden County also has a re-entry project, which helps adults leaving the correctional setting resume life in their community. The re-entry project assists with housing, employment, community stabilization, re-building family relationships and other informal supports. Also one of the three DETER (Drug, Education, Treatment, Enforcement, and Rehabilitation) Projects is also located in Chittenden County. DETER, in its third year, was originally designed to prevent women from becoming involved in the criminal justice system and is being revised to focus on women with a higher level of need. DETER and this project will work closely to meet the needs of women with criminal justice involvement.

As well, the county is the pilot site for MHISSION-VT, a 5-year SAMHSA-funded intergovernmental project intended to address the needs of Vermont veterans and other adults with trauma spectrum-illness, traumatic brain injury (TBI), serious mental illness and/or substance abuse who are or who are likely to become involved with the CJ system through identification, screening/assessment, and diversion from the CJ system to evidence-based treatment and supports. While the immediate focus is on veterans and other adults with trauma-spectrum illness, the project is designed to result in much broader systems change for persons with behavioral health disabilities and CJ involvement. The project will integrate clinical care at all levels regardless of where it is provided, facilitate regulatory management, guide clinical practices, and create a clinical outcomes data warehouse for retrospective and prospective decision support regarding clinical, administrative and financial matters. It will also

institutionalize relationships among law enforcement, corrections, and human services through cross-systems state and local planning, data sharing agreements and protocols, state level policy development, and cross-systems training. To reduce duplication and enhance synergy of effort and resources, the Chittenden County Local Advisory Committee will oversee both the MHISSION-VT initiative and for the project proposed herein.

2. Project Design and Implementation

Planning. Efforts are currently underway in Vermont to use the Sequential Intercept Model as the framework for integrating services in the criminal justice system throughout the State. The Sequential Intercept Model “provides a conceptual framework for communities to use when considering the interface between the criminal justice and mental health systems as they address concerns about criminalization of people with mental illness.” (Munetz and Griffin, 2006). The model envisions a series of interception points at which an intervention can be made to prevent individuals from entering or penetrating deeper into the criminal justice system. The goal of this model is that most people be intercepted at early points in the intercept continuum, with decreasing numbers at each subsequent point.

The Sequential Intercept Model (SIM) runs through a broad spectrum of planning and service initiatives in Vermont, including the Council of State Governments' Vermont Justice Reinvestment Initiative; the Substance Abuse Treatment Study Committee; the Vermont Integrated Services Initiative; the Incarcerated Women's Initiative; and, most recently, the MHISSION-VT initiative (described previously). As well, SIM was the framework adopted to guide the strategic planning activities of the Chief Justice Task Force on Criminal Justice and Mental Health Collaboration (CJ-MHC Task Force). The CJ-MHC Task Force involves all three branches of government as well as advocates and consumers. It was convened by the Vermont

Supreme Court in August 2007 and charged with development and implementation of a statewide strategy to improve the response to individuals with mental illness and co-occurring disorders who are involved with or at risk of becoming involved with the criminal justice system, with a focus on the front end of the criminal justice system including pre-arrest, pre-trial detention, and sentencing intercepts. In July 2008, a CJ-MHC Task Force Strategic Plan was finalized. The Strategic Plan is attached and includes a list of Task Force members. The Task Force membership includes the participation of all relevant agencies, advocates and consumers and will continue to guide the strategic, collaborative planning process. A new designation and charge will be issued by the Vermont Supreme Court for the oversight of the implementation plan, charted below, through this project. The CJ-MHC Task Force members will develop and sign a three-branch memorandum of understanding as a commitment to this work.

The Plan envisions the creation of an integrated “criminal justice-capable” system of services and supports responsive to the interconnections between emergency services, law enforcement, the legal system, corrections, behavioral health treatment, and other human/support services, and it outlines specific goals and objectives for the creation of such a system with a focus on: 1) Development of an integrated approach to information-sharing, assessment, case management, and services. 2) Expansion of a range of effective diversion strategies. 3) Cross-systems workforce development education/training to foster the knowledge, skills and attitudes needed to provide an effective, integrated response.

Successful implementation of this plan hinges, in significant part, upon the retrofitting of local systems of care. To this end, this proposal requests funding to support the development of a process for creating and evaluating the effectiveness of county-level criminal justice-capable systems utilizing the Sequential Intercept Model. Development of this implementation process

will leverage the resources and activities of the initiatives noted above and use the SIM framework to: 1) map community resources along each intercept point; 2) identify system gaps and needs at each point including, diversion strategies, workforce training, etc.; 3) develop local strategies for filling these gaps and addressing identified needs; and 4) assess the impact of system development activities upon the criminal justice diversion and recidivism of persons with mental illness or co-occurring disorders. This planning process will be undertaken by county-level Local Interdisciplinary Teams (LITs) involving key stakeholders across law enforcement, CJ, treatment, advocates and community support systems.

Based upon need and its current level of system development, Chittenden County has been selected as the site for developing and piloting this local implementation process. During the first 6 months of grant funding, the Project Manager will work with the CJ-MHC Task Force, the Criminal Justice Capable Implementation Team (the work group assigned by the Task Force to guide the Strategic Plan implementation process), and the Chittenden County Local Interdisciplinary Team (LIT) to model this process within the Chittenden County system(s) and develop a local systems improvement plan. The LIT will be comprised of the Integrated Treatment Courts Coordinator, AHS Chittenden Field Coordinator, the Executive Director of the Howard Center, advocates, consumers/family members, Director of Probation, State's Attorney Office, law enforcement, Public Defenders Office, Veterans Affairs Outpatient Clinics, and will meet monthly. A major benefit of folding this process within existing ones is that it leverages resources. Thus, GAINS Center training on use of SIM for systems planning will be provided to this project as a result of the Chittenden LIT overseeing both the MHISSION initiative and the project described herein.

The remaining two years of the grant period will be used to implement and evaluate this local CJ capable improvement plan. Implementation will be overseen by the Criminal Justice Capable Implementation Team, and reported to the CJ-MHC Task Force.

Implementation. Target population, goals, and objectives. The project's target population is reflective of the CJ-MHC Task Force defined population: Chittenden County adults aged 18 or older whose conditions result in cognitive impairment, functional impairment, and impairment in decision-making. This includes individuals with mental health conditions, substance abuse conditions, and other conditions that affect functional ability (e.g., developmental disabilities, autism spectrum disorders, traumatic brain injury) and who are involved or at risk of involvement in the CJ system due to non-violent, non-sexual offenses.

The project's goal is to increase the number of adults with mental illness and co-occurring disorders who are diverted from the CJ system, looking at the resources through the lens of the Sequential Intercept Model, and prevent their recidivism through increased access to diversion strategies and a CJ-capable system of services and supports. In addition, the team will use the data collected to inform the strategic planning process and will develop a feedback loop for program improvement. The specific objectives of the project focus on achievement of the following six CJ-capable systems elements:

1. Adoption of the Sequential Intercept Model as the framework to integrate the criminal justice, judicial and health and human service systems and within which to identify, organize and provide services at each interception point.
2. Coordinated services and unified service plans developed through a common case management or "teaming" approach that ensures a continuum of community-based

treatment and service supports ranging from traditional and intensive treatment options to recovery options.

3. The development of implementation support tools and training that will teach individuals about impairments due to mental health and substance use conditions in order to facilitate the use of alternatives to the criminal justice system.
4. Implementation of evidenced-based screening at each intercept point and when needed assessment tools for substance use and mental health (co-occurring conditions) and protocols for information sharing.
5. Targeted community strategies to increase diversion of people whose conditions result in impaired decision-making or functioning from the criminal justice system to the appropriate level of care
6. The integration of this Model with other state and local initiatives including the Incarcerated Women's Initiative, the Co-occurring Initiative, Trauma, Domestic Violence and Housing, and MHISSION-VT.

The following table, developed by the CJC Implementation Team, illustrates the process to be undertaken to achieve these six system elements and the outcomes by which its success will be measured.

IMPLEMENTING A CRIMINAL JUSTICE CAPABLE SYSTEM OF CARE

Element	What's In Place	What's Needed	Outcomes/ Measures	Who's Responsible	Time Line
Adoption of Sequential Intercept Model (SIM): An Integration of APIC & SIM	<ul style="list-style-type: none"> * SIM Chart by county * Local interdisciplinary teams (LATs) 	<ul style="list-style-type: none"> * Define roles & responsibilities * Develop integrated funding models * Develop consistent service delivery expectations for community providers & AHS staff 	<ul style="list-style-type: none"> * 3-branch agreement developed at State level; agreement with other key partners from CJ Task Force * Formal statement of adoption through Chief Justice's Task Force on Co-Occurring Disorders * Performance measures developed 	Leadership & All	1st 6 mos
Coordination of plan/team	<ul style="list-style-type: none"> * SIM Chart by county * Interdisciplinary training curriculum * LATs 	<ul style="list-style-type: none"> * Implementation teams with champions in each agency/area that are criminal justice capable * Direction on implementation <ul style="list-style-type: none"> Clarification of roles Unified Treatment Plan protocols Protocols for decision making Map the SIM Model for each county/area 	<ul style="list-style-type: none"> * MOUs developed at community level * Policies & Protocols developed * Community Resources mapped by SIM Chart * Evaluation of Court Pilot (H859) * Evaluation of interdisciplinary team model and initiative 	CJ Core Team; Key Managers; Agreement with treatment providers	12 mos
Tools & Training/T.A.	<ul style="list-style-type: none"> * Co-occurring framework * Interdisciplinary teaming training/T.A. * Corrections Institute * VISI Case Presentation Summary Form * COD E-Learning website 	<ul style="list-style-type: none"> * Regional trainings & T.A. * Protocols for sharing clinical information & training to support the protocols * Policy to guide case content to be shared * Locus of ownership for interdisciplinary teaming training & implementation 	<ul style="list-style-type: none"> * Evidence-based, standardized, normed & validated tools identified & use documented * Manuals & procedures developed 	Workforce Development staff -- ADAP, DOC, Court, DCF	Ongoing
Screening at intercept points	<ul style="list-style-type: none"> * VISI Users guide (evidence-based tools) * Court Screening Pilot 	<ul style="list-style-type: none"> * Regional trainings & T.A. * Agreement on key data points * Method to collect data 	<ul style="list-style-type: none"> * % assessed with common screening & assessment tools, inc. CJ risk assessment * Treatment retention rates * Length of stay in TX * Percent completed TX 	CJ Core Team & assigned staff	6 mos.
Diversion strategies	<ul style="list-style-type: none"> * Interdisciplinary Teaming training * "Meet the Judge" sessions * Drug courts * DETER pilots * IWI Initiative (Incarcerated Women's Init) * County Chart lists others * DOC Diversion programs (i.e., ISAP) 	<ul style="list-style-type: none"> * Bring to scale via dissemination & regional supports; coordinate with Judiciary & other key partners 	<ul style="list-style-type: none"> * Percent diverted to treatment * Percent incarcerated * Recidivism / rearrest / reconviction * Decrease in property and drug offenses being incarcerated * Cost effectiveness/DOC cost reductions 	CJ Core Team Committee	12-18 mos.
Integration with other initiatives	<ul style="list-style-type: none"> * Common concepts 	<ul style="list-style-type: none"> * Statewide dissemination * Publish tools & guidelines * Shared outcomes 	<ul style="list-style-type: none"> * Develop evaluation approach * Outcomes to be developed * Implementation of SIM Model by all partners 	New Agency Team; CJ Core Team CJ Task Force	12-18 mos.

How resources will support delivery of needed services. In addition to supporting the systems change process in Chittenden County, grant resources will be used to enhance the capacity of the county's two Integrated Treatment Courts, with a particular focus on meeting the needs of women. To this end, a Case Manager will be hired with experience in women's mental health issues and trauma-informed care. This case manager will be responsible for establishing rapport and engaging system-involved women in treatment court services, facilitating access to benefits, evidence-based treatment, and supports; and promoting retention in treatment and support services. The hiring of this case management staff coupled with an additional case manager who will be hired through the MHISSION initiative to focus on veterans and other adults with trauma-spectrum disorders, will virtually double the capacity of the Integrated Treatment Courts, permitting the courts to serve an estimated 80-120 adults annually.

Screening, assessment, and treatment supports. All candidates for the Chittenden County Integrated Treatment Court will be screened using the following evidence-based tools:

- 1) GAIN-Short Screen (GAIN-SS), for mental illness, substance abuse, criminal behavior;
- 2) SOCRATES (Stages of Change Readiness and Treatment Eagerness Scale), which is designed to assess an individual's motivation to change behavior regarding alcohol use.
- 3) PCL (Posttraumatic Stress Disorder Checklist), which screens for trauma symptoms (there are Civilian and Military versions – the PCL-M will be used to screen veterans);

As warranted based on screening, the following assessments will also be conducted:

- 1) The full GAIN will be used to assess/diagnose mental illness. The Global Appraisal of Individual needs is an evidence-based assessment. The GAIN has eight core sections (Background, Substance Use, Physical Health, Risk Behaviors and Disease Prevention, Mental and Emotional Health, Environment and Living Situation, Legal, and Vocational).

- 2) ASI (Addiction Severity Index), a semi-structured interview designed to address seven potential problem areas in substance-abusing clients including medical status, employment and support, drug use, alcohol use, legal status, family/social status, and psychiatric status. The ASI results, in conjunction with an ASAM criteria assessment will determine the level of treatment services recommended for the participant.
- 3) CAPS (Clinician Administered PTSD Scale), a semi-structured interview used to make current and lifetime PTSD diagnoses.

Offenders who meet treatment court inclusion criteria as described above and agree to participate in the treatment court program are served using the evidence-based Assertive Community Treatment (ACT) model, which is designed to provide comprehensive, community-based co-occurring treatment, rehabilitation, and support to persons with behavioral health problems. In keeping with this model, the treatment court clinical team serves as both brokers of service and providers of service. Treatment options available in the community include: a) residential treatment; b) intensive outpatient services; c) individual counseling; d) partial hospitalization; e) 12-Step Recovery; and f) Rational Recovery. The clinical team provides group treatment in conjunction with the Howard Center's Co-Occurring Disorders Treatment Program including the following evidence-based treatments and recovery supports: Motivational Enhancement; Women's Seeking Safety (Lisa Najavits, Ph.D.); Thinking your way to healthy living (based on NIC's Thinking for a Change); W.R.A.P. (Wellness Recovery Action Plan) (Mary Ellen Copeland); Life Skills; Co-Occurring Disorders Treatment Program with a) Pre-Contemplative/Contemplative SA Treatment Group; b) Preparation/Action SA Treatment Group; c) Basic Social Skills Group; d) Women's Issues Group; and e) Health Group. In addition, through a wide range of collaborative relationships, treatment court case management staff

ensure that participants are linked to and receive services and resources to address employment, health, housing and other recovery support needs. The Chittenden Treatment Courts have served and are currently serving individuals with developmental disabilities and learning impairments. A representative with the Department of Disabilities, Aging and Independent Living is a member of the Task Force, a representative of the Vermont Legal Aid Disabilities Project and Vermont Protection and Advocacy are active participants. These individuals have been and will continue to help with thinking through the issues and service needs of this population.

During the 2-year implementation phase of the project, it is anticipated that 200-300 adults entering the CJ system will be screened for mental health (including trauma-spectrum illness) and co-occurring substance use disorders, and 160-240 of those who meet Integrated Treatment Court inclusion criteria will be adjudicated, case managed, and diverted to community-based treatment and supports through the Chittenden treatment court. In addition, over this same time period, an excess of 15,000 intakes to the Chittenden Correctional Facility, including detainees and sentenced individuals, will be screened by the MHISSION project as appropriate will be diverted to the Chittenden Treatment Courts and other community services.

3. CAPABILITIES/COMPETENCIES

Oversight of the proposed project will be provided by the CJ-MHC Task Force, and its CJ-Capable Implementation Team, which has been established to guide the implementation of the Strategic Plan (attached). The Task Force is an intergovernmental body whose members include: Chief Justice of the Vermont Supreme Court; the Commissioners of VDOC and the Agency of Human Services Department of Mental Health; the Deputy Commissioner of the Department of Health, Office of Alcohol and Drug Abuse Programs; the Executive Director of NAMI-VT; the AHS Deputy Commissioner of Field Services; a Vermont Psychiatric Survivors/consumer; a

family member; Vermont Protection and Advocacy; a representative from the Office of Public Guardian's Department of Disabilities Aging and Independent Living; the Executive Director of the Association of State's Attorneys and Sheriffs; the Defender General's Office; four legislative representatives; the Vermont Criminal Justice Training Council; the Administrative Judge; the presiding Judge of the Chittenden Integrated Treatment Court; and the Chief Operating Officer of a community mental health center who developed the social worker in the police department program. The CJ-MHC met monthly during development of the Strategic Plan and presently meets quarterly. The CJ-Capable Implementation Team meets monthly or more frequently as needed to develop strategies and a time line for enactment of Strategic Plan goals and objectives. This team is comprised of the statewide Treatment Court Coordinator, the Directors of Programs and Planning and Research for VDOC, the VDH-Division of Substance Abuse Programs Deputy Commissioner and Program Coordinator, the AHS Statewide Trauma Specialist, and the Director of the Council of Mental Health Services.

The project will be directed by Karen Gennette, statewide Treatment Court Coordinator. Ms. Gennette has been working for the Court Administrator's Office for four years. She oversees planning, development and operation of Vermont treatment courts and other diversion strategies and staffs the CJ-MHC Task Force. A Project Manager will be hired to manage the day-to-day operation of the project and function as a liaison between the project and the CJ-MHC Task Force and its Criminal Justice Capable Implementation Team, and among the project, the Task Force and the initiatives noted above. In this capacity, the Manager will assist with planning and facilitation of Task Force, monthly Criminal Justice Capable Implementation Team meetings and follow up, and local interdisciplinary team meetings and activities. A key role of the Project Manager will be to map the existing trainings that advance the concept of a criminal justice

capable system of care and increase the knowledge and skills of those attending. The Project Manager will work with NAMI-VT to add a day to their annual conference for this purpose. The Project Manager will also assist with data collection, the development of the evaluation plan, monitoring the budget, and completing required project reports.

Key project activities, milestones, and responsible partners are described in the Project Time and Task Plan in Attachment 3. Project evaluation is described in the Impact/Outcomes section.

Barriers to implementation – Numerous barriers exist to systems change and integration efforts, particularly those that represent a paradigmatic shift in the way business is done, such as the one proposed. Key among them are the risk of failure posed by a lack of support at any level of a given system or from one system to another and failure to take into account local variations affecting these efforts. To address these challenges it is essential to build buy-in, ownership, and support both from the top down and the bottom up within and across systems and to customize efforts locally. The CJ-MHC Task Force has been highly successful at creating a shared vision and buy-in for systems change at state leadership levels across key systems. Through this project, equal emphasis will be placed on the local systems integration process to build “ground level” commitment and customize the systems change process through the modeling or piloting of this process in one community. In much the same way that efforts to diffuse and disseminate innovations such as evidence-based practices must address variations in settings, populations, organizations, service systems and the like that will affect their “transportability” (Shoenfeld & Hoagwood, 2001), the project will accommodate factors that vary across communities and service systems that impact the character and success of systems change efforts. This process will also begin to permit assessment of the transportability of these system development

strategies across the state's diversity and the identification of modifications that may be necessary to increase the likelihood of their success at a statewide level.

With regard to potential barriers to retaining diversion participants in needed treatment and supports, this project will increase the Integrated Treatment Courts' capacity to respond to the needs of CJ-involved women through the hiring of a case manager specializing in women's mental health issues who will be responsible for carefully matching participants to evidence-based treatment and support strategies tailored to meet their needs (e.g., trauma treatment services designed for women). To the degree that participants are well matched to services and the case manager works assertively with them to address barriers to care, retention in services will be promoted.

4. BUDGET (ATTACHMENT 2)

5. IMPACT/OUTCOMES, EVALUATION, SUSTAINMENT, AND PERFORMANCE MEASUREMENT

DATA COLLECTION PLAN

Data Collection – On a daily basis, the local Chittenden County Treatment Court coordinator enters data, and is responsible for disseminating weekly progress reports to the Treatment Court Teams and will provide this data to the evaluator. In addition, the coordinator develops and oversees adherence to memoranda of understanding for data sharing with service providers and members of the prosecution and defense bar, while adhering to applicable local, state, and federal confidentiality guidelines and requirements regarding treatment program records.

Qualitative and quantitative information collection using the DCMIS, will include information relative to basic treatment court operations, delivery of treatment and other services, client characteristics, and treatment outcomes. All data that can reasonably be expressed in both quantitative and qualitative terms will be gathered and expressed in formats that support the

collection and evaluation of such information. The system can support some narrative based on reports from participants, providers and team members. Wherever possible, however, information will be gathered as data elements to support on-going process and outcome evaluation. The data gathering capacity of the DCMIS allows the team to describe and quantify the target population, screening/assessment process, intake flow, sanctions and incentives, drug-test results, in-program re-arrests, number of status hearings, failure and completion rates, services delivered, and referrals made. Additionally the coordinator will have access to a separate system, the Vermont Automated Docketing System, ("VTADS"), which gathers criminal charges filed information. As the electronic record of the court, VTADS contains a wealth of information about court cases including the specific charge, charge disposition, motions, sentencing, and data on various hearings as well as key case dates (e.g. case filing date, sentencing date etc.). VTADS data will be the major source of information derived from court cases and will compliment the DCMIS. In addition to VTADS, the coordinator will have access to the Vermont Court Access System, (VCAS) This component of the judiciary data warehouse allows one to look up a person's involvement in divorce and other domestic cases, criminal, civil, and relief from abuse cases in all courts statewide.

The DCMIS allows evaluators to analyze program services received, drug test results, in-program re-arrests, length of time in the program, sanctions and rewards, number of court hearings, and completion rates. The evaluators will use this data to review process evaluation recommendations and identify changes necessary to further the program goals and objectives and to change and improve drug court operations.

The MHISSION Project will be implementing the use of the Mindlinc Survery System and it is anticipated that this project will eventually and gradually be using this system. Mindlinc,

developed by the Medical Informatics Division at Duke University, provides an easy-to-setup and manage and easy-to-use web-based electronic tool designed to capture and integrate clinician rated scales, patient and family self-rated scales, surveys, clinical and study information and feedback into the treatment and clinical research processes.

Evaluation: MHISSION-VT Project Evaluator, Tim Stickle, PhD, (vitae attached) will provide in kind evaluation, and will oversee all facets of performance measurement and evaluation, including data collection, analysis, reporting and CQI feedback. Dr. Stickle is currently Professor of Psychology at UVM. He has an extensive background in program evaluation and statistical analysis, and linking of multiple complex clinical records systems for clinical trial evaluations.

Dr. Stickle will also be monitoring the effects of deploying an information system in the Vermont Department of Corrections. This will serve to structure the evaluations and screenings conducted in the VT DOC, and will help to identify a divertible cohort at the front door. It will also clarify how many inmates are veterans and/or women, have trauma spectrum illness, have substance abuse disorders, have traumatic brain injury, and/or have serious mental illness. This will facilitate the identification of an appropriate cohort for the Chittenden Treatment Courts, and will greatly facilitate analysis of the efficiency of the the jail diversion enterprise.

In addition, Dr. Stickle will the data gathered by the coordinator and will meet with the management team and Local Interdisciplinary Team to review evaluation and analysis results. He will be responsible for making recommendations for programmatic and MIS changes, safeguards to ensure data integrity, safeguards to ensure privacy/security of records, and generally ensure statistical analysis capability adheres to the highest standards. Information sharing as noted above will adhere to local, state and federal confidentiality guidelines.

Memorandums of understanding will be developed between the oversight committee, treatment

court team members, and providers for information exchange. Participants will sign program entry contracts including treatment consent forms as required in the guidelines.

Outcome Evaluation: Through a combination of criminal justice data searches and post graduation contacts, participant outcomes will be evaluated during the program and at 12 months post graduation. All participants that enter the treatment courts, whether they graduate or not, will be tracked. The criminal court database will be used to track new charges and convictions for participants. As mentioned above VCAS can also be used for broader measures of participants' success by looking for involvement in relief from abuse cases, divorce cases, juvenile cases, or probation violations in other cases that would not show up in the VTADS database of criminal charges filed. The Treatment Courts will track recidivism in the Court database as well as the DOC database. The Judiciary and DOC have been tracking recidivism and potential cost savings by reviewing pre program DOC supervision, contact during the program, most likely a sanction, and post graduation DOC supervision.

In addition to recidivism, hospitalizations will also be tracked pre treatment court program, while participating in the program and post graduation up to 12 months. Other factors that have a bearing on the success of the participant will also be tracked: employment, housing, family functioning, and obtaining health insurance and a medical home.

Sustainability: The State of Vermont is concerned about the rising cost of incarceration. The CJ MHC Task Force consists of all three branches of government who can influence policy and implement strategies to address these growing concerns. In last year's legislative session the Justice Reinvestment Bill Act 179 was passed which made substantial changes in the VT DOC including a reinvestment of DOC funding in strategies that divert individuals from the criminal justice system and incarceration. If all goes as anticipated the estimated reinvestment results in:

\$624,000 in FY'09, in FY'10 and for the next 5 years - \$1,134,000 to fund assessments, treatment, and transitional housing.

This year the Vermont Legislature is discussing a bill, S.2, which proposes to extend protections currently provided only to offenders with a serious mental illness to those who have any disability which substantially impairs their ability to function in the correctional setting and to clarify the process by which the department of corrections shall screen inmates to determine if they have a mental illness or other functional impairment. This language is reflective of the CJ MCH Task Force language for the target population as described earlier in this proposal.

The Department of Mental Health, Division of Children and Families received a 6 year SAMHSA grant focused on providing adequate preparation and the necessary supports for Vermont's transition-aged youth (16 through 21 inclusive, with their families) with severe emotional disturbance (SED) to be productively engaged in the community and free from incarceration. The proposal requires that the local teams working on this initiative give "systematic thought to how the region can intervene earlier with youth who might be headed for incarceration." They are adopting the Sequential Intercept Model as it is equally relevant to the situation of transition-aged youth with SED.

Conclusion: As evidenced by the variety of agencies and collaborative teams using the Sequential Intercept Model as their conceptual framework and the focus on individuals with mental illness, functional/cognitive impairments and co-occurring disorders, there is a synergy in Vermont and the time is right for building a solid foundation for a Criminal Justice Capable System of Care. This project will be key in bringing it all together using local modeling of a statewide approach for creating county level integrated "criminal justice-capable" systems of care focusing on both Vermont as a whole and Chittenden County, as the model site.

Vermont Criminal Justice Capable System of Care Budget (Oct. 2009 – April 2012)				
	Year 1	Year 2	Year 3	
	2009-2010	2010-2011	2011-2012	
	(12 months)	(12 months)	(6 months)	Total
A Personnel				
Project Manager (.8 FTE)	32,000	32,000	16,000	
Total Salaries	32,000	32,000	16,000	
B Fringe Benefits				
Fringe (30%)	9,600	9,600	4,800	
Total Fringe	9,600	9,600	4,800	
Total Personnel	41,600	41,600	20,800	104,000
C Travel (required/BJA)				
Ground, Air fare, Hotel, Meals	4,000	0	0	
Total Travel	4,000	0	0	4,000
D. Consultants/Contracts				
Case Management Contracts	65,000	65,000	0	
NAMI Conf & Guide	6,000	6,000		
Total Consultants/Contracts	71,000	71,000	0	142,000
E. In Kind/Match				
Chief Justice Task Force	29,150	28,150	13,867	71,167
Subtotal Costs	\$145,750	\$140,750	\$34,667	
Total Costs	Year 1 Federal : \$116,600 In Kind: \$29,150 Total : \$145,750	Year 2 Federal: \$112,600 In Kind: \$28,150 Total:\$140,750	Year 3 Federal: \$20,800 In Kind: \$13,867 Total : \$34,667	Total Federal: \$250,000 In Kind: \$71,167 Total: 321,167
	<i>Match Year 1</i> $\frac{116,600}{.80} = 145,750$	<i>Match Year 2</i> $\frac{112,600}{.80} = 140,750$	<i>Match Year 3</i> $\frac{20,800}{.60} = 34,667$	
	$145,750 \times .20 = 29,150$	$140,750 \times .20 = 28,150$	$34,667 \times .40 = 13,867$	

Budget Worksheet and Narrative: Year 1

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.8 FTE x \$40,000 annual salary x 1 year	\$32,000
Grant Personnel Subtotal:		\$32,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force, the Criminal Justice Capable Implementation Team and the Local Interdisciplinary Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager		
FICA	7.65%	\$2,448
Medicare		\$500
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$6,522
Total:		\$9,600

C. Travel (Ground, Air, Hotels, Meals)

<u>Purpose of Travel</u>	<u>Location</u>	<u>Computation</u>	<u>Cost</u>
BJA Meeting	TBA	Airfare: 4 people x \$500 ea.	\$2,000
(required)		Hotel: 4 people x 2 nights x \$180	\$1,440
		Ground: to airport, to hotel	\$ 160
		Meals: 4 people /\$50/day/2 days	\$ 400
Total:			\$4,000

Travel Narrative:

The BJA meeting is required under the Justice and Mental Health Collaboration Grant.

D. Consultants and Contracts

NAMI VT – Conference & Resource Guide

NAMI VT will add an extra day to its existing fall conference. In addition to the conference NAMI VT will update and distribute a resource guide for consumers and family members.

Staff time:	\$1,500
Costs related to conference (rent, food, materials, speakers) and Resource Guide:	\$4,500
Total:	\$6,000

Case Management Contracts/Narrative

The case management funding will be used to add capacity to these existing programs in Chittenden county to increase the numbers served. The local team and the state agencies will work on blended funding for the continuation and sustainability of case management services.

Case Management Contracts 1 FTE	\$65,000
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E. Other – In Kind

Chief Justice Task Force

Chief Justice – 2% of annual salary	\$2,000
2 Commissioners – 2.5% of annual salary	\$4,500
2 Deputy Commissioners – 2.5% of annual salary	\$3,500
Executive Director of VT CJTC – 5% of annual salary	\$3,750
Executive Director of State’s Attorney’s and Sheriffs	\$3,700
Defender General’s Office	\$3,700
Administrative Judge	\$2,500
Presiding Judge of Mental Health Court & Drug Court	\$2,500
NAMI VT Executive Director	\$3,000

Total In Kind: **\$29,150**

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Budget Worksheet and Narrative: Year 2

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.8 FTE x \$40,000 annual salary x 1 year	\$32,000
Grant Personnel Subtotal:		\$32,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force and the Criminal Justice Capable Implementation Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager		
FICA	7.65%	\$2,448
Medicare		\$500
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$6,522
Total:		\$9,600

C. Travel (Ground, Air, Hotels, Meals)
N/A for Year 2

D. Consultants and Contracts

NAMI VT – Conference & Resource Guide

NAMI VT will add an extra day to its existing fall conference. In addition to the conference NAMI VT will update and distribute a resource guide for consumers and family members.

Staff time:	\$1,500
Costs related to conference (rent, food, materials, speakers) and Resource Guide:	\$4,500
Total:	\$6,000

Case Management Contracts/Narrative

The case management funding will be used to add capacity to these existing programs in Chittenden county to increase the numbers served. The local team and the state agencies will work on blended funding for the continuation and sustainability of case management services.

Case Management Contracts 1 FTE **\$65,000**

E. Other – In Kind

Chief Justice Task Force

Chief Justice – 2% of annual salary	\$2,000
2 Commissioners – 2.5% of annual salary	\$4,500
2 Deputy Commissioners – 2.5% of annual salary	\$3,500
Executive Director of VT CJTC – 5% of annual salary	\$2,750
Executive Director of State’s Attorney’s and Sheriffs	\$3,700
Defender General’s Office	\$3,700
Administrative Judge	\$2,500
Presiding Judge of Mental Health Court & Drug Court	\$2,500
NAMI VT Executive Director	\$3,000

Total In Kind: **\$28,150**

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Budget Worksheet and Narrative: Year 3

A. Personnel

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Project Manager	.40 x \$40,000 annual salary x 1 year	\$16,000
Grant Personnel Subtotal:		\$16,000

Personnel/Narrative:

Project Manager: The Project Manager is a full time judicial employee who will assist with staffing the Chief Justice Task Force and the Criminal Justice Capable Implementation Team. This individual will be responsible for finalizing the implementation plan and carrying out the tasks in the plan. The Project Manager will also assist with the data collection process and the financial management of the grant operations.

B. Fringe Benefits

<u>Position</u>	<u>Computation</u>	<u>Cost</u>
Coordinator		
FICA	7.65%	\$1,224
Medicare		\$300
Life Ins/Disability	\$130 annually	\$130
Health Insurance		\$3,146
Total:		\$4,800

C. Travel N/A

D. Consultants and Contracts

E. Other In Kind

*Chief Justice Task Force		
Chief Justice – 3% of annual salary		\$3,000
Administrative Judge 5%		\$4,500
NAMI VT Executive Director 10%		\$6,000
Subtotal for CJ Task Force:		\$13,167

In Kind Narrative

The members of the Chief Justice Task Force will attend a 3 hour quarterly meeting and will also meet as needed. The Task Force will provide guidance and assistance with the Implementation Plan, the local cross-disciplinary meetings and the development of criminal justice capable teams.

Vermont Project Time and Task Plan & Letters of Support - 2009

PROJECT TIMELINE

Phase 1: Planning

Goal: Systems Change – Develop a collaborative structure to guide the strategic, collaborative planning process. Use the Sequential Intercept Model as the Framework.

Objective: Create collaborative agreements among Task Force members and CJC Implementation Team members. Finalize CJC Implementation Plan

Date	Activity	Milestones	Key Staff
10/09	CJ-MHC & CJ Implementation Team reviews goals and objectives of program proposal and initiates action plan	Funds awarded. CJ MHC Task Force MOU signed	Project Director manager, CJ Task Force members
11/08	Project Manager hired	Project Manager begins work	Project Director, Implementation Team
11/08-4/09	CJC Implementation Team finalizes plan and develops collaborative agreements.	Plan finalized and approved by BJA and Task Force members. Collaborative agreement developed and signed.	Project Manager, CJC Implementation Team, CJ MHC Task Force
	CJC identifies data sources and develops collaborative agreements for data-sharing	Data sources identified and collaborative agreements to share data signed	Project Manager, CJC Implementation Team

Phase 2: Implementation

Goal: Utilize evidence-based practices to divert individuals with mental illness (cognitive and functional impairments) and reduce the recidivism of the mentally ill in the criminal justice system.

BJA Objectives: Increase number of court based diversion programs and alternatives jail diversion strategies.

Strategy: Expand and enhance the Chittenden County Treatment Courts.

Date	Activities	Milestones	Key Staff
10/09-12/09	Recruit and hire an additional Treatment Court case manager	Staff hired and oriented.	Project Director and Chittenden County Treatment Team
1/10-2/10	Introduce the concept and train on the Sequential Intercept Model; map community resources	Community resources are mapped to SIM, Treatment Court team understands their placement in the model	Project Director, Project Manager, CJC Implementation Team
1/10-4/10	Review use of evidence-based screening and assessment tools and treatment interventions	Treatment Court staff understand and integrate use of evidence-based tools and practices.	Project Director, Project Manager, Dept of Mental Health, Office of Alcohol and Drug Abuse Programs,
4/10-6/10	Refine data collection processes and evaluation protocols	Data collection & evaluation protocols finalized	Project Director, Program Manager/Evaluation specialist, team members
4/10-6/10	Finalize interagency agreements, contracts, billing procedures and fiscal controls, and reporting and monitoring procedures	Interagency agreements signed. Team MOU signed. Reporting and monitoring procedures in place.	Project Director, Program Manager, project partners

6/10-4/12	Ongoing case management services by new and existing staff	CM capacity increases as per stated goals and objectives	Case managers with team member support
6/10-4/12	Ongoing collection of data for new participants. Collections will be: when participant first enters the program, at discharge from the program, and 12 months post graduation.	Data will be collected from at least 85% of participants over the length of the project.	Coordinator, Case managers and Program Manager
6/10-4/12	Recidivism data will be collected on graduates up to one year following graduation.	Data will be collected from 80% of graduates for one year postgraduation	Project Manager/Evaluation Specialist
10/09-4/12	Managing continuation award process.	Adherence to and modification of project plan with BJA	Project Director, Program Manager

Goal: Cross-Training – Knowledge, Skills and Attitudes – Enhance the knowledge, skills and attitudes needed to provide an effective, integrated response to individuals with impaired decision-making or functioning involved or at risk of becoming involved in the criminal justice system.

BJA Objective: Increase number of criminal justice personnel trained in or using law-enforcement based diversion programs

Strategy: Create a menu of exiting trainings relevant to a Criminal Justice Capable System of Care for all disciplines

Date	Activity	Milestones	Key Staff
1/10-6/10	Map existing trainings	Training series mapped out.	Project Director, VISI, ADAP, CJ Task Force, VT Criminal Justice Training Council
6/10 – 4/12	Distribute ongoing professional development opportunities for team members	Publish draft schedule of opportunities	Project Director, ADAP, CJ Task Force, Field Services, VT Criminal Justice Training Council
6/10-4/12	Develop one day schedule to include in NAMI training	Agenda developed and delivered	NAMI, Project Director, ADAP
11/10 & 11/11	NAMI Conference – develop one full day workshop	Training conducted	NAMI, Project Director, ADAP



VERMONT

State of Vermont
Department of Health
Office of the Commissioner
108 Cherry Street-PO Box 70
Burlington, VT 05402-0070
HealthVermont.gov

[phone] 802-863-7281
[fax] 802-951-1275

Agency of Human Services

March 9, 2009

Rebecca Rose
BJA Policy Advisor
U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street NW
Washington, DC 20531

Re: Justice and Mental Health Collaboration Program; BJA-2009-2025; CDFA #16.745

Dear Ms. Rose:

I am writing to whole-heartedly support this grant proposal for the Justice and Mental Health Collaboration Program being submitted by the Vermont Court Administrator's Office and the Vermont Department of Mental Health. I am a member of the Chief Justice Task Force and fully support the work that the Task Force has undertaken. This past year, the Task Force completed its strategic plan and I'm looking forward to helping develop the strategies that will accomplish our objectives; it is time to move to action. We need an integrated approach for meeting the needs of individuals with mental health and substance use problems so that we can prevent them from entering the criminal justice system, if possible, or limit their involvement once they are there and support their return to the community. Finding appropriate alternatives to incarceration is an issue that all three branches of the government are addressing and adopting the Sequential Intercept Model provides a solid framework for broadening the reach of alternative programs statewide.

Funding for this proposal from the Court Administrator's Office and the Vermont Department of Mental Health will allow us to finalize development of a Chief Justice Task Force Implementation Plan that will identify the steps and strategies to be taken to translate the Strategic Plan into real systems change. It will support the establishment of workforce cross-training opportunities to better prepare personnel in law enforcement, criminal justice, behavioral health, and community support systems to meet the needs of persons with mental health and co-occurring disorders as well as help us to create "criminal justice capable" teams using existing community-based infrastructure to create an integrated approach statewide. Finally, it will allow us to expand the capacity of our criminal justice and treatment systems to identify, divert, and treat women with mental health or co-occurring disorders who are involved in the criminal justice system – a population that is particularly vulnerable due to their histories of trauma and victimization.

I will continue to participate on the Chief Justice Task Force and to work for systems change to address the needs of this high risk population. Please consider funding this critical and necessary effort.

Sincerely,

Barbara Cimaglio
Deputy Commissioner
For Alcohol and Drug Abuse Programs

BC/cf





AGENCY OF HUMAN SERVICES
DEPARTMENT OF DISABILITIES, AGING AND INDEPENDENT LIVING
DIVISION OF DISABILITY AND AGING SERVICES

Office of Public Guardian
103 South Main Street
Waterbury, VT 05671-1601
(802) 241-2663 - phone
(802) 241-4224 - fax

March 9, 2009

Rebecca Rose
BJA Policy Advisor
U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street NW
Washington, DC 20531

Re: Justice and Mental Health Collaboration Program; BJA-2009-2025; CDFA #16.745


Dear Ms. Rose:

I am writing to whole-heartedly support this grant proposal for the Justice and Mental Health Collaboration Program being submitted by the Vermont Court Administrator's Office and the Vermont Department of Mental Health. I represent the Department of Disabilities, Aging and Independent Living (DAIL) on the Chief Justice Task Force and fully support the work that the Task Force has undertaken. This past year, the Task Force completed its strategic plan and I'm looking forward to helping develop the strategies that will accomplish our objectives; it is time to move to action. We need an integrated approach for meeting the needs of individuals with mental health and substance use problems so that we can prevent them from entering the criminal justice system, if possible, or limit their involvement once they are there and support their return to the community. Finding appropriate alternatives to incarceration is an issue that all three branches of the government are addressing.

Funding for this proposal from the Court Administrator's Office and the Vermont Department of Mental Health will allow us to finalize development of a Chief Justice Task Force Implementation Plan that will identify the steps and strategies to be taken to translate the Strategic Plan into real systems change.

I will continue to participate on the Chief Justice Task Force and to work for systems change to address the needs of this high risk population. Please consider funding this critical and necessary effort.

Sincerely,


Gail Falk, Coordinator of Offender Programs

Disability and Aging Services
Licensing and Protection

Blind and Visually Impaired
Vocational Rehabilitation



State of Vermont

Agency of Human

Services

Department of Mental Health

Office of the Commissioner
108 Cherry Street, PO Box 70
Burlington, VT 05402-0070
healthvermont.gov/mh

[phone] 802-652-2002
[fax] 802-652-2036
[tty] 800-253-0191

March 10, 2009

Rebecca Rose
BJA Policy Advisor
U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street NW
Washington, DC 20531

Re: Justice and Mental Health Collaboration Program; BJA-2009-2025; CDFA #16.745

Dear Ms. Rose:

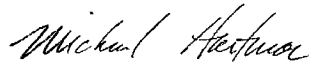
I am writing to whole-heartedly support this grant proposal for the Justice and Mental Health Collaboration Program being submitted by the Vermont Court Administrator's Office and the Vermont Department of Mental Health. Both as Commissioner of DMH and a member of the Chief Justice Task Force and I fully support the work that the Task Force has undertaken. This past year, the Task Force completed its strategic plan and I'm looking forward to helping develop the strategies that will accomplish our objectives. Vermont is now ready to create an integrated approach for meeting the needs of individuals with mental health and substance use problems and thereby reduce the chances of their entry to the criminal justice system, if possible, or limit their involvement once they are there and support their recovery and full return to the community. Finding appropriate alternatives to incarceration is an issue that all three branches of the government are addressing and adopting the Sequential Intercept Model provides a solid framework for broadening the reach of alternative programs statewide.

Funding for this proposal from the Court Administrator's Office and the Vermont Department of Mental Health will allow us to finalize development of a Chief Justice Task Force Implementation Plan that will identify the steps and strategies to be taken to translate the Strategic Plan into real systems change. It will support the establishment of workforce cross-training opportunities to better prepare personnel in law enforcement, criminal justice, behavioral health, and community support systems to meet the needs of persons with mental health and co-occurring disorders as well as help us to create "criminal justice capable" teams using existing community-based infrastructure to create an integrated approach statewide. Finally, it will allow us to expand the capacity of our criminal justice and treatment systems to identify, divert, and treat women with mental health or co-occurring disorders who are involved in the criminal justice system – a population that is particularly vulnerable due to their histories of trauma and victimization. As well, with the other efforts we

 .VERMONT

have begun through limited state funds and grants to lay the best foundation for these changes, an investment in Vermont at this time is highly likely to have the outcomes the BJA is seeking via this funding cycle.

Sincerely,

A handwritten signature in cursive script that reads "Michael Hartman".

Michael Hartman
Commissioner



State of Vermont
Department of Corrections
103 South Main Street
Waterbury, VT 05671-1001
www.doc.state.vt.us

[phone] 802-241-2263
[fax] 802-241-2565

Agency of Human Services

March 9, 2009

Rebecca Rose, BJA Policy Advisor
U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street NW
Washington, DC 20531

RE: Justice and Mental Health Collaboration
Program; BJA-2009-2025; CDFA #16.745

Dear Ms. Rose:

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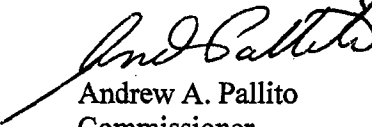
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Rebecca Rose, BJA Policy Advisor
March 9, 2009
Page Two

I continue to participate on the Chief Justice Task Force and to work for systems change to address the needs of this high risk population. Please consider funding this critical and necessary effort.

Sincerely,



Andrew A. Pallito
Commissioner





HCRS

HEALTH CARE & REHABILITATION SERVICES
OF SOUTHEASTERN VERMONT

March 10, 2009

Springfield

390 River Street
Springfield, VT 05156
886-4500

Hartford

49 School Street
Hartford, VT 05047
295-3031

Windsor

14 River Street
Windsor, VT 05089
674-2539

Bellows Falls

One Hospital Court
Bellows Falls, VT 05101
463-3947

Brattleboro

51 Fairview Street
Brattleboro, VT 05301
254-6028

Brattleboro CRT

29 Elm Street
Brattleboro, VT 05301
254-7511

HCRS Connection

1-888-888-5144

Emergency

1-800-622-4235

www.hcrs.org



*A member of the
Vermont Council of
Developmental and
Mental Health Services*

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Sincerely,

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George Karabakakis, Ph.D.
Chief Operating Officer



State of Vermont
Criminal Justice Training Council
Vermont Police Academy
317 Academy Road
Pittsford, VT 05763
www.vcjtc.state.vt.us

[phone] 802-483-6228
[fax] 802-483-2343

Office of Executive Director

March 9, 2009

Rebecca Rose
BJA Policy Advisor
U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street NW
Washington, DC 20531

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Sincerely,

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R. J. Elrick
Executive Director



NAMI – VERMONT

National Alliance on Mental Illness of Vermont
162 S. Main St. - Waterbury VT 05676

Toll-free in VT: (800) 639-6480
(802) 244-1396 ♦ (802) 244-1405 (fax)

on the web at: www.namivt.org ♦ email: info@namivt.org

March 11, 2009

Rebecca Rose
U.S. Department of Justice - OJP/BJA
810 Seventh Street NW - Washington, DC 20531

Re: Justice and Mental Health Collaboration Program; BJA-2009-2025; CDFA #16.745

Dear Ms. Rose:

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Vermont needs an integrated approach for meeting the needs of individuals with mental health and substance use problems, so that we can divert them to treatment, if possible, or limit their involvement in prison and jail, and support their return to the community. The Task Force has successfully engaged all three branches of state government to identifying ways to divert these individuals from jail into alternative programs. Adopting the Sequential Intercept Model provides us with a solid framework for broadening the reach of alternative programs statewide.

Funding for this proposal from the Court Administrator's Office and the Vermont Department of Mental Health will allow us to finalize an Implementation Plan, that will identify the steps and strategies to be taken to translate the Strategic Plan into real systems change. It will also support the establishment of workforce cross-training opportunities to better prepare personnel in law enforcement, criminal justice, behavioral health, and community support systems to meet the needs of persons with mental health and co-occurring disorders, and help us to create "criminal justice capable" teams to create an integrated approach statewide. Finally, it will allow us to expand the capacity of our criminal justice and treatment systems to identify, divert, and treat women with mental health or co-occurring disorders who are involved in the criminal justice system - a vulnerable population due to their histories of trauma and victimization.

NAMI-Vermont is strongly committed to promoting this agenda. We specifically will assist in the cross-training of professionals by adding a Criminal Justice-Mental Health summit day to our Annual Conference, and supplying all parties with our comprehensive print & online guide to available mental health services. I'm fully committed to the Chief Justice Task Force's work for systems change to address the needs of this high risk population. Please consider funding this critical and necessary effort.

Sincerely,

Larry Lewack, Executive Director



The
UNIVERSITY
of **VERMONT**

COLLEGE of MEDICINE
DIVISION of PUBLIC PSYCHIATRY
DEPARTMENT of PSYCHIATRY

March 12, 2009

Rebecca Rose
BJA Policy Advisor
U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street NW
Washington, DC 20531

Re: Justice and Mental Health Collaboration Program; BJA-2009-2025; CDFA #16.745

Dear Ms. Rose:

I am writing to whole-heartedly support this grant proposal for the Justice and Mental Health Collaboration Program being submitted by the Vermont Court Administrator's Office and the Vermont Department of Mental Health. I am the Director of Public Psychiatry at the University of Vermont College of Medicine, and the PI and Project Director of the MHISSION-VT Initiative. MHISSION-VT is a public-private partnership between the UVM Division of Public Psychiatry and the Vermont Department of Mental Health and is a statewide intergovernmental initiative intended to address the needs of Vermont veterans and other adults with trauma spectrum-illness, traumatic brain injury, serious mental illness and/or substance abuse who are or who are likely to become involved with the criminal justice system through identification, screening/assessment, and diversion from the criminal justice system to evidence-based treatment and supports.

The objectives of the MHISSION-VT project are to:

- 1) Create a coordinated infrastructure throughout the state of Vermont to provide a fully integrated system of care for behavioral health.
- 2) Create a system of data sharing agreements and protocols at key criminal justice and human service intercepts that will maximize the identification of veterans and other adults with trauma related illnesses.
- 3) Deploy screening and assessment for trauma-related illnesses at VTDOC intake points to identify veterans and other adults with trauma illnesses who are pre- and post-arraignment candidates for mental health/drug abuse treatment court adjudication.
- 4) Increase the capacity of Vermont treatment courts to adjudicate cases involving veterans and other adults entering the criminal justice system with trauma-related illnesses so that they may be diverted into evidenced-based treatment and supports.

- 5) Increase the capacity of criminal justice and public service systems (e.g., law enforcement, corrections, behavioral health, and other health and human service providers) to be trauma informed and “veterans informed” through cross training and the enhancement of collaborative relationships.
- 6) Expand the availability of evidence-based trauma treatment within the public behavioral health system to address the needs of veterans and others in the criminal justice system that are unable or unwilling to access VA treatment.

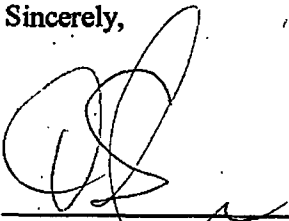
The project represents a true collaboration across state and local human service, academic, criminal justice, and veteran’s organizations. The strong support that exists across these systems will allow the project to weather any unforeseen shifts in the operational environment. Moreover, the project is expressly intended to institutionalize these relationships and the progress achieved, through cross-systems state and local planning, data sharing agreements and protocols, state level policy development, and cross-systems training.

I am very excited about this grant application because of the importance of Vermont’s overall attempt to have a truly integrated criminal justice capable system of care, and the importance of having a more focused role for treatment courts in that model.

I will share the MHISSION-VT evaluation component with this proposal, as we will be looking at most of the parameters that are relevant to the evaluation of this project.

I will support this project in any way that I can.

Sincerely,



Tom Simpatico, M.D.
*Professor of Psychiatry
Director, Division of Public Psychiatry
University of Vermont, College of Medicine*

*Principal Investigator & Project Director
MHISSION-VT Veterans Jail Diversion Initiative*

Address: 1 South Prospect, Burlington, VT 05401
Phone: (802) 656-8210
Email: thomas.simpatico@vtmednet.org

Vermont Protection & Advocacy, Inc.

141 Main Street, Suite 7
Montpelier, VT 05602

(800) 834-7890 (Toll-Free)
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(802) 229-2603 (TTY)
(802) 229-1359 (Fax)
Email: info@vtpa.org

EXECUTIVE DIRECTOR

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A.J. Ruben

STAFF ATTORNEY

Nicholas Stanton

INTAKE / PARALEGAL

Marsha Bancroft

ADVOCATE / PARALEGAL

Tina M. Wood

ADVOCATES

Merry Postemski

Andrea McAuslan

BARRIER-FREE JUSTICE

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www.vtpa.org

*VP&A is the Protection & Advocacy
System for Vermont*

March 9, 2009

Rebecca Rose

BJA Policy Advisor

U.S. Department of Justice

Office of Justice Programs

Bureau of Justice Assistance

810 Seventh Street NW

Washington, DC 20531

Re: Justice and Mental Health Collaboration Program; BJA-2009-2025; CDFA #16.745

Dear Ms. Rose:

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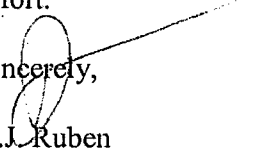
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I will continue to participate on the Chief Justice Task Force and to work for systems change to address the needs of this high risk population. Please consider funding this critical and necessary effort.

Sincerely,


A.J. Ruben
Supervising Attorney



State of Vermont
Department of Corrections
103 South Main Street
Waterbury, VT 05671-1001
www.doc.state.vt.us

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[fax] 802-241-2565

Agency of Human Services

March 9, 2009

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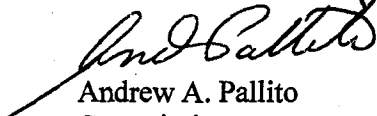
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Rebecca Rose, BJA Policy Advisor
March 9, 2009
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Commissioner



HCRS

HEALTH CARE & REHABILITATION SERVICES
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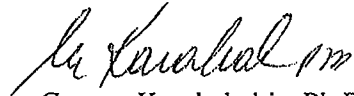
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R. J. Elrick
Executive Director



NAMI – VERMONT

National Alliance on Mental Illness of Vermont
162 S. Main St. - Waterbury VT 05676

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I write in support of this grant proposal for the Justice and Mental Health Collaboration Program, being submitted by the Vermont Court Administrator's Office and the Vermont Department of Mental Health. NAMI-Vermont has been an active member of Vermont's Chief Justice Task Force. This past year, the Task Force completed its strategic plan; it is time to move to action.

Vermont needs an integrated approach for meeting the needs of individuals with mental health and substance use problems, so that we can divert them to treatment, if possible, or limit their involvement in prison and jail, and support their return to the community. The Task Force has successfully engaged all three branches of state government to identifying ways to divert these individuals from jail into alternative programs. Adopting the Sequential Intercept Model provides us with a solid framework for broadening the reach of alternative programs statewide.

Funding for this proposal from the Court Administrator's Office and the Vermont Department of Mental Health will allow us to finalize an Implementation Plan, that will identify the steps and strategies to be taken to translate the Strategic Plan into real systems change. It will also support the establishment of workforce cross-training opportunities to better prepare personnel in law enforcement, criminal justice, behavioral health, and community support systems to meet the needs of persons with mental health and co-occurring disorders, and help us to create "criminal justice capable" teams to create an integrated approach statewide. Finally, it will allow us to expand the capacity of our criminal justice and treatment systems to identify, divert, and treat women with mental health or co-occurring disorders who are involved in the criminal justice system - a vulnerable population due to their histories of trauma and victimization.

NAMI-Vermont is strongly committed to promoting this agenda. We specifically will assist in the cross-training of professionals by adding a Criminal Justice-Mental Health summit day to our Annual Conference, and supplying all parties with our comprehensive print & online guide to available mental health services. I'm fully committed to the Chief Justice Task Force's work for systems change to address the needs of this high risk population. Please consider funding this critical and necessary effort.

Sincerely,

Larry Lewack, Executive Director



The
**UNIVERSITY
of VERMONT**

COLLEGE of MEDICINE
DIVISION of PUBLIC PSYCHIATRY
DEPARTMENT of PSYCHIATRY

March 12, 2009

Rebecca Rose
BJA Policy Advisor
U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street NW
Washington, DC 20531

Re: Justice and Mental Health Collaboration Program; BJA-2009-2025; CDFA #16.745

Dear Ms. Rose:

I am writing to whole-heartedly support this grant proposal for the Justice and Mental Health Collaboration Program being submitted by the Vermont Court Administrator's Office and the Vermont Department of Mental Health. I am the Director of Public Psychiatry at the University of Vermont College of Medicine, and the PI and Project Director of the MHISSION-VT Initiative. MHISSION-VT is a public-private partnership between the UVM Division of Public Psychiatry and the Vermont Department of Mental Health and is a statewide intergovernmental initiative intended to address the needs of Vermont veterans and other adults with trauma spectrum-illness, traumatic brain injury, serious mental illness and/or substance abuse who are or who are likely to become involved with the criminal justice system through identification, screening/assessment, and diversion from the criminal justice system to evidence-based treatment and supports.

The objectives of the MHISSION-VT project are to:

- 1) Create a coordinated infrastructure throughout the state of Vermont to provide a fully integrated system of care for behavioral health.
- 2) Create a system of data sharing agreements and protocols at key criminal justice and human service intercepts that will maximize the identification of veterans and other adults with trauma related illnesses.
- 3) Deploy screening and assessment for trauma-related illnesses at VTDOC intake points to identify veterans and other adults with trauma illnesses who are pre- and post-arraignment candidates for mental health/drug abuse treatment court adjudication.
- 4) Increase the capacity of Vermont treatment courts to adjudicate cases involving veterans and other adults entering the criminal justice system with trauma-related illnesses so that they may be diverted into evidenced-based treatment and supports.



- 5) Increase the capacity of criminal justice and public service systems (e.g., law enforcement, corrections, behavioral health, and other health and human service providers) to be trauma informed and “veterans informed” through cross training and the enhancement of collaborative relationships.
- 6) Expand the availability of evidence-based trauma treatment within the public behavioral health system to address the needs of veterans and others in the criminal justice system that are unable or unwilling to access VA treatment.

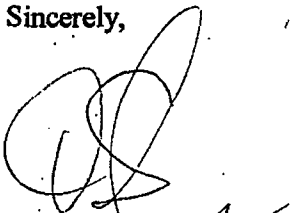
The project represents a true collaboration across state and local human service, academic, criminal justice, and veteran’s organizations. The strong support that exists across these systems will allow the project to weather any unforeseen shifts in the operational environment. Moreover, the project is expressly intended to institutionalize these relationships and the progress achieved, through cross-systems state and local planning, data sharing agreements and protocols, state level policy development, and cross-systems training.

I am very excited about this grant application because of the importance of Vermont’s overall attempt to have a truly integrated criminal justice capable system of care, and the importance of having a more focused role for treatment courts in that model.

I will share the MHISSION-VT evaluation component with this proposal, as we will be looking at most of the parameters that are relevant to the evaluation of this project.

I will support this project in any way that I can.

Sincerely,



Tom Simpatico, M.D.
*Professor of Psychiatry
Director, Division of Public Psychiatry
University of Vermont, College of Medicine*

*Principal Investigator & Project Director
MHISSION-VT Veterans Jail Diversion Initiative*

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*VP&A is the Protection & Advocacy
System for Vermont*

March 9, 2009

Rebecca Rose

BJA Policy Advisor

U.S. Department of Justice

Office of Justice Programs

Bureau of Justice Assistance

810 Seventh Street NW

Washington, DC 20531

Re: Justice and Mental Health Collaboration Program; BJA-2009-2025; CDFA #16.745

Dear Ms. Rose:

I am writing to whole-heartedly support this grant proposal for the Justice and Mental Health Collaboration Program being submitted by the Vermont Court Administrator's Office and the Vermont Department of Mental Health. I am a member of the Chief Justice Task Force and fully support the work that the Task Force has undertaken. This past year, the Task Force completed its strategic plan and I'm looking forward to helping develop the strategies that will accomplish our objectives; it is time to move to action. We need an integrated approach for meeting the needs of individuals with mental health and substance use problems so that we can prevent them from entering the criminal justice system, if possible, or limit their involvement once they are there and support their return to the community. Finding appropriate alternatives to incarceration is an issue that all three branches of the government are addressing and adopting the Sequential Intercept Model provides a solid framework for broadening the reach of alternative programs statewide.

Funding for this proposal from the Court Administrator's Office and the Vermont Department of Mental Health will allow us to finalize development of a Chief Justice Task Force Implementation Plan that will identify the steps and strategies to be taken to translate the Strategic Plan into real systems change. It will support the establishment of workforce cross-training opportunities to better prepare personnel in law enforcement,

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criminal justice, behavioral health, and community support systems to meet the needs of persons with mental health and co-occurring disorders as well as help us to create "criminal justice capable" teams using existing community-based infrastructure to create an integrated approach statewide. Finally, it will allow us to expand the capacity of our criminal justice and treatment systems to identify, divert, and treat women with mental health or co-occurring disorders who are involved in the criminal justice system – a population that is particularly vulnerable due to their histories of trauma and victimization.

I will continue to participate on the Chief Justice Task Force and to work for systems change to address the needs of this high risk population. Please consider funding this critical and necessary effort.

Sincerely,


A.J. Ruben
Supervising Attorney

