

STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: August 24, 2012
Subject: Grant Requests

Enclosed please find three (3) items that the Joint Fiscal Office has received from the administration. Five (5) limited service position requests are included in these items.

JFO #2574 – Request to establish **four limited service positions** in the Department of Labor. These positions will enhance the Department's ability to re-employ claimants by interviewing claimants and developing plans designed to obtain employment for claimants. Funding for these positions was approved during the FY13 budget process.
[JFO received 8/20/12]

JFO #2575 – Request to establish **one limited service position** in the Department of Public Safety's Vermont Fusion Center. This position will provide crime analysis to investigators, and act as the Statewide Police Intelligence Network liaison at local and regional meetings. Funding for this position was approved in JFO 2108, a homeland security grant.
[JFO received 8/20/12]

JFO #2576 – \$66,848 grant from the U.S. Department of Health and Human Services to the Vermont Department for Children and Families. These funds will support the guardianship assistance program that provides financial support for children, formerly in DCF custody, whose relatives have assumed guardianship duties.
[JFO received 8/20/12]

Please review the enclosed materials and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by September 7 we will assume that you agree to consider as final the Governor's acceptance of these requests.

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

Agency of Administration


JFO 2576

**STATE OF VERMONT
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary:	This grant provides financial support to relatives who have assumed guardianship of a child formerly in DCF custody.				
Date:	08/08/2012				
Department:	Department for Children and Family				
Legal Title of Grant:	Guardianship Assistance				
Federal Catalog #:	93.090				
Grant/Donor Name and Address:	Department of Health and Human Services – Administration for Children and Families; 370 L’Enfant Promenade, S.W. Washington, D.C. 20477				
Grant Period:	From:	07/01/2011	To:	No end date provided	
Grant/Donation	Based on eligibility of existing caseload.				
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$21,626	\$22,277	\$22,945	\$66,848	The total is the combined federal and state share (57/43 split).

Position Information:	# Positions	Explanation/Comments
	0	

Additional Comments: The Administration for Children and Families provides funds quarterly based on caseload of previous quarter. Actual grant funds are not known and therefore this budget is an estimate. General Funds (GF) currently budgeted for same purpose provides the match. This grant takes a minor demand off of DCF’s Sub Care GF budget.

Department of Finance & Management		8/8/12	(Initial)
Secretary of Administration		8/10/12	(Initial)
Sent To Joint Fiscal Office		8/15/12	Date



STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION			
1. Agency:	AHS		
2. Department:	DCF		
3. Program:	Guardianship Assistance		
4. Legal Title of Grant:	Title IV-E Guardianship Assistance Program		
5. Federal Catalog #:	93.090		
6. Grant/Donor Name and Address:	HHS		
7. Grant Period:	From:	7/1/2011	To: 9/30/2011
8. Purpose of Grant:	<p>A couple of years ago, federal law changed to allow states to claim IV-E for IV-E eligible children for a new program called guardianship assistance. This is a program similar to adoption assistance. Its purpose is to provide financial supports and medicaid for children formerly in DCF custody whose relatives assume guardianship of the child.</p>		
9. Impact on existing program if grant is not Accepted:			

10. BUDGET INFORMATION

	SFY 1	SFY 2	SFY 3	Comments
	FY 12	FY 13	FY	
Expenditures:				
Personal Services	\$	\$	\$	
Operating Expenses	\$	\$	\$	
Grants	\$21,626	\$22,277	\$22,945	3% increase/year
Total	\$21,626	\$22,277	\$22,945	3% increase/year
Revenues:				
State Funds:	\$9,112	\$9,706	\$9,997	GF from existing Sub Care Budget
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$12,514	\$12,571	\$12,948	
(Direct Costs)	\$	\$	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$21,626	\$22,277	\$22,945	

Appropriation No:	Amount:
3440020000	\$21,626
	\$
	\$
	\$
	\$

JUL 12 2012

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

			\$
		Total	\$ 12,514 ⁰⁰⁷

PERSONAL SERVICE INFORMATION

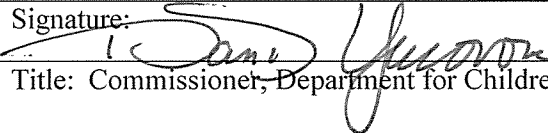
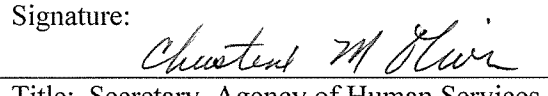
11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Dave Yacovone Agreed by: _____ (initial)

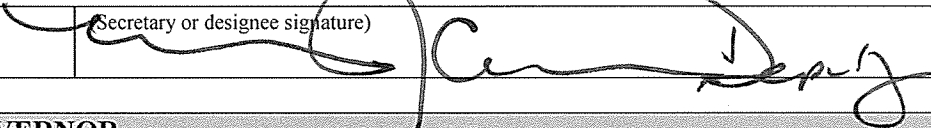
12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

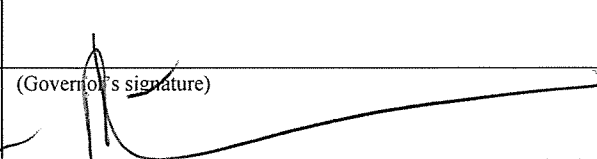
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: 	Date: 7/2/12
	Title: Commissioner, Department for Children and Families	
	Signature: 	Date: 7/10/12
	Title: Secretary, Agency of Human Services <i>Deputy</i>	

14. SECRETARY OF ADMINISTRATION

Approved:  (Secretary or designee signature) Date: 08/08/12

15. ACTION BY GOVERNOR

Accepted Rejected
 (Governor's signature) Date: 8-15-12

16. DOCUMENTATION REQUIRED

Required GRANT Documentation	
<input type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input type="checkbox"/> Notice of Award <input type="checkbox"/> Grant Agreement <input type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)


End Form AA-1

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

Agency of Human Services
Business Office
208 Hurricane Lane, Suite 103
Williston, VT 05495
[phone] 802-871-3005
[fax] 802-871-3001

MEMORANDUM

TO: AA-1 reviewers

FROM: Jim Giffin, AHS CFO 

DATE: August 3, 2012

RE: DCF AA-1 Title IV

The federal Fostering Connections Act of 2008 created a new Title IV-E funded program called Guardianship Assistance, to be available to any state with an approved Title IV-E state plan that includes guardianship assistance. During the 2011 legislative sessions, DCF pursued the necessary statutory change that would allow Vermont to take advantage of this opportunity. The changes were passed into law that year. Pursuant to that statute, Vermont's guardianship assistance program was implemented on 7/1/2011. DCF submitted an amendment to its Title IV-E state plan, which was approved in February 2012. This allowed DCF to submit claims for eligible children, back to the date of implementation.

The Title IV-E Guardianship Assistance Program is an uncapped entitlement program for children meeting certain eligibility criteria. It provides a monthly stipend and Medicaid eligibility for any child whose relative assumes guardianship of the child, through an order of the Family Division of the Superior Court. The child must have been in DCF custody and living with the relative for at least 6 months in licensed foster care.

Under state statute, children who are not IV-E eligible are also eligible for this program, with the costs paid by the general fund. It is very difficult to predict how many children will qualify under this new eligibility and for how many days. The one agreed upon item is that the numbers are very small. We estimate somewhere between three children and seven children a month may qualify. DCF developed their estimate from their 'earnings report' and would explain it as follows: \$19.75/day on average x 365 days a year x projected caseload of 3 = \$21,626 the gross amount. FY14 & FY15 were increased by 3%.



DEPARTMENT OF HEALTH & HUMAN SERVICES

ADMINISTRATION FOR CHILDREN AND FAMILIES
370 L'Enfant Promenade, S.W.
Washington, D.C. 20447

RECEIVED

MAY 07 2012

AGENCY OF HUMAN SERVICES
OFFICE OF THE SECRETARY

May 03, 2012

Secretary
Vermont Agency of Human Services
208 Hurricane Lane
Williston, VT 05495

Re: Notice of Grant Award
Guardianship Assistance Program
FY 2011

Dear Grantee:

The following amount is being awarded for the Federal share of expenditures for the fiscal quarter indicated for the Guardianship Assistance Program under Title IV-E of the Social Security Act. The enclosed "Computation of Grant Award" explains the calculation of the award amount.

Award Amount:	\$ 1,545
Fiscal Quarter:	07/01/2011 - 09/30/2011

Catalog of Federal Domestic Assistance (CFDA) Program Number:	93.090
Entity Identification Number (EIN):	1-036000274-A8

By accepting this award, you agree to use these funds in accordance with the provisions of the approved title IV-E plan for this program, and to abide by all applicable Federal laws, regulations and policies, financial reporting requirements, and with the terms and conditions governing this program and the use of Federal funds. You also agree to diligently meet the requirement to properly identify, monitor and treat sub recipients of Federal funds as described in the program terms and conditions. Any expenditure made in violation of Federal requirements is subject to disallowance by this agency, including the imposition of interest charges under 45 CFR 30.13 and 30.14.

In addition, you agree to comply with the provisions of the Cash Management Improvement Act (31 CFR Part 205) that limit the amount and timing of requests to draw Federal funds to only the amount necessary to meet actual and immediate program needs. Funds included in this award may not be drawn down prior to the first day of the fiscal quarter indicated above; withdrawals may not exceed the total amount authorized in this and previous awards and unused award authority may be carried forward and used in subsequent quarters. Failure to adhere to funds withdrawal and reporting requirements may result in the unobligated portion of your letter-of-credit being revoked.

Grant funds are made available through the HHS Payment Management System (PMS). Questions concerning payments should be directed to: Division of Payment Management, PO Box 6021, Rockville, Maryland 20852 (Internet web site: <http://www.dpm.psc.gov>), or to the PMS Help Desk at (877) 614-5533. Other questions should be directed to the Regional Grants Officer, Administration for Children and Families.

Sincerely,

Joseph M. Lonergan
Director
Division of Mandatory Grants

Enclosure

Computation of Grant Award

93.090 Page 1 of 1
 05/03/2012 11:53:30
 Issued On 05/03/2012

Expenditure 2012 / 3
 Batch No. 17 - Rcpt 0
 EIN: 1-036000274-A8

Guardianship Assistance

Vermont (Eff 7/2010)

Payments Payments - Recovery Act TOTAL

1. Allowable Grantee Claims			
Quarter Ended 03-31-2011			
a. Current Quarter Claims	1,448	97	1,545
b. Prior Quarter Adjustments	0	0	0
c. Reinstatements			
d. Deferrals And Disallowances			
e. Fees And Collections			
f. Previously Awarded Expenditure			

g. Net Claim ((1a+1b+1c) - (1d+1e+1f)) 1,448 97 1,545

2. Funds Advanced to Grantee for Quarter Ended 03-31-2011

3. Other Adjustments

4. Expenditure Awarded This Action ((1g-2)+3) 1,448 97 1,545

Federal Share of Estimates

5. Funds being Advanced to Grantee for Quarter Beginning 07-01-2011

a. Next Quarter Estimate	0	0	0
b. Collections	\$1,448	\$97	\$1,545
c. Previously Awarded Estimate			

6. Estimate Awarded this Action ((5a-5b)-5c)

7. Total Certified for Grant Award (4+6)

Accounting Data

Appropriation	CAN	Grant Document	Amount
75-1-1545	2011 G994101	1101VT1409	\$1,448
75-1-1545	2011 G99U1TH	1101VT1408	\$97

Remarks