



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: July 19, 2013
Subject: Grant Requests

Enclosed please find two (2) items that the Joint Fiscal Office has received from the administration, including the establishment of seventeen (17) limited service positions.

JFO #2631 – \$1,158,875 grant from the Federal Emergency Management Agency to the Vermont Agency of Transportation. These funds will be used to provide federal disaster assistance for damages caused by the severe storm and flooding on May 26, 2013 in Chittenden County, Lamoille County, and Essex County.

[JFO received 07/18/13]

JFO #2632 – \$42,687,000 grant from the U.S. Department of Health and Human Services to the Department of Vermont Health Access. These funds will be used for planning and implementation of the federal mandated health benefits exchange (Vermont Health Connect), including premium processing, call center activities, and integration of existing state health coverage programs into the exchange environment. This request includes establishment of **seventeen (17) limited service positions**.

[JFO received 07/19/13]

These items will be placed on the agenda for action at the Joint Fiscal Committee's July 23, 2013 meeting.

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

Agency of Administration

JFO #2631

STATE OF VERMONT FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		To provide federal disaster assistance for damages from the severe storm and flooding of May 26, 2013 in Chittenden, Lamoille and Essex Counties.			
Date:		7/9/2013			
Department:		AOT - Public Assistance Grant Program 8100005500			
Legal Title of Grant:		FEMA-4120-DR-VT			
Federal Catalog #:		97.036			
Grant/Donor Name and Address:		Federal Emergency Management Agency			
Grant Period:		From:	To:		
		5/22/2013	11/22/2014		
Grant/Donation		\$1,158,875 based on 75% of initial estimate; amounts to be determined as damage estimates progress.			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$675,000	\$483,875	\$	\$	Estimated
Position Information:		# Positions	Explanation/Comments		
Additional Comments:		The state match of \$231,775 (at an estimated percentage of 15%) is not a requirement to receive this grant, but is an outcome of existing state policy.			
Department of Finance & Management		J 7/10/13		(Initial)	
Secretary of Administration		MC 07/11/13		(Initial)	
Sent To Joint Fiscal Office		JFO		Date 7/18/13	

RECEIVED
 JUL 18 2013
 JOINT FISCAL OFFICE



STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:	Transportation			
2. Department:	Operations			
3. Program:	Public Assistance			
4. Legal Title of Grant:	FEMA-4120-DR-VT			
5. Federal Catalog #:	97.036			
6. Grant/Donor Name and Address:	Federal Emergency Management Agency 99 High Street Boston, MA 02109-4595			
7. Grant Period:	From:	5/22/2013	To:	11/22/2014
8. Purpose of Grant:	To provide federal disaster assistance for damages caused by severe rain storms and flooding between May 22 and May 26, 2013 in Chittenden, Lamoille, and Essex counties.			
9. Impact on existing program if grant is not Accepted:	State, local governments and eligible private non-profit organizations may have to bear full cost of damages.			
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2014	FY 2015	FY	
Personal Services	\$	\$	\$	
Operating Expenses	\$	\$	\$	
Grants	\$900,000	\$645,167	\$	
Total	\$	\$	\$	
Revenues:				
State Funds:	\$135,000	\$96,775	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$675,000	\$483,875	\$	
(Direct Costs)	\$	\$	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source local match)	\$90,000	\$64,517	\$	
Total	\$900,000	\$645,167	\$	
Appropriation No:	8100005500-59700-20150	Amount:	\$675,000	
	8100005500-59700-21555		\$135,000	
			\$	
			\$	
			\$	

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

		\$
		\$
	Total	\$810,000

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.
 Appointing Authority Name: _____ Agreed by: _____ (initial)

12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

Signature: <i>R. Wash</i>	Date: <i>6/27/13</i>
Title: <i>Sec. of Transportation</i>	
Signature: _____	Date: _____
Title: _____	

14. SECRETARY OF ADMINISTRATION

Approved: _____ (Secretary or designee signature) Date: *6/11/13*

15. ACTION BY GOVERNOR

Check One Box:
 Accepted Rejected
 _____ (Governor's signature) Date: *7/18/13*

16. DOCUMENTATION REQUIRED

- Required GRANT Documentation**
- | | |
|---|---|
| <input type="checkbox"/> Request Memo | <input type="checkbox"/> Notice of Donation (if any) |
| <input type="checkbox"/> Dept. project approval (if applicable) | <input type="checkbox"/> Grant (Project) Timeline (if applicable) |
| <input type="checkbox"/> Notice of Award | <input type="checkbox"/> Request for Extension (if applicable) |
| <input type="checkbox"/> Grant Agreement | <input type="checkbox"/> Form AA-1PN attached (if applicable) |
| <input type="checkbox"/> Grant Budget | |

End Form AA-1

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

THE WHITE HOUSE
WASHINGTON

June 13, 2013

The Honorable Peter Shumlin
Governor of Vermont
Montpelier, Vermont 05609

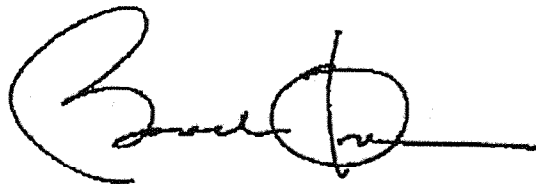
Dear Governor Shumlin:

I have declared a major disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5121 *et seq.* (the "Stafford Act"), for the State of Vermont due to damage resulting from severe storms and flooding during the period May 22-26, 2013. I have authorized Federal relief and recovery assistance in the affected area.

Assistance for emergency protective measures (Category B) and permanent work (Categories C-G) under the Public Assistance program and Hazard Mitigation will be provided. Consistent with the requirement that Federal assistance is supplemental, any Federal funds provided under the Stafford Act for Public Assistance and Hazard Mitigation will be limited to 75 percent of the total eligible costs in the designated areas.

The Department of Homeland Security, Federal Emergency Management Agency (FEMA), will coordinate Federal assistance efforts and designate specific areas eligible for such assistance. The Federal Coordinating Officer will be Mr. Mark H. Landry of FEMA. He will consult with you and assist in the execution of the FEMA-State Agreement for disaster assistance governing the expenditure of Federal funds.

Sincerely,



Section 17.630 of the regulations provide that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for FEMA funding. States and State agencies may elect to use a Statewide certification.

Grant Application - SF424

APPLICATION FOR FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION

N/A

Pre-Application

N/A

2. DATE SUBMITTED

3. DATE RECEIVED BY STATE

N/A

4. DATE RECEIVED BY FEDERAL AGENCY

N/A

Applicant Identifier
000-U7DZQ-00

State Application Identifier
N/A

Federal Identifier

5. APPLICANT INFORMATION

Legal Name:
VERMONT AGENCY OF TRANSPORTATION /

Organizational DUNS: 809376296-

Address
Street: 103 South Main Street

City: Waterbury
County: Washington
State: VT Zip Code: 05671 - 4719
Country: United States of America

Organizational Unit:

Department:
Vermont Department of Public Safety

Division: Vermont Division of Emergency
Management and Homeland Security

Name and telephone number of person to be contacted on matters involving this application (give area code)

Prefix: Mr First Name: Ben
Middle Initial:
Last Name: Rose
Suffix:
Email: ben.rose@state.vt.us
Phone Number: Fax Number:
802-585-4719

6. FEDERAL EMPLOYER IDENTIFICATION NUMBER (EIN)

03-6000274

8. TYPE OF APPLICATION

Grant Application (SF-424)

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBERS

97.036
CFDA TITLES: PUBLIC ASSISTANCE

12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.)

Chittenden, Essex, Lamoille

13. PROPOSED PROJECT:

Start Date:
End Date:

15. ESTIMATED FUNDING

a. Federal

\$ 1158875

b. Applicant

\$ 0

c. State

\$ 193146

7. TYPE OF APPLICANT

State Government

9. NAME OF FEDERAL AGENCY

Federal Emergency Management Agency

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT

N/A

14. CONGRESSIONAL DISTRICTS OF:

a. Applicant:
b. Project:

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

N/A

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL

d. Local	\$ 193146	DEBT?
e. Other	\$ 0	N/A
f. Program Income	\$ 0	
g. TOTAL	\$ 1545167	

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS REQUIRED.

a. Name of Authorized Representative	b. Title	c. Telephone Number
<i>Brett Pierce</i>	<i>PAC crew Leader</i>	<i>207-370-0307</i>
d. Signature of Authorized Representative		e. Date Signed
<i>Brett Pierce</i>		<i>6/27/13</i>

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