



**STATE OF VERMONT**  
JOINT FISCAL OFFICE

**MEMORANDUM**

To: Joint Fiscal Committee Members  
From: Nathan Lavery, Fiscal Analyst  
Date: November 16, 2012  
Subject: Grant Requests

Enclosed please find four (4) items that the Joint Fiscal Office has received from the administration, including the establishment of 1 limited service position.

**JFO #2589** – \$280,028 grant from the Center for Substance Abuse Treatment to the Vermont Judiciary. These funds will be used with funds from JFO #2590 to enhance drug court services through evidence-based substance abuse treatment and recovery services. One limited service position request is associated with this item.  
[JFO received 10/29/12]

**JFO #2590** – \$300,000 grant from the U.S. Department of Justice to the Vermont Judiciary. These funds will be used with funds from JFO #2589 to enhance drug court services through evidence-based substance abuse treatment and recovery services.  
[JFO received 10/29/12]

**JFO #2591** – \$7,912,054 grant from the U.S. Department of Transportation to the Vermont Agency of Transportation. These funds will be used to create a heavy-haul freight rail corridor linking Vermont to Canada and establish an intercity passenger rail link along the Boston-to-Montreal High Speed Corridor.  
[JFO received 10/29/12]

**JFO #2592** – \$58,000 grant from the U.S. Department of Health and Human Services to the Vermont Agency of Human Services. These funds will be used to support the efforts of the Refugee Elder Collaborative's efforts to help older refugees overcome barriers to achieving naturalization.  
[JFO received 10/29/12]

Please review the enclosed materials and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; [nlavery@leg.state.vt.us](mailto:nlavery@leg.state.vt.us)) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by November 28 we will assume that you agree to consider as final the Governor's acceptance of these requests.



**State of Vermont**  
 Department of Finance & Management  
 109 State Street, Pavilion Building  
 Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376  
 [fax] 802-828-2428

JFO 2591

**STATE OF VERMONT  
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

<b>Grant Summary:</b>		This grant is to create a heavy-haul freight rail corridor and restore and improve Amtrak's Vermonter service by upgrading 18.8 miles of track between St. Albans and the Canadian border to the 286,000 lb. standard.			
<b>Date:</b>		10/12/2012			
<b>Department:</b>		AOT Rail 8100002300			
<b>Legal Title of Grant:</b>		Commerce Connection Tiger IV Discretionary Grant			
<b>Federal Catalog #:</b>		20.933			
<b>Grant/Donor Name and Address:</b>		US Dept of Transportation, 1200 New Jersey Avenue, SE, Washington, DC 20590			
<b>Grant Period:</b>		<b>From:</b>	<b>To:</b>	<b>Completion</b>	
		3/1/2012			
<b>Grant/Donation</b>		\$7,912,054.00			
	<b>SFY 1</b>	<b>SFY 2</b>	<b>SFY 3</b>	<b>Total</b>	<b>Comments</b>
<b>Grant Amount:</b>	\$2,900,000	\$5,012,054	\$0	\$0	Total \$7,912,054.
<b>Position Information:</b>		<b># Positions</b>	<b>Explanation/Comments</b>		
		0			
<b>Additional Comments:</b>		No State funds; match requirements will be met by soft match from the New England Central Railroad.			
<b>Department of Finance &amp; Management</b>		<i>[Signature]</i>		(Initial)	
<b>Secretary of Administration</b>		<i>[Signature]</i>		(Initial)	
<b>Sent To Joint Fiscal Office</b>		<i>[Signature]</i>		Date 10/24/12	

**RECEIVED**  
 OCT 29 2012  
**JOINT FISCAL OFFICE**

**STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)**

BASIC GRANT INFORMATION				
<b>1. Agency:</b>	Transportation			
<b>2. Department:</b>	Rail			
<b>3. Program:</b>	Rail			
<b>4. Legal Title of Grant:</b>	Commerce Connection Tiger IV Discretionary Grant			
<b>5. Federal Catalog #:</b>	20.933			
<b>6. Grant/Donor Name and Address:</b>				
US Department of Transportation 1200 New Jersey Avenue, SE Washington, DC 20590				
<b>7. Grant Period:</b>	<b>From:</b>	3/1/2012	<b>To:</b>	completion
<b>8. Purpose of Grant:</b>				
This project will create a heavy-haul freight rail corridor linking Vermont to export markets in Canada, and enable a key intercity passenger rail link along the designated Boston-to Montreal High Speed Rail Corridor. It will transport the New England Central line into a North-American-standard track and enhance the entire 326-mile Vermont-Massachusetts-New Hampshire-Connecticut rail corridor, connecting major ports, expanding opportunities for U.S. Business and creating quality jobs.				
<b>9. Impact on existing program if grant is not Accepted:</b>				
Without this grant, the project cannot move forward.				
10. BUDGET INFORMATION				
	<b>SFY 1</b>	<b>SFY 2</b>	<b>SFY 3</b>	<b>Comments</b>
<b>Expenditures:</b>	<b>FY 2013</b>	<b>FY</b>	<b>FY</b>	
Personal Services	\$	\$	\$	
Operating Expenses	\$	\$	\$	
Grants	\$2,900,000	\$5,012,054	\$	
<b>Total</b>	\$	\$	\$	
<b>Revenues:</b>				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$2,900,000	\$5,012,054	\$	
(Direct Costs)	\$	\$	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source )	\$	\$	\$	
<b>Total</b>	\$2,900,000	\$5,012,054	\$	
<b>Appropriation No:</b>	8100002300 - 59330 - 20155	<b>Amount:</b>	\$2,900,000	
			\$	
			\$	

**OCT 09 2012**

**STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)**

			\$
			\$
			\$
			\$
		<b>Total</b>	\$2,900,000

**PERSONAL SERVICE INFORMATION**


**11. Will monies from this grant be used to fund one or more Personal Service Contracts?**  Yes  No  
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.  
 Appointing Authority Name: \_\_\_\_\_ Agreed by: \_\_\_\_\_ (initial)

12. Limited Service Position Information:	# Positions	Title
	0	
<b>Total Positions</b>		

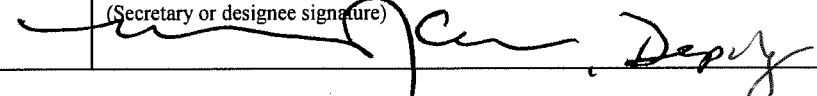
**12a. Equipment and space for these positions:**  Is presently available.  Can be obtained with available funds.

**13. AUTHORIZATION AGENCY/DEPARTMENT**

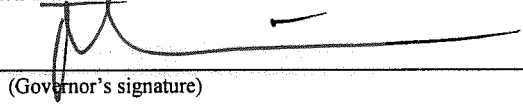
I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

Signature: 	Date:
Title: <u>Sec. of Transportation</u>	
Signature:	Date:
Title:	

**14. SECRETARY OF ADMINISTRATION**

Approved:  (Secretary or designee signature) Date: 10/15/12

**15. ACTION BY GOVERNOR**

Check One Box:  
 Accepted  (Governor's signature) Date: 10/23/12  
 Rejected

**16. DOCUMENTATION REQUIRED**

Required GRANT Documentation	
<input type="checkbox"/> Request Memo	<input type="checkbox"/> Notice of Donation (if any)
<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input type="checkbox"/> Notice of Award	<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Grant Agreement	<input type="checkbox"/> Form AA-1PN attached (if applicable)
<input type="checkbox"/> Grant Budget	

**End Form AA-1**

(\*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

# NORTHERN VERMONT FREIGHT RAIL PROJECT

**APPLICANT/SPONSOR:** Vermont Agency of Transportation

Rural

**TOTAL PROJECT COST:** \$11,260,076

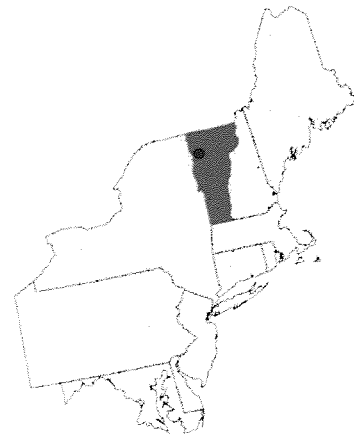
**GRANT FUNDING:** \$7,912,054

## PROJECT DESCRIPTION

TIGER funds will upgrade 18.8 miles of railroad track between St. Albans, Vermont, and the Canadian border. The upgrades will enable the track to carry the gross rail weight standard of up to the 286,000 pounds, allowing more efficient movement of goods throughout the region and internationally.

### PROJECT HIGHLIGHTS

- » Improves the competitiveness of the New England Central Railroad freight rail line by allowing heavier freight hauls
- » Provides Amtrak with the capability to extend its Vermonter service all the way to Montreal
- » Creates 145 construction-related jobs immediately and an estimated 170 long-term regional jobs, as estimated by the applicant



**EAST**

## PROJECT BENEFITS

Upgrading the entire rail line to the modern 286,000 pound standard will improve capacity and overall performance while reducing lifecycle maintenance costs. These improvements will benefit rural, agricultural, and industrial businesses that move goods throughout New England. Additionally, 42,000 more riders are expected to use Amtrak's Vermonter service once it is restored and improved, a plan set to begin within 3 years. This additional rail capacity for goods and people will help alleviate roadway congestion and have a positive environmental impact.



U.S. Department  
of Transportation



**TIGER**