



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee members
From: Sorsha Anderson, Senior Staff Associate
Date: December 20, 2021
Subject: Grant Request – JFO #3082

Enclosed please find one (1) item, which the Joint Fiscal Office has received from the Administration.

JFO #3082 – \$40,900 to the VT Agency of Commerce and Community Development, Department of Housing and Development from the U.S. Department of the Interior, National Park Service for Mount Independence, a major Revolutionary War site on Lake Champlain in Orwell, VT. Funds used to create an integrated system to update and make accessible the existing and extensive geospatial mapping, which details archaeological finds in the area. Photographic records of collections will also be updated.

[Received December 16, 2021]

Please review the enclosed materials and notify the Joint Fiscal Office (Sorsha Anderson: sanderson@leg.state.vt.us) if you have questions or would like this item held for legislative review. Unless we hear from you to the contrary by January 10, 2021, we will assume that you agree to consider as final the Governor's acceptance of this request.

**STATE OF VERMONT
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary:		To develop and implement an integrated system for collections stewardship beyond the geospatial mapping already completed for the Mount Independence site.			
Date:		11/16/2021			
Department:		ACCD – Housing and Community Development			
Legal Title of Grant:		Mount Independence Collections Stewardship Project			
Federal Catalog #:		P21AP10813			
Grant/Donor Name and Address:		US Department of the Interior/National Park Service (ABPP) 1849 C Street NW Washington, D.C. 20240-0001			
Grant Period:	From:	9/23/2021	To:	8/31/2023	
Grant/Donation		\$40,900			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$29,612	\$11,288		\$40,900	
Position Information:		# Positions	Explanation/Comments		
		Up to 4 part-time	Up to 3 temp collection agents (Grade 13); 1 temp registrar (Grade 14) in second year		
Additional Comments:		State match is from a fraction of an existing position in base budget is being used as match (\$22,891) No additional state funds are needed for match.			
Department of Finance & Management			Adam Greshin	<small>Digitally signed by Adam Greshin Date: 2021.11.17 15:51:16 -05'00'</small>	(Initial)
Secretary of Administration			Douglas Farnham	<small>Digitally signed by Douglas Farnham Date: 2021.11.29 11:35:42 -05'00'</small>	(Initial)
Sent To Joint Fiscal Office					Date

Jason Aronowitz Digitally signed by Jason Aronowitz
 Date: 2021.11.16 19:56:56 -05'00'





STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION			
1. Agency:	Commerce and Community Development		
2. Department:	Housing and Community Development		
3. Program:	Division for Historic Preservation (State Historic Sites Program)		
4. Legal Title of Grant:	Mount Independence Collections Stewardship Project		
5. Federal Catalog #:	P21AP10813		
6. Grant/Donor Name and Address:	US Department of the Interior/National Park Service (ABPP) 1849 C Street N.W. Washington, D.C. 20240-1000		
7. Grant Period:	From:	9/23/2021	To: 8/31/2023
8. Purpose of Grant:	<p>The project focus is Mount Independence, a major Revolutionary War site on Lake Champlain in Orwell, Vermont. The site was recognized as a National Historic Landmark in 1972. As one of Vermont's most popular state historic sites, the Mount welcomes more than 3,000 visitors annually, with six miles of trails, permanent and seasonal exhibits, and educational events and activities. Americans built the fort in 1776-77 to defend New England against British invasion from the north. Mount Independence is significant in the war's Northern Campaign of 1776-77. Mount Independence is a priority 1 principal site in the 2007 National Park Service Report to Congress on the Historic Preservation of Revolutionary War and War of 1812 Sites in the United States. The site, consisting of over 300 acres, has divided ownership, with the southern portion owned by the State of Vermont, Division for Historic Preservation and the northern portion owned by the Fort Ticonderoga Association. Mount Independence is one of the best-preserved archaeological sites from the Revolutionary War.</p> <p>This project seeks to develop and implement an integrated system for collections stewardship that moves beyond standard registration practices to leverage the extensive geospatial mapping already completed for the site. The painstaking work of generations of archaeologists, information that is not now publicly accessible nor easily decipherable, will be interpreted to accurately record the locations of individual finds in three-dimensional space, in effect mapping the activities of the people who lived and labored at the site 244 years ago. Critical information confined to paper archaeological reports, fading slides, and brittle photographs will be merged with existing records and the entire collection catalogued to provide the public, especially scholars of the Revolutionary War and archaeology professionals, full access (as appropriate) to primary documentation of a nationally significant military fort.</p> <p>Artifact collections have previously been cataloged using Microsoft Access, a software that is no longer supported nor equipped to serve today's curation requirements and research needs. Prior to the start of this project, all existing catalog records will be transferred to PastPerfect, a software specifically designed for museum collections management and currently used by the Vermont State Historic Sites Program for four other site collections. Through this project, cataloging efforts will continue the recordation of the remainder of the collection using PastPerfect. Additionally, we will document individual artifacts with high-quality photographs that will be linked to the catalog records. Guidance documents will be created to establish standards for creating and editing records to ensure that future work is carried out with a consistent methodology. This integrated catalog and research system will be used by staff for site planning, preservation management, research, interpretation, outreach, exhibits, and programming such as the 250th anniversary of the American Revolution in 2026-27. Knowing more about this expansive historic archaeological site, which covers over 300+ acres, will better enable stewardship of the property and collections, and the sharing of this information as appropriate for public research, education, and exploration.</p>		

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

9. Impact on existing program if grant is not Accepted:

The archaeological studies and artifacts at Mount Independence have a rich and compelling history that has rarely been shared. This project will expand the knowledge and understanding of the construction and garrisoning of Mount Independence and its role in the Northern Campaign, a lesser known but important part of the American Revolution. The integrated catalog and research system will provide a rich opportunity for ongoing study of this defense, to learn more about how soldiers built it from scratch; how the Americans prepared for the arrival of the British in October 1776 after their win at the Battle of Valcour and again by July 1777; and how Americans and then British and Germans lived here for eighteen months until the aftermath of General Burgoyne's surrender. Some artifacts discovered at the site reflect the Native American presence for thousands of years on what became Mount Independence. Without this funding, the documentation we have will continue to be stored away and ultimately forgotten and shall deteriorate. Moreover, especially due to the impacts of climate change, the physical evidence yet to be explore, documented, and understood will continue to evade historians and archaeologist and will be forever lost, a destiny looming in the not-to-distant future. The Division for Historic Preservation and Fort Ticonderoga cannot support the advancement of this research without this additional funding. It is anticipated that the project will result in greater scholarly research and increase visitation to this National Significant Landmark in Vermont.

10. BUDGET INFORMATION


	SFY 1 FY 22	SFY 2 FY 23	SFY 3 FY 24	Comments
Expenditures:				
Personal Services	\$45,000	\$14,950	\$	1
Operating Expenses	\$3,841	\$	\$	
Grants	\$	\$	\$	
Total	\$48,841	\$14,950	\$	
Revenues:				
State Funds:				7110010700 GF Match
Cash	\$19,229	\$3,662	\$	
In-Kind	\$	\$	\$	
Federal Funds:				ABPP Grant
(Direct Costs)	\$29,612	\$11,288	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:				
Grant (source)	\$	\$	\$	
Total	\$48,841	\$14,950	\$	

Appropriation No:	7110010000/22005	Amount:	\$29,612
			\$
			\$
			\$
			\$
			\$
			\$
		Total	\$29,612

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

Appointing Authority Name: Alex Farrell Agreed by:  (initial)

12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

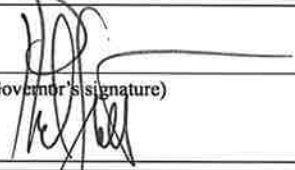
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: 	Date: 11/3/2021
	Title: State Historic Preservation Officer	
	Signature: 	Date: 11/3/2021
	Title: Deputy Commissioner, Housing and Community Development	

14. SECRETARY OF ADMINISTRATION

Approved: (Secretary or designee signature) **Douglas Farnham** Digitally signed by Douglas Farnham Date: 2021.11.29 09:51:58 -05'00' Date: _____

15. ACTION BY GOVERNOR

Check One Box: Accepted (Governor's signature)  Date: 12/14/21

Rejected

16. DOCUMENTATION REQUIRED

Required GRANT Documentation	
<input checked="" type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input checked="" type="checkbox"/> Notice of Award <input checked="" type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input checked="" type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)

End Form AA-1

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

INTEROFFICE MEMORANDUM

TO: SUSANNE R. YOUNG, SECRETARY OF ADMINISTRATION

FROM: LAURA V. TRIESCHMANN, STATE HISTORIC PRESERVATION OFFICER

SUBJECT: REQUEST FOR APPROVAL FOR ACCEPTANCE OF GRANT FROM NATIONAL PARK SERVICE, AMERICAN BATTLEFIELD PROTECTION PROGRAM

DATE: OCTOBER 14, 2021

CC: ALEX FARRELL, DEPUTY COMMISSIONER DHCD
KIMBERLY BAKER, FINANCIAL MANAGER DHCD
TRACY MARTIN, HISTORIC SITES SECTION CHIEF DHCD

The Division for Historic Preservation (VDHP) respectfully requests approval to accept a grant from the National Park Service, Department of the Interior. Vermont is one of 11 preservation partners receiving a grant from the American Battlefield Protection Program, which is administered by the National Park Service. Press release: <https://www.nps.gov/orgs/1207/abpp-ppg-2021.htm>

The grant, entitled *Mount Independence Collections Stewardship Project*, is for \$40,900, which will be matched in large part with funds from the Historic Sites General Fund (Division for Historic Preservation).

The project focus is Mount Independence, a major Revolutionary War site on Lake Champlain in Orwell, Vermont. The site was recognized as a National Historic Landmark in 1972. As one of Vermont's most popular state historic sites, the Mount welcomes more than 3,000 visitors annually, with six miles of trails, permanent and seasonal exhibits, and educational events and activities. Americans built the fort in 1776-77 to defend New England against British invasion from the north. Mount Independence is significant in the war's Northern Campaign of 1776-77. Mount Independence is a priority 1 principal site in the 2007 National Park Service Report to Congress on the Historic Preservation of Revolutionary War and War of 1812 Sites in the United States. The site, consisting of over 300 acres, has divided ownership, with the southern portion owned by the State of Vermont, Division for Historic Preservation and the northern portion owned by the Fort Ticonderoga Association. Mount Independence is one of the best-preserved archaeological sites from the Revolutionary War.

This project seeks to develop and implement an integrated system for collections stewardship that moves beyond standard registration practices to leverage the extensive geospatial mapping already completed for the site. The painstaking work of generations of archaeologists, information that is not now publicly accessible nor easily decipherable, will be interpreted to accurately record the locations of individual finds in three-dimensional space, in effect mapping the activities of the people who lived and labored at the site 244 years ago. Critical information confined to paper archaeological reports, fading slides, and brittle photographs will be merged with existing records and the entire collection catalogued to provide the public, especially scholars of the Revolutionary

War and archaeology professionals, full access (as appropriate) to primary documentation of a nationally significant military fort.

Artifact collections have previously been cataloged using Microsoft Access, a software that is no longer supported nor equipped to serve today's curation requirements and research needs. Prior to the start of this project, all existing catalog records will be transferred to PastPerfect, a software specifically designed for museum collections management and currently used by the Vermont State Historic Sites Program for four other site collections. Through this project, cataloging efforts will continue the recordation of the remainder of the collection using PastPerfect. Additionally, we will document individual artifacts with high-quality photographs that will be linked to the catalog records. Guidance documents will be created to establish standards for creating and editing records to ensure that future work is carried out with a consistent methodology. This integrated catalog and research system will be used by staff for site planning, preservation management, research, interpretation, outreach, exhibits, and programming such as the 250th anniversary of the American Revolution in 2026-27. Knowing more about this expansive historic archaeological site, which covers over 300+ acres, will better enable stewardship of the property and collections, and the sharing of this information as appropriate for public research, education, and exploration.

Through its many grant programs, the National Park Service has been a devoted stewardship partner to Vermont State Historic Preservation Office and VDHP, providing oversight, direction, funding, and project review. The America Battlefield Protection Program supports the preservation and rehabilitation of some of the most significant and iconic American places where battles for our independence was gained, making it ideal for the work needed at Mount Independence. VDHP and the Historic Sites Program administrators welcome this collaboration to properly maintain the collections of this iconic resource for the enjoyment and education of our visitors and scholars. We are grateful for this support and thrilled to now be able to address the overdue recordation of our collections.

Since 1990, Battlefield Preservation Planning Grants have helped protect and enhance more than 100 battlefields with more than 600 funded projects in 42 states and territories. Typical awards range from \$30,000 to \$150,000 and do not require matching funds. For 2021, the awards of \$1.19 million will aid in the preservation, research, documentation, and interpretive planning of battlefields and sites of armed conflict, like Mount Independence. will leverage more than \$20 million in private and public investment. These preservation planning grants are the broadest of the American Battlefield Protection Program's grants and is administered by the National Park Service, Department of Interior. <https://www.nps.gov/subjects/battlefields/battlefield-planning-grants.htm>

Thank you very much for considering this request. Please do not hesitate to contact me at 802-505-3579 or at laura.trieschmann@vermont.gov should you need additional information. We invite you to tour Mount Independence when your schedule allows.

NOTICE OF AWARD



AUTHORIZATION (Legislation/Regulations)

54 U.S.C. §308102 American Battlefield Protection Program

1. DATE ISSUED MM/DD/YYYY 09/23/2021		1a. SUPERSEDES AWARD NOTICE dated except that any additions or restrictions previously imposed remain in effect unless specifically rescinded	
2. CFDA NO. 15.926 - American Battlefield Protection			
3. ASSISTANCE TYPE Project Grant			
4. GRANT NO. P21AP10813-00 Originating MCA #		5. TYPE OF AWARD Other	
4a. FAIN P21AP10813		5a. ACTION TYPE New	
6. PROJECT PERIOD MM/DD/YYYY From 09/23/2021		Through 08/31/2023	
7. BUDGET PERIOD MM/DD/YYYY From 09/23/2021		Through 08/31/2023	
8. TITLE OF PROJECT (OR PROGRAM) Mount Independence Collections Stewardship Project			

9a. GRANTEE NAME AND ADDRESS Housing And Community Affairs, Vermont Dept Of NATIONAL LIFE BLDG FL 6 MONTPELIER, VT 05620-0001	9b. GRANTEE PROJECT DIRECTOR LAURA TRIESCHMANN 1 National Life Drive Historic Preservation Montpelier, VT 05620-501 Phone: 8028283222
10a. GRANTEE AUTHORIZING OFFICIAL LAURA TRIESCHMANN One National Life Drive, Davis Building, Floor 6 Historic Preservation Montpelier, VT 05620-0501	10b. FEDERAL PROJECT OFFICER Mr. Philip Bailey Main Interior Building National Park Service 1849 C Street NW Washington, DC 20240-1000 Phone: 202-513-7126

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)		12. AWARD COMPUTATION																	
I Financial Assistance from the Federal Awarding Agency Only		a. Amount of Federal Financial Assistance (from item 11m) \$ 40,900.00																	
II Total project costs including grant funds and all other financial participation		b. Less Unobligated Balance From Prior Budget Periods \$ 0.00																	
a. Salaries and Wages	\$ 36,865.00	c. Less Cumulative Prior Award(s) This Budget Period \$ 0.00																	
b. Fringe Benefits	\$ 8,085.00	d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION \$ 40,900.00																	
c. Total Personnel Costs	\$ 44,950.00	13. Total Federal Funds Awarded to Date for Project Period \$ 40,900.00																	
d. Equipment	\$ 0.00	14. RECOMMENDED FUTURE SUPPORT																	
e. Supplies	\$ 2,693.00	(Subject to the availability of funds and satisfactory progress of the project):																	
f. Travel	\$ 1,148.00	<table border="1"> <thead> <tr> <th>YEAR</th> <th>TOTAL DIRECT COSTS</th> <th>YEAR</th> <th>TOTAL DIRECT COSTS</th> </tr> </thead> <tbody> <tr> <td>a. 2</td> <td>\$</td> <td>d. 5</td> <td>\$</td> </tr> <tr> <td>b. 3</td> <td>\$</td> <td>e. 6</td> <td>\$</td> </tr> <tr> <td>c. 4</td> <td>\$</td> <td>f. 7</td> <td>\$</td> </tr> </tbody> </table>		YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS	a. 2	\$	d. 5	\$	b. 3	\$	e. 6	\$	c. 4	\$	f. 7	\$
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS																
a. 2	\$	d. 5	\$																
b. 3	\$	e. 6	\$																
c. 4	\$	f. 7	\$																
g. Construction	\$ 0.00	15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:																	
h. Other	\$ 0.00	<table border="1"> <tr> <td>a. DEDUCTION</td> <td rowspan="5" style="text-align: center; vertical-align: middle;">b</td> </tr> <tr> <td>b. ADDITIONAL COSTS</td> </tr> <tr> <td>c. MATCHING</td> </tr> <tr> <td>d. OTHER RESEARCH (Add / Deduct Option)</td> </tr> <tr> <td>e. OTHER (See REMARKS)</td> </tr> </table>		a. DEDUCTION	b	b. ADDITIONAL COSTS	c. MATCHING	d. OTHER RESEARCH (Add / Deduct Option)	e. OTHER (See REMARKS)										
a. DEDUCTION	b																		
b. ADDITIONAL COSTS																			
c. MATCHING																			
d. OTHER RESEARCH (Add / Deduct Option)																			
e. OTHER (See REMARKS)																			
i. Contractual	\$ 15,000.00	16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:																	
j. TOTAL DIRECT COSTS	\$ 63,791.00	<ul style="list-style-type: none"> a. The grant program legislation b. The grant program regulations. c. This award notice including terms and conditions, if any, noted below under REMARKS. d. Federal administrative requirements, cost principles and audit requirements applicable to this grant. 																	
k. INDIRECT COSTS	\$ 0.00	In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.																	
l. TOTAL APPROVED BUDGET	\$ 63,791.00																		
m. Federal Share	\$ 40,900.00																		
n. Non-Federal Share	\$ 22,891.00																		

REMARKS (Other Terms and Conditions Attached - Yes No)

GRANTS MANAGEMENT OFFICIAL:

James Modrick, Lead Grants Management Specialist and Awarding Officer
1849 C Street NW
MS 7228
Washington, DC 20240-1000
Phone: 202-354-2037

17. VENDOR CODE	0071335453	18. DUNS	135459159	19. CONG. DIST.	00	
LINE#	FINANCIAL ACCT	AMT OF FIN ASST	START DATE	END DATE	TAS ACCT	PO LINE DESCRIPTION
1	0051010695-00010	\$40,900.00	09/23/2021	08/31/2023	1042	FY21 ABPP Planning VT Division Historic