



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: James Reardon, Commissioner of Finance & Management
From: Nathan Lavery, Fiscal Analyst
Date: November 5, 2009
Subject: JFO #2399, #2401, #2403

The Joint Fiscal Committee has reviewed the following items and agreed to waive the remainder of the statutory review period and consider the Governor's approval of the items as final:

JFO #2399 — \$130,000 grant from the U.S. Department of Justice to the Department of Public Safety. These grant funds will be used to hire one intelligence analyst who will be assigned to the Vermont Fusion Center (VTFC) to support rural law enforcement investigations in Vermont. This grant is a competitive award under the American Recovery and Reinvestment Act (ARRA).
[JFO received 10/13/09]

JFO #2401 — Award from the U.S. Internal Revenue Service to Office of the State Treasurer. Acceptance of this request will allow for federal reimbursement to the issuer of 45% of the interest cost of these bonds; the preliminary estimated value of this subsidy is approximately \$9 million. This award is part of the American Recovery and Reinvestment Act (ARRA) and expedited approval of this item has been requested.
[JFO received 10/21/09]

JFO #2403 — \$355,435 grant from the Vermont Center for Geographic Information (VCGI) to the Department of Public Service. These grant funds will be used to fully fund 1.0 FTE position for a three year period to support the activities of the Vermont Broadband Mapping Initiative. Expedited approval of this item was requested subsequent to JFO receipt. Approval of this item was granted only after VCGI had been notified of the grant award.
[JFO received 10/21/09]

We ask that you inform the Secretary of Administration and your staff of this action.

cc: Thomas Tremblay, Commissioner
Jeb Spaulding, Treasurer
David O'Brien, Commissioner
Mel Adams, Chief Recovery Officer



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: October 13, 2009
Subject: Grant Requests

Enclosed please find five (5) requests that the Joint Fiscal Office has received from the administration:

JFO #2396 — \$38,026 grant from the U.S. Department of Health and Human Services Administration on Aging to – Department of Disabilities, Aging and Independent Living (DAAIL). These grant funds will be used to inform new and existing Medicare beneficiaries about eligibility for federal programs that help them pay for prescription drugs and other health costs, as well as enrollment assistance for these programs.

[JFO received 10/06/09]

JFO #2397 — \$545,842 grant from the Federal Emergency Management Agency (FEMA) to Department of Public Safety. These grant funds will be used to provide financial assistance to implement measures that will permanently reduce or eliminate future damage from natural hazards through safer building practices and improving existing structures.

[JFO received 10/06/09]

JFO #2398 — \$100,000 grant from the U.S. Department of Justice to State's Attorneys and Sheriffs. These grant funds will be used to purchase equipment to document and record Special Investigation Units (SIUs)/Child Advocacy Center interviews, crime scene evidence, etc., and train SIU personnel.

[JFO received 10/06/09]

JFO #2399 — \$130,000 grant from the U.S. Department of Justice to the Department of Public Safety. These grant funds will be used to hire one intelligence analyst who will be assigned to the Vermont Fusion Center (VTFC) to support rural law enforcement investigations in Vermont. **This grant is a competitive award under the American Recovery and Reinvestment Act (ARRA) and expedited approval of this item has been requested.** The Joint Fiscal Committee members will be contacted within two weeks with a request to waive the statutory review period and accept this item.

[JFO received 10/13/09]

JFO #2400 — \$29,220 grant from the U.S. Department Agriculture to the Agency of Agriculture, Food and Markets. These funds will be used to increase the level of participation of Vermont livestock owners in the National Animal Identification System (NAIS).

[JFO received 10/13/09]

The Joint Fiscal Office has reviewed these submissions and determined that all appropriate forms bearing the necessary approvals are in order. In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by October 27 we will assume that you agree to consider as final the Governor's acceptance of these requests.

cc: James Reardon, Commissioner
Roger Allbee, Secretary
Joan Senecal, Commissioner
Thomas Tremblay, Commissioner
Jane Woodruff, Executive Director



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Representative William Lippert

From: Nathan Lavery, Fiscal Analyst

Date: October 13, 2009

Subject: JFO #2399

In accordance with Sec. E.129 of Act 1 of the 2009 Special Session, Representative Michael Obuchowski asked that I forward to you a copy of the enclosed American Recovery and Reinvestment Act grant materials and cover memo (JFO #2399). He requests your observations regarding the enclosed item.

cc: Rep. Michael Obuchowski
Stephen Klein



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Representative William Lippert

From: Nathan Lavery, Fiscal Analyst

Date: October 13, 2009

Subject: JFO #2399

In accordance with Sec. E.129 of Act 1 of the 2009 Special Session, Representative Michael Obuchowski asked that I forward to you a copy of the enclosed American Recovery and Reinvestment Act grant materials and cover memo (JFO #2399). He requests your observations regarding the enclosed item.

cc: Rep. Michael Obuchowski
Stephen Klein

JFO 2399



State of Vermont
Department of Finance & Management
109 State Street, Pavilion Building
Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
[fax] 802-828-2428

**STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary:	Will contract to hire one additional staff to provide additional rural crime intelligence analysis				
Date:	9/23/2009				
Department:	Department of Public Safety				
Legal Title of Grant:	Vermont's Rural Law Enforcement to Combat Crime and Drugs Grant: Category I Combating Rural Crime				
Federal Catalog #:	16.810				
Grant/Donor Name and Address:	Department of Justice, Bureau of Justice Assistance				
Grant Period:	From:	8/1/2009	To:	7/31/2011	
Grant/Donation	\$130,000				
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$47,894	\$82,106	\$	\$130,000	100% federal funding

Position Information:	# Positions	Explanation/Comments
	0	

Additional Comments: Will fund one personal service contract for grant period.

Department of Finance & Management	<i>[Signature]</i> 9/23/09	(Initial) <i>DB</i> 9-23-09
Secretary of Administration	<i>[Signature]</i> 9/27/09	(Initial)
Sent To Joint Fiscal Office		Date 10/5/09

RECEIVED
OCT 13 2009
JOINT FISCAL OFFICE

State of Vermont
Agency of Administration
Office of Economic Stimulus and Recovery
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.vermont.recovery.gov

[phone] 802-828-1354
[fax] 802-828-3320

Tom Evslin, Chief Recovery Officer

October 13, 2009

To: Joint Fiscal Office

From: Tom Evslin, Chief Recovery Officer

Subject: Request to Expedite ARRA Competitive Grant

In light of the ARRA goal and expressed intent of the Vermont legislature and the Governor that stimulus money be put to work as quickly as possible to help alleviate unemployment and stimulate the economy, I am requesting expedited consideration by the Joint Fiscal Committee of this competitive grant. Moreover, the sooner this grant is approved, the sooner Vermonters will obtain the Public Safety benefits which it funds.

Thank you for your consideration.

ARRA ACTIVITY ACCEPTANCE REVISION: <input type="checkbox"/> Yes Revision Date: _____	EST: <input checked="" type="checkbox"/> ARRA Competitive Grant (Alternate Form AA-1)	<input type="checkbox"/> Other ARRA Activity (Not subject to AA-1 Process)
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INSTRUCTIONS: This form must be completed in its entirety and is required for: **TRC DB: #51**

- 1) acceptance of all ARRA Discretionary Grants, and
- 2) PRIOR to receipt of all ARRA Formula/Block Grants, and
- 3) PRIOR to receipts of all ARRA funding for Individual Entitlement Programs.

NOTE: Incomplete forms will be returned to departments and will result in the delay of spending authority release.

BASIC ARRA INFORMATION

1. Agency (ARRA-F): _____		2. Department (ARRA-F): Public Safety		3. DUNS # (ARRA-C): 809376692	
4. Office Location: _____		City/town: Waterbury		County: Washington	
5. ARRA Activity (ARRA 1-01): Combating rural crime with an intelligence analyst			6. ARRA Code (ARRA 2-1): I99 - Crime & Legal-Related N.E.C.		
7. Legal Title of Grant: Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program					
8. Federal Agency Award # (ARRA-B): 2009-SD-B9-0208			9. CFDA # (ARRA-E): 16.810		
10. Federal Funding Agency's US Treasury Account Symbol (TAS): _____ (if provided by the federal funding agency)					
11. Federal (or VT) Funding Agency (ARRA-A): U.S. Dept. of Justice		12. Award Date: 8/24/2009			
13. Award Amount \$130,000			14. Check if this amount is an estimate: <input type="checkbox"/>		
15. Grant Period (ARRA-H) From: 8/1/2009		To: 7/31/2011			
16. Date by which ARRA funds must be: <input checked="" type="checkbox"/> Obligated by Date: 7/31/2011 and/or <input checked="" type="checkbox"/> Spent by Date: 7/31/2011					

17. Purpose of Grant/ARRA Narrative (ARRA 2-02):

This project proposes to hire one intelligence analyst to be assigned at the Vermont Fusion Center (VTFC) to support rural law enforcement investigations in the State of Vermont. By hiring this analyst it will allow the Vermont Fusion Center to expand its capability to support local and state law enforcement in tracking crime trends and developing products that will provide field commanders in participating jurisdictions with actionable intelligence so they can better direct their resources based on vetted information. Additionally, this analyst will support rural communities and their respective departments in support for major criminal investigations.

Strategies for the Project:

Hiring this analyst will allow the Vermont State Police to expand its current Intelligence Led-Policing project known as Data Driven Approaches to Crime and Traffic Safety (DDACTS). This data and intelligence led policing project overlays crime and traffic data that is vetted by an analyst, of an impacted area within jurisdictions. Analyst then produces an actionable intelligence document for field commanders in participating jurisdictions to use. Field commanders then formulate strategies to interdict the crime pattern through task forces established at the local level. This expansion will continue to support the Fusion Centers rural law enforcement mission of supporting field commanders with intelligence documents that are actionable and support of major criminal investigations.

Major Deliverables:

This project proposes to combat rural crime and improve rural law enforcement investigations by:

1. Expand intelligence and data led policing model to more local jurisdictions
2. Expand major case support for criminal investigations
3. Expand support to the Joint Terrorism Task Force and state's Drug Task Force

18. Area that will Benefit (name the state, county, city or school district): Vermont, statewide

19. Impact on existing program if grant is not Accepted:

Since 2005, the role of the VTFC in supporting major case investigations has increased by 358%. Additionally, with the introduction of the Intelligence/Data Led-Policing model and its success there is an increase of requests for this service into the VTFC. The VTFC cannot provide any further expansion of services without assistance in the form of an intelligence analyst.

20. BUDGET INFORMATION (Note the total of columns A+B+C must equal the total of columns D+E+F)

Column Reference	A	B	C	D	E	F
	←-----State Fiscal Year-----→			←-----Federal Fiscal Year-----→		

PROGRAM DR: 224

REC'D SEP 23 2009

Fiscal Year	SFY 2009	SFY 2010	SFY 2011 & Beyond	FFY 2009	FFY 2010	SFY 2011 & Beyond
Expenditures:						
Personnel Costs	\$	\$	\$	\$	\$	\$
Party Contracts	\$	\$42,005	\$72,009	\$	\$60,007	\$54,007
Operating Expenses	\$	\$5,889	\$10,097	\$	\$8,413	\$7,573
Grants/Sub-Awards	\$	\$	\$	\$	\$	\$
Total Expenditures	\$	\$47,894	\$82,106	\$	\$68,420	\$61,580
Revenues:						
State Funds:	\$	\$	\$	\$	\$	\$
Cash	\$	\$	\$	\$	\$	\$
In-Kind	\$	\$	\$	\$	\$	\$
ARRA Federal Funds:	\$	\$	\$	\$	\$	\$
(Direct Costs)	\$	\$47,894	\$82,106	\$	\$68,420	\$61,580
(Statewide Indirect)	\$	\$	\$	\$	\$	\$
(Dept'l Indirect)	\$	\$	\$	\$	\$	\$
Sub-total ARRA Funds	\$	\$	\$	\$	\$	\$
Other Funds:	\$	\$	\$	\$	\$	\$
(Other Federal)	\$	\$	\$	\$	\$	\$
(list source)	\$	\$	\$	\$	\$	\$
Total Revenues	\$	\$47,894	\$82,106	\$	\$68,420	\$61,580

Comments about expenditures or revenues may be made in the space provided below:

21. VISION Tracking Information:

DeptID/Appropriation:	Other VISION Chartfield (funds, programs or projects)	Total Amount (all FYs)	Comments
2140071000	Fund 22040, Project# 71079	\$130,000	
		\$	
		\$	
		\$	
		\$	
Total		\$130,000	This Total MUST agree with the total of Item 10, columns A+B+C above

PERSONAL SERVICE INFORMATION

22. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: (initial)

23. State Position Information and Title(s):	# Existing Positions Retained	Est. Annual Regular Hours	# Positions Created (New)	Est. Annual Regular Hours
Total Positions				

Is the appropriate Position Request Form attached for new position(s) listed in Line 12 above?
 YES - Form attached or No new positions created

25. Equipment and space for these positions: is presently available. Can be obtained w/ available funds.

26. Does this qualify as "Infrastructure"? Yes No If Yes complete next line:

27. Infrastructure Rationale (select one) (ARRA 2-06):
 1. To Preserve & create jobs & promote economic recovery.
 2. To assist those most impacted by the recession.
 3. To provide investment needed to increase economic efficiency by spurring technological advances in science & health.
 4. To invest in transportation, environmental protection, & other infrastructure that will provide long-term economic benefits.
 5. To stabilize State & local government budgets, in order to minimize & avoid reductions in essential services & counterproductive state & local tax increases.

28. AUTHORIZATION AGENCY/DEPARTMENT SIGNATURES

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable). I/we further certify that these funds will be used only in accordance with the federal American Recovery & Reinvestment Act and all federal and state rules and regulations pertaining thereto:

ARRA Activity Manager:		Date:	9-10-09
Name: Christopher Reinfurt	Title: Captain, Vermont State Police - Homeland Security Division		
Department Head:		Date:	9/17/09
Name: Thomas R. Tremblay	Title: Commissioner		
Agency Secretary (if required):		Date:	
Name:		Title:	

29. REVIEW BY FINANCE & MANAGEMENT (continue on separate sheet if necessary)

<input type="checkbox"/>	<input checked="" type="checkbox"/> To Release Spending Authority in VISION:	FY 2010 \$ 47,894	Citation(s):
Analyst (initial):	DB	Date: 9-23-09	Commissioner Finance & Management initial):
For ESR Use Only:	Assigned ESR Director's Signature:		Date: 8/23/09

*** Section 30 through 33 are required ONLY when Form ESR-2 is used in lieu of Form AA-1 ***

30. SECRETARY OF ADMINISTRATION

<input type="checkbox"/>	Check One Box: Accepted	(Secretary's signature or designee)		Date: 9/31/09
<input type="checkbox"/>	Rejected			Date:

31. ACTION BY GOVERNOR

<input checked="" type="checkbox"/>	Check One Box: Request to JFO	(Governor's signature or designee)		Date: 10/5/09
<input type="checkbox"/>	Rejected			Date:

32. SENT TO JFO

<input type="checkbox"/>	Sent to JFO	Date:
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*** Section 33 is a required section ***

33. ARRA FORM ESR-2 DOCUMENTATION CHECK LIST (check all that apply):

<input type="checkbox"/> Notice of Award or Proof of Award (REQUIRED)	<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input type="checkbox"/> Request Memo	<input type="checkbox"/> Governor's Certification (if applicable)	<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Grant Agreement	<input type="checkbox"/> Notice of Donation (if any)	<input type="checkbox"/> Form AA-1PN attached (if applicable)
	<input type="checkbox"/> Position Request Form(s)	



STATE OF VERMONT – ARRA ACCOUNTABILITY PLAN

Agency:	NA
Department/Division:	Public Safety

Purpose:

This Accountability Plan is intended to document the ability of the agency or department to meet the accountability, transparency and reporting requirements of the federal American Recovery & Reinvestment Act of 2009.

Definitions as used in this Plan:

Agency/Department - An agency, department, division, board, or other administrative unit of the State of Vermont, and includes elected offices, those having express statutory authority to enter into contracts and subgrants, and all component units.

ARRA - The federal American Recovery & Reinvestment Act of 2009.

ARRA Activity - A program, project, competitive grant, or related group of expenditures funded by ARRA.

Contract – Legal agreement for goods and/or services funded with ARRA monies and subject to Administration Bulletin 3.5 – Contracting Procedures and/or purchasing rules established by the Department of Buildings and General Services in accordance with 29 V.S.A. § 902.

Competitive Grant - An individual federal grant which the state must be eligible for based on specific criteria, have applied for, and been awarded; no guarantee of award.

ESR - The Vermont Economic Stimulus & Recovery Office.

Formula/Block Grant – Allocations of money from the federal government to the State agencies and departments in accordance with distribution formulas prescribed by federal law or administrative regulation, for activities of a continuing nature such as: Federal Highway Administration Programs/Projects (FHWA), Community Development Block Grant (CDBG), COPS grant, Substance Abuse Prevention & Treatment Block Grant (SAPTBG), etc.

Individual Benefit Program – Federal programs designed to assist individual beneficiaries, such as: SNAP (food stamps), TANF (temporary assistance), Medicaid, Unemployment benefits, Special Ed, ect.

Sub-Award – Legal agreement (subgrant) to a subrecipient of ARRA monies received by the State of Vermont and subject to Administrative Bulletin 5.0 – Single Audit Policy for SubGrants, including an award from one unit of State government to another.

VISION - is an Oracle/PeopleSoft enterprise financial management system used as the primary statewide accounting system, managed by the Dept of Finance & Management in conjunction with the Dept of Information & Innovation's PeopleSoft Technical Unit.

1. IDENTIFY (LIST) ALL ARRA FUNDED ACTIVITIES (*):

1) VT Incident Based Reporting System (VIBRS) Upgrade; 2) Spillman Law Enforcement Database & E-911 Interface Development; 3) DPS Telephone System and Switch Replacement; 4) Creation of a new Mobile Data Support Position; 5) Offset of portion of cost to local and county agencies for transition to use of SOV's centralized Outlook Exchange servers; 6) Upgrade of mobile video recording devices in state police fleet; 7) Replacement of 12 older Livescan Fingerprint Transmission devices to state of the art devices.

***Mandatory Requirement:**

a. For Each ARRA Competitive Grant, prior to application; and

b. For Each ARRA funded group of expenditures to be tracked before spending may begin.

An individual **ARRA Funds Acceptance Request** (Form ESR-2) must be submitted to your Budget & Management Analyst; the Budget & Management Analyst will forward to ESR after review.

2. FINANCIAL – ACCOUNTING AND COST ALLOCATION METHODOLOGY:
a. Is VISION your primary financial transaction and accounting system? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, skip to items 2.d.
b. If no, what is the name of the other primary financial system you use: NA
c. Explain how ARRA receipts and expenditures will be tracked in the other primary financial system. NA
d. Explain how ARRA receipts and expenditures will be tracked in VISION; by Business Unit, DeptID, funding codes, VISION chartfields, etc.? (see ATTACHED)
e. Will all or some expenditures for ARRA Activities be tracked by specific expenditure? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, which specific expenditures will be tracked? (see ATTACHED)
f. Will all or some expenditures for ARRA Activities be tracked by cost pool? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain cost pool methodology. NA
g. Will all or some indirect costs for ARRA Activities be allocated? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain allocation methodology. NA
h. Explain your training plan to instruct staff on the new ARRA policies & procedures: The DPS has created a team to develop the internal guidelines for monitoring our ARRA funded projects. This team consists of the Director of Administrative Services, the Director of Criminal Justice Services (CJS), Reporting Supervisor, Federal Grants Supervisor, Grants Manager, and Contracts/Purchasing Administrator. This team will develop the general guidelines, and a plan for how quarterly reporting requirements will be met. At the kick-off of each project, all stakeholders will be brought together, including the above-mentioned team, the specific DPS Project Manager (all assigned Project Managers to be direct reports to the Director of CJS), and a representative of all contracted services providers or sub-grantees to ensure that all are clear on these guidelines/reporting requirements. This group, or a sub-committee of this group, will meet as required throughout the project on an as-needed basis to ensure that projects are in compliance with all requirements.

3. DOCUMENTATION OF TRACKING FOR ARRA METRICS:
a. How will you collect data on State of Vermont Jobs Created or Retained for your Agency/Department due to ARRA funding? We anticipate utilizing ARRA funds for only one State position, a Support position for the Mobile Data systems project. We understand that the State of Vermont is interpreting "job creation" to be any newly created State position funded with ARRA funds, and a "job retained" to be any currently existing State position that is funded wholly or partially with ARRA funds in the future. We understand that the number of these positions should be calculated pro-rata based on 2080 hours=One FTE.
b. How will you calculate percentage of completion for ARRA activities? We anticipate that all of our ARRA projects will be contracted out, and as such, each will have a specifically defined scope-of-work that will include a timeline for completion. We will establish milestones and will calculate percent of project completed based on those milestones.
c. How will you track Infrastructure Project expenditures? No Infrastructure Projects Planned
d. Are your federal ARRA funding agencies requiring you to track other metrics? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, list these metrics and explain how you will track them:

4. ARRA ACTIVITY CONTRACTS, PROCUREMENTS, AND SUB-AWARDS:
a. Will you be executing Contracts and/or Sub-Grants funded with ARRA monies? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Please acknowledge your responsibility for ensuring that the new language required by the 4/21/09 Addendum to Bulletins 3.5 and 5.0 will be included in each contract and/or sub-grant funded with ARRA monies, which requires the contractor, vendors, and sub-recipient to periodically report required information and/or metrics to you. <input checked="" type="checkbox"/> Yes

5. OTHER COMMENTS:

Submitted by:	Commissioner or Exempt Department Head:	<i>Tom R. Tush</i>	Date: 5/8/09
	Agency Secretary (if required):		Date:
Approved by:	^{Dup} Commissioner, Finance & Management	<i>Susan Bellu</i>	Date: 5/12/09
	VT Chief Stimulus Officer:	<i>[Signature]</i>	Date: 5/12/09

*OK
5/12/09*

Form ESR-1 Addendum

Department of Public Safety - Business Unit 02140

Date: May 6, 2009

This form is to provide detailed responses to the following questions on ESR-1:

#2 (d): Explain how ARRA receipts and expenditures will be tracked in VISION?

DPS Response: The DPS will use the following Chart of Accounts to track ARRA Fund expenditures and receipts:

Business Unit 02140/Specific Internal Dept ID where expenditure or drawn funds should be charged or deposited /Fund Code #22040/Project-Grant Code as created specifically for each project defined in Question #1 of this form/Account Code appropriate for the specific expenditure (or, if a revenue deposit transaction, will use new ARRA funds Revenue Code 430001 for deposit of drawn, earned, ARRA funds)

#2 (e) Will all or some expenditures for ARRA activities be tracked by specific expenditure? If yes, which specific expenditures will be tracked?

DPS Response:

Part 1: Yes

Part 2: *We will be tracking all specific expenditures. We expect that most of our expenditures will be contract payments utilizing the "Contract and 3rd Party Services" account codes. We will also pay and track the Salary & Benefits of the position we will be creating for Mobile Data Support using the Chart of Accounts identified in #2(d), and the regular Salary and Benefits expenditure account codes. This will allow us to query all expenditure and revenue data associated with ARRA funds separately from other Federal expenditures and revenues.*

APPLICATION FOR FEDERAL ASSISTANCE		2. DATE SUBMITTED April 22, 2009	Applicant Identifier
1. TYPE OF SUBMISSION Application Non-Construction	3. DATE RECEIVED BY STATE		State Application Identifier
	4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier
5. APPLICANT INFORMATION			
Legal Name Vermont Department of Public Safety		Organizational Unit Department of Public Safety	
Address 103 South Main Street State Police Headquarters Waterbury, Vermont 05671-2101		Name and telephone number of the person to be contacted on matters involving this application Reinfurt, Chris (802) 241-5357	
6. EMPLOYER IDENTIFICATION NUMBER (EIN) 03-6000274		7. TYPE OF APPLICANT State	
8. TYPE OF APPLICATION New		9. NAME OF FEDERAL AGENCY Bureau of Justice Assistance	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 16.810 CFDA TITLE: 16.810 - Rural Law Enforcement		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT Vermont's Rural Law Enforcement to Combat Crime and Drugs Grant: Category I Combating Rural Crime	
12. AREAS AFFECTED BY PROJECT Statewide			
13. PROPOSED PROJECT Start Date: August 01, 2009 End Date: July 31, 2011		14. CONGRESSIONAL DISTRICTS OF a. Applicant b. Project VT00	
15. ESTIMATED FUNDING		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? Program is not covered by E.O. 12372	
Federal	\$130,000		
Applicant	\$0		
State	\$0		
Local	\$0		
Other	\$0		
Program Income	\$0	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL	

TOTAL	\$130,000	DEB 1?
		N

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS REQUIRED.

Close Window

Program Abstract

Agency/Applicant Name: Department of Public Safety/Vermont State Police
Title of the Project: Intelligence Analyst
Amount Requested: \$130,000
Assistance to Rural Law Enforcement to Combat Crime and Drugs
Category: I, Combating Rural Crime
Point of Contact: Captain Chris Reinfurt
Telephone Number: (802) 241-5357

Vermont qualifies as a rural state as its largest county, Chittenden, has fewer than 150,000 people based on the most recent decennial census. The population of Chittenden County in the 2000 census is 146,570.

Goals of the Project:

This project proposes to create a new position by hiring one intelligence analyst to be assigned at the Vermont Fusion Center (VTFC) to support rural law enforcement investigations in the State of Vermont. By hiring this analyst it will allow the Vermont Fusion Center to expand its capability to support local and state law enforcement in tracking crime trends and developing products that will provide field commanders in participating jurisdictions with actionable intelligence so they can better direct their resources based on vetted information. Additionally, this analyst will support rural communities and their respective departments in support for major criminal investigations.

Strategies for the Project:

This newly created position will allow the Vermont State Police to expand its current Intelligence Led-Policing project known as Data Driven Approaches to Crime and Traffic Safety (DDACTS). This data and intelligence led policing project overlays crime and traffic data that is vetted by an analyst, of an impacted area within jurisdictions. Analyst then produces an actionable intelligence document for field commanders in participating jurisdictions to use. Field commanders then formulate strategies to interdict the crime pattern through task forces established at the local level. This expansion will continue to support the Fusion Centers rural law enforcement mission of supporting field commanders with intelligence documents that are actionable and support of major criminal investigations.

Major Deliverables:

This project proposes to combat rural crime and improve rural law enforcement investigations by:

1. Expand intelligence and data led policing model to more local jurisdictions
2. Expand major case support for criminal investigations
3. Expand support to the Joint Terrorism Task Force and state's Drug Task Force

Coordination Plans:

All coordination will be accomplished through the VTFC, Bureau of Criminal Division, and Vermont State Police. VTFC shall use the VTFC Advisory Board to ensure that the goals of this project is communicated to the regional, federal, county and local partners stakeholders who use the services of the center.

Program Narrative

Statement of the Problem

The Vermont Fusion Center (VTFC) has been designated by the Governor as the state's single point of contact for intelligence. This multi-agency fusion center strives to assist law enforcement within the state and region, as well as nationally and internationally in the effort to prevent, detect, and prosecute crime. The VTFC's mission is to collect, analyze, and disseminate intelligence regarding both potential and ongoing criminal activity. Additionally, it is the mission of the VTFC to support all major criminal investigations, whether local or federal, and promote the safety of all law enforcement officers. The VTFC is additionally responsible for the intelligence/data led-policing model known as Data Driven Approaches to Crime and Traffic Safety (DDACTS). It is the responsibility of VTFC to provide field commanders with actionable intelligence in the form of a product so that they (Field Commanders) may formulate a plan to combat crime. DDACTS integrates location-based crime and traffic data to establish effective and efficient strategies for deploying law enforcement officers.

The role of the VTFC in supporting major case investigations has increased by 358% since 2005. Additionally, with the introduction of the Intelligence/Data Led-Policing model and its success there is an increase of requests for this service into the VTFC. The VTFC can not provide any further expansion of services without assistance in the form of an intelligence analyst.

Program Design and Implementation

The VTFC proposes to add one additional analyst to the current staff to allow for the expansion of services to include support to major investigations and implementation of the

data/intelligence led-policing model known as DDACTS. These objectives will focus on the support of various task forces and major crimes within the rural communities of Vermont.

Project objectives and outcomes:

Objective: The VTFC shall create one intelligence analyst position within the VTFC to expand its criminal intelligence and analysis capability and support to major criminal investigations in the rural communities of Vermont to target criminal activity especially violent crimes, narcotics trafficking, gang violence and property crimes.

Outcomes:

- VTFC will expand its current intelligence/data led-policing model to departments working in the rural communities of Vermont.
- VTFC will expand its support to major criminal investigations to departments working in the rural communities of Vermont
- VTFC will expand its support to the various tasks forces (Vermont Drug Task Forces, Joint Terrorism Task Force, Internet Crimes Against Children Task Force, International Border Enforcement Team) within the state for their special operations that target criminal activity.

Job Creation:

This project proposes to create one intelligence analysis position to work within the multi-agency state fusion center. This position will be a contractor position that will supplement the current organization within the VTFC. The hiring authority proposes to target retired law enforcement officers or military personnel with analytical experience who have real life experience within this field, and existing intelligence analysts. Additionally these three categories of people will be targeted to allow VTFC to maximize the turn around time from new hire to competent analyst as

these three categories provide the skill sets needed to function within a fusion center more quickly than a person without this real life experience. Finally, it is recognized that the sustainability of these positions with state funding will most likely not occur as detailed within the sustainment paragraph below due to the current fiscal crisis and the predicted slow recovery.

Combating crime and improves the functions of the criminal justice system:

The creation of a new intelligence analyst position will enhance the services and capabilities of the VTFC. This position will be able to expand the support major crimes investigations by allowing this additional analyst to focus on assisting detectives in rural communities. This support includes analysis of the information developed in the investigations, to include telephone and computer data as well as secure and open source databases. This support to major investigations allows investigators to focus on high priority leads.

The addition of one analyst will allow the VTFC to expand the DDACTS Intelligence/Data Led-Policing project to additional rural communities within the state. This data focused intelligence led-policing project is a partnership between the National Highway Traffic Safety Administration, Bureau of Justice Assistance and the Vermont State Police, VTFC. The project concept includes the following:

- timely and accurate data collection and analysis of crime and traffic data
- the identification of a nexus between crime and traffic data
- coalition of local partners
- operations plan from the local partners

This data/intelligence led-policing model was implemented in a local city in the North West section of Vermont in September of 2008. Violent crime to include assaults, robberies, and gang activity as well as traffic violations were analyzed and problem areas were identified. An

analytical product was produced by the VTFC and distributed to the Task Force formed with city, county and state police. The DDACTS model allows law enforcement to prioritize the demands of police services, the results of these operations are still being measured.

Capabilities/Competencies

The Vermont State Police has established and maintained a criminal intelligence function in the state since the 1970s. In 1997, the Vermont State Police successfully established a state wide information sharing platform that allowed all authorized law enforcement personnel access to vital information available for criminal investigations. This information is shared nationally via the Regional Information Sharing System (RISS).

On August 15, 2005, the Vermont State Police joined with the US DHS to establish the VTFC. This center was formed to incorporate the mission of the Vermont State Police Criminal Intelligence Unit, the Vermont Homeland Security Unit and the National Intelligence Sharing Plan of 2002 into an all crimes single information sharing center. The VTFC is co-located at the DHS-Law Enforcement Support Center in Williston, Vt.

The VTFC supports many of the implementation guidelines of the Global Justice Information Sharing Initiative. The VTFC has met or exceeded the majority of the recommendations found in the Global Intelligence Initiative. The VTFC has established a Conduct of Operations Plan that fully incorporates the recommendations of the Global Intelligence Initiative to ensure that privacy and civil rights are protected. Further, the VTFC recently adopted a separate Privacy and Civil Rights Annex to its operations plan to emphasize the importance of protecting our citizen's rights.

The VTFC strives to assist law enforcement within the state and region, as well as nationally and internationally in the effort to prevent, detect, and prosecute crime. The VTFC's mission is to collect, analyze, and disseminate intelligence regarding both potential and ongoing criminal activity. Additionally, it is the mission of the VTFC to promote the safety of all law enforcement officers.

The VTFC is organized and the processes administered under the authority of state law through the Vermont State Police. The VTFC has identified its participating members and has established an advisory committee of local, county, state and federal partners.

The state of Vermont continues to work at a regional level to support the facilitation of information sharing. Since the 1970s, Vermont has participated in the New England State Police Administrative Compact through each of the New England state's intelligence function. Since 2004, Vermont has been an active member of the North East Regional Intelligence Group that is working in close collaboration with the DHS Intelligence Directorate. The VTFC fully participates in information sharing meetings with local, county, state and federal law enforcement agencies.

The VTFC has supported a number of intelligence led-policing operations during the past year in rural Vermont with great success. Most recently the VTFC supported the Vermont Drug Task Force (VDTF) in Operation Rail City in the spring of 2009. This operation specifically targeted the City of St. Albans, located in the north-west corner of Vermont. The VDTF came together with law enforcement officials in and around the City of St. Albans to address the growing problem of prescription drugs and a rise in quality of life crimes. This was a collaborative effort by all law enforcement agencies, utilizing data and intelligence analyzed by

the VTFC that directed the uniform saturation patrols and undercover operations. A five month investigation resulted in the arrest of 21 defendants for drug distribution charges. In addition, this operation identified a significant oxycontin distribution ring that was responsible for trafficking large quantities from NY dealers to VT dealers. Defendants from this operation face charges in both state and federal court.

In May of 2008, the VTFC supported Operation Marble Valley which focused on the Rutland, Vermont, region after a series of violent crimes, including a homicide. Operation Marble Valley was a collaborative effort of all Vermont Law Enforcement led by the VDTF and supported by the VTFC. Operation Marble Valley resulted in the arrest of over 30 drug offenders in a one day drug sweep and criminal charges against 41 perpetrators who now face prosecution in both state and federal court. The VTFC provided countless hours of major case support to the Task Forces to identify, locate and provide information on the suspects as well as analysis of crime trend patterns.

In March of 2008, the VTFC supported the VDTF along with law enforcement agencies throughout the state in the Byrne Blitz Operation. This operation resulted in the drug arrests of twelve individuals located throughout the state. In December of 2007 the VTFC worked very closely with the Barre City Police Department on Operation Granite Street. This operation resulted in the arrests of twenty-five individuals for various drug crimes.

All of these operations are examples of how the VTFC provides continued support to major investigations and analysis of data and intelligence in a collaborative law enforcement approach to directly impact local communities by reducing crime and improving the quality of life for the citizens of Vermont.

The State of Vermont realizes the importance of tracking and monitoring the ARRA grants. The State's Department of Finance and Management has set up a special fund code in order to track ARRA grant funding. The Department of Public Safety will add another level of tracking by asking Finance and Management to set up unique project/grant codes to track expenditures of individual ARRA grants. Public Safety will run monthly financial reports that capture expenditures based on the fund and project/grant codes. We will use these monthly reports to perform drawdown's.

Impact/Outcomes, Evaluation, Sustainment and Description of the Plan for the Collection of Data

Impact/Outcomes

This proposal for one additional intelligence analyst will allow further expansion of the data/intelligence led-policing model to rural communities and their respective police agencies.

The outcomes include:

- Analysis of additional data
- An intelligence product for Field Commanders to use to formulate strategies to combat crime in their jurisdictions
- Direct police resources to identified areas in the form of Task Forces
- Expand major case support to investigations within the rural communities of Vermont

Evaluation

The Department of Public Safety, Vermont State Police, VTFC certifies its willingness and capacity to participate in an evaluation to be managed by the National Institute of Justice. The Vermont State Police has participated in a number of evaluations in the past and they include a number of Fusion Center Surveys for BJA/DHS. One of these evaluations included a mandatory

assessment of Fusion Center capabilities for BJA/DHS in FFY 2007. The Vermont State Police has also participated in a number of programmatic monitoring visits from DOJ and DHS and has diverse experience in managing grants.

Sustainability

It is recognized by the Vermont State Police that the cost associated with this project can not be sustained by state funds after the expiration of the grant in two years. It is acknowledged that the state, like the nation, is experiencing one of the worst economic downturns in our history. State economists predict a long and slow recovery for Vermont and the state will not be in a position to support this position in two years. It is for this reason that we propose to target and contract with retired law enforcement or military personnel who have experience in the field of analysis and law enforcement. By hiring an analyst with prior law enforcement experience this position will be self sufficient more quickly than an individual who is not in the field. We also believe that by contracting with this target group we can conserve financial resources by not budgeting for benefits as these groups will already have them through their retirement systems. We will examine potential cost sharing of this position with local, county and state police agencies within the first year to explore the possibility ^{of} potential sustainment funding.

Collection of Data required for Performance Objectives

The VTFC maintains a database detailing the level of work each analyst with in the center performs. Each operation and major investigation supported will include the required After Action Report that will summarize all necessary data to show outcomes of the actions.

Grant Application Identifier: 2009 ARRA Rural Law Enforcement Competitive Grant Program - Category I
 Proposed Grant Period: 8/1/2009-7/31/2011

Adapted for VT DPS from OMB 1121-0188

Budget Detail Worksheet (see Attachment 3b for Budget Narrative)

A. Personnel - List each position by title and name of employee, if available. Show the annual salary rate and the percentage of time to be devoted to the project. Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization.

Total Personnel: **\$0.00**

B. Fringe Benefits - Fringe benefits should be based on actual known costs or an established formula. Fringe benefits are for the personnel listed in budget category (A) and only for the percentage of time devoted to the project. Fringe benefits on overtime hours are limited to FICA, Workman's Compensation, and Unemployment Compensation.

Total Fringe: **\$0.00**

TOTAL PERSONNEL AND FRINGE BENEFITS: \$0.00

C. Travel - Itemize travel expenses of project personnel by purpose (e.g., staff to training, field interviews, advisory group meeting, etc.). Show the basis of computation (e.g., six people to 3-day training at \$X airfare, \$X lodging, \$X subsistence). In training projects, travel and meals for trainees should be listed separately. Show the number of trainees and the unit costs involved. Identify the location of travel, if known. Indicate source of Travel Policies applied, Applicant or Federal Travel Regulations.

DOJ Grants Training;

1. Washington, DC							
Airfare	2 ppl	1 ticket	@	\$	575.00	\$	1,150.00
Hotels	2 ppl	4 days	@	\$	225.00	\$	1,800.00
Meals	2 ppl	4 days	@	\$	32.00	\$	192.00 <i>256</i>
Parking	2 ppl	4 days	@	\$	15.00	\$	120.00

DOJ Grants Training;

2. TBD Regional Locations							
Airfare	2 ppl	1 ticket	@	\$	800.00	\$	1,600.00
Hotels	2 ppl	4 days	@	\$	125.00	\$	1,000.00
Meals	2 ppl	4 days	@	\$	32.00	\$	192.00 <i>256</i>
Parking	2 ppl	4 days	@	\$	15.00	\$	120.00

TOTAL TRAVEL: \$6,174.00

D. Equipment - List non-expendable items that are to be purchased. Non-expendable equipment is tangible property having a useful life of more than two years and an acquisition cost of \$5,000 or more per unit. (Note: Organization's own capitalization policy may be used for items costing less than \$5,000). Expendable items should be included either in the "supplies" category or in the "Other" category. Applicants should analyze the cost benefits or purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "Contractual" category. Explain how the equipment is necessary for the success of the project. Attach a narrative describing the procurement method to be used.

Laptop Computer with

1. Software		1 @	\$	7,243.00 ea	\$	7,243.00
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TOTAL EQUIPMENT: \$7,243.00

E. Supplies - List items by type (office supplies, postage, training materials, copying paper, and expendable equipment items costing less than \$5,000, such as books, hand held tape recorders) and show the basis for computation. (Note: Organization's own capitalization policy may be used for items costing less than \$5,000). Generally, supplies include any materials that are expendable or consumed during the course of the project.

TOTAL SUPPLIES: \$0.00

F. Construction - As a rule, construction costs are not allowable. In some cases, minor repairs or renovations may be allowable. Check with the program office before budgeting funds in this category.

TOTAL CONSTRUCTION: \$0.00

G. Consultants/Contracts - Indicate whether applicant's formal, written Procurement Policy or the Federal Acquisition Regulations are followed.

Consultant Fees: For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and the estimated time on the project. Consultant fees in excess of \$450 per day require additional justification and prior approval from OJP.

Sub-Total: \$0.00

Consultant Expenses: List all expenses to be paid from the grant to the individual consultants in addition to their fees (i.e., travel, meals, lodging, etc.)

Sub-Total: \$0.00

Contracts: Provide a description of the product or service to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole source contracts in excess of \$100,000.

Criminal Intelligence	Vendor TBD		\$	114,014.00
1. Analyst				

TOTAL CONTRACTS / CONSULTANTS: \$114,014.00

H. Other Costs - List items (e.g., rent, reproduction, telephone, janitorial or security services, and investigative or confidential funds) by major type and the basis of the computation. For example, provide the square footage and the cost per square foot for rent, or provide a monthly rental cost and how many months to rent.

1. DII	0.25% of project costs	\$	129,032.26	\$	322.58
VISION Accounting					
2. System	0.25% of project costs	\$	129,032.26	\$	322.58
Single Audit Cost					
3. Allocation	0.25% of project costs	\$	129,032.26	\$	322.58
4. G-link Software License	1 @	\$	301.26	\$	301.26
5. PS Portal Software	1 @	\$	1,300.00	\$	1,300.00

TOTAL: \$2,569.00

I. Indirect Costs - Indirect costs are allowed only if the applicant has a Federally approved indirect cost rate. A copy of the rate approval (a fully executed, negotiated agreement), must be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant Federal agency, which will review all documentation and approve a rate for the applicant organization, or if the applicant's accounting system permits, costs may be allocated in direct costs categories.

TOTAL INDIRECT: \$0.00

TOTAL PROJECT COST: \$130,000.00

Budget Summary

+128

<u>Budget Category</u>	<u>Amount</u>
A. Personnel	\$0.00
B. Fringe Benefits	\$0.00
C. Travel	\$6,174.00
D. Equipment	\$7,243.00
E. Supplies	\$0.00
F. Construction	\$0.00
G. Consultants/Contracts	\$114,014.00
H. Other	\$2,569.00
Total Direct Costs	\$130,000.00
I. Indirect Costs	\$0.00
TOTAL PROJECT COSTS	\$130,000.00

Federal Request	\$130,000.00
Non-Federal Amount	\$0.00

Budget Narrative

A. Personnel

None requested

B. Fringe Benefits

None Requested

C. Travel

Out of state travel (\$6,174.00): Necessary to comply with the grant requirements for this application. Travel is budgeted for two personnel to travel to two grant meetings one in the Washington, D.C. area and one outside of Washington, D.C. area.

D. Equipment

Laptop Computer and Software (\$7,243.00): The purchase of this computer and software will be competitively bid through the statewide contracting bid process. This equipment and software will allow the criminal intelligence analyst to perform their duties.

E. Supplies

None requested

F. Construction

None requested.

G. Consultants/Contracts

Criminal Intelligence Analyst (\$114,014): The Vermont Department of Public Safety is seeking to employ one criminal intelligence contractor to work within the VTFC for two years in order to support major criminal investigations and expand implementation of the data/intelligence led-policing model described above. This person will work for the Commander of the Vermont Fusion Center.

H. Other

VISION Statewide Accounting System (\$322.58): This is a direct charge associated with all financial transactions, which include accounts payable and receivable transactions. The cost provides access to the statewide integrated financial management system, which allows us to process grant-related transactions and to produce financial reports for the grant.

DII (\$322.58): This is a direct charge associated with all Information Technology purchases, including the procurement of hardware, software or professional services. The cost includes support for enterprise solutions such as server infrastructure; information sharing systems and technical architecture.

Single Audit Allocation (\$322.58): This is a direct charge associated with Vermont's statewide Single Audit as required by OMB Circular A-133.

G-link Software License (\$301.26): This software will allow the analyst access to NCIC, DMV, and VCIC.

PS Portal Software (\$1,300.00): This software will give the analyst the ability to run reports on Vermont's warrant database and missing persons reports.

Project Timeline

Quarter Ending	Activity	Description/Responsibility
September 2009	Advertise competitive bid for intelligence analyst position through state process.	Commander of VTFC shall complete all necessary paperwork to advertise position.
	Gather a hiring panel to review applications	Commander VTFC
	Conduct hiring panel	Commander VTFC
	Hire analyst within the first three months	Department of Public Safety Administrative Division
	Equip and Field Train Intelligence Analyst	Commander VTFC
	Submit Financial Status Reports (269)	Department of Public Safety Administrative Division
December 2009	Train analyst in FIAT Training within 3 months	Commander VTFC
	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
March 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Train analyst in analysis software within first 6 months	Commander VTFC

	Submit Financial Status Reports (269)	Administrative Division
June 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
September 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
December 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
March 2011	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC

	Submit Financial Status Reports (269)	Administrative Division
June 2011	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
September 2011	Close out report of grant	Complete final report
	Final Report of Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division

Position Description

Criminal Intelligence Analyst

Class Definition:

Criminal intelligence work at a professional level involves that application of the intelligence theory and practices which utilizes an intelligence process resulting in a final product. This work is an extremely important tool for modern day law enforcement as it saves investigators valuable resources when conducting criminal investigations and preventing crime. Work is performed under the supervision of a Sergeant within the Vermont Fusion Center.

Examples of Work:

- Assist members of law enforcement by providing analysis, toll analysis, link analysis and financial analysis
- Prepare written and oral reports summarizing the results of analysis
- Daily review of intelligence, bulletins, open source material regarding crime
- Support data/intelligence led-policing model for rural communities

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

**Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs
Program**

Certification as to Recovery Act Reporting Requirements

On behalf of the applicant entity named below, I certify the following to the Office of Justice Programs, U.S. Department of Justice:

I have personally read and reviewed the section entitled "Accountability and Transparency under the Recovery Act" in the program announcement for the Recovery Act grant program identified above. I have also read and reviewed section 1512(c) of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5); concerning reporting requirements for grants. I agree that the applicant will comply with the reporting requirements set forth therein with respect to any grant the applicant may receive under the Recovery Act grant program identified above.

I acknowledge that a false statement in this certification may be subject to criminal prosecution, including under 18 U.S.C. § 1001. I also acknowledge that Office of Justice Program grants, including certifications provided in connection with such grants, are subject to review by the Office of Justice Programs, and/or by the Department of Justice's Office of the Inspector General.

I have authority to make this certification on behalf of the applicant entity (that is, the entity applying directly to the Office of Justice Programs).

A. Marc Metayer
Signature of Certifying Official

A. Marc Metayer
Printed Name of Certifying Official

Deputy Commissioner
Title of Certifying Official

VT Dept. of Public Safety
Full Name of Applicant Entity

4/22/09
Date

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

**Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs
Program**

General Certification as to Requirements for Receipt of Funds
for Infrastructure Investments

On behalf of the applicant state or unit of local government (including tribal government) named below, I certify the following to the Office of Justice Programs ("OJP"), U.S. Department of Justice:

I have personally read and reviewed the section entitled "Eligibility" in the program announcement for the Recovery Act grant program named above. I also have personally read and reviewed section 1511 of the American Recovery and Reinvestment Act of 2009 (the "Recovery Act"), which requires a specific certification prior to receipt of Recovery Act funds for infrastructure investments.

Initial the statement that applies:

At

The applicant identified below **does not intend to use** any portion of any funds received under this Recovery Act grant program for any infrastructure investment. Should this intention change, the applicant will promptly notify OJP, and (except to the extent, if any, that OJP has given prior written approval to expend funds to conduct the review and vetting required by law) will not draw down, obligate, or expend any funds received under this Recovery Act program for any infrastructure investment project until section 1511 of the Recovery Act has been satisfied, and an adequate project-specific certification has been executed, posted, and submitted to OJP.

_____ The applicant identified below **does intend to use** some or all of any funds received under this Recovery Act grant program for one or more infrastructure investment projects. Except to the extent, if any, that OJP has given prior written approval to expend funds to conduct the review and vetting required by law, I agree that the applicant entity will execute, post, and submit to OJP, prior to obligating, expending, or drawing down funds for such project, a project-specific certification that satisfies all of the requirements of section 1511 (including execution by the Governor, mayor, or other chief executive, as appropriate) for each such infrastructure investment project.

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

General Certification as to Requirements for Receipt of Funds
for Infrastructure Investments _____

I acknowledge that a false statement in this certification may be subject to criminal prosecution, including under 18 U.S.C. § 1001. I also acknowledge that Office of Justice Program grants, including certifications provided in connection with such grants, are subject to review by the Office of Justice Programs and/or by the Department of Justice's Office of the Inspector General.

I have authority to make this certification on behalf of the applicant (that is, the governmental entity applying directly to the Office of Justice Programs).

A. Marc Metayer
Signature of Certifying Official

A. Marc Metayer
Printed Name of Certifying Official

Deputy Commissioner
Title of Certifying Official

VT. Dept. of Public Safety
Full Name of Applicant Government Entity

4/22/09
Date





State of Vermont
Department of Finance & Management
109 State Street, Pavilion Building
Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
[fax] 802-828-2428

STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		Will contract to hire one additional staff to provide additional rural crime intelligence analysis			
Date:		9/23/2009			
Department:		Department of Public Safety			
Legal Title of Grant:		Vermont's Rural Law Enforcement to Combat Crime and Drugs Grant: Category I Combating Rural Crime			
Federal Catalog #:		16.810			
Grant/Donor Name and Address:		Department of Justice, Bureau of Justice Assistance			
Grant Period:		From:	To:		
		8/1/2009	7/31/2011		
Grant/Donation		\$130,000			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$47,894	\$82,106	\$	\$130,000	100% federal funding
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:		Will fund one personal service contract for grant period.			
Department of Finance & Management		TP 9/28/09		(Initial) DB 9-23-09	
Secretary of Administration <i>TP</i>		TP 9/27/09		(Initial)	
Sent To Joint Fiscal Office				Date 10/5/09	

RECEIVED
OCT 13 2009
JOINT FISCAL OFFICE

State of Vermont
Agency of Administration
Office of Economic Stimulus and Recovery
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.vermont.recovery.gov

[phone] 802-828-1354
[fax] 802-828-3320

Tom Evslin, Chief Recovery Officer

October 13, 2009

To: Joint Fiscal Office

From: Tom Evslin, Chief Recovery Officer

Subject: Request to Expedite ARRA Competitive Grant

In light of the ARRA goal and expressed intent of the Vermont legislature and the Governor that stimulus money be put to work as quickly as possible to help alleviate unemployment and stimulate the economy, I am requesting expedited consideration by the Joint Fiscal Committee of this competitive grant. Moreover, the sooner this grant is approved, the sooner Vermonters will obtain the Public Safety benefits which it funds.

Thank you for your consideration.

ARRA ACTIVITY ACCEPTANCE REQUEST	EST:	<input checked="" type="checkbox"/> ARRA Competitive Grant (Alternate Form AA-1)	<input type="checkbox"/> Other ARRA Activity (Not subject to AA-1 Process)
Revision? <input type="checkbox"/> Yes	Revision Date:		

INSTRUCTIONS: This form must be completed in its entirety and is required for: **TRC DB: #51**

- 1) acceptance of all ARRA Discretionary Grants, and
- 2) PRIOR to receipt of all ARRA Formula/Block Grants, and
- 3) PRIOR to receipts of all ARRA funding for Individual Entitlement Programs.

NOTE: Incomplete forms will be returned to departments and will result in the delay of spending authority release.

BASIC ARRA INFORMATION

1. Agency (ARRA-F):		2. Department (ARRA-F): Public Safety		3. DUNS # (ARRA-C): 809376692	
4. Office Location:		City/town: Waterbury		County: Washington	
5. ARRA Activity (ARRA 1-01): Combating rural crime with an intelligence analyst			6. ARRA Code (ARRA 2-1): I99 - Crime & Legal-Related N.E.C.		
7. Legal Title of Grant: Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program					
8. Federal Agency Award # (ARRA-B): 2009-SD-B9-0208			9. CFDA # (ARRA-E): 16.810		
10. Federal Funding Agency's US Treasury Account Symbol (TAS):				(if provided by the federal funding agency)	
11. Federal (or VT) Funding Agency (ARRA-A): U.S. Dept. of Justice		12. Award Date: 8/24/2009			
13. Award Amount: \$130,000			14. Check if this amount is an estimate: <input type="checkbox"/>		
15. Grant Period (ARRA-H) From: 8/1/2009		To: 7/31/2011			
16. Date by which ARRA funds must be: <input checked="" type="checkbox"/> Obligated by Date: 7/31/2011 and/or <input checked="" type="checkbox"/> Spent by Date: 7/31/2011					

17. Purpose of Grant/ARRA Narrative (ARRA 2-02):

This project proposes to hire one intelligence analyst to be assigned at the Vermont Fusion Center (VTFC) to support rural law enforcement investigations in the State of Vermont. By hiring this analyst it will allow the Vermont Fusion Center to expand its capability to support local and state law enforcement in tracking crime trends and developing products that will provide field commanders in participating jurisdictions with actionable intelligence so they can better direct their resources based on vetted information. Additionally, this analyst will support rural communities and their respective departments in support for major criminal investigations.

Strategies for the Project:

Hiring this analyst will allow the Vermont State Police to expand its current Intelligence Led-Policing project known as Data Driven Approaches to Crime and Traffic Safety (DDACTS). This data and intelligence led policing project overlays crime and traffic data that is vetted by an analyst, of an impacted area within jurisdictions. Analyst then produces an actionable intelligence document for field commanders in participating jurisdictions to use. Field commanders then formulate strategies to interdict the crime pattern through task forces established at the local level. This expansion will continue to support the Fusion Centers rural law enforcement mission of supporting field commanders with intelligence documents that are actionable and support of major criminal investigations.

Major Deliverables:

This project proposes to combat rural crime and improve rural law enforcement investigations by:

1. Expand intelligence and data led policing model to more local jurisdictions
2. Expand major case support for criminal investigations
3. Expand support to the Joint Terrorism Task Force and state's Drug Task Force

18. Area that will Benefit (name the state, county, city or school district): Vermont, statewide

19. Impact on existing program if grant is not Accepted:

Since 2005, the role of the VTFC in supporting major case investigations has increased by 358%. Additionally, with the introduction of the Intelligence/Data Led-Policing model and its success there is an increase of requests for this service into the VTFC. The VTFC cannot provide any further expansion of services without assistance in the form of an intelligence analyst.

20. BUDGET INFORMATION (Note the total of columns A+B+C must equal the total of columns D+E+F)

Column Reference	A	B	C	D	E	F
	←-----State Fiscal Year-----→			←-----Federal Fiscal Year-----→		

PROGRAM ID: 224

REC'D SEP 23 2009

Fiscal Year	SFY 2009	SFY 2010	SFY 2011 & Beyond	FFY 2009	FFY 2010	SFY 2011 & Beyond
Expenditures:						
Personnel Costs	\$	\$	\$	\$	\$	\$
Party Contracts	\$	\$42,005	\$72,009	\$	\$60,007	\$54,007
Operating Expenses	\$	\$5,889	\$10,097	\$	\$8,413	\$7,573
Grants/Sub-Awards	\$	\$	\$	\$	\$	\$
Total Expenditures	\$	\$47,894	\$82,106	\$	\$68,420	\$61,580
Revenues:						
State Funds:	\$	\$	\$	\$	\$	\$
Cash	\$	\$	\$	\$	\$	\$
In-Kind	\$	\$	\$	\$	\$	\$
ARRA Federal Funds:	\$	\$	\$	\$	\$	\$
(Direct Costs)	\$	\$47,894	\$82,106	\$	\$68,420	\$61,580
(Statewide Indirect)	\$	\$	\$	\$	\$	\$
(Dept'l Indirect)	\$	\$	\$	\$	\$	\$
Sub-total ARRA Funds	\$	\$	\$	\$	\$	\$
Other Funds:	\$	\$	\$	\$	\$	\$
(Other Federal)	\$	\$	\$	\$	\$	\$
(list source)	\$	\$	\$	\$	\$	\$
Total Revenues	\$	\$47,894	\$82,106	\$	\$68,420	\$61,580

Comments about expenditures or revenues may be made in the space provided below:

21. VISION Tracking Information:

DeptID/Appropriation:	Other VISION Chartfield (funds, programs or projects)	Total Amount (all FYs)	Comments
2140071000	Fund 22040, Project# 71079	\$130,000	
		\$	
		\$	
		\$	
		\$	
Total		\$130,000	This Total MUST agree with the total of Item 10, columns A+B+C above

PERSONAL SERVICE INFORMATION

22. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No

IF "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: (initial)

23. State Position Information and Title(s):	# Existing Positions Retained	Est. Annual Regular Hours	# Positions Created (New)	Est. Annual Regular Hours
Total Positions				

Is the appropriate Position Request Form attached for new position(s) listed in Line 12 above?

YES - Form attached or No new positions created

3. Equipment and space for these positions:

is presently available. Can be obtained w/ available funds.

26. Does this qualify as "Infrastructure"? Yes No If Yes complete next line:

27. Infrastructure Rationale (select one) (ARRA 2-06):

- 1. To Preserve & create jobs & promote economic recovery.
- 2. To assist those most impacted by the recession.
- 3. To provide investment needed to increase economic efficiency by spurring technological advances in science & health.
- 4. To invest in transportation, environmental protection, & other infrastructure that will provide long-term economic benefits.
- 5. To stabilize State & local government budgets, in order to minimize & avoid reductions in essential services & counterproductive state & local tax increases.

28. AUTHORIZATION AGENCY/DEPARTMENT SIGNATURES

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable). I/we further certify that these funds will be used only in accordance with the federal American Recovery & Reinvestment Act and all federal and state rules and regulations pertaining thereto:

ARRA Activity Manager: 	Date: 9-10-09
Name: Christopher Reinfurt	Title: Captain, Vermont State Police - Homeland Security Division
Department Head: 	Date: 9/17/09
Name: Thomas R. Tremblay	Title: Commissioner
Agency Secretary (if required):	Date:
Name:	Title:

29. REVIEW BY FINANCE & MANAGEMENT (continue on separate sheet if necessary)

<input type="checkbox"/> To Release Spending Authority in VISION:	FY 2010 \$ 47,894	Citation(s):
Analyst (initial): DS	Date: 9-23-09	Commissioner Finance & Management initial:
For ESR Use Only:	Assigned ESR Director's Signature:	Date: 8/23/09

*** Section 30 through 33 are required ONLY when Form ESR-2 is used in lieu of Form AA-1 ***

30. SECRETARY OF ADMINISTRATION

<input type="checkbox"/> Check One Box: Accepted	(Secretary's signature or designee) 	Date: 9/3/09
<input type="checkbox"/> Rejected		Date:

31. ACTION BY GOVERNOR

<input checked="" type="checkbox"/> Check One Box: Request to JFO	(Governor's signature or designee) 	Date: 10/5/09
<input type="checkbox"/> Rejected		Date:

32. SENT TO JFO

<input type="checkbox"/> Sent to JFO	Date:
--------------------------------------	-------

*** Section 33 is a required section ***

33. ARRA FORM ESR-2 DOCUMENTATION CHECK LIST (check all that apply):

<input type="checkbox"/> Notice of Award or Proof of Award (REQUIRED)	<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input type="checkbox"/> Request Memo	<input type="checkbox"/> Governor's Certification (if applicable)	<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Grant Agreement	<input type="checkbox"/> Notice of Donation (if any)	<input type="checkbox"/> Form AA-1PN attached (if applicable)
	<input type="checkbox"/> Position Request Form(s)	



STATE OF VERMONT – ARRA ACCOUNTABILITY PLAN

Agency:	NA
Department/Division:	Public Safety

Purpose:

This Accountability Plan is intended to document the ability of the agency or department to meet the accountability, transparency and reporting requirements of the federal American Recovery & Reinvestment Act of 2009.

Definitions as used in this Plan:

Agency/Department - An agency, department, division, board, or other administrative unit of the State of Vermont, and includes elected offices, those having express statutory authority to enter into contracts and subgrants, and all component units.

ARRA - The federal American Recovery & Reinvestment Act of 2009.

ARRA Activity - A program, project, competitive grant, or related group of expenditures funded by ARRA.

Contract – Legal agreement for goods and/or services funded with ARRA monies and subject to Administration Bulletin 3.5 – Contracting Procedures and/or purchasing rules established by the Department of Buildings and General Services in accordance with 29 V.S.A. § 902.

Competitive Grant - An individual federal grant which the state must be eligible for based on specific criteria, have applied for, and been awarded; no guarantee of award.

ESR - The Vermont Economic Stimulus & Recovery Office.

Formula/Block Grant – Allocations of money from the federal government to the State agencies and departments in accordance with distribution formulas prescribed by federal law or administrative regulation, for activities of a continuing nature such as: Federal Highway Administration Programs/Projects (FHWA), Community Development Block Grant (CDBG), COPS grant, Substance Abuse Prevention & Treatment Block Grant (SAPTBG), etc.

Individual Benefit Program – Federal programs designed to assist individual beneficiaries, such as: SNAP (food stamps), TANF (temporary assistance), Medicaid, Unemployment benefits, Special Ed, ect.

Sub-Award – Legal agreement (subgrant) to a subrecipient of ARRA monies received by the State of Vermont and subject to Administrative Bulletin 5.0 – Single Audit Policy for SubGrants, including an award from one unit of State government to another.

VISION - is an Oracle/PeopleSoft enterprise financial management system used as the primary statewide accounting system, managed by the Dept of Finance & Management in conjunction with the Dept of Information & Innovation's PeopleSoft Technical Unit.

1. IDENTIFY (LIST) ALL ARRA FUNDED ACTIVITIES (*):

1) VT Incident Based Reporting System (VIBRS) Upgrade; 2) Spillman Law Enforcement Database & E-911 Interface Development; 3) DPS Telephone System and Switch Replacement; 4) Creation of a new Mobile Data Support Position; 5) Offset of portion of cost to local and county agencies for transition to use of SOV's centralized Outlook Exchange servers; 6) Upgrade of mobile video recording devices in state police fleet; 7) Replacement of 12 older Livescan Fingerprint Transmission devices to state of the art devices.

***Mandatory Requirement:**

a. For Each ARRA Competitive Grant, prior to application; and

b. For Each ARRA funded group of expenditures to be tracked before spending may begin.

An individual **ARRA Funds Acceptance Request** (Form ESR-2) must be submitted to your Budget & Management Analyst; the Budget & Management Analyst will forward to ESR after review.

2. FINANCIAL – ACCOUNTING AND COST ALLOCATION METHODOLOGY:
a. Is VISION your primary financial transaction and accounting system? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, skip to items 2.d.
b. If no, what is the name of the other primary financial system you use: NA
c. Explain how ARRA receipts and expenditures will be tracked in the other primary financial system. NA
d. Explain how ARRA receipts and expenditures will be tracked in VISION; by Business Unit, DeptID, funding codes, VISION chartfields, etc.? (SEE ATTACHED)
e. Will all or some expenditures for ARRA Activities be tracked by specific expenditure? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, which specific expenditures will be tracked? (SEE ATTACHED)
f. Will all or some expenditures for ARRA Activities be tracked by cost pool? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain cost pool methodology. NA
g. Will all or some indirect costs for ARRA Activities be allocated? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain allocation methodology. NA
h. Explain your training plan to instruct staff on the new ARRA policies & procedures: The DPS has created a team to develop the internal guidelines for monitoring our ARRA funded projects. This team consists of the Director of Administrative Services, the Director of Criminal Justice Services (CJS), Reporting Supervisor, Federal Grants Supervisor, Grants Manager, and Contracts/Purchasing Administrator. This team will develop the general guidelines, and a plan for how quarterly reporting requirements will be met. At the kick-off of each project, all stakeholders will be brought together, including the above-mentioned team, the specific DPS Project Manager (all assigned Project Managers to be direct reports to the Director of CJS), and a representative of all contracted services providers or sub-grantees to ensure that all are clear on these guidelines/reporting requirements. This group, or a sub-committee of this group, will meet as required throughout the project on an as-needed basis to ensure that projects are in compliance with all requirements.

3. DOCUMENTATION OF TRACKING FOR ARRA METRICS:
a. How will you collect data on State of Vermont Jobs Created or Retained for your Agency/Department due to ARRA funding? We anticipate utilizing ARRA funds for only one State position, a Support position for the Mobile Data systems project. We understand that the State of Vermont is interpreting "job creation" to be any newly created State position funded with ARRA funds, and a "job retained" to be any currently existing State position that is funded wholly or partially with ARRA funds in the future. We understand that the number of these positions should be calculated pro-rata based on 2080 hours=One FTE.
b. How will you calculate percentage of completion for ARRA activities? We anticipate that all of our ARRA projects will be contracted out, and as such, each will have a specifically defined scope-of-work that will include a timeline for completion. We will establish milestones and will calculate percent of project completed based on those milestones.
c. How will you track Infrastructure Project expenditures? No Infrastructure Projects Planned
d. Are your federal ARRA funding agencies requiring you to track other metrics? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, list these metrics and explain how you will track them:

4. ARRA ACTIVITY CONTRACTS, PROCUREMENTS, AND SUB-AWARDS:
a. Will you be executing Contracts and/or Sub-Grants funded with ARRA monies? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Please acknowledge your responsibility for ensuring that the new language required by the 4/21/09 Addendum to Bulletins 3.5 and 5.0 will be included in each contract and/or sub-grant funded with ARRA monies, which requires the contractor, vendors, and sub-recipient to periodically report required information and/or metrics to you. <input checked="" type="checkbox"/> Yes

5. OTHER COMMENTS:

OK
5/12/09

Submitted by:	Commissioner or Exempt Department Head:	<i>Thomas R. Lynch</i>	Date: 5/8/09
	Agency Secretary (if required):		Date:
Approved by:	^{Dup} Commissioner, Finance & Management	<i>Suzanne Bellu</i>	Date: 5/12/09
	VT Chief Stimulus Officer:	<i>[Signature]</i>	Date: 5/12/09

Form ESR-1 Addendum

Department of Public Safety - Business Unit 02140

Date: May 6, 2009

This form is to provide detailed responses to the following questions on ESR-1:

#2 (d): Explain how ARRA receipts and expenditures will be tracked in VISION?

DPS Response: The DPS will use the following Chart of Accounts to track ARRA Fund expenditures and receipts:

Business Unit 02140/Specific Internal Dept ID where expenditure or drawn funds should be charged or deposited /Fund Code #22040/Project-Grant Code as created specifically for each project defined in Question #1 of this form/Account Code appropriate for the specific expenditure (or, if a revenue deposit transaction, will use new ARRA funds Revenue Code 430001 for deposit of drawn, earned, ARRA funds)

#2 (e) Will all or some expenditures for ARRA activities be tracked by specific expenditure? If yes, which specific expenditures will be tracked?

DPS Response:

Part 1: Yes

Part 2: *We will be tracking all specific expenditures. We expect that most of our expenditures will be contract payments utilizing the "Contract and 3rd Party Services" account codes. We will also pay and track the Salary & Benefits of the position we will be creating for Mobile Data Support using the Chart of Accounts identified in #2(d), and the regular Salary and Benefits expenditure account codes. This will allow us to query all expenditure and revenue data associated with ARRA funds separately from other Federal expenditures and revenues.*

APPLICATION FOR FEDERAL ASSISTANCE		2. DATE SUBMITTED April 22, 2009	Applicant Identifier
1. TYPE OF SUBMISSION Application Non-Construction	3. DATE RECEIVED BY STATE		State Application Identifier
	4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier
5. APPLICANT INFORMATION			
Legal Name Vermont Department of Public Safety		Organizational Unit Department of Public Safety	
Address 103 South Main Street State Police Headquarters Waterbury, Vermont 05671-2101		Name and telephone number of the person to be contacted on matters involving this application Reinfurt, Chris (802) 241-5357	
6. EMPLOYER IDENTIFICATION NUMBER (EIN) 03-6000274		7. TYPE OF APPLICANT State	
8. TYPE OF APPLICATION New		9. NAME OF FEDERAL AGENCY Bureau of Justice Assistance	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 16.810 CFDA TITLE: 16.810 - Rural Law Enforcement		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT Vermont's Rural Law Enforcement to Combat Crime and Drugs Grant: Category I Combating Rural Crime	
12. AREAS AFFECTED BY PROJECT Statewide			
13. PROPOSED PROJECT Start Date: August 01, 2009 End Date: July 31, 2011		14. CONGRESSIONAL DISTRICTS OF a. Applicant b. Project VT00	
15. ESTIMATED FUNDING		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? Program is not covered by E.O. 12372	
Federal	\$130,000		
Applicant	\$0		
State	\$0		
Local	\$0		
Other	\$0		
Program Income	\$0	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL	

TOTAL	\$130,000	DEB 1?
		N

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS REQUIRED. .

Close Window

Program Abstract

Agency/Applicant Name: Department of Public Safety/Vermont State Police
Title of the Project: Intelligence Analyst
Amount Requested: \$130,000
Assistance to Rural Law Enforcement to Combat Crime and Drugs
Category: I, Combating Rural Crime
Point of Contact: Captain Chris Reinfurt
Telephone Number: (802) 241-5357

Vermont qualifies as a rural state as its largest county, Chittenden, has fewer than 150,000 people based on the most recent decennial census. The population of Chittenden County in the 2000 census is 146,570.

Goals of the Project:

This project proposes to create a new position by hiring one intelligence analyst to be assigned at the Vermont Fusion Center (VTFC) to support rural law enforcement investigations in the State of Vermont. By hiring this analyst it will allow the Vermont Fusion Center to expand its capability to support local and state law enforcement in tracking crime trends and developing products that will provide field commanders in participating jurisdictions with actionable intelligence so they can better direct their resources based on vetted information. Additionally, this analyst will support rural communities and their respective departments in support for major criminal investigations.

Strategies for the Project:

This newly created position will allow the Vermont State Police to expand its current Intelligence Led-Policing project known as Data Driven Approaches to Crime and Traffic Safety (DDACTS). This data and intelligence led policing project overlays crime and traffic data that is vetted by an analyst, of an impacted area within jurisdictions. Analyst then produces an actionable intelligence document for field commanders in participating jurisdictions to use. Field commanders then formulate strategies to interdict the crime pattern through task forces established at the local level. This expansion will continue to support the Fusion Centers rural law enforcement mission of supporting field commanders with intelligence documents that are actionable and support of major criminal investigations.

Major Deliverables:

This project proposes to combat rural crime and improve rural law enforcement investigations by:

1. Expand intelligence and data led policing model to more local jurisdictions
2. Expand major case support for criminal investigations
3. Expand support to the Joint Terrorism Task Force and state's Drug Task Force

Coordination Plans:

All coordination will be accomplished through the VTFC, Bureau of Criminal Division, and Vermont State Police. VTFC shall use the VTFC Advisory Board to ensure that the goals of this project is communicated to the regional, federal, county and local partners stakeholders who use the services of the center.

Program Narrative

Statement of the Problem

The Vermont Fusion Center (VTFC) has been designated by the Governor as the state's single point of contact for intelligence. This multi-agency fusion center strives to assist law enforcement within the state and region, as well as nationally and internationally in the effort to prevent, detect, and prosecute crime. The VTFC's mission is to collect, analyze, and disseminate intelligence regarding both potential and ongoing criminal activity. Additionally, it is the mission of the VTFC to support all major criminal investigations, whether local or federal, and promote the safety of all law enforcement officers. The VTFC is additionally responsible for the intelligence/data led-policing model known as Data Driven Approaches to Crime and Traffic Safety (DDACTS). It is the responsibility of VTFC to provide field commanders with actionable intelligence in the form of a product so that they (Field Commanders) may formulate a plan to combat crime. DDACTS integrates location-based crime and traffic data to establish effective and efficient strategies for deploying law enforcement officers.

The role of the VTFC in supporting major case investigations has increased by 358% since 2005. Additionally, with the introduction of the Intelligence/Data Led-Policing model and its success there is an increase of requests for this service into the VTFC. The VTFC can not provide any further expansion of services without assistance in the form of an intelligence analyst.

Program Design and Implementation

The VTFC proposes to add one additional analyst to the current staff to allow for the expansion of services to include support to major investigations and implementation of the

data/intelligence led-policing model known as DDACTS. These objectives will focus on the support of various task forces and major crimes within the rural communities of Vermont.

Project objectives and outcomes:

Objective: The VTFC shall create one intelligence analyst position within the VTFC to expand its criminal intelligence and analysis capability and support to major criminal investigations in the rural communities of Vermont to target criminal activity especially violent crimes, narcotics trafficking, gang violence and property crimes.

Outcomes:

- VTFC will expand its current intelligence/data led-policing model to departments working in the rural communities of Vermont.
- VTFC will expand its support to major criminal investigations to departments working in the rural communities of Vermont
- VTFC will expand its support to the various tasks forces (Vermont Drug Task Forces, Joint Terrorism Task Force, Internet Crimes Against Children Task Force, International Border Enforcement Team) within the state for their special operations that target criminal activity.

Job Creation:

This project proposes to create one intelligence analysis position to work within the multi-agency state fusion center. This position will be a contractor position that will supplement the current organization within the VTFC. The hiring authority proposes to target retired law enforcement officers or military personnel with analytical experience who have real life experience within this field, and existing intelligence analysts. Additionally these three categories of people will be targeted to allow VTFC to maximize the turn around time from new hire to competent analyst as

these three categories provide the skill sets needed to function within a fusion center more quickly than a person without this real life experience. Finally, it is recognized that the sustainability of these positions with state funding will most likely not occur as detailed within the sustainment paragraph below due to the current fiscal crisis and the predicted slow recovery.

Combating crime and improves the functions of the criminal justice system:

The creation of a new intelligence analyst position will enhance the services and capabilities of the VTFC. This position will be able to expand the support major crimes investigations by allowing this additional analyst to focus on assisting detectives in rural communities. This support includes analysis of the information developed in the investigations, to include telephone and computer data as well as secure and open source databases. This support to major investigations allows investigators to focus on high priority leads.

The addition of one analyst will allow the VTFC to expand the DDACTS Intelligence/Data Led-Policing project to additional rural communities within the state. This data focused intelligence led-policing project is a partnership between the National Highway Traffic Safety Administration, Bureau of Justice Assistance and the Vermont State Police, VTFC. The project concept includes the following:

- timely and accurate data collection and analysis of crime and traffic data
- the identification of a nexus between crime and traffic data
- coalition of local partners
- operations plan from the local partners

This data/intelligence led-policing model was implemented in a local city in the North West section of Vermont in September of 2008. Violent crime to include assaults, robberies, and gang activity as well as traffic violations were analyzed and problem areas were identified. An

analytical product was produced by the VTFC and distributed to the Task Force formed with city, county and state police. The DDACTS model allows law enforcement to prioritize the demands of police services, the results of these operations are still being measured.

Capabilities/Competencies

The Vermont State Police has established and maintained a criminal intelligence function in the state since the 1970s. In 1997, the Vermont State Police successfully established a state wide information sharing platform that allowed all authorized law enforcement personnel access to vital information available for criminal investigations. This information is shared nationally via the Regional Information Sharing System (RISS).

On August 15, 2005, the Vermont State Police joined with the US DHS to establish the VTFC. This center was formed to incorporate the mission of the Vermont State Police Criminal Intelligence Unit, the Vermont Homeland Security Unit and the National Intelligence Sharing Plan of 2002 into an all crimes single information sharing center. The VTFC is co-located at the DHS-Law Enforcement Support Center in Williston, Vt.

The VTFC supports many of the implementation guidelines of the Global Justice Information Sharing Initiative. The VTFC has met or exceeded the majority of the recommendations found in the Global Intelligence Initiative. The VTFC has established a Conduct of Operations Plan that fully incorporates the recommendations of the Global Intelligence Initiative to ensure that privacy and civil rights are protected. Further, the VTFC recently adopted a separate Privacy and Civil Rights Annex to its operations plan to emphasize the importance of protecting our citizen's rights.

The VTFC strives to assist law enforcement within the state and region, as well as nationally and internationally in the effort to prevent, detect, and prosecute crime. The VTFC's mission is to collect, analyze, and disseminate intelligence regarding both potential and ongoing criminal activity. Additionally, it is the mission of the VTFC to promote the safety of all law enforcement officers.

The VTFC is organized and the processes administered under the authority of state law through the Vermont State Police. The VTFC has identified its participating members and has established an advisory committee of local, county, state and federal partners.

The state of Vermont continues to work at a regional level to support the facilitation of information sharing. Since the 1970s, Vermont has participated in the New England State Police Administrative Compact through each of the New England state's intelligence function. Since 2004, Vermont has been an active member of the North East Regional Intelligence Group that is working in close collaboration with the DHS Intelligence Directorate. The VTFC fully participates in information sharing meetings with local, county, state and federal law enforcement agencies.

The VTFC has supported a number of intelligence led-policing operations during the past year in rural Vermont with great success. Most recently the VTFC supported the Vermont Drug Task Force (VDTF) in Operation Rail City in the spring of 2009. This operation specifically targeted the City of St. Albans, located in the north-west corner of Vermont. The VDTF came together with law enforcement officials in and around the City of St. Albans to address the growing problem of prescription drugs and a rise in quality of life crimes. This was a collaborative effort by all law enforcement agencies, utilizing data and intelligence analyzed by

the VTFC that directed the uniform saturation patrols and undercover operations. A five month investigation resulted in the arrest of 21 defendants for drug distribution charges. In addition, this operation identified a significant oxycontin distribution ring that was responsible for trafficking large quantities from NY dealers to VT dealers. Defendants from this operation face charges in both state and federal court.

In May of 2008, the VTFC supported Operation Marble Valley which focused on the Rutland, Vermont, region after a series of violent crimes, including a homicide. Operation Marble Valley was a collaborative effort of all Vermont Law Enforcement led by the VDTF and supported by the VTFC. Operation Marble Valley resulted in the arrest of over 30 drug offenders in a one day drug sweep and criminal charges against 41 perpetrators who now face prosecution in both state and federal court. The VTFC provided countless hours of major case support to the Task Forces to identify, locate and provide information on the suspects as well as analysis of crime trend patterns.

In March of 2008, the VTFC supported the VDTF along with law enforcement agencies throughout the state in the Byrne Blitz Operation. This operation resulted in the drug arrests of twelve individuals located throughout the state. In December of 2007 the VTFC worked very closely with the Barre City Police Department on Operation Granite Street. This operation resulted in the arrests of twenty-five individuals for various drug crimes.

All of these operations are examples of how the VTFC provides continued support to major investigations and analysis of data and intelligence in a collaborative law enforcement approach to directly impact local communities by reducing crime and improving the quality of life for the citizens of Vermont.

The State of Vermont realizes the importance of tracking and monitoring the ARRA grants. The State's Department of Finance and Management has set up a special fund code in order to track ARRA grant funding. The Department of Public Safety will add another level of tracking by asking Finance and Management to set up unique project/grant codes to track expenditures of individual ARRA grants. Public Safety will run monthly financial reports that capture expenditures based on the fund and project/grant codes. We will use these monthly reports to perform drawdown's.

Impact/Outcomes, Evaluation, Sustainment and Description of the Plan for the Collection of Data

Impact/Outcomes

This proposal for one additional intelligence analyst will allow further expansion of the data/intelligence led-policing model to rural communities and their respective police agencies.

The outcomes include:

- Analysis of additional data
- An intelligence product for Field Commanders to use to formulate strategies to combat crime in their jurisdictions
- Direct police resources to identified areas in the form of Task Forces
- Expand major case support to investigations within the rural communities of Vermont

Evaluation

The Department of Public Safety, Vermont State Police, VTFC certifies its willingness and capacity to participate in an evaluation to be managed by the National Institute of Justice. The Vermont State Police has participated in a number of evaluations in the past and they include a number of Fusion Center Surveys for BJA/DHS. One of these evaluations included a mandatory

assessment of Fusion Center capabilities for BJA/DHS in FFY 2007. The Vermont State Police has also participated in a number of programmatic monitoring visits from DOJ and DHS and has diverse experience in managing grants.

Sustainability

It is recognized by the Vermont State Police that the cost associated with this project can not be sustained by state funds after the expiration of the grant in two years. It is acknowledged that the state, like the nation, is experiencing one of the worst economic downturns in our history. State economists predict a long and slow recovery for Vermont and the state will not be in a position to support this position in two years. It is for this reason that we propose to target and contract with retired law enforcement or military personnel who have experience in the field of analysis and law enforcement. By hiring an analyst with prior law enforcement experience this position will be self sufficient more quickly than an individual who is not in the field. We also believe that by contracting with this target group we can conserve financial resources by not budgeting for benefits as these groups will already have them through their retirement systems. We will examine potential cost sharing of this position with local, county and state police agencies within the first year to explore the possibility ^{of} potential sustainment funding.

Collection of Data required for Performance Objectives

The VTFC maintains a database detailing the level of work each analyst with in the center performs. Each operation and major investigation supported will include the required After Action Report that will summarize all necessary data to show outcomes of the actions.

Grant Application Identifier **2009 ARRA Rural Law Enforcement Competitive Grant Program - Category I**
 Proposed Grant Period: **8/1/2009-7/31/2011**

Adapted for VT DPS from OMB 1121-0188

Budget Detail Worksheet (see Attachment 3b for Budget Narrative)

A. Personnel - List each position by title and name of employee, if available. Show the annual salary rate and the percentage of time to be devoted to the project. Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization.

Total Personnel: **\$0.00**

B. Fringe Benefits - Fringe benefits should be based on actual known costs or an established formula. Fringe benefits are for the personnel listed in budget category (A) and only for the percentage of time devoted to the project. Fringe benefits on overtime hours are limited to FICA, Workman's Compensation, and Unemployment Compensation.

Total Fringe: **\$0.00**

TOTAL PERSONNEL AND FRINGE BENEFITS: \$0.00

C. Travel - Itemize travel expenses of project personnel by purpose (e.g., staff to training, field interviews, advisory group meeting, etc.). Show the basis of computation (e.g., six people to 3-day training at \$X airfare, \$X lodging, \$X subsistence). In training projects, travel and meals for trainees should be listed separately. Show the number of trainees and the unit costs involved. Identify the location of travel, if known. Indicate source of Travel Policies applied, Applicant or Federal Travel Regulations.

DOJ Grants Training;

1. Washington, DC						
Airfare	2 ppl	1 ticket	@	\$	575.00	\$ 1,150.00
Hotels	2 ppl	4 days	@	\$	225.00	\$ 1,800.00
Meals	2 ppl	4 days	@	\$	32.00	\$ 192.00 <i>256</i>
Parking	2 ppl	4 days	@	\$	15.00	\$ 120.00
DOJ Grants Training;						
2. TBD Regional Locations						
Airfare	2 ppl	1 ticket	@	\$	800.00	\$ 1,600.00
Hotels	2 ppl	4 days	@	\$	125.00	\$ 1,000.00
Meals	2 ppl	4 days	@	\$	32.00	\$ 192.00 <i>256</i>
Parking	2 ppl	4 days	@	\$	15.00	\$ 120.00

TOTAL TRAVEL: \$6,174.00

D. Equipment - List non-expendable items that are to be purchased. Non-expendable equipment is tangible property having a useful life of more than two years and an acquisition cost of \$5,000 or more per unit. (Note: Organization's own capitalization policy may be used for items costing less than \$5,000). Expendable items should be included either in the "supplies" category or in the "Other" category. Applicants should analyze the cost benefits or purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "Contractual" category. Explain how the equipment is necessary for the success of the project. Attach a narrative describing the procurement method to be used.

laptop Computer with

1. Software	1 @	\$	7,243.00 ea	\$	7,243.00
-------------	-----	----	-------------	----	----------

TOTAL EQUIPMENT: \$7,243.00

E. Supplies - List items by type (office supplies, postage, training materials, copying paper, and expendable equipment items costing less than \$5,000, such as books, hand held tape recorders) and show the basis for computation. (Note: Organization's own capitalization policy may be used for items costing less than \$5,000). Generally, supplies include any materials that are expendable or consumed during the course of the project.

TOTAL SUPPLIES: \$0.00

F. Construction - As a rule, construction costs are not allowable. In some cases, minor repairs or renovations may be allowable. Check with the program office before budgeting funds in this category.

TOTAL CONSTRUCTION: \$0.00

G. Consultants/Contracts - Indicate whether applicant's formal, written Procurement Policy or the Federal Acquisition Regulations are followed.

Consultant Fees: For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and the estimated time on the project. Consultant fees in excess of \$450 per day require additional justification and prior approval from OJP.

Sub-Total: **\$0.00**

Consultant Expenses: List all expenses to be paid from the grant to the individual consultants in addition to their fees (i.e., travel, meals, lodging, etc.)

Sub-Total: **\$0.00**

Contracts: Provide a description of the product or service to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole source contracts in excess of \$100,000.

Criminal Intelligence	Vendor TBD			\$	114,014.00
1. Analyst					

TOTAL CONTRACTS / CONSULTANTS: \$114,014.00

H. Other Costs - List items (e.g., rent, reproduction, telephone, janitorial or security services, and investigative or confidential funds) by major type and the basis of the computation. For example, provide the square footage and the cost per square foot for rent, or provide a monthly rental cost and how many months to rent.

1. DII	0.25% of project costs	\$	129,032.26	\$	322.58
VISION Accounting					
2. System	0.25% of project costs	\$	129,032.26	\$	322.58
Single Audit Cost					
3. Allocation	0.25% of project costs	\$	129,032.26	\$	322.58
4. G-link Software License	1 @	\$	301.26	\$	301.26
5. PS Portal Software	1 @	\$	1,300.00	\$	1,300.00

TOTAL: \$2,569.00

I. Indirect Costs - Indirect costs are allowed only if the applicant has a Federally approved indirect cost rate. A copy of the rate approval (a fully executed, negotiated agreement), must be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant Federal agency, which will review all documentation and approve a rate for the applicant organization, or if the applicant's accounting system permits, costs may be allocated in direct costs categories.

TOTAL INDIRECT: \$0.00

TOTAL PROJECT COST: \$130,000.00

Budget Summary

+128

<u>Budget Category</u>	<u>Amount</u>
A. Personnel	\$0.00
B. Fringe Benefits	\$0.00
C. Travel	\$6,174.00
D. Equipment	\$7,243.00
E. Supplies	\$0.00
F. Construction	\$0.00
G. Consultants/Contracts	\$114,014.00
H. Other	\$2,569.00
Total Direct Costs	\$130,000.00
I. Indirect Costs	\$0.00
TOTAL PROJECT COSTS	\$130,000.00

Federal Request	\$130,000.00
Non-Federal Amount	\$0.00

Budget Narrative

A. Personnel

None requested

B. Fringe Benefits

None Requested

C. Travel

Out of state travel (\$6,174.00): Necessary to comply with the grant requirements for this application. Travel is budgeted for two personnel to travel to two grant meetings one in the Washington, D.C. area and one outside of Washington, D.C. area.

D. Equipment

Laptop Computer and Software (\$7,243.00): The purchase of this computer and software will be competitively bid through the statewide contracting bid process. This equipment and software will allow the criminal intelligence analyst to perform their duties.

E. Supplies

None requested

F. Construction

None requested.

G. Consultants/Contracts

Criminal Intelligence Analyst (\$114,014): The Vermont Department of Public Safety is seeking to employ one criminal intelligence contractor to work within the VTFC for two years in order to support major criminal investigations and expand implementation of the data/intelligence led-policing model described above. This person will work for the Commander of the Vermont Fusion Center.

H. Other

VISION Statewide Accounting System (\$322.58): This is a direct charge associated with all financial transactions, which include accounts payable and receivable transactions. The cost provides access to the statewide integrated financial management system, which allows us to process grant-related transactions and to produce financial reports for the grant.

DII (\$322.58): This is a direct charge associated with all Information Technology purchases, including the procurement of hardware, software or professional services. The cost includes support for enterprise solutions such as server infrastructure; information sharing systems and technical architecture.

Single Audit Allocation (\$322.58): This is a direct charge associated with Vermont's statewide Single Audit as required by OMB Circular A-133.

G-link Software License (\$301.26): This software will allow the analyst access to NCIC, DMV, and VCIC.

PS Portal Software (\$1,300.00): This software will give the analyst the ability to run reports on Vermont's warrant database and missing persons reports.

Project Timeline

Quarter Ending	Activity	Description/Responsibility
September 2009	Advertise competitive bid for intelligence analyst position through state process.	Commander of VTFC shall complete all necessary paperwork to advertise position.
	Gather a hiring panel to review applications	Commander VTFC
	Conduct hiring panel	Commander VTFC
	Hire analyst within the first three months	Department of Public Safety Administrative Division
	Equip and Field Train Intelligence Analyst	Commander VTFC
	Submit Financial Status Reports (269)	Department of Public Safety Administrative Division
December 2009	Train analyst in FIAT Training within 3 months	Commander VTFC
	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
March 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Train analyst in analysis software within first 6 months	Commander VTFC

	Submit Financial Status Reports (269)	Administrative Division
June 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
September 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
December 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
March 2011	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC

	Submit Financial Status Reports (269)	Administrative Division
June 2011	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
September 2011	Close out report of grant	Complete final report
	Final Report of Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division

Position Description

Criminal Intelligence Analyst

Class Definition:

Criminal intelligence work at a professional level involves that application of the intelligence theory and practices which utilizes an intelligence process resulting in a final product. This work is an extremely important tool for modern day law enforcement as it saves investigators valuable resources when conducting criminal investigations and preventing crime. Work is performed under the supervision of a Sergeant within the Vermont Fusion Center.

Examples of Work:

- Assist members of law enforcement by providing analysis, toll analysis, link analysis and financial analysis
- Prepare written and oral reports summarizing the results of analysis
- Daily review of intelligence, bulletins, open source material regarding crime
- Support data/intelligence led-policing model for rural communities

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

**Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs
Program**

Certification as to Recovery Act Reporting Requirements

On behalf of the applicant entity named below, I certify the following to the Office of Justice Programs, U.S. Department of Justice:

I have personally read and reviewed the section entitled "Accountability and Transparency under the Recovery Act" in the program announcement for the Recovery Act grant program identified above. I have also read and reviewed section 1512(c) of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5); concerning reporting requirements for grants. I agree that the applicant will comply with the reporting requirements set forth therein with respect to any grant the applicant may receive under the Recovery Act grant program identified above.

I acknowledge that a false statement in this certification may be subject to criminal prosecution, including under 18 U.S.C. § 1001. I also acknowledge that Office of Justice Program grants, including certifications provided in connection with such grants, are subject to review by the Office of Justice Programs, and/or by the Department of Justice's Office of the Inspector General.

I have authority to make this certification on behalf of the applicant entity (that is, the entity applying directly to the Office of Justice Programs).

A. Marc Metayer
Signature of Certifying Official

A. Marc Metayer
Printed Name of Certifying Official

Deputy Commissioner
Title of Certifying Official

VT Dept. of Public Safety
Full Name of Applicant Entity

4/22/09
Date

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

**Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs
Program**

General Certification as to Requirements for Receipt of Funds
for Infrastructure Investments

On behalf of the applicant state or unit of local government (including tribal government) named below, I certify the following to the Office of Justice Programs ("OJP"), U.S. Department of Justice:

I have personally read and reviewed the section entitled "Eligibility" in the program announcement for the Recovery Act grant program named above. I also have personally read and reviewed section 1511 of the American Recovery and Reinvestment Act of 2009 (the "Recovery Act"), which requires a specific certification prior to receipt of Recovery Act funds for infrastructure investments.

Initial the statement that applies:

 The applicant identified below **does not intend to use** any portion of any funds received under this Recovery Act grant program for any infrastructure investment. Should this intention change, the applicant will promptly notify OJP, and (except to the extent, if any, that OJP has given prior written approval to expend funds to conduct the review and vetting required by law) will not draw down, obligate, or expend any funds received under this Recovery Act program for any infrastructure investment project until section 1511 of the Recovery Act has been satisfied, and an adequate project-specific certification has been executed, posted, and submitted to OJP.

 The applicant identified below **does intend to use** some or all of any funds received under this Recovery Act grant program for one or more infrastructure investment projects. Except to the extent, if any, that OJP has given prior written approval to expend funds to conduct the review and vetting required by law, I agree that the applicant entity will execute, post, and submit to OJP, prior to obligating, expending, or drawing down funds for such project, a project-specific certification that satisfies all of the requirements of section 1511 (including execution by the Governor, mayor, or other chief executive, as appropriate) for each such infrastructure investment project.

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

General Certification as to Requirements for Receipt of Funds
for Infrastructure Investments

I acknowledge that a false statement in this certification may be subject to criminal prosecution, including under 18 U.S.C. § 1001. I also acknowledge that Office of Justice Program grants, including certifications provided in connection with such grants, are subject to review by the Office of Justice Programs and/or by the Department of Justice's Office of the Inspector General.

I have authority to make this certification on behalf of the applicant (that is, the governmental entity applying directly to the Office of Justice Programs).

A. Marc Metayer
Signature of Certifying Official

A. Marc Metayer
Printed Name of Certifying Official

Deputy Commissioner
Title of Certifying Official

VT. Dept. of Public Safety
Full Name of Applicant Government Entity

4/22/09
Date





State of Vermont
Department of Finance & Management
109 State Street, Pavilion Building
Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
[fax] 802-828-2428

STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:		Will contract to hire one additional staff to provide additional rural crime intelligence analysis			
Date:		9/23/2009			
Department:		Department of Public Safety			
Legal Title of Grant:		Vermont's Rural Law Enforcement to Combat Crime and Drugs Grant: Category I Combating Rural Crime			
Federal Catalog #:		16.810			
Grant/Donor Name and Address:		Department of Justice, Bureau of Justice Assistance			
Grant Period:		From:	To:		
		8/1/2009	7/31/2011		
Grant/Donation		\$130,000			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$47,894	\$82,106	\$	\$130,000	100% federal funding
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:		Will fund one personal service contract for grant period.			
Department of Finance & Management		<i>[Signature]</i>		(Initial)	<i>DB 9/23/09</i>
Secretary of Administration		<i>[Signature]</i>		(Initial)	
Sent To Joint Fiscal Office				Date	<i>10/5/09</i>

RECEIVED
OCT 13 2009
JOINT FISCAL OFFICE

State of Vermont
Agency of Administration
Office of Economic Stimulus and Recovery
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.vermont.recovery.gov

[phone] 802-828-1354
[fax] 802-828-3320

Tom Evslin, Chief Recovery Officer

October 13, 2009

To: Joint Fiscal Office

From: Tom Evslin, Chief Recovery Officer

Subject: Request to Expedite ARRA Competitive Grant

In light of the ARRA goal and expressed intent of the Vermont legislature and the Governor that stimulus money be put to work as quickly as possible to help alleviate unemployment and stimulate the economy, I am requesting expedited consideration by the Joint Fiscal Committee of this competitive grant. Moreover, the sooner this grant is approved, the sooner Vermonters will obtain the Public Safety benefits which it funds.

Thank you for your consideration.

ARRA ACTIVITY ACCEPTANCE REVISION: <input type="checkbox"/> Yes Revision Date: _____	<input checked="" type="checkbox"/> ARRA Competitive Grant (Alternate Form AA-1)	<input type="checkbox"/> Other ARRA Activity (Not subject to AA-1 Process)
---	--	--

INSTRUCTIONS: This form must be completed in its entirety and is required for: **TRC DB: #51**

- 1) acceptance of all ARRA Discretionary Grants, and
- 2) PRIOR to receipt of all ARRA Formula/Block Grants, and
- 3) PRIOR to receipts of all ARRA funding for Individual Entitlement Programs.

NOTE: Incomplete forms will be returned to departments and will result in the delay of spending authority release.

BASIC ARRA INFORMATION

1. Agency (ARRA-F): _____		2. Department (ARRA-F): Public Safety		3. DUNS # (ARRA-C): 809376692	
4. Office Location: _____		City/town: Waterbury		County: Washington	
5. ARRA Activity (ARRA 1-01): Combating rural crime with an intelligence analyst			6. ARRA Code (ARRA 2-1): I99 - Crime & Legal-Related N.E.C.		
7. Legal Title of Grant: _____		Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program			
8. Federal Agency Award # (ARRA-B): 2009-SD-B9-0208			9. CFDA # (ARRA-E): 16.810		
10. Federal Funding Agency's US Treasury Account Symbol (TAS): _____			(if provided by the federal funding agency)		
11. Federal (or VT) Funding Agency (ARRA-A): U.S. Dept. of Justice		12. Award Date: 8/24/2009			
13. Award Amount \$130,000			14. Check if this amount is an estimate: <input type="checkbox"/>		
15. Grant Period (ARRA-H) From: 8/1/2009		To: 7/31/2011			
16. Date by which ARRA funds must be: <input checked="" type="checkbox"/> Obligated by Date: 7/31/2011 and/or <input checked="" type="checkbox"/> Spent by Date: 7/31/2011					

17. Purpose of Grant/ARRA Narrative (ARRA 2-02):

This project proposes to hire one intelligence analyst to be assigned at the Vermont Fusion Center (VTFC) to support rural law enforcement investigations in the State of Vermont. By hiring this analyst it will allow the Vermont Fusion Center to expand its capability to support local and state law enforcement in tracking crime trends and developing products that will provide field commanders in participating jurisdictions with actionable intelligence so they can better direct their resources based on vetted information. Additionally, this analyst will support rural communities and their respective departments in support for major criminal investigations.

Strategies for the Project:

Hiring this analyst will allow the Vermont State Police to expand its current Intelligence Led-Policing project known as Data Driven Approaches to Crime and Traffic Safety (DDACTS). This data and intelligence led policing project overlays crime and traffic data that is vetted by an analyst, of an impacted area within jurisdictions. Analyst then produces an actionable intelligence document for field commanders in participating jurisdictions to use. Field commanders then formulate strategies to interdict the crime pattern through task forces established at the local level. This expansion will continue to support the Fusion Centers rural law enforcement mission of supporting field commanders with intelligence documents that are actionable and support of major criminal investigations.

Major Deliverables:

This project proposes to combat rural crime and improve rural law enforcement investigations by:

1. Expand intelligence and data led policing model to more local jurisdictions
2. Expand major case support for criminal investigations
3. Expand support to the Joint Terrorism Task Force and state's Drug Task Force

18. Area that will Benefit (name the state, county, city or school district): Vermont, statewide

19. Impact on existing program if grant is not Accepted:

Since 2005, the role of the VTFC in supporting major case investigations has increased by 358%. Additionally, with the introduction of the Intelligence/Data Led-Policing model and its success there is an increase of requests for this service into the VTFC. The VTFC cannot provide any further expansion of services without assistance in the form of an intelligence analyst.

20. BUDGET INFORMATION (Note the total of columns A+B+C must equal the total of columns D+E+F)

Column Reference	A	B	C	D	E	F
	←-----State Fiscal Year----->			←-----Federal Fiscal Year----->		

PROGRAM DB: 224

REC'D SEP 23 2009

Fiscal Year	SFY 2009	SFY 2010	SFY 2011 & Beyond	FFY 2009	FFY 2010	SFY 2011 & Beyond
Expenditures:						
Personnel Costs	\$	\$	\$	\$	\$	\$
Party Contracts	\$	\$42,005	\$72,009	\$	\$60,007	\$54,007
Operating Expenses	\$	\$5,889	\$10,097	\$	\$8,413	\$7,573
Grants/Sub-Awards	\$	\$	\$	\$	\$	\$
Total Expenditures	\$	\$47,894	\$82,106	\$	\$68,420	\$61,580
Revenues:						
State Funds:	\$	\$	\$	\$	\$	\$
Cash	\$	\$	\$	\$	\$	\$
In-Kind	\$	\$	\$	\$	\$	\$
ARRA Federal Funds:	\$	\$	\$	\$	\$	\$
(Direct Costs)	\$	\$47,894	\$82,106	\$	\$68,420	\$61,580
(Statewide Indirect)	\$	\$	\$	\$	\$	\$
(Dept'l Indirect)	\$	\$	\$	\$	\$	\$
Sub-total ARRA Funds	\$	\$	\$	\$	\$	\$
Other Funds:	\$	\$	\$	\$	\$	\$
(Other Federal)	\$	\$	\$	\$	\$	\$
(list source)	\$	\$	\$	\$	\$	\$
Total Revenues	\$	\$47,894	\$82,106	\$	\$68,420	\$61,580

Comments about expenditures or revenues may be made in the space provided below:

21. VISION Tracking Information:

DeptID/Appropriation:	Other VISION Chartfield (funds, programs or projects)	Total Amount (all FYs)	Comments
2140071000	Fund 22040, Project# 71079	\$130,000	
		\$	
		\$	
		\$	
		\$	
Total		\$130,000	This Total MUST agree with the total of Item 10, columns A+B+C above

PERSONAL SERVICE INFORMATION

22. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: (initial)

23. State Position Information and Title(s):	# Existing Positions Retained	Est. Annual Regular Hours	# Positions Created (New)	Est. Annual Regular Hours
Total Positions				

Is the appropriate Position Request Form attached for new position(s) listed in Line 12 above?
 YES - Form attached or No new positions created

25. Equipment and space for these positions: is presently available. Can be obtained w/ available funds.

26. Does this qualify as "Infrastructure"? Yes No If Yes complete next line:

27. Infrastructure Rationale (select one) (ARRA 2-06):
 1. To Preserve & create jobs & promote economic recovery.
 2. To assist those most impacted by the recession.
 3. To provide investment needed to increase economic efficiency by spurring technological advances in science & health.
 4. To invest in transportation, environmental protection, & other infrastructure that will provide long-term economic benefits.
 5. To stabilize State & local government budgets, in order to minimize & avoid reductions in essential services & counterproductive state & local tax increases.

28. AUTHORIZATION AGENCY/DEPARTMENT SIGNATURES

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable). I/we further certify that these funds will be used only in accordance with the federal American Recovery & Reinvestment Act and all federal and state rules and regulations pertaining thereto:

ARRA Activity Manager: <i>Christopher Reinfurt</i>	Date: 9-10-09
Name: Christopher Reinfurt	Title: Captain, Vermont State Police - Homeland Security Division
Department Head: <i>Thomas R. Tremblay</i>	Date: 9/17/09
Name: Thomas R. Tremblay	Title: Commissioner
Agency Secretary (if required):	Date:
Name:	Title:

29. REVIEW BY FINANCE & MANAGEMENT (continue on separate sheet if necessary)

To Release Spending Authority in VISION: FY 2010 \$ 47,894 Citation(s):

Analyst (initial): <i>DS</i>	Date: <u>9-23-09</u>	Commissioner Finance & Management initial: <i>[Signature]</i>	Date: <u>9/23/09</u>
For ESR Use Only:	Assigned ESR Director's Signature: <i>[Signature]</i>		Date: <u>8/23/09</u>

*** Section 30 through 33 are required ONLY when Form ESR-2 is used in lieu of Form AA-1 ***

30. SECRETARY OF ADMINISTRATION

Check One Box: (Secretary's signature or designee)
 Accepted *[Signature]* Date: 9/31/09
 Rejected Date:

31. ACTION BY GOVERNOR

Check One Box: (Governor's signature or designee)
 Request to JFO *[Signature]* Date: 10/5/09
 Rejected Date:


32. SENT TO JFO

Sent to JFO Date:

*** Section 33 is a required section ***

33. ARRA FORM ESR-2 DOCUMENTATION CHECK LIST (check all that apply):

<input type="checkbox"/> Notice of Award or Proof of Award (REQUIRED)	<input type="checkbox"/> Dept. project approval (if applicable)	<input type="checkbox"/> Grant (Project) Timeline (if applicable)
<input type="checkbox"/> Request Memo	<input type="checkbox"/> Governor's Certification (if applicable)	<input type="checkbox"/> Request for Extension (if applicable)
<input type="checkbox"/> Grant Agreement	<input type="checkbox"/> Notice of Donation (if any)	<input type="checkbox"/> Form AA-1PN attached (if applicable)
	<input type="checkbox"/> Position Request Form(s)	

 STATE OF VERMONT – ARRA ACCOUNTABILITY PLAN	
Agency:	NA
Department/Division:	Public Safety

Purpose:

This Accountability Plan is intended to document the ability of the agency or department to meet the accountability, transparency and reporting requirements of the federal American Recovery & Reinvestment Act of 2009.

Definitions as used in this Plan:

Agency/Department - An agency, department, division, board, or other administrative unit of the State of Vermont, and includes elected offices, those having express statutory authority to enter into contracts and subgrants, and all component units.

ARRA - The federal American Recovery & Reinvestment Act of 2009.

ARRA Activity - A program, project, competitive grant, or related group of expenditures funded by ARRA.

Contract – Legal agreement for goods and/or services funded with ARRA monies and subject to Administration Bulletin 3.5 – Contracting Procedures and/or purchasing rules established by the Department of Buildings and General Services in accordance with 29 V.S.A. § 902.

Competitive Grant - An individual federal grant which the state must be eligible for based on specific criteria, have applied for, and been awarded; no guarantee of award.

ESR - The Vermont Economic Stimulus & Recovery Office.

Formula/Block Grant – Allocations of money from the federal government to the State agencies and departments in accordance with distribution formulas prescribed by federal law or administrative regulation, for activities of a continuing nature such as: Federal Highway Administration Programs/Projects (FHWA), Community Development Block Grant (CDBG), COPS grant, Substance Abuse Prevention & Treatment Block Grant (SAPTBG), etc.

Individual Benefit Program – Federal programs designed to assist individual beneficiaries, such as: SNAP (food stamps), TANF (temporary assistance), Medicaid, Unemployment benefits, Special Ed, ect.

Sub-Award – Legal agreement (subgrant) to a subrecipient of ARRA monies received by the State of Vermont and subject to Administrative Bulletin 5.0 – Single Audit Policy for SubGrants, including an award from one unit of State government to another.

VISION - is an Oracle/PeopleSoft enterprise financial management system used as the primary statewide accounting system, managed by the Dept of Finance & Management in conjunction with the Dept of Information & Innovation's PeopleSoft Technical Unit.

1. IDENTIFY (LIST) ALL ARRA FUNDED ACTIVITIES (*):

1) VT Incident Based Reporting System (VIBRS) Upgrade; 2) Spillman Law Enforcement Database & E-911 Interface Development; 3) DPS Telephone System and Switch Replacement; 4) Creation of a new Mobile Data Support Position; 5) Offset of portion of cost to local and county agencies for transition to use of SOV's centralized Outlook Exchange servers; 6) Upgrade of mobile video recording devices in state police fleet; 7) Replacement of 12 older Livescan Fingerprint Transmission devices to state of the art devices.

***Mandatory Requirement:**

- a. For Each** ARRA Competitive Grant, prior to application; and
b. For Each ARRA funded group of expenditures to be tracked before spending may begin.

An individual **ARRA Funds Acceptance Request** (Form ESR-2) must be submitted to your Budget & Management Analyst; the Budget & Management Analyst will forward to ESR after review.

2. FINANCIAL – ACCOUNTING AND COST ALLOCATION METHODOLOGY:

a. Is VISION your primary financial transaction and accounting system? Yes No

If yes, skip to items 2.d.

b. If no, what is the name of the other primary financial system you use:

NA

c. Explain how ARRA receipts and expenditures will be tracked in the other primary financial system. NA

d. Explain how ARRA receipts and expenditures will be tracked in VISION; by Business Unit, DeptID, funding codes, VISION chartfields, etc.? (see ATTACHED)

e. Will all or some expenditures for ARRA Activities be tracked by specific expenditure?

Yes No If yes, which specific expenditures will be tracked? (see ATTACHED)

f. Will all or some expenditures for ARRA Activities be tracked by cost pool? Yes No

If yes, explain cost pool methodology.

NA

g. Will all or some indirect costs for ARRA Activities be allocated? Yes No

If yes, explain allocation methodology.

NA

h. Explain your training plan to instruct staff on the new ARRA policies & procedures:

The DPS has created a team to develop the internal guidelines for monitoring our ARRA funded projects. This team consists of the Director of Administrative Services, the Director of Criminal Justice Services (CJS), Reporting Supervisor, Federal Grants Supervisor, Grants Manager, and Contracts/Purchasing Administrator. This team will develop the general guidelines, and a plan for how quarterly reporting requirements will be met.

At the kick-off of each project, all stakeholders will be brought together, including the above-mentioned team, the specific DPS Project Manager (all assigned Project Managers to be direct reports to the Director of CJS), and a representative of all contracted services providers or sub-grantees to ensure that all are clear on these guidelines/reporting requirements. This group, or a sub-committee of this group, will meet as required throughout the project on an as-needed basis to ensure that projects are in compliance with all requirements.

3. DOCUMENTATION OF TRACKING FOR ARRA METRICS:

a. How will you collect data on State of Vermont Jobs Created or Retained for your Agency/Department due to ARRA funding?

We anticipate utilizing ARRA funds for only one State position, a Support position for the Mobile Data systems project.

We understand that the State of Vermont is interpreting "job creation" to be any newly created State position funded with ARRA funds, and a "job retained" to be any currently existing State position that is funded wholly or partially with ARRA funds in the future. We understand that the number of these positions should be calculated pro-rata based on 2080 hours=One FTE.

b. How will you calculate percentage of completion for ARRA activities?

We anticipate that all of our ARRA projects will be contracted out, and as such, each will have a specifically defined scope-of-work that will include a timeline for completion. We will establish milestones and will calculate percent of project completed based on those milestones.

c. How will you track Infrastructure Project expenditures?

No Infrastructure Projects Planned

d. Are your federal ARRA funding agencies requiring you to track other metrics? Yes No

If yes, list these metrics and explain how you will track them:

4. ARRA ACTIVITY CONTRACTS, PROCUREMENTS, AND SUB-AWARDS:

a. Will you be executing Contracts and/or Sub-Grants funded with ARRA monies? Yes No

b. Please acknowledge your responsibility for ensuring that the new language required by the 4/21/09 Addendum to Bulletins 3.5 and 5.0 will be included in each contract and/or sub-grant funded with ARRA monies, which requires the contractor, vendors, and sub-recipient to periodically report required information and/or metrics to you. Yes

5. OTHER COMMENTS:

OK
5/12/09

Submitted by:	Commissioner or Exempt Department Head:	<i>Tom R. Tush</i>	Date: 5/8/09
	Agency Secretary (if required):		Date:
Approved by:	Dup Commissioner, Finance & Management	<i>Susan Bellu</i>	Date: 5/12/09
	VT Chief Stimulus Officer:	<i>[Signature]</i>	Date: 5/12/09

Form ESR-1 Addendum

Department of Public Safety - Business Unit 02140

Date: May 6, 2009

This form is to provide detailed responses to the following questions on ESR-1:

#2 (d): Explain how ARRA receipts and expenditures will be tracked in VISION?

DPS Response: The DPS will use the following Chart of Accounts to track ARRA Fund expenditures and receipts:

Business Unit 02140/Specific Internal Dept ID where expenditure or drawn funds should be charged or deposited /Fund Code #22040/Project-Grant Code as created specifically for each project defined in Question #1 of this form/Account Code appropriate for the specific expenditure (or, if a revenue deposit transaction, will use new ARRA funds Revenue Code 430001 for deposit of drawn, earned, ARRA funds)

#2 (e) Will all or some expenditures for ARRA activities be tracked by specific expenditure? If yes, which specific expenditures will be tracked?

DPS Response:

Part 1: Yes

Part 2: *We will be tracking all specific expenditures. We expect that most of our expenditures will be contract payments utilizing the "Contract and 3rd Party Services" account codes. We will also pay and track the Salary & Benefits of the position we will be creating for Mobile Data Support using the Chart of Accounts identified in #2(d), and the regular Salary and Benefits expenditure account codes. This will allow us to query all expenditure and revenue data associated with ARRA funds separately from other Federal expenditures and revenues.*

APPLICATION FOR FEDERAL ASSISTANCE		2. DATE SUBMITTED April 22, 2009	Applicant Identifier
1. TYPE OF SUBMISSION Application Non-Construction	3. DATE RECEIVED BY STATE		State Application Identifier
	4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier
5. APPLICANT INFORMATION			
Legal Name Vermont Department of Public Safety		Organizational Unit Department of Public Safety	
Address 103 South Main Street State Police Headquarters Waterbury, Vermont 05671-2101		Name and telephone number of the person to be contacted on matters involving this application Reinfurt, Chris (802) 241-5357	
6. EMPLOYER IDENTIFICATION NUMBER (EIN) 03-6000274		7. TYPE OF APPLICANT State	
8. TYPE OF APPLICATION New		9. NAME OF FEDERAL AGENCY Bureau of Justice Assistance	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 16.810 CFDA TITLE: 16.810 - Rural Law Enforcement		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT Vermont's Rural Law Enforcement to Combat Crime and Drugs Grant: Category I Combating Rural Crime	
12. AREAS AFFECTED BY PROJECT Statewide			
13. PROPOSED PROJECT Start Date: August 01, 2009 End Date: July 31, 2011		14. CONGRESSIONAL DISTRICTS OF a. Applicant b. Project VT00	
15. ESTIMATED FUNDING		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
Federal	\$130,000	Program is not covered by E.O. 12372	
Applicant	\$0		
State	\$0		
Local	\$0		
Other	\$0		
Program Income	\$0	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL	

TOTAL	\$130,000	DEB 1?
		N

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS REQUIRED.

Close Window

Program Abstract

Agency/Applicant Name: Department of Public Safety/Vermont State Police
Title of the Project: Intelligence Analyst
Amount Requested: \$130,000
Assistance to Rural Law Enforcement to Combat Crime and Drugs
Category: I, Combating Rural Crime
Point of Contact: Captain Chris Reinfurt
Telephone Number: (802) 241-5357

Vermont qualifies as a rural state as its largest county, Chittenden, has fewer than 150,000 people based on the most recent decennial census. The population of Chittenden County in the 2000 census is 146,570.

Goals of the Project:

This project proposes to create a new position by hiring one intelligence analyst to be assigned at the Vermont Fusion Center (VTFC) to support rural law enforcement investigations in the State of Vermont. By hiring this analyst it will allow the Vermont Fusion Center to expand its capability to support local and state law enforcement in tracking crime trends and developing products that will provide field commanders in participating jurisdictions with actionable intelligence so they can better direct their resources based on vetted information. Additionally, this analyst will support rural communities and their respective departments in support for major criminal investigations.

Strategies for the Project:

This newly created position will allow the Vermont State Police to expand its current Intelligence Led-Policing project known as Data Driven Approaches to Crime and Traffic Safety (DDACTS). This data and intelligence led policing project overlays crime and traffic data that is vetted by an analyst, of an impacted area within jurisdictions. Analyst then produces an actionable intelligence document for field commanders in participating jurisdictions to use. Field commanders then formulate strategies to interdict the crime pattern through task forces established at the local level. This expansion will continue to support the Fusion Centers rural law enforcement mission of supporting field commanders with intelligence documents that are actionable and support of major criminal investigations.

Major Deliverables:

This project proposes to combat rural crime and improve rural law enforcement investigations by:

1. Expand intelligence and data led policing model to more local jurisdictions
2. Expand major case support for criminal investigations
3. Expand support to the Joint Terrorism Task Force and state's Drug Task Force

Coordination Plans:

All coordination will be accomplished through the VTFC, Bureau of Criminal Division, and Vermont State Police. VTFC shall use the VTFC Advisory Board to ensure that the goals of this project is communicated to the regional, federal, county and local partners stakeholders who use the services of the center.

Program Narrative

Statement of the Problem

The Vermont Fusion Center (VTFC) has been designated by the Governor as the state's single point of contact for intelligence. This multi-agency fusion center strives to assist law enforcement within the state and region, as well as nationally and internationally in the effort to prevent, detect, and prosecute crime. The VTFC's mission is to collect, analyze, and disseminate intelligence regarding both potential and ongoing criminal activity. Additionally, it is the mission of the VTFC to support all major criminal investigations, whether local or federal, and promote the safety of all law enforcement officers. The VTFC is additionally responsible for the intelligence/data led-policing model known as Data Driven Approaches to Crime and Traffic Safety (DDACTS). It is the responsibility of VTFC to provide field commanders with actionable intelligence in the form of a product so that they (Field Commanders) may formulate a plan to combat crime. DDACTS integrates location-based crime and traffic data to establish effective and efficient strategies for deploying law enforcement officers.

The role of the VTFC in supporting major case investigations has increased by 358% since 2005. Additionally, with the introduction of the Intelligence/Data Led-Policing model and its success there is an increase of requests for this service into the VTFC. The VTFC can not provide any further expansion of services without assistance in the form of an intelligence analyst.

Program Design and Implementation

The VTFC proposes to add one additional analyst to the current staff to allow for the expansion of services to include support to major investigations and implementation of the

data/intelligence led-policing model known as DDACTS. These objectives will focus on the support of various task forces and major crimes within the rural communities of Vermont.

Project objectives and outcomes:

Objective: The VTFC shall create one intelligence analyst position within the VTFC to expand its criminal intelligence and analysis capability and support to major criminal investigations in the rural communities of Vermont to target criminal activity especially violent crimes, narcotics trafficking, gang violence and property crimes.

Outcomes:

- VTFC will expand its current intelligence/data led-policing model to departments working in the rural communities of Vermont.
- VTFC will expand its support to major criminal investigations to departments working in the rural communities of Vermont
- VTFC will expand its support to the various tasks forces (Vermont Drug Task Forces, Joint Terrorism Task Force, Internet Crimes Against Children Task Force, International Border Enforcement Team) within the state for their special operations that target criminal activity.

Job Creation:

This project proposes to create one intelligence analysis position to work within the multi-agency state fusion center. This position will be a contractor position that will supplement the current organization within the VTFC. The hiring authority proposes to target retired law enforcement officers or military personnel with analytical experience who have real life experience within this field, and existing intelligence analysts. Additionally these three categories of people will be targeted to allow VTFC to maximize the turn around time from new hire to competent analyst as

these three categories provide the skill sets needed to function within a fusion center more quickly than a person without this real life experience. Finally, it is recognized that the sustainability of these positions with state funding will most likely not occur as detailed within the sustainment paragraph below due to the current fiscal crisis and the predicted slow recovery.

Combating crime and improves the functions of the criminal justice system:

The creation of a new intelligence analyst position will enhance the services and capabilities of the VTFC. This position will be able to expand the support major crimes investigations by allowing this additional analyst to focus on assisting detectives in rural communities. This support includes analysis of the information developed in the investigations, to include telephone and computer data as well as secure and open source databases. This support to major investigations allows investigators to focus on high priority leads.

The addition of one analyst will allow the VTFC to expand the DDACTS Intelligence/Data Led-Policing project to additional rural communities within the state. This data focused intelligence led-policing project is a partnership between the National Highway Traffic Safety Administration, Bureau of Justice Assistance and the Vermont State Police, VTFC. The project concept includes the following:

- timely and accurate data collection and analysis of crime and traffic data
- the identification of a nexus between crime and traffic data
- coalition of local partners
- operations plan from the local partners

This data/intelligence led-policing model was implemented in a local city in the North West section of Vermont in September of 2008. Violent crime to include assaults, robberies, and gang activity as well as traffic violations were analyzed and problem areas were identified. An

analytical product was produced by the VTFC and distributed to the Task Force formed with city, county and state police. The DDACTS model allows law enforcement to prioritize the demands of police services, the results of these operations are still being measured.

Capabilities/Competencies

The Vermont State Police has established and maintained a criminal intelligence function in the state since the 1970s. In 1997, the Vermont State Police successfully established a state wide information sharing platform that allowed all authorized law enforcement personnel access to vital information available for criminal investigations. This information is shared nationally via the Regional Information Sharing System (RISS).

On August 15, 2005, the Vermont State Police joined with the US DHS to establish the VTFC. This center was formed to incorporate the mission of the Vermont State Police Criminal Intelligence Unit, the Vermont Homeland Security Unit and the National Intelligence Sharing Plan of 2002 into an all crimes single information sharing center. The VTFC is co-located at the DHS-Law Enforcement Support Center in Williston, Vt.

The VTFC supports many of the implementation guidelines of the Global Justice Information Sharing Initiative. The VTFC has met or exceeded the majority of the recommendations found in the Global Intelligence Initiative. The VTFC has established a Conduct of Operations Plan that fully incorporates the recommendations of the Global Intelligence Initiative to ensure that privacy and civil rights are protected. Further, the VTFC recently adopted a separate Privacy and Civil Rights Annex to its operations plan to emphasize the importance of protecting our citizen's rights.

The VTFC strives to assist law enforcement within the state and region, as well as nationally and internationally in the effort to prevent, detect, and prosecute crime. The VTFC's mission is to collect, analyze, and disseminate intelligence regarding both potential and ongoing criminal activity. Additionally, it is the mission of the VTFC to promote the safety of all law enforcement officers.

The VTFC is organized and the processes administered under the authority of state law through the Vermont State Police. The VTFC has identified its participating members and has established an advisory committee of local, county, state and federal partners.

The state of Vermont continues to work at a regional level to support the facilitation of information sharing. Since the 1970s, Vermont has participated in the New England State Police Administrative Compact through each of the New England state's intelligence function. Since 2004, Vermont has been an active member of the North East Regional Intelligence Group that is working in close collaboration with the DHS Intelligence Directorate. The VTFC fully participates in information sharing meetings with local, county, state and federal law enforcement agencies.

The VTFC has supported a number of intelligence led-policing operations during the past year in rural Vermont with great success. Most recently the VTFC supported the Vermont Drug Task Force (VDTF) in Operation Rail City in the spring of 2009. This operation specifically targeted the City of St. Albans, located in the north-west corner of Vermont. The VDTF came together with law enforcement officials in and around the City of St. Albans to address the growing problem of prescription drugs and a rise in quality of life crimes. This was a collaborative effort by all law enforcement agencies, utilizing data and intelligence analyzed by

the VTFC that directed the uniform saturation patrols and undercover operations. A five month investigation resulted in the arrest of 21 defendants for drug distribution charges. In addition, this operation identified a significant oxycontin distribution ring that was responsible for trafficking large quantities from NY dealers to VT dealers. Defendants from this operation face charges in both state and federal court.

In May of 2008, the VTFC supported Operation Marble Valley which focused on the Rutland, Vermont, region after a series of violent crimes, including a homicide. Operation Marble Valley was a collaborative effort of all Vermont Law Enforcement led by the VDTF and supported by the VTFC. Operation Marble Valley resulted in the arrest of over 30 drug offenders in a one day drug sweep and criminal charges against 41 perpetrators who now face prosecution in both state and federal court. The VTFC provided countless hours of major case support to the Task Forces to identify, locate and provide information on the suspects as well as analysis of crime trend patterns.

In March of 2008, the VTFC supported the VDTF along with law enforcement agencies throughout the state in the Byrne Blitz Operation. This operation resulted in the drug arrests of twelve individuals located throughout the state. In December of 2007 the VTFC worked very closely with the Barre City Police Department on Operation Granite Street. This operation resulted in the arrests of twenty-five individuals for various drug crimes.

All of these operations are examples of how the VTFC provides continued support to major investigations and analysis of data and intelligence in a collaborative law enforcement approach to directly impact local communities by reducing crime and improving the quality of life for the citizens of Vermont.

The State of Vermont realizes the importance of tracking and monitoring the ARRA grants. The State's Department of Finance and Management has set up a special fund code in order to track ARRA grant funding. The Department of Public Safety will add another level of tracking by asking Finance and Management to set up unique project/grant codes to track expenditures of individual ARRA grants. Public Safety will run monthly financial reports that capture expenditures based on the fund and project/grant codes. We will use these monthly reports to perform drawdown's.

Impact/Outcomes, Evaluation, Sustainment and Description of the Plan for the Collection of Data

Impact/Outcomes

This proposal for one additional intelligence analyst will allow further expansion of the data/intelligence led-policing model to rural communities and their respective police agencies.

The outcomes include:

- Analysis of additional data
- An intelligence product for Field Commanders to use to formulate strategies to combat crime in their jurisdictions
- Direct police resources to identified areas in the form of Task Forces
- Expand major case support to investigations within the rural communities of Vermont

Evaluation

The Department of Public Safety, Vermont State Police, VTFC certifies its willingness and capacity to participate in an evaluation to be managed by the National Institute of Justice. The Vermont State Police has participated in a number of evaluations in the past and they include a number of Fusion Center Surveys for BJA/DHS. One of these evaluations included a mandatory

assessment of Fusion Center capabilities for BJA/DHS in FFY 2007. The Vermont State Police has also participated in a number of programmatic monitoring visits from DOJ and DHS and has diverse experience in managing grants.

Sustainability

It is recognized by the Vermont State Police that the cost associated with this project can not be sustained by state funds after the expiration of the grant in two years. It is acknowledged that the state, like the nation, is experiencing one of the worst economic downturns in our history. State economists predict a long and slow recovery for Vermont and the state will not be in a position to support this position in two years. It is for this reason that we propose to target and contract with retired law enforcement or military personnel who have experience in the field of analysis and law enforcement. By hiring an analyst with prior law enforcement experience this position will be self sufficient more quickly than an individual who is not in the field. We also believe that by contracting with this target group we can conserve financial resources by not budgeting for benefits as these groups will already have them through their retirement systems. We will examine potential cost sharing of this position with local, county and state police agencies within the first year to explore the possibility ^{of} potential sustainment funding.

Collection of Data required for Performance Objectives

The VTFC maintains a database detailing the level of work each analyst with in the center performs. Each operation and major investigation supported will include the required After Action Report that will summarize all necessary data to show outcomes of the actions.

Grant Application Identifier 2009 ARRA Rural Law Enforcement Competitive Grant Program - Category I
 Proposed Grant Period: 8/1/2009-7/31/2011

Adapted for VT DPS from OMB 1121-0188

Budget Detail Worksheet (see Attachment 3b for Budget Narrative)

A. Personnel - List each position by title and name of employee, if available. Show the annual salary rate and the percentage of time to be devoted to the project. Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization.

Total Personnel: \$0.00

B. Fringe Benefits - Fringe benefits should be based on actual known costs or an established formula. Fringe benefits are for the personnel listed in budget category (A) and only for the percentage of time devoted to the project. Fringe benefits on overtime hours are limited to FICA, Workman's Compensation, and Unemployment Compensation.

Total Fringe: \$0.00

TOTAL PERSONNEL AND FRINGE BENEFITS: \$0.00

C. Travel - Itemize travel expenses of project personnel by purpose (e.g., staff to training, field interviews, advisory group meeting, etc.). Show the basis of computation (e.g., six people to 3-day training at \$X airfare, \$X lodging, \$X subsistence). In training projects, travel and meals for trainees should be listed separately. Show the number of trainees and the unit costs involved. Identify the location of travel, if known. Indicate source of Travel Policies applied, Applicant or Federal Travel Regulations.

DOJ Grants Training;						
1. Washington, DC						
Airfare	2 ppl	1 ticket	@	\$	575.00	\$ 1,150.00
Hotels	2 ppl	4 days	@	\$	225.00	\$ 1,800.00
Meals	2 ppl	4 days	@	\$	32.00	\$ 192.00 <i>256</i>
Parking	2 ppl	4 days	@	\$	15.00	\$ 120.00
DOJ Grants Training;						
2. TBD Regional Locations						
Airfare	2 ppl	1 ticket	@	\$	800.00	\$ 1,600.00
Hotels	2 ppl	4 days	@	\$	125.00	\$ 1,000.00
Meals	2 ppl	4 days	@	\$	32.00	\$ 192.00 <i>256</i>
Parking	2 ppl	4 days	@	\$	15.00	\$ 120.00

TOTAL TRAVEL: \$6,174.00

D. Equipment - List non-expendable items that are to be purchased. Non-expendable equipment is tangible property having a useful life of more than two years and an acquisition cost of \$5,000 or more per unit. (Note: Organization's own capitalization policy may be used for items costing less than \$5,000). Expendable items should be included either in the "supplies" category or in the "Other" category. Applicants should analyze the cost benefits or purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "Contractual" category. Explain how the equipment is necessary for the success of the project. Attach a narrative describing the procurement method to be used.

Laptop Computer with						
1. Software						
		1 @		\$	7,243.00 ea	\$ 7,243.00

TOTAL EQUIPMENT: \$7,243.00

E. Supplies - List items by type (office supplies, postage, training materials, copying paper, and expendable equipment items costing less than \$5,000, such as books, hand held tape recorders) and show the basis for computation. (Note: Organization's own capitalization policy may be used for items costing less than \$5,000). Generally, supplies include any materials that are expendable or consumed during the course of the project.

TOTAL SUPPLIES: \$0.00

F. Construction - As a rule, construction costs are not allowable. In some cases, minor repairs or renovations may be allowable. Check with the program office before budgeting funds in this category.

TOTAL CONSTRUCTION: \$0.00

G. Consultants/Contracts - Indicate whether applicant's formal, written Procurement Policy or the Federal Acquisition Regulations are followed.

Consultant Fees: For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and the estimated time on the project. Consultant fees in excess of \$450 per day require additional justification and prior approval from OJP.

Sub-Total: \$0.00

Consultant Expenses: List all expenses to be paid from the grant to the individual consultants in addition to their fees (i.e., travel, meals, lodging, etc.)

Sub-Total: \$0.00

Contracts: Provide a description of the product or service to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole source contracts in excess of \$100,000.

Criminal Intelligence			Vendor TBD			\$ 114,014.00
1. Analyst						

TOTAL CONTRACTS / CONSULTANTS: \$114,014.00

H. Other Costs - List items (e.g., rent, reproduction, telephone, janitorial or security services, and investigative or confidential funds) by major type and the basis of the computation. For example, provide the square footage and the cost per square foot for rent, or provide a monthly rental cost and how many months to rent.

1. DII	0.25% of project costs	\$	129,032.26	\$	322.58
VISION Accounting					
2. System	0.25% of project costs	\$	129,032.26	\$	322.58
Single Audit Cost					
3. Allocation	0.25% of project costs	\$	129,032.26	\$	322.58
4. G-link Software License	1 @	\$	301.26	\$	301.26
5. PS Portal Software	1 @	\$	1,300.00	\$	1,300.00

TOTAL: \$2,569.00

I. Indirect Costs - Indirect costs are allowed only if the applicant has a Federally approved indirect cost rate. A copy of the rate approval (a fully executed, negotiated agreement), must be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant Federal agency, which will review all documentation and approve a rate for the applicant organization, or if the applicant's accounting system permits, costs may be allocated in direct costs categories.

TOTAL INDIRECT: \$0.00

TOTAL PROJECT COST: \$130,000.00

Budget Summary

+128.

Budget Category	Amount
A. Personnel	\$0.00
B. Fringe Benefits	\$0.00
C. Travel	\$6,174.00
D. Equipment	\$7,243.00
E. Supplies	\$0.00
F. Construction	\$0.00
G. Consultants/Contracts	\$114,014.00
H. Other	\$2,569.00
Total Direct Costs	\$130,000.00
I. Indirect Costs	\$0.00
TOTAL PROJECT COSTS	\$130,000.00

Federal Request	\$130,000.00
Non-Federal Amount	\$0.00

Budget Narrative

A. Personnel

None requested

B. Fringe Benefits

None Requested

C. Travel

Out of state travel (\$6,174.00): Necessary to comply with the grant requirements for this application. Travel is budgeted for two personnel to travel to two grant meetings one in the Washington, D.C. area and one outside of Washington, D.C. area.

D. Equipment

Laptop Computer and Software (\$7,243.00): The purchase of this computer and software will be competitively bid through the statewide contracting bid process. This equipment and software will allow the criminal intelligence analyst to perform their duties.

E. Supplies

None requested

F. Construction

None requested.

G. Consultants/Contracts

Criminal Intelligence Analyst (\$114,014): The Vermont Department of Public Safety is seeking to employ one criminal intelligence contractor to work within the VTFC for two years in order to support major criminal investigations and expand implementation of the data/intelligence led-policing model described above. This person will work for the Commander of the Vermont Fusion Center.

H. Other

VISION Statewide Accounting System (\$322.58): This is a direct charge associated with all financial transactions, which include accounts payable and receivable transactions. The cost provides access to the statewide integrated financial management system, which allows us to process grant-related transactions and to produce financial reports for the grant.

DII (\$322.58): This is a direct charge associated with all Information Technology purchases, including the procurement of hardware, software or professional services. The cost includes support for enterprise solutions such as server infrastructure; information sharing systems and technical architecture.

Single Audit Allocation (\$322.58): This is a direct charge associated with Vermont's statewide Single Audit as required by OMB Circular A-133.

G-link Software License (\$301.26): This software will allow the analyst access to NCIC, DMV, and VCIC.

PS Portal Software (\$1,300.00): This software will give the analyst the ability to run reports on Vermont's warrant database and missing persons reports.

Project Timeline

Quarter Ending	Activity	Description/Responsibility
September 2009	Advertise competitive bid for intelligence analyst position through state process.	Commander of VTFC shall complete all necessary paperwork to advertise position.
	Gather a hiring panel to review applications	Commander VTFC
	Conduct hiring panel	Commander VTFC
	Hire analyst within the first three months	Department of Public Safety Administrative Division
	Equip and Field Train Intelligence Analyst	Commander VTFC
	Submit Financial Status Reports (269)	Department of Public Safety Administrative Division
December 2009	Train analyst in FIAT Training within 3 months	Commander VTFC
	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
March 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Train analyst in analysis software within first 6 months	Commander VTFC

	Submit Financial Status Reports (269)	Administrative Division
June 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
September 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
December 2010	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
March 2011	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC

	Submit Financial Status Reports (269)	Administrative Division
June 2011	Quarterly Reporting on Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division
September 2011	Close out report of grant	Complete final report
	Final Report of Supporting Intelligence Led-Policing Model and major investigations	Commander VTFC
	Submit Financial Status Reports (269)	Administrative Division

Position Description

Criminal Intelligence Analyst

Class Definition:

Criminal intelligence work at a professional level involves that application of the intelligence theory and practices which utilizes an intelligence process resulting in a final product. This work is an extremely important tool for modern day law enforcement as it saves investigators valuable resources when conducting criminal investigations and preventing crime. Work is performed under the supervision of a Sergeant within the Vermont Fusion Center.

Examples of Work:

- Assist members of law enforcement by providing analysis, toll analysis, link analysis and financial analysis
- Prepare written and oral reports summarizing the results of analysis
- Daily review of intelligence, bulletins, open source material regarding crime
- Support data/intelligence led-policing model for rural communities

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

**Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs
Program**

Certification as to Recovery Act Reporting Requirements

On behalf of the applicant entity named below, I certify the following to the Office of Justice Programs, U.S. Department of Justice:

I have personally read and reviewed the section entitled "Accountability and Transparency under the Recovery Act" in the program announcement for the Recovery Act grant program identified above. I have also read and reviewed section 1512(c) of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), concerning reporting requirements for grants. I agree that the applicant will comply with the reporting requirements set forth therein with respect to any grant the applicant may receive under the Recovery Act grant program identified above.

I acknowledge that a false statement in this certification may be subject to criminal prosecution, including under 18 U.S.C. § 1001. I also acknowledge that Office of Justice Program grants, including certifications provided in connection with such grants, are subject to review by the Office of Justice Programs, and/or by the Department of Justice's Office of the Inspector General.

I have authority to make this certification on behalf of the applicant entity (that is, the entity applying directly to the Office of Justice Programs).

A. Marc Metayer
Signature of Certifying Official

A. Marc Metayer
Printed Name of Certifying Official

Deputy Commissioner
Title of Certifying Official

VT Dept. of Public Safety
Full Name of Applicant Entity

4/22/09
Date

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

**Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs
Program**

General Certification as to Requirements for Receipt of Funds
for Infrastructure Investments

On behalf of the applicant state or unit of local government (including tribal government) named below, I certify the following to the Office of Justice Programs ("OJP"), U.S. Department of Justice:

I have personally read and reviewed the section entitled "Eligibility" in the program announcement for the Recovery Act grant program named above. I also have personally read and reviewed section 1511 of the American Recovery and Reinvestment Act of 2009 (the "Recovery Act"), which requires a specific certification prior to receipt of Recovery Act funds for infrastructure investments.

Initial the statement that applies:

At

The applicant identified below **does not intend to use** any portion of any funds received under this Recovery Act grant program for any infrastructure investment. Should this intention change, the applicant will promptly notify OJP, and (except to the extent, if any, that OJP has given prior written approval to expend funds to conduct the review and vetting required by law) will not draw down, obligate, or expend any funds received under this Recovery Act program for any infrastructure investment project until section 1511 of the Recovery Act has been satisfied, and an adequate project-specific certification has been executed, posted, and submitted to OJP.

_____ The applicant identified below **does intend to use** some or all of any funds received under this Recovery Act grant program for one or more infrastructure investment projects. Except to the extent, if any, that OJP has given prior written approval to expend funds to conduct the review and vetting required by law, I agree that the applicant entity will execute, post, and submit to OJP, prior to obligating, expending, or drawing down funds for such project, a project-specific certification that satisfies all of the requirements of section 1511 (including execution by the Governor, mayor, or other chief executive, as appropriate) for each such infrastructure investment project.

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

General Certification as to Requirements for Receipt of Funds
for Infrastructure Investments _____

I acknowledge that a false statement in this certification may be subject to criminal prosecution, including under 18 U.S.C. § 1001. I also acknowledge that Office of Justice Program grants, including certifications provided in connection with such grants, are subject to review by the Office of Justice Programs and/or by the Department of Justice's Office of the Inspector General.

I have authority to make this certification on behalf of the applicant (that is, the governmental entity applying directly to the Office of Justice Programs).

A. Marc Metayer
Signature of Certifying Official

A. Marc Metayer
Printed Name of Certifying Official

Deputy Commissioner
Title of Certifying Official

VT. Dept. of Public Safety
Full Name of Applicant Government Entity

4/22/09
Date

PE# 71079
ARRK-09 Rural LE VTA

OK



Department of Justice
Office of Justice Programs

Office of the Assistant Attorney General

Washington, D.C. 20531

August 24, 2009

Commissioner Thomas Tremblay
Vermont Department of Public Safety
103 South Main Street
Waterbury, VT 05671-2101

Dear Commissioner Tremblay:

On behalf of Attorney General Eric Holder, it is my pleasure to inform you that the Office of Justice Programs has approved your application for funding under the FY 09 Recovery Act Rural Law Enforcement Assistance: Combating Rural Crime in the amount of \$130,000 for Vermont Department of Public Safety.

Enclosed you will find the Grant Award and Special Conditions documents. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact:

- Program Questions, Flora Lawson, Program Manager at (202) 305-9216; and
- Financial Questions, the Office of the Chief Financial Officer, Customer Service Center (CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in black ink, appearing to read "Laurie Robinson".

Laurie Robinson
Acting Assistant Attorney General

Enclosures



Department of Justice
Office of Justice Programs
Office for Civil Rights

Washington, D.C. 20531

August 24, 2009

Commissioner Thomas Tremblay
Vermont Department of Public Safety
103 South Main Street
Waterbury, VT 05671-2101

Dear Commissioner Tremblay:

Congratulations on your recent award. In establishing financial assistance programs, Congress linked the receipt of Federal funding to compliance with Federal civil rights laws. The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice is responsible for ensuring that recipients of financial aid from OJP, its component offices and bureaus, the Office on Violence Against Women (OVW), and the Office of Community Oriented Policing Services (COPS) comply with applicable Federal civil rights statutes and regulations. We at OCR are available to help you and your organization meet the civil rights requirements that come with Justice Department funding.

Ensuring Access to Federally Assisted Programs

As you know, Federal laws prohibit recipients of financial assistance from discriminating on the basis of race, color, national origin, religion, sex, or disability in funded programs or activities, not only in respect to employment practices but also in the delivery of services or benefits. Federal law also prohibits funded programs or activities from discriminating on the basis of age in the delivery of services or benefits.

Providing Services to Limited English Proficiency (LEP) Individuals

In accordance with Department of Justice Guidance pertaining to Title VI of the Civil Rights Act of 1964, 42 U.S.C. § 2000d, recipients of Federal financial assistance must take reasonable steps to provide meaningful access to their programs and activities for persons with limited English proficiency (LEP). For more information on the civil rights responsibilities that recipients have in providing language services to LEP individuals, please see the website at <http://www.lcp.gov>.

Ensuring Equal Treatment for Faith-Based Organizations

The Department of Justice has published a regulation specifically pertaining to the funding of faith-based organizations. In general, the regulation, Participation in Justice Department Programs by Religious Organizations; Providing for Equal Treatment of all Justice Department Program Participants, and known as the Equal Treatment Regulation 28 C.F.R. part 38, requires State Administering Agencies to treat these organizations the same as any other applicant or recipient. The regulation prohibits State Administering Agencies from making award or grant administration decisions on the basis of an organization's religious character or affiliation, religious name, or the religious composition of its board of directors.

The regulation also prohibits faith-based organizations from using financial assistance from the Department of Justice to fund inherently religious activities. While faith-based organizations can engage in non-funded inherently religious activities, they must be held separately from the Department of Justice funded program, and customers or beneficiaries cannot be compelled to participate in them. The Equal Treatment Regulation also makes clear that organizations participating in programs funded by the Department of Justice are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion. For more information on the regulation, please see OCR's website at <http://www.ojp.usdoj.gov/ocr/ctfbo.htm>.

State Administering Agencies and faith-based organizations should also note that the Safe Streets Act, as amended; the Victims of Crime Act, as amended; and the Juvenile Justice and Delinquency Prevention Act, as amended, contain prohibitions against discrimination on the basis of religion in employment. Despite these nondiscrimination provisions, the Justice Department has concluded that the Religious Freedom Restoration Act (RFRA) is reasonably construed, on a case-by-case basis, to require that its funding agencies permit faith-based organizations applying for funding under the applicable program statutes both to receive DOJ funds and to continue considering religion when hiring staff, even if the statute that authorizes the funding program generally forbids considering of religion in employment decisions by grantees.

Questions about the regulation or the application of RFRA to the statutes that prohibit discrimination in employment may be directed to this Office.

Enforcing Civil Rights Laws

All recipients of Federal financial assistance, regardless of the particular funding source, the amount of the grant award, or the number of employees in the workforce, are subject to the prohibitions against unlawful discrimination. Accordingly, OCR investigates recipients that are the subject of discrimination complaints from both individuals and groups. In addition, based on regulatory criteria, OCR selects a number of recipients each year for compliance reviews, audits that require recipients to submit data showing that they are providing services equitably to all segments of their service population and that their employment practices meet equal employment opportunity standards.

Complying with the Safe Streets Act or Program Requirements

In addition to these general prohibitions, an organization which is a recipient of financial assistance subject to the nondiscrimination provisions of the Omnibus Crime Control and Safe Streets Act (Safe Streets Act) of 1968, 42 U.S.C. § 3789d(c), or other Federal grant program requirements, must meet two additional requirements: (1) complying with Federal regulations pertaining to the development of an Equal Employment Opportunity Plan (EEO Plan), 28 C.F.R. § 42.301-308, and (2) submitting to OCR Findings of Discrimination (see 28 C.F.R. §§ 42.205(5) or 31.202(5)).

1) Meeting the EEO Plan Requirement

In accordance with Federal regulations, Assurance No. 6 in the Standard Assurances, COPS Assurance No. 8.B, or certain Federal grant program requirements, your organization must comply with the following EEO Plan reporting requirements:

If your organization has received an award for \$500,000 or more and has 50 or more employees (counting both full- and part-time employees but excluding political appointees), then it has to prepare an EEO Plan and submit it to OCR for review **within 60 days from the date of this letter**. For assistance in developing an EEO Plan, please consult OCR's website at <http://www.ojp.usdoj.gov/ocr/eeop.htm>. You may also request technical assistance from an EEO Plan specialist at OCR by dialing (202) 616-3208.

If your organization received an award between \$25,000 and \$500,000 and has 50 or more employees, your organization still has to prepare an EEO Plan, but it does not have to submit the EEO Plan to OCR for review. Instead, your organization has to maintain the EEO Plan on file and make it available for review on request. In addition, your organization has to complete Section B of the Certification Form and return it to OCR. The Certification Form can be found at <http://www.ojp.usdoj.gov/ocr/eeop.htm>.

If your organization received an award for less than \$25,000; or if your organization has less than 50 employees, regardless of the amount of the award; or if your organization is a medical institution, educational institution, nonprofit organization or Indian tribe, then your organization is exempt from the EEO Plan requirement. However, your organization must complete Section A of the Certification Form and return it to OCR. The Certification Form can be found at <http://www.ojp.usdoj.gov/ocr/eeop.htm>.

2) Submitting Findings of Discrimination


In the event a Federal or State court or Federal or State administrative agency makes an adverse finding of discrimination against your organization after a due process hearing, on the ground of race, color, religion, national origin, or sex, your organization must submit a copy of the finding to OCR for review.

Ensuring the Compliance of Subrecipients

If your organization makes subawards to other agencies, you are responsible for assuring that subrecipients also comply with all of the applicable Federal civil rights laws, including the requirements pertaining to developing and submitting an EEO Plan, reporting Findings of Discrimination, and providing language services to LEP persons. State agencies that make subawards must have in place standard grant assurances and review procedures to demonstrate that they are effectively monitoring the civil rights compliance of subrecipients.

If we can assist you in any way in fulfilling your civil rights responsibilities as a recipient of Federal funding, please call OCR at (202) 307-0690 or visit our website at <http://www.ojp.usdoj.gov/ocr/>.

Sincerely,



Michael L. Alston
Director


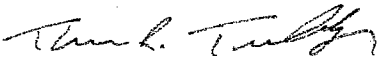
cc: Grant Manager
Financial Analyst



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

Grant

PAGE 1 OF 9

1. RECIPIENT NAME AND ADDRESS (Including Zip Code) Vermont Department of Public Safety 103 South Main Street Waterbury, VT 05671-2101		4. AWARD NUMBER: 2009-SD-B9-0208	
		5. PROJECT PERIOD: FROM 08/01/2009 TO 07/31/2011 BUDGET PERIOD: FROM 08/01/2009 TO 07/31/2011	
1A. GRANTEE IRS/VENDOR NO. 036000274		6. AWARD DATE 08/24/2009	7. ACTION Initial
		8. SUPPLEMENT NUMBER 00	
		9. PREVIOUS AWARD AMOUNT	\$ 0
3. PROJECT TITLE Vermont's Rural Law Enforcement to Combat Crime and Drugs Grant: Category I Combating Rural Crime		10. AMOUNT OF THIS AWARD	\$ 130,000
		11. TOTAL AWARD	\$ 130,000
12. SPECIAL CONDITIONS THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).			
13. STATUTORY AUTHORITY FOR GRANT This project is supported under FY09 Recovery Act (BJA - Rural Law Enforcement) Pub. L. No. 111-5, 123 Stat. 115, 130			
15. METHOD OF PAYMENT PAPRS			
AGENCY APPROVAL		GRANTEE ACCEPTANCE	
16. TYPED NAME AND TITLE OF APPROVING OFFICIAL Laurie Robinson Acting Assistant Attorney General		18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Thomas Tremblay Commissioner	
17. SIGNATURE OF APPROVING OFFICIAL 		19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL 	19A. DATE 9/3/09
AGENCY USE ONLY			
20. ACCOUNTING CLASSIFICATION CODES FISCAL FUND BUD. DIV. YEAR CODE ACT. OFC. REG. SUB. POMS AMOUNT 9 B SD 80 00 00 130000		21. ISDUGT3376	



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Grant

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PROJECT NUMBER 2009-SD-B9-0208

AWARD DATE 08/24/2009

SPECIAL CONDITIONS

1. The recipient agrees to comply with the financial and administrative requirements set forth in the current edition of the Office of Justice Programs (OJP) Financial Guide.
2. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302), that is approved by the Office for Civil Rights, is a violation of its Certified Assurances and may result in suspension or termination of funding, until such time as the recipient is in compliance.
3. The recipient agrees to comply with the organizational audit requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, and further understands and agrees that funds may be withheld, or other related requirements may be imposed, if outstanding audit issues (if any) from OMB Circular A-133 audits (and any other audits of OJP grant funds) are not satisfactorily and promptly addressed, as further described in the current edition of the OJP Financial Guide, Chapter 19.
4. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of OJP.
5. The recipient must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, contractor, subgrantee, subcontractor, or other person has either 1) submitted a false claim for grant funds under the False Claims Act; or 2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving grant funds. This condition also applies to any subrecipients. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by -

mail:

Office of the Inspector General
U.S. Department of Justice
Investigations Division
950 Pennsylvania Avenue, N.W.
Room 4706
Washington, DC 20530

e-mail: oig.hotline@usdoj.gov

hotline: (contact information in English and Spanish): (800) 869-4499

or hotline fax: (202) 616-9881

Additional information is available from the DOJ OIG website at www.usdoj.gov/oig.

6. **RECOVERY ACT – Conflict with Other Standard Terms and Conditions**
The recipient understands and agrees that all other terms and conditions contained in this award, or in applicable OJP grant policy statements or guidance, apply unless they conflict or are superseded by the terms and conditions included here that specifically implement the American Recovery and Reinvestment Act of 2009, Public Law 111-5 (“ARRA” or “Recovery Act”) requirements. Recipients are responsible for contacting their grant managers for any needed clarifications.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Grant

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PROJECT NUMBER 2009-SD-B9-0208

AWARD DATE 08/24/2009

SPECIAL CONDITIONS

7. RECOVERY ACT – Access to Records; Interviews

The recipient understands and agrees that DOJ (including OJP and the Office of the Inspector General (OIG)), and its representatives, and the Government Accountability Office (GAO), shall have access to and the right to examine all records (including, but not limited to, books, papers, and documents) related to this Recovery Act award, including such records of any subrecipient, contractor, or subcontractor.

The recipient also understands and agrees that DOJ and the GAO are authorized to interview any officer or employee of the recipient (or of any subrecipient, contractor, or subcontractor) regarding transactions related to this Recovery Act award.

8. RECOVERY ACT – One-time funding

The recipient understands and agrees that awards under the Recovery Act will be one-time awards and accordingly that its proposed project activities and deliverables are to be accomplished without additional DOJ funding.

9. RECOVERY ACT – Separate Tracking and Reporting of Recovery Act Funds and Outcomes

The recipient agrees to track, account for, and report on all funds from this Recovery Act award (including specific outcomes and benefits attributable to Recovery Act funds) separately from all other funds, including DOJ award funds from non-Recovery Act awards awarded for the same or similar purposes or programs. (Recovery Act funds may be used in conjunction with other funding as necessary to complete projects, but tracking and reporting of Recovery Act funds must be separate.)

Accordingly, the accounting systems of the recipient and all subrecipients must ensure that funds from this Recovery Act award are not commingled with funds from any other source.

The recipient further agrees that all personnel (including subrecipient personnel) whose activities are to be charged to the award will maintain timesheets to document hours worked for activities related to this award and non-award-related activities.

10. RECOVERY ACT – Subawards – DUNS and CCR for Reporting

The recipient agrees to work with its first-tier subrecipients (if any) to ensure that, no later than the due date of the recipient's first quarterly report after a subaward is made, the subrecipient has a valid DUNS profile and has an active registration with the Central Contractor Registration (CCR) database.

11. RECOVERY ACT – Subawards – Monitoring

The recipient agrees to monitor subawards under this Recovery Act award in accordance with all applicable statutes, regulations, OMB circulars, and guidelines, including the OJP Financial Guide, and to include the applicable conditions of this award in any subaward. The recipient is responsible for oversight of subrecipient spending and monitoring of specific outcomes and benefits attributable to use of Recovery Act funds by subrecipients. The recipient agrees to submit, upon request, documentation of its policies and procedures for monitoring of subawards under this award.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Grant

PAGE 4 OF 9

PROJECT NUMBER 2009-SD-B9-0208

AWARD DATE 08/24/2009

SPECIAL CONDITIONS

12. RECOVERY ACT – Recovery Act Transactions Listed in Schedule of Expenditures of Federal Awards and Recipient Responsibilities for Informing Subrecipients

(a) The recipient agrees to maintain records that identify adequately the source and application of Recovery Act funds, to maximize the transparency and accountability of funds authorized under the Recovery Act as required by the Act and in accordance with 2 CFR 215.21, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations" and OMB A-102 Common Rules provisions (relating to Grants and Cooperative Agreements with State and Local Governments).

(b) The recipient agrees to separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC) required by OMB Circular A-133. This condition only applies if the recipient is covered by the Single Audit Act Amendments of 1996 and OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations." This shall be accomplished by identifying expenditures for Federal awards made under the Recovery Act separately on the SEFA, and as separate rows under Item 9 of Part III on the SF-SAC by CFDA number, and inclusion of the prefix "ARRA-" in identifying the name of the Federal program on the SEFA and as the first characters in Item 9d of Part III on the SF-SAC.

(c) The recipient agrees to separately identify to each subrecipient the Federal award number, CFDA number, and amount of Recovery Act funds, and to document this identification both at the time of subaward and at the time of disbursement of funds. When a recipient awards Recovery Act funds for an existing program, the information furnished to subrecipients shall distinguish the subawards of incremental Recovery Act funds from regular subawards under the existing program.

(d) The recipient agrees to require its subrecipients to specifically identify Recovery Act funding on their SEFA information, similar to the requirements for the recipient SEFA described above. This information is needed to allow the recipient to properly monitor subrecipient expenditure of Recovery Act funds as well as facilitate oversight by the Federal awarding agencies, the DOJ OIG, and the GAO.

13. RECOVERY ACT – Reporting and Registration Requirements under Section 1512 of the Recovery Act.

(a) This award requires the recipient to complete projects or activities which are funded under the Recovery Act and to report on use of Recovery Act funds provided through this award. Information from these reports will be made available to the public.

(b) The reports are due no later than ten calendar days after each calendar quarter in which the recipient receives the assistance award funded in whole or in part by the Recovery Act.

(c) Recipients and their first-tier recipients must maintain current registrations in the Central Contractor Registration (www.ccr.gov) at all times during which they have active federal awards funded with Recovery Act funds. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number (www.dnb.com) is one of the requirements for registration in the Central Contractor Registration.

(d) The recipient shall report the information described in section 1512(c) of the Recovery Act using the reporting instructions and data elements that will be provided online at www.FederalReporting.gov and ensure that any information that is pre-filled is corrected or updated as needed.

(e) The recipient shall notify the OJP program manager of submission of its section 1512(c) report at the time the report is submitted per (d) above. Notification to OJP may be either by submission of a copy of the section 1512(c) data report, or (if not practicable) by electronic notification to the OJP program manager confirming submission of the report. Failure to provide the required notification to OJP will be deemed a failure to report under section 1512(c).

(report done by ESR)



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET
Grant**

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PROJECT NUMBER 2009-SD-B9-0208

AWARD DATE 08/24/2009

SPECIAL CONDITIONS

14. RECOVERY ACT – Reporting Potential Fraud, Waste, and Abuse, and Similar Misconduct

The recipient must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, contractor, subgrantee, subcontractor, or other person has either 1) submitted a false claim for Recovery Act funds under the False Claims Act; or 2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving Recovery Act funds. This condition also applies to any subrecipients. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by –

mail:

Office of the Inspector General
U.S. Department of Justice
Investigations Division
950 Pennsylvania Avenue, N.W.
Room 4706
Washington, DC 20530

e-mail: oig.hotline@usdoj.gov

hotline: (contact information in English and Spanish): (800) 869-4499

or hotline fax: (202) 616-9881

Additional information is available from the DOJ OIG website at www.usdoj.gov/oig.

15. RECOVERY ACT – Protecting State and Local Government and Contractor Whistleblowers (Recovery Act, section 1553)

The recipient recognizes that the Recovery Act provides certain protections against reprisals for employees of non-Federal employers who disclose information reasonably believed to be evidence of gross mismanagement, gross waste, substantial and specific danger to public health or safety, abuse of authority, or violations of law related to contracts or grants using Recovery Act funds. For additional information, refer to section 1553 of the Recovery Act. The text of Recovery Act is available at www.ojp.usdoj.gov/recovery.

16. RECOVERY ACT – Limit on Funds (Recovery Act, section 1604)

The recipient agrees that none of the funds under this award may be used by any State or local government, or any private entity, for construction costs or any other support of any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

17. RECOVERY ACT – Infrastructure Investment (Recovery Act, sections 1511 and 1602)

The recipient agrees that it may not use any funds made available under this Recovery Act award for infrastructure investment absent submission of a satisfactory certification under section 1511 of the Recovery Act. Should the recipient decide to use funds for infrastructure investment subsequent to award, the recipient must submit appropriate certifications under section 1511 of the Recovery Act and receive prior approval from OJP. In seeking such approval, the recipient shall give preference to activities that can be started and completed expeditiously, and shall use award funds in a manner that maximizes job creation and economic benefits. The text of the Recovery Act (including sections 1511 and 1602) is available at www.ojp.usdoj.gov/recovery.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
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PROJECT NUMBER 2009-SD-B9-0208

AWARD DATE 08/24/2009

SPECIAL CONDITIONS

18. RECOVERY ACT – Buy American Notification (Recovery Act, section 1605).

The recipient understands that this award is subject to the provisions of section 1605 of the Recovery Act (“Buy American”). No award funds may be used for iron, steel, or manufactured goods for a project for the construction, alteration, maintenance, or repair of a public building or public work, unless the recipient provides advance written notification to the OJP program office, and a Grant Adjustment Notice is issued that modifies this special condition to add government-wide standard conditions (anticipated to be published in subpart B of 2 C.F.R. part 176) that further implement the specific requirements or exceptions of section 1605.

Section 1605 of the Recovery Act prohibits use of any Recovery Act funds for a project for the construction, alteration, maintenance, or repair of a public building or public work unless all of the iron, steel, and manufactured goods used in the project are produced in the United States, subject to certain exceptions, including United States obligations under international agreements.

For purposes of this special condition, the following definitions apply:

“Public building” and “public work” means a public building of, and a public work of, a governmental entity (the United States; the District of Columbia; commonwealths, territories, and minor outlying islands of the United States; State and local governments; and multi-State, regional, or interstate entities which have governmental functions). These buildings and works may include, without limitation, bridges, dams, plants, highways, parkways, streets, subways, tunnels, sewers, mains, power lines, pumping stations, heavy generators, railways, airports, terminals, docks, piers, wharves, ways, lighthouses, buoys, jetties, breakwaters, levees, and canals, and the construction, alteration, maintenance, or repair of such buildings and works.

“Manufactured good” means a good brought to the construction site for incorporation into the building or work that has been--

- (1) Processed into a specific form and shape; or
- (2) Combined with other raw material to create a material that has different properties than the properties of the individual raw materials.

“Steel” means an alloy that includes at least 50 percent iron, between .02 and 2 percent carbon, and may include other elements.

For purposes of OJP grants, projects involving construction, alteration, maintenance, or repair of jails, detention facilities, prisons, public crime victims’ shelters, police facilities, or other similar projects will likely trigger this provision.

NOTE: The recipient is encouraged to contact the OJP program manager – in advance – with any questions concerning this condition, including its applicability to particular circumstances.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET
Grant**

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PROJECT NUMBER 2009-SD-B9-0208

AWARD DATE 08/24/2009

SPECIAL CONDITIONS

19. **RECOVERY ACT – Wage Rate Requirements under Section 1606 of the Recovery Act**
(a) Section 1606 of the Recovery Act requires that all laborers and mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the Federal Government pursuant to the Recovery Act shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code.

Pursuant to Reorganization Plan No. 14 and the Copeland Act, 40 U.S.C. 3145, the Department of Labor has issued regulations at 29 CFR Parts 1, 3, and 5 to implement the Davis-Bacon and related Acts. Regulations in 29 CFR 5.5 instruct agencies concerning application of the standard Davis-Bacon contract clauses set forth in that section. The standard Davis-Bacon contract clauses found in 29 CFR 5.5(a) are to be incorporated in any covered contracts made under this award that are in excess of \$2,000 for construction, alteration or repair (including painting and decorating).

(b) For additional guidance on the wage rate requirements of section 1606, contact your awarding agency. Recipients of grants, cooperative agreements and loans should direct their initial inquiries concerning the application of Davis-Bacon requirements to a particular federally assisted project to the Federal agency funding the project. The Secretary of Labor retains final coverage authority under Reorganization Plan Number 14.
20. **RECOVERY ACT – Misuse of award funds**
The recipient understands and agrees that misuse of award funds may result in a range of penalties, including suspension of current and future funds, suspension or debarment from federal grants, recoupment of monies provided under an award, and civil and/or criminal penalties.
21. **RECOVERY ACT – Additional Requirements and Guidance**
The recipient agrees to comply with any modifications or additional requirements that may be imposed by law and future OJP (including government-wide) guidance and clarifications of Recovery Act requirements.
22. **RECOVERY ACT - Quarterly Financial Reports**
The recipient agrees to submit quarterly financial status reports to OJP. At present, these reports are to be submitted on-line (at [https:// grants.ojp.usdoj.gov](https://grants.ojp.usdoj.gov)) using Standard Form SF 269A, not later than 45 days after the end of each calendar quarter. The recipient understands that after October 15, 2009, OJP will discontinue its use of the SF 269A, and will require award recipients to submit quarterly financial status reports within 30 days after the end of each calendar quarter, using the government-wide Standard Form 425 Federal Financial Report form (available for viewing at [www.whitehouse.gov/ omb/ grants/ standard_forms/ ffr.pdf](http://www.whitehouse.gov/omb/grants/standard_forms/ffr.pdf)). Beginning with the report for the fourth calendar quarter of 2009 (and continuing thereafter), the recipient agrees that it will submit quarterly financial status reports to OJP on-line (at [https:// grants.ojp.usdoj.gov](https://grants.ojp.usdoj.gov)) using the SF 425 Federal Financial Report form, not later than 30 days after the end of each calendar quarter. The final report shall be submitted not later than 90 days following the end of the grant period.



Department of Justice
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**AWARD CONTINUATION
SHEET
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PROJECT NUMBER 2009-SD-B9-0208

AWARD DATE 08/24/2009

SPECIAL CONDITIONS

23. RECOVERY ACT – Provisions of Section 1512(c)

The recipient understands that section 1512(c) of the Recovery Act provides as follows:

Recipient Reports- Not later than 10 days after the end of each calendar quarter, each recipient that received recovery funds from a Federal agency shall submit a report to that agency that contains--

- (1) the total amount of recovery funds received from that agency;
- (2) the amount of recovery funds received that were expended or obligated to projects or activities; and
- (3) a detailed list of all projects or activities for which recovery funds were expended or obligated, including--
 - (A) the name of the project or activity;
 - (B) a description of the project or activity;
 - (C) an evaluation of the completion status of the project or activity;
 - (D) an estimate of the number of jobs created and the number of jobs retained by the project or activity; and
 - (E) for infrastructure investments made by state and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment.
- (4) Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of the Office of Management and Budget.

24. RECOVERY ACT – Inapplicability of General Non-supplanting Requirement to this Award

The recipient understands that, for purposes of this award, the general non-supplanting requirement of the OJP Financial Guide (Part II, Chapter 3) does not apply.

25. Prior to the expenditure of confidential funds, the recipient and any subrecipients agree to sign a certification indicating that he or she has read, understands, and agrees to abide by all of the conditions pertaining to confidential fund expenditures as set forth in the OJP Financial Guide.

26. The recipient agrees to cooperate with any assessments, national evaluation efforts, or information or data collection requests, including, but not limited to, the provision of any information required for the assessment or evaluation of any activities within this project.

27. All contracts under this award should be competitively awarded unless circumstances preclude competition. When a contract amount exceeds \$100,000 and there has been no competition for the award, the recipient must comply with rules governing sole source procurement found in the current edition of the OJP Financial Guide.

28. Approval of this award does not indicate approval of any consultant rate in excess of \$450 per day. A detailed justification must be submitted to and approved by the Office of Justice Programs (OJP) program office prior to obligation or expenditure of such funds.

29. The recipient agrees, if the funds are used for the hiring and employing of new, additional law enforcement officers and support personnel, that the units of local government will establish procedures to give members of the Armed Forces who, on or after October 1, 1990, were or are selected for involuntary separation (as described in Section 1141 of Title 10, United States Code), approved for separation under Section 1174a or 1175 of such title, or retired pursuant to the authority provided under Section 4403 of the Defense Conversion, Reinvestment, and Transition Assistance Act of 1992 (division D of Pub. L. No. 102-484; 10 U.S.C. 1923 note), a suitable preference in the employment of persons as additional law enforcement officers or support personnel.

30. Recipient agrees that funds provided under this award may not be used to operate a "pay-to-stay" program in any local jail. Recipient further agrees not to subaward funds to local jails which operate "pay-to-stay" programs.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Grant

PAGE 9 OF 9

PROJECT NUMBER 2009-SD-B9-0208

AWARD DATE 08/24/2009

SPECIAL CONDITIONS

31. The recipient agrees to submit to BJA for review and approval any curricula, training materials, proposed publications, reports, or any other written materials that will be published, including web-based materials and web site content, through funds from this grant at least thirty (30) working days prior to the targeted dissemination date. Any written, visual, or audio publications, with the exception of press releases, whether published at the grantee's or government's expense, shall contain the following statements: "This project was supported by Grant No. 2009-SD-B9-0208 awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the SMART Office, and the Office for Victims of Crime. Points of view or opinions in this document are those of the author and do not represent the official position or policies of the United States Department of Justice." The current edition of the OJP Financial Guide provides guidance on allowable printing and publication activities.
32. To promote information exchange and coordinate technical assistance, the Bureau of Justice Assistance requires grant recipients to obtain written approval from the BJA State Policy Advisor prior to using Federal grant funds to travel to non-OJP conferences and trainings.
33. The recipient agrees to participate in BJA-sponsored training events, technical assistance events, or conferences held by BJA or its designees, upon BJA's request.
34. Recipient understands and agrees that all funds received under this award must be directed towards projects and activities designed to aid "rural areas" or "rural states," as those terms were defined in the FY09 Recovery Act: Assistance to Rural Law Enforcement to Combat Crime and Drugs solicitation, and as specified in the application.
35. The recipient may not obligate, expend or draw down funds until the Office of the Chief Financial Officer (OCFO) has approved the budget and budget narrative and a Grant Adjustment Notice (GAN) has been issued to remove this special condition.
36. **RECOVERY ACT - Active CCR Registration**
The recipient agrees expeditiously to obtain active registration with the Central Contractor Registration (CCR) database, and to notify the program office in writing of its registration. Following satisfaction of this requirement, a Grant Adjustment Notice will be issued to remove this special condition.

already active



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

Washington, D.C. 20531

Memorandum To: Official Grant File

From: Maria Berry, NEPA Coordinator

Subject: Categorical Exclusion for Vermont Department of Public Safety

The Assistance to Rural Law Enforcement to Combat Crime and Drugs Program, administered by BJA, helps rural states and rural areas prevent and combat crime, especially drug-related crime, and provides for national support efforts, including training and technical assistance programs strategically targeted to address rural needs.

None of the following activities will be conducted either under the OJP federal action or a related third party action:

- (1) new construction;
- (2) any renovation or remodeling of a property located in an environmentally or historically sensitive area, including property, (a) listed on or eligible for listing on the National Register of Historic Places, or (b) located within a 100-year flood plain, a wetland, or habitat for an endangered species;
- (3) a renovation that will change the basic prior use of a facility or significantly change its size;
- (4) research and technology whose anticipated and future application could be expected to have an effect on the environment; and,
- (5) implementation of a program involving the use of chemicals.

Consequently, an agency-wide analysis has determined that the program meets the Office of Justice Programs' (OJP) criteria for a categorical exclusion under the provisions of 28 CFR, Part 61, Appendix D, paragraph 4(b).



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**GRANT MANAGER'S MEMORANDUM, PT. I:
PROJECT SUMMARY**

Grant

PROJECT NUMBER
2009-SD-B9-0208

PAGE 1 OF 1

This project is supported under FY09 Recovery Act (BJA – Rural Law Enforcement) Pub. L. No. 111-5, 123 Stat. 115, 130

1. STAFF CONTACT (Name & telephone number)

Flora Lawson
(202) 305-9216

2. PROJECT DIRECTOR (Name, address & telephone number)

Chris Reinfurt
State Police Captain
103 South Main Street
State Police Headquarters
Waterbury, VT 05671-2101
(802) 241-5357

3a. TITLE OF THE PROGRAM

BJA FY 09 Recovery Act Rural Law Enforcement Assistance: Combating Rural Crime

3b. POMS CODE (SEE INSTRUCTIONS
ON REVERSE)

4. TITLE OF PROJECT

Vermont's Rural Law Enforcement to Combat Crime and Drugs Grant: Category I Combating Rural Crime

5. NAME & ADDRESS OF GRANTEE

Vermont Department of Public Safety
103 South Main Street
Waterbury, VT 05671-2101

6. NAME & ADDRESS OF SUBGRANTEE

7. PROGRAM PERIOD

FROM: 08/01/2009 TO: 07/31/2011

8. BUDGET PERIOD

FROM: 08/01/2009 TO: 07/31/2011

9. AMOUNT OF AWARD

\$ 130,000

10. DATE OF AWARD

08/24/2009

11. SECOND YEAR'S BUDGET

12. SECOND YEAR'S BUDGET AMOUNT

13. THIRD YEAR'S BUDGET PERIOD

14. THIRD YEAR'S BUDGET AMOUNT

15. SUMMARY DESCRIPTION OF PROJECT (See instruction on reverse)

The Bureau of Justice Assistance (BJA) solicits applications for initiatives which assist law enforcement in rural states and rural areas. The program helps rural states and rural areas to prevent and combat crime, especially drug-related crime, and provides for national support efforts, including training and technical assistance programs strategically targeted to address rural needs.

The Assistance to Rural Law Enforcement to Combat Crime and Drugs Program, administered by BJA, helps rural states and rural areas prevent and combat crime, especially drug-related crime, and provides for national support efforts, including training and technical assistance programs strategically targeted to address rural needs. In addition, priority consideration will be given to local law enforcement agencies in rural areas where the unit of local government is not eligible to receive a direct allocation from the Recovery Act Edward Byrne Memorial Justice Assistance Grant (JAG) Program or received a direct allocation that was \$50,000 or less. Applicants were invited to submit a proposal under any one of the following categories to: (1) combat rural crime; (2) improve rural law enforcement investigations;

(3) enhance rural detention and jail operations; (4) facilitate rural justice information sharing; or (5) develop a national training and technical assistance program.

Under Category 1, the Vermont Department of Public Safety will use its award to hire one intelligence analyst assigned at the Vermont Fusion Center (VTFC) to support rural law enforcement investigations in the state of Vermont. Hiring the analyst will allow the VTFC to expand its capability to support local and state law enforcement in tracking crime trends and developing products that will provide field commanders in participating jurisdictions with actionable intelligence so they can better direct their resources based on vetted information. Additionally, the analyst will support rural communities and their respective law enforcement agencies in support for major criminal investigations.

CA/CF